

Edgar Filing: ASHLAND INC - Form 4

ASHLAND INC  
 Form 4  
 July 05, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 Gomory, Ralph E.

Alfred P. Sloan Foundation  
 630 Fifth Ave., Suite 2550  
 New York, New York 10111-0242

2. Issuer Name and Ticker or Trading Symbol  
 Ashland Inc.  
 ASH

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year  
 June 30, 2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 (X) Director ( ) 10% Owner ( ) Officer (give title below) ( ) Other  
 (specify below)

7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) Amount | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------|--|--|
| Common Stock         | 6-29-01             | J (1)   | 411  | A \$40.10 24,875   |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) Amount | 6. Date Exercisable and Expiration Date | 7. Title and Amount of Underlying Securities | 8. Put or Call |
|---------------------------------|--|---------------------|---------|---|---|--|----------------|
|---------------------------------|--|---------------------|---------|---|---|--|----------------|

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|            |        |  |  |  |  |       |       |              |       |  |
|------------|--------|--|--|--|--|-------|-------|--------------|-------|--|
| Option (2) | 37.50  |  |  |  |  | 7-28- | 1-28- | Common Stock | 1,000 |  |
|            |        |  |  |  |  | 94    | 04    |              |       |  |
| Option (2) | 33.00  |  |  |  |  | 7-27- | 1-27- | Common Stock | 1,000 |  |
|            |        |  |  |  |  | 95    | 05    |              |       |  |
| Option (2) | 43.125 |  |  |  |  | 7-30- | 1-30- | Common Stock | 1,000 |  |
|            |        |  |  |  |  | 97    | 07    |              |       |  |
| Option (2) | 52.75  |  |  |  |  | 7-29- | 1-29- | Common Stock | 1,000 |  |
|            |        |  |  |  |  | 98    | 08    |              |       |  |
|            |        |  |  |  |  |       |       |              |       |  |

Explanation of Responses:

1. Payment of retainer, meeting and committee fees in Ashland stock.

2. Stock options (represents a right to buy Ashland Common Stock) granted pursuant to Ashland Inc.'s Deferred Compensation Plan for Non-Employee Directors.

SIGNATURE OF REPORTING PERSON

Amelia A. McCarty - Attorney-in-fact