WISEMAN ERIC C Form 4

October 03, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

(City)

(Instr. 3)

1. Name and Address of Reporting Person \* WISEMAN ERIC C

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

LOWES COMPANIES INC [LOW]

3. Date of Earliest Transaction

(Month/Day/Year) 09/30/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Officer (give title Other (specify below) 6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

X Director

MOORESVILLE, NC 28117

1000 LOWE'S BOULEVARD

1.Title of 2. Transaction Date 2A. Deemed Security

(Month/Day/Year) Execution Date, if

(State)

(Middle)

(Zip)

(Month/Day/Year)

4. Securities TransactionAcquired (A) or Code (Instr. 8)

Code V Amount (D) Price

Disposed of (D) (Instr. 3, 4 and 5) (A)

or

5. Amount of Securities Beneficially Owned Following Reported Transaction(s) 6. Ownership Form: Direct (Instr. 4)

Indirect (D) or Indirect Beneficial Ownership (Instr. 4)

7. Nature of

10% Owner

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                    | 5. Number of    | 6. Date Exercisable and | 7. Title and Amount of |  |
|-------------|-------------|---------------------|--------------------|-----------------------|-----------------|-------------------------|------------------------|--|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionDerivative |                 | Expiration Date         | Underlying Securities  |  |
| Security    | or Exercise |                     | any                | Code                  | Securities      | (Month/Day/Year)        | (Instr. 3 and 4)       |  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)            | Acquired (A) or |                         |                        |  |

8  $\Gamma$ S (]

#### Edgar Filing: WISEMAN ERIC C - Form 4

|                   | Derivative Disposed of (D) Security (Instr. 3, 4, and 5) |            |      |   |         |     |                     |                    |                 |                                  |
|-------------------|--|------------|------|---|---------|-----|---------------------|--------------------|-----------------|----------------------------------|
|                   |  |            | Code | V | (A)     | (D) | Date<br>Exercisable | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares |
| Phantom Stock (1) | <u>(2)</u>   | 09/30/2017 | A    |   | 344.008 |     | (2)                 | (2)                | Common<br>Stock | 344.008                          |

# **Reporting Owners**

| Reporting Owner Name / Address                                   | Relationships |           |         |       |  |  |
|--|---------------|-----------|---------|-------|--|--|
|  | Director      | 10% Owner | Officer | Other |  |  |
| WISEMAN ERIC C<br>1000 LOWE'S BOULEVARD<br>MOORESVILLE, NC 28117 | X             |           |         |       |  |  |

### **Signatures**

By: /s/ Sandra Felton by power of attorney For: Eric C.
Wiseman

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the credit of deferred compensation to the Reporting Person's deferred stock account under the Issuer's Directors' Deferred Compensation Plan.
- (2) Each share of phantom stock is the economic equivalent of one share of common stock. The Reporting Person becomes entitled to the cash value of the phantom stock upon ceasing to be a director of the Issuer.
- (3) Includes the credit of dividends to the Reporting Person's deferred stock account under the Issuer's Directors' Deferred Compensation Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2