

Tarapchak Richard C  
 Form 4  
 January 06, 2011

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Tarapchak Richard C

2. Issuer Name and Ticker or Trading Symbol  
 NAVISTAR INTERNATIONAL CORP [NAV]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
 4201 WINFIELD ROAD  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 01/04/2011

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 VP & Corporate Controller

WARRENVILLE, IL 60555

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|------------|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount     | Price   |  |   |
| Common Stock                    | 01/04/2011                           |  | M                              |   | 282        | \$ 42.885   | 7,009  | D   |
| Common Stock                    | 01/04/2011                           |  | F                              |   | 238        | \$ 56.665   | 6,771  | D   |
| Common Stock                    | 01/04/2011                           |  | M                              |   | 2,218      | \$ 42.885   | 8,989  | D   |
| Common Stock                    | 01/04/2011                           |  | F                              |   | 1,861      | \$ 56.665   | 7,128  | D   |
| Common Stock                    | 01/04/2011                           |  | M                              |   | 2,500      | \$ 40.915   | 9,628  | D   |

Edgar Filing: Tarapchak Richard C - Form 4

|                     |            |   |       |   |           |                    |   |                      |
|---------------------|------------|---|-------|---|-----------|--------------------|---|----------------------|
| Common Stock        | 01/04/2011 | F | 2,040 | D | \$ 56.665 | 7,588              | D |                      |
| Common Stock        | 01/04/2011 | M | 1,500 | A | \$ 26.15  | 9,088              | D |                      |
| Common Stock        | 01/04/2011 | F | 956   | D | \$ 56.665 | 8,132              | D |                      |
| Common Stock        |            |   |       |   |           | 1,333.5131         | I | Navistar 401(k) Plan |
| Premium Share Units |            |   |       |   |           | 898 <sup>(1)</sup> | D |                      |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                           | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---------------------------|---|----------------------------|
|  |  |                                      |  | Code                           | V   | (A)  | (D)                       | Title   | Amount or Number of Shares |
| Employee Stock Option (right to buy)       | \$ 42.885  | 01/04/2011                           |  | M                              |   | 282  | 12/09/2004 12/10/2013     | Common Stock  | 282                        |
| Employee Stock Option (right to buy)       | \$ 42.885  | 01/04/2011                           |  | M                              |   | 2,218  | <sup>(2)</sup> 12/09/2013 | Common Stock  | 2,218                      |
| Employee Stock Option (right to buy)       | \$ 40.915  | 01/04/2011                           |  | M                              |   | 2,500  | <sup>(3)</sup> 12/14/2014 | Common Stock  | 2,500                      |

buy)

Employee  
Stock  
Option  
(right to  
buy)

\$ 26.15

01/04/2011

M

1,500

(4)

10/18/2015

Common  
Stock

1,500

Employee  
Stock  
Option  
(right to  
buy)

\$ 56.665

01/04/2011

A

238

07/04/2011

12/10/2013

Common  
Stock

238

Employee  
Stock  
Option  
(right to  
buy)

\$ 56.665

01/04/2011

A

1,861

07/04/2011

12/09/2013

Common  
Stock

1,861

Employee  
Stock  
Option  
(right to  
buy)

\$ 56.665

01/04/2011

A

2,040

07/04/2011

12/14/2014

Common  
Stock

2,040

Employee  
Stock  
Option  
(right to  
buy)

\$ 56.665

01/04/2011

A

956

07/04/2011

10/18/2015

Common  
Stock

956

## Reporting Owners

| Reporting Owner Name / Address                                     | Relationships |           |                           |       |
|--|---------------|-----------|---------------------------|-------|
|  | Director      | 10% Owner | Officer                   | Other |
| Tarapchak Richard C<br>4201 WINFIELD ROAD<br>WARRENVILLE, IL 60555 |               |           | VP & Corporate Controller |       |

## Signatures

Curt A. Kramer                      01/06/2011

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Premium Share Units were acquired under Navistar's Executive Stock Ownership Program. Each Premium Share Unit represents one share of Navistar Common Stock.
- (2)

## Edgar Filing: Tarapchak Richard C - Form 4

The Options became exercisable in three annual installments as follows: 552 shares on 12/09/2004; 833 shares on 12/09/2005; and 833 shares on 12/09/2006.

- (3) The Options became exercisable in three annual installments as follows: 834 shares on 12/14/2005; 833 shares on 12/14/2006; and 833 shares on 12/14/2007.
- (4) The Options exercised were from an award of 2,500 stock options that became exercisable in three annual installments as follows: 834 shares on 10/18/2006; 833 shares on 10/18/2007; and 833 shares on 10/18/2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.