

Lucot Joseph R  
Form 3  
January 05, 2007

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Lucot Joseph R		(Month/Day/Year)	ALCOA INC [AA]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
		01/01/2007		
201 ISABELLA STREET			(Check all applicable)	
(Street)			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below)    (specify below) VP - Corporate Controller	
PITTSBURGH,Â PAÂ 15212			6. Individual or Joint/Group Filing(Check Applicable Line)	
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	36,116.6234	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable    Expiration Date	Title    Amount or Number of			

Edgar Filing: Lucot Joseph R - Form 3

				Shares		(I) (Instr. 5)	
Employee Stock Option (right to buy)	01/11/2003	01/11/2012	Common Stock	24,000	\$ 36.035	D	Â
Employee Stock Option (right to buy)	10/27/2001	01/13/2009	Common Stock	20,020	\$ 41.82	D	Â
Employee Stock Option (right to buy)	11/17/2001	01/14/2010	Common Stock	28,558	\$ 45	D	Â
Employee Stock Option (right to buy)	01/17/2002	01/13/2008	Common Stock	18,050	\$ 39.4008	D	Â
Employee Stock Option (right to buy)	07/23/2002	01/12/2011	Common Stock	6,238	\$ 34.975	D	Â
Employee Stock Option (right to buy)	06/17/2004	01/12/2011	Common Stock	5,472	\$ 35.265	D	Â
Employee Stock Option (right to buy)	06/30/2004	01/12/2011	Common Stock	11,316	\$ 38.425	D	Â
Employee Stock Option (right to buy)	01/10/2004	01/10/2013	Common Stock	21,066	\$ 22.555	D	Â
Employee Stock Option (right to buy)	01/15/2005	01/15/2010	Common Stock	23,000	\$ 35.655	D	Â
Employee Stock Option (right to buy)	01/13/2006	01/13/2011	Common Stock	30,000	\$ 29.54	D	Â
Phantom Stock Units	Â <sup>(1)</sup>	Â <sup>(1)</sup>	Common Stock	164	\$ <sup>(2)</sup>	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Lucot Joseph R 201 ISABELLA STREET PITTSBURGH, PA 15212	Â	Â	Â VP - Corporate Controller	Â

## Signatures

Brenda Hart (Assistant Secretary), by power of attorney 01/05/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The phantom stock units were acquired under the Alcoa Deferred Compensation Plan and are to be paid out in cash upon termination of employment with Alcoa.

## Edgar Filing: Lucot Joseph R - Form 3

(2) 1 for 1

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.