Edgar Filing: Cederoth Andrew J - Form 4

Cederoth An Form 4									
April 02, 20		STATES SECU	JRITIES A	ND EX(СНА	NGE C	OMMISSION	OMB AF OMB	PPROVAL
<i></i>			ashington,				011111001011	Number:	3235-0287
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP (STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP (SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 193 Section 17(a) of the Public Utility Holding Company Act of 1935 or Security 30(h) of the Investment Company Act of 1940						e Act of 1934, 1935 or Section	Estimated average burden hours per response 0.5		
(Print or Type l	Responses)								
1. Name and A Cederoth A	Address of Reporting F ndrew J	Symbo NAVI	uer Name and 1 [STAR INT] 2 [NAV]			-	5. Relationship of Issuer (Checl	Reporting Pers	
(Last) 4201 WINF	(First) (M		of Earliest Tra /Day/Year) /2010	ansaction			Director X_ Officer (give below) Execut		o Owner er (specify O
WARRENV	(Street) VILLE, IL 60555		nendment, Da Ionth/Day/Year)	-	l		6. Individual or Jo Applicable Line) _X_ Form filed by C Form filed by M Person	One Reporting Pe	rson
(City)	(State) (Zip) Ta	ble I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, i any (Month/Day/Year	Code	4. Securi n(A) or Di (Instr. 3, Amount	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/01/2010		M <u>(1)</u>	36	А	\$ 21.22	15,693	D	
Common Stock	04/01/2010		F	24	D	\$ 45.09	15,669	D	
Common Stock	04/01/2010		M <u>(1)</u>	1,067	А	\$ 21.22	16,736	D	
Common Stock	04/01/2010		F	670	D	\$ 45.09	16,066	D	
Common Stock	04/01/2010		M <u>(1)</u>	1,300	А	\$ 27.95	17,366	D	

Common Stock	04/01/2010	F	953	D	\$ 45.09	16,413	D	
Common Stock						2,007.9276 (2)	I	By Navistar 401(k) plan
Deferred Share Units						3,607	D	
Premium Share Units						1,466	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of	SEC 1474
information contained in this form are not	(9-02)
required to respond unless the form	
displays a currently valid OMB control	
number.	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secu Secu Acqu (A) c Dispo (D)	rities hired or osed of r. 3, 4,	rivative Expiration Date ities (Month/Day/Year) ired sed of 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 21.22	04/01/2010		M <u>(1)</u>		36	12/12/2001	12/13/2010	Common Stock	36
Employee Stock Option (right to buy)	\$ 21.22	04/01/2010		M <u>(1)</u>		1,067	12/12/2003	12/12/2010	Common Stock	1,067
Employee Stock Option (right to buy)	\$ 27.95	04/01/2010		M <u>(1)</u>		1,300	<u>(3)</u>	07/02/2011	Common Stock	1,300

Reporting Owners

Reporting Owner Name / Address	s Relationships							
	Director	10% Owner	Officer	Other				
Cederoth Andrew J 4201 WINFIELD ROAD WARRENVILLE, IL 60555			Executive VP and CFO					
Signatures								
Curt A. Kramer, Attorney								
in fact	04/	02/2010						
**Signature of Reporting Person		Date						
Explanation of Responses:								

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a Net Exercise transaction that occurred pursuant to a Rule 10b5-1 trading plan established by the reporting person on March 15, 2010.
- (2) The reporting person acquired 0.1398 shares on February 4, 2010, as part of an allocation of proceeds received from settlement of a lawsuit. The allocation of proceeds was made to all Navistar 401(k) plan participants.
- (3) The Option became exercisable in three annual installments as follows: 434 shares on 7/1/2002; 433 shares on 7/1/2003; and 433 shares on 7/1/2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.