USTIAN DANIEL C

Form 4

February 03, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * **USTIAN DANIEL C**

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

NAVISTAR INTERNATIONAL

(Check all applicable)

CORP [NAV]

3. Date of Earliest Transaction (Month/Day/Year)

02/01/2011

X Director 10% Owner Other (specify X_ Officer (give title

below)

Chairman, President and CEO

NAVISTAR INTERNATIONAL CORPORATION, 4201 WINFIELD ROAD

(First)

(Middle)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

WARRENVILLE, IL 60555

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acqui	red, Disposed of	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit for Dispos (Instr. 3, 4	ed of (4 and 5		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	02/01/2011		M	7,204	A	\$ 44.15	111,183	D	
Common Stock	02/01/2011		F	5,637	D	\$ 64.69	105,546	D	
Common Stock	02/01/2011		M	13,978	A	\$ 26.385	119,524	D	
Common Stock	02/01/2011		F	9,133	D	\$ 64.69	110,391	D	
	02/01/2011		M	2,873	A		113,264	D	

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Common Stock					\$ 26.385			
Common Stock	02/01/2011	F	1,878	D	\$ 64.69	111,386	D	
Common Stock	02/01/2011	M	92,049	A	\$ 26.385	203,435	D	
Common Stock	02/01/2011	F	58,820	D	\$ 64.69	144,615	D	
Common Stock						3,909.6597	I	By Navistar 401(k) Savings Plan
Premium Share Units (1)						11,910	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An or Nu of S
Employee Stock Option (right to buy)	\$ 44.15	02/01/2011		M		7,204	(2)	04/17/2012	Common Stock	7.
Employee Stock Option (right to buy)	\$ 26.385	02/01/2011		M		13,978	12/10/2003	12/11/2012	Common Stock	13
Employee	\$ 26.385	02/01/2011		M		2,873	(3)	12/10/2012	Common	2.

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Stock Option (right to buy)								Stock	
Employee Stock Option (right to buy)	\$ 26.385	02/01/2011	M		92,049	<u>(4)</u>	12/11/2012	Common Stock	92
Employee Stock Option (right to buy)	\$ 64.69	02/01/2011	A	5,637		08/01/2011	04/17/2012	Common Stock	5,
Employee Stock Option (right to buy)	\$ 64.69	02/01/2011	A	9,133		08/01/2011	12/11/2012	Common Stock	9,
Employee Stock Option (right to buy)	\$ 64.69	02/01/2011	A	1,878		08/01/2011	12/10/2012	Common Stock	1,
Employee Stock Option (right to buy)	\$ 64.69	02/01/2011	A	58,820		08/01/2011	12/11/2012	Common Stock	58

Reporting Owners

Reporting Owner Name / Address		Relationships						
Fg				Officer	Other			
USTIAN DANIEL C NAVISTAR INTERNATION 4201 WINFIELD ROAD WARRENVILLE, IL 60555	AL CORPORATION	X		Chairman, President and CEO				
Signatures								
Curt A. Kramer, Attorney in fact	02/03/2011							
**Signature of Reporting Person	Date							

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Premium Share Units were acquired under Navistar's Executive Stock Ownership Program. Each Premium Share Unit represents one share of Navistar Common Stock.
- (2) The Option became exercisable as to 2,402 shares on 4/16/2003; as to 2,401 shares on 4/16/2004; and as to 2,401 shares on 4/16/2005.
- (3) The Option became exercisable as to 1 share on 12/10/2004 and as to 2,872 shares on 12/10/2005.
- (4) The Option became exercisable as to 22,322 shares on 12/10/2003; as to 36,299 shares on 12/10/2004; and as to 33,428 shares on 12/10/2005.

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