

ROBO JAMES L
Form 4
December 07, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ROBO JAMES L

2. Issuer Name and Ticker or Trading Symbol
NEXTERA ENERGY INC [NEE]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
12/06/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

Chairman, President & CEO / Director of Subsidiary

C/O NEXTERA ENERGY, INC., 700 UNIVERSE BLVD.

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

JUNO BEACH, FL 33408

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	12/06/2018		S ⁽¹⁾	5,851 D	\$ 179.299 (2)	237,488 (3)	D
Common Stock	12/06/2018		S ⁽¹⁾	4,676 D	\$ 180.172 (4)	232,812 (3)	D
Common Stock	12/06/2018		S ⁽¹⁾	2,685 D	\$ 181.353 (5)	230,127 (3)	D
	12/06/2018		S ⁽¹⁾	3,138 D		226,989 (3)	D

Edgar Filing: ROBO JAMES L - Form 4

Common Stock						\$				
						182.225				
						<u>(6)</u>				
Common Stock	12/06/2018		S ⁽¹⁾	1,650	D	\$	182.918	225,339 ⁽³⁾	D	
						<u>(7)</u>				
Common Stock	12/06/2018		G ⁽⁹⁾	V 700	D	\$ 0	6,664	I		By Spouse
Common Stock	12/06/2018		G ⁽⁹⁾	V 700	A	\$ 0	31,292	I		2018 Spouse's Gifting Trust ⁽¹⁰⁾
Common Stock							76,431	I		James L. Robo Gifting Trust
Common Stock							73,550	I		Spouse's Gifting Trust
Common Stock							72,049 ⁽⁸⁾	I		By Rabbi Trust
Common Stock							4,462	I		By Retirement Savings Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 6)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROBO JAMES L C/O NEXTERA ENERGY, INC. 700 UNIVERSE BLVD. JUNO BEACH, FL 33408	X		Chairman, President & CEO	Director of Subsidiary

Signatures

W. Scott Seeley
(Attorney-in-Fact) 12/07/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sales effected pursuant to Rule 10b5-1 trading plan adopted by the reporting person on July 28, 2017.
Weighted average sale price. Reporting person sold 5,851 shares through a trade order executed by a broker-dealer at prices ranging from \$178.72 to \$179.68 per share. The reporting person hereby undertakes to provide full information regarding the number of shares sold at each separate price upon request by the U.S. Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer.
- (2) Includes a total of 46,531 shares deferred pursuant to the terms of a deferred stock grant under Issuer's Amended and Restated 2011 Long Term Incentive Plan (the "Deferred Shares Grant"). Under the terms of the Deferred Shares Grant, shares are distributable in stock at the end of the deferral period.
- (3) Weighted average sale price. Reporting person sold 4,676 shares through a trade order executed by a broker-dealer at prices ranging from \$179.73 to \$180.73 per share. The reporting person hereby undertakes to provide full information regarding the number of shares sold at each separate price upon request by the U.S. Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer.
- (4) Weighted average sale price. Reporting person sold 2,685 shares through a trade order executed by a broker-dealer at prices ranging from \$180.74 to \$181.74 per share. The reporting person hereby undertakes to provide full information regarding the number of shares sold at each separate price upon request by the U.S. Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer.
- (5) Weighted average sale price. Reporting person sold 3,138 shares through a trade order executed by a broker-dealer at prices ranging from \$181.76 to \$182.71 per share. The reporting person hereby undertakes to provide full information regarding the number of shares sold at each separate price upon request by the U.S. Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer.
- (6) Weighted average sale price. Reporting person sold 1,650 shares through a trade order executed by a broker-dealer at prices ranging from \$182.82 to \$183.01 per share. The reporting person hereby undertakes to provide full information regarding the number of shares sold at each separate price upon request by the U.S. Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer.
- (7) Deferred shares held by Trustee of grantor trust in which reporting person has a pecuniary interest only. Includes an aggregate of 495 shares acquired by the Trustee pursuant to a dividend reinvestment feature of the deferred shares grant since the last report filed by the reporting person.
- (8) Transfer of shares by the reporting person's spouse to the spouse's 2018 Gifting Trust, the trustee of which is the reporting person, and the beneficiaries of which are the reporting person's spouse's descendants.
- (9)

Edgar Filing: ROBO JAMES L - Form 4

(10) Trustee is reporting person and beneficiaries are reporting person's spouse's descendants.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.