OSHKOSH CORP

Form 4

November 18, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

Number:

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if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Johnson James W. | | | 2. Issuer Name and Ticker or Trading Symbol OSHKOSH CORP [OSK] | 5. Relationship of Reporting Person(s) to Issuer | | |
|--|----------|----------|---|---|--|--|
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | (Check all applicable) | | |
| C/O OSHKOSH CORPORATION, 2307 OREGON STREET | | | (Month/Day/Year) 11/15/2013 | Director 10% Owner Section Officer (give title Other (specification) below) Exec. VP & Pres., Fire & Emerg | | |
| | (Street) | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | |
| | | | Filed(Month/Day/Year) | Applicable Line) | | |

OSHKOSH, WI 54902

X Form filed by One Reporting Person Form filed by More than One Reporting

| (City) | (State) | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | |
|--------------------------------------|---|--|--|--------|------------------|--------------------|--|---|---|--|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. 4. Securities Transaction(A) or Disp Code (Instr. 3, 4 (Instr. 8) | | | of (D) | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | Code V | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | (Instr. 4) | | |
| Common Stock | 11/15/2013 | | M | 5,000 | A | \$ 32.1 | 24,696.46 | D | | |
| Common Stock | 11/15/2013 | | S | 5,000 | D | \$ 50.8 (1) | 19,696.46 | D | | |
| Common Stock | 11/15/2013 | | M | 20,000 | A | \$ 28.73 | 39,696.46 | D | | |
| Common Stock | 11/15/2013 | | S | 20,000 | D | \$ 50.56 (2) | 19,700.73 (3) | D | | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | TransactionDerivative Code Securities | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|---|---------------------------------------|---------------------|--|-----------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Options (4) | \$ 32.1 | 11/15/2013 | | M | 5,000 | (5) | 09/14/2016 | Common Stock | 5,000 | |
| Options (4) | \$ 28.73 | 11/15/2013 | | M | 20,000 | <u>(6)</u> | 09/20/2017 | Common Stock | 20,000 | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Johnson James W. C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902

Exec. VP & Pres., Fire & Emerg

Signatures

Bryan J. Blankfield, for James W. Johnson

11/18/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents weighted average price. Individual sales by lot ranged from \$50.75 to \$50.84. The Company will provide, upon request by the SEC staff, the full information regarding the number of shares purchased or sold at each separate price.
- (2) Represents weighted average price. Individual sales by lot ranged from \$50.46 to \$50.85. The Company will provide, upon request by the SEC staff, the full information regarding the number of shares purchased or sold at each separate price.
- (3) Amount includes a total of 396.73 shares acquired under the Oshkosh Corporation Employee Stock Purchase Plan through 11/18/2013. Between 10/18/2013 and 11/18/2013, the reporting person acquired 4.27 shares under the Oshkosh Corporation Employee Stock Purchase

Reporting Owners 2

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Plan.

- (4) Option (right to buy) granted pursuant to the Company's Stock Plan.
- (5) Options vest in one-third (1/3) annual increments commencing on 09/14/2010.
- (6) Options vest in one-third (1/3) annual increments commencing on 09/20/2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.