

PEARSON PLC  
Form 6-K  
May 03, 2019

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 6-K

REPORT OF FOREIGN PRIVATE ISSUER  
PURSUANT TO RULE 13a-16 OR 15d-16 UNDER  
THE SECURITIES EXCHANGE ACT OF 1934

For the month of May 2019

PEARSON plc  
(Exact name of registrant as specified in its charter)

N/A

(Translation of registrant's name into English)

80 Strand  
London, England WC2R 0RL  
44-20-7010-2000  
(Address of principal executive office)

Indicate by check mark whether the Registrant files or will file annual reports  
under cover of Form 20-F or Form 40-F:

Form 20-F  X

Form 40-F

Indicate by check mark whether the Registrant by furnishing the information  
contained in this Form is also thereby furnishing the information to the  
Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934

Yes

No  X

Edgar Filing: PEARSON PLC - Form 6-K

TR-1: Standard form for notification of major holdings

NOTIFICATION OF MAJOR HOLDINGS (to be sent to the relevant issuer and to the FCA in Microsoft Word format if possible)i

1a. Identity of the issuer or the underlying issuer of existing shares to which voting rights are attachedii: PEARSON PLC

1b. Please indicate if the issuer is a non-UK issuer (please mark with an "X" if appropriate)  
Non-UK issuer

2. Reason for the notification (please mark the appropriate box or boxes with an "X")

An acquisition or disposal of voting rights X

An acquisition or disposal of financial instruments X

An event changing the breakdown of voting rights

Other (please specify)iii

3. Details of person subject to the notification obligationiv

Name The Goldman Sachs Group, Inc.  
City and country of registered office (if applicable) Corporation Trust Center, 1209 Orange Street, Wilmington DE 19801, USA

4. Full name of shareholder(s) (if different from 3.)v

Name Goldman Sachs International  
City and country of registered office (if applicable) Peterborough Court, 133 Fleet Street, London EC4A 2BB, UK

5. Date on which the threshold was crossed or reachedvi: 30/04/2019

6. Date on which issuer notified (DD/MM/YYYY): 02/05/2019

7. Total positions of person(s) subject to the notification obligation

	% of voting rights attached to shares (total of 8. A)	% of voting rights through financial instruments of 8.B 1 + 8.B 2	Total of both in % (8.A + 8.B)	Total number of voting rights of issuervii
Resulting situation on the date on which threshold was crossed or reached	0.48%	6.57%	7.06%	781,417,12
Position of previous notification (if applicable)	0.51%	6.45%	6.95%	

8. Notified details of the resulting situation on the date on which the threshold was crossed or reachedviii

A: Voting rights attached to shares

Class/type of shares ISIN code (if possible)	Number of voting rightsix		% of voting rights	
	Direct (Art 9 of Directive 2004/109/EC) (DTR5.1)	Indirect (Art 10 of Directive 2004/109/EC) (DTR5.2.1)	Direct (Art 9 of Directive 2004/109/EC) (DTR5.1)	Indirect (Art 10 of Directive 2004/109/EC) (DTR5.2.1)
GB0006776081		3,456,434		0.44%
US7050151056		333,185		0.04%
SUBTOTAL 8. A	3,789,619		0.48%	

Edgar Filing: PEARSON PLC - Form 6-K

B 1: Financial Instruments according to Art. 13(1)(a) of Directive 2004/109/EC (DTR5.3.1.1 (a))

Type of financial instrument	Expiration date	Exercise/Conversion Period	Number of voting rights that may be acquired if the instrument is exercised/converted.	% of voting rights
Securities Lending	Open		9,036,200	1.16%
SUBTOTAL 8. B			19,036,200	1.16%

B 2: Financial Instruments with similar economic effect according to Art. 13(1)(b) of Directive 2004/109/EC (DTR5.3.1.1 (b))

Type of financial instrument	Expiration date	Exercise/Conversion Period	Physical or cash settlement	Number of voting rights	% of voting rights
Refer to Annexure					
SUBTOTAL 8.B.2				42,326,210	5.42%

9. Information in relation to the person subject to the notification obligation (please mark the applicable box with an "X")

Person subject to the notification obligation is not controlled by any natural person or legal entity and does not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer<sup>xiii</sup>  
 Full chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held starting with the ultimate controlling natural person or legal entity<sup>xiv</sup> (please add additional X rows as necessary)

Name <sup>xv</sup>	% of voting rights if it equals or is higher than the notifiable threshold	% of voting rights through financial instruments if it equals or is higher than the notifiable threshold	Total of both if it equals or is higher than the notifiable threshold
The Goldman Sachs Group, Inc. Goldman Sachs (UK) L.L.C. Goldman Sachs Group UK Limited Goldman Sachs International		5.45%	5.45%
The Goldman Sachs Group, Inc. Goldman Sachs & Co. LLC			
The Goldman Sachs Group, Inc. GSAM Holdings LLC Goldman Sachs Asset Management, L.P. Goldman Sachs Asset Management International Holdings L.L.C.			

Goldman Sachs Asset Management  
Co., Ltd.

The Goldman Sachs Group, Inc.  
GSAM Holdings LLC  
Goldman Sachs Asset Management,  
L.P.

10. In case of proxy voting, please identify:

Name of the proxy holder	N/A
The number and % of voting rights held	N/A
The date until which the voting rights will be held	N/A

11. Additional information<sup>xvi</sup>

Please note, total amount of voting rights have been rounded to 2 decimal places therefore there is a possibility of a rounding error.

General email contact:

gs-regops-emea-position-enquiries@gs.com

Place of completion London

Date of completion 02/05/2019

Natalie White

Deputy Company Secretary

Pearson plc

3 May 2019

#### Annexure

B 2: Financial Instruments with similar economic effect according to Art. 13(1)(b) of Directive 2004/109/EC

(DTR5.3.1.1 (b))

Type of financial instrument	Expiration date <sup>x</sup>	Exercise/Conversion period <sup>xi</sup>	Physical or cash settlement <sup>xii</sup>	Number of voting rights	% of voting rights
CFD	10/12/2025		Cash	2,650,131	0.34%
CFD	15/09/2028		Cash	2,366,489	0.30%
CFD	20/04/2029		Cash	1,977,494	0.25%
CFD	20/10/2027		Cash	1,846,611	0.24%
CFD	23/09/2020		Cash	1,834,093	0.23%
CFD	23/02/2024		Cash	1,800,000	0.23%
CFD	04/12/2023		Cash	1,577,609	0.20%
CFD	21/02/2024		Cash	1,300,000	0.17%
CFD	07/02/2024		Cash	1,240,000	0.16%
CFD	21/02/2024		Cash	1,100,000	0.14%
CFD	14/02/2024		Cash	970,000	0.12%
CFD	12/02/2024		Cash	865,494	0.11%
CFD	26/01/2024		Cash	865,000	0.11%
CFD	26/02/2024		Cash	860,000	0.11%

## Edgar Filing: PEARSON PLC - Form 6-K

Swap	28/07/2021	Cash	851,294 0.11%
CFD	22/02/2024	Cash	800,000 0.10%
Swap	28/07/2021	Cash	757,148 0.10%
CFD	28/03/2029	Cash	703,839 0.09%
CFD	20/04/2029	Cash	685,506 0.09%
CFD	29/01/2024	Cash	663,999 0.08%
CFD	12/07/2023	Cash	501,202 0.06%
CFD	06/12/2028	Cash	440,459 0.06%
CFD	28/03/2029	Cash	438,911 0.06%
CFD	14/02/2024	Cash	419,554 0.05%
CFD	09/02/2024	Cash	406,520 0.05%
CFD	20/10/2027	Cash	378,633 0.05%
CFD	20/10/2027	Cash	369,444 0.05%
CFD	04/12/2023	Cash	338,923 0.04%
CFD	15/07/2021	Cash	328,703 0.04%
CFD	16/06/2028	Cash	328,703 0.04%
CFD	09/09/2021	Cash	307,099 0.04%
Swap	30/11/2023	Cash	300,000 0.04%
Swap	05/05/2022	Cash	295,638 0.04%
CFD	19/02/2024	Cash	265,000 0.03%
CFD	24/03/2028	Cash	259,000 0.03%
Swap	03/02/2023	Cash	250,801 0.03%
Swap	29/11/2023	Cash	250,000 0.03%
CFD	04/12/2023	Cash	240,381 0.03%
CFD	29/02/2024	Cash	235,463 0.03%
Swap	29/11/2023	Cash	225,000 0.03%
Swap	18/01/2024	Cash	222,460 0.03%
CFD	27/09/2028	Cash	217,594 0.03%
CFD	21/02/2024	Cash	215,238 0.03%
CFD	17/07/2020	Cash	211,406 0.03%
CFD	09/03/2029	Cash	208,313 0.03%
CFD	28/03/2029	Cash	204,908 0.03%
CFD	23/08/2028	Cash	204,000 0.03%
Swap	28/07/2021	Cash	203,317 0.03%
Swap	02/02/2023	Cash	198,606 0.03%
CFD	19/05/2027	Cash	194,011 0.02%
Swap	18/01/2024	Cash	183,004 0.02%
Swap	28/07/2021	Cash	170,231 0.02%
CFD	21/02/2024	Cash	170,178 0.02%
CFD	18/01/2029	Cash	170,000 0.02%
Swap	01/02/2024	Cash	154,031 0.02%
CFD	22/02/2021	Cash	148,098 0.02%
CFD	30/04/2024	Cash	145,738 0.02%
CFD	16/02/2024	Cash	140,912 0.02%
CFD	31/01/2024	Cash	136,001 0.02%
CFD	22/11/2028	Cash	134,667 0.02%
CFD	02/05/2029	Cash	133,665 0.02%
CFD	17/01/2029	Cash	132,328 0.02%
Swap	28/07/2021	Cash	131,070 0.02%
CFD	12/04/2029	Cash	128,954 0.02%
CFD	04/12/2023	Cash	121,389 0.02%

## Edgar Filing: PEARSON PLC - Form 6-K

CFD	28/03/2029	Cash	121,055 0.02%
Swap	17/09/2020	Cash	119,364 0.02%
Swap	03/02/2023	Cash	117,797 0.02%
CFD	25/02/2027	Cash	115,000 0.01%
Swap	22/04/2020	Cash	113,300 0.01%
CFD	28/03/2029	Cash	111,844 0.01%
CFD	19/01/2029	Cash	110,000 0.01%
Swap	18/01/2024	Cash	106,899 0.01%
Swap	18/01/2024	Cash	101,869 0.01%
Swap	13/05/2020	Cash	100,100 0.01%
CFD	18/01/2029	Cash	100,000 0.01%
Swap	16/04/2024	Cash	100,000 0.01%
CFD	12/06/2028	Cash	98,591 0.01%
CFD	11/08/2023	Cash	95,216 0.01%
Swap	02/02/2023	Cash	93,281 0.01%
Swap	29/11/2023	Cash	91,988 0.01%
Swap	14/06/2023	Cash	86,565 0.01%
CFD	08/02/2024	Cash	86,367 0.01%
CFD	09/09/2021	Cash	85,463 0.01%
Swap	12/07/2023	Cash	84,590 0.01%
Swap	21/05/2019	Cash	83,952 0.01%
CFD	21/02/2024	Cash	82,784 0.01%
CFD	18/01/2029	Cash	80,000 0.01%
CFD	26/02/2024	Cash	75,108 0.01%
CFD	21/02/2024	Cash	75,051 0.01%
CFD	18/01/2029	Cash	75,000 0.01%
Swap	27/04/2022	Cash	73,357 0.01%
Swap	07/07/2023	Cash	73,094 0.01%
CFD	17/07/2028	Cash	71,500 0.01%
CFD	02/05/2029	Cash	68,782 0.01%
Swap	03/02/2023	Cash	68,758 0.01%
Swap	29/11/2023	Cash	65,026 0.01%
CFD	04/12/2023	Cash	64,106 0.01%
CFD	28/02/2024	Cash	63,641 0.01%
Swap	18/01/2024	Cash	62,356 0.01%
CFD	16/02/2024	Cash	60,750 0.01%
CFD	19/01/2029	Cash	60,000 0.01%
Swap	18/01/2024	Cash	59,338 0.01%
CFD	05/11/2027	Cash	59,199 0.01%
Swap	15/06/2023	Cash	57,709 0.01%
Call Option	04/06/2019	Cash	57,566 0.01%
Swap	05/09/2019	Cash	55,700 0.01%
CFD	28/03/2029	Cash	54,546 0.01%
Swap	02/02/2023	Cash	54,448 0.01%
Swap	05/09/2019	Cash	53,400 0.01%
CFD	21/02/2024	Cash	52,715 0.01%
Call Option	02/07/2019	Cash	51,400 0.01%
CFD	04/12/2023	Cash	50,133 0.01%
CFD	19/01/2029	Cash	50,000 0.01%
Swap	16/04/2024	Cash	50,000 0.01%
CFD	01/03/2028	Cash	48,879 0.01%

## Edgar Filing: PEARSON PLC - Form 6-K

CFD	02/05/2029	Cash	46,335 0.01%
CFD	16/04/2024	Cash	45,872 0.01%
CFD	25/01/2029	Cash	44,400 0.01%
Swap	01/02/2023	Cash	43,214 0.01%
CFD	25/01/2029	Cash	42,864 0.01%
Swap	14/06/2023	Cash	40,052 0.01%
CFD	26/04/2029	Cash	39,152 0.01%
Swap	08/04/2020	Cash	38,800 0.005%
Swap	12/07/2023	Cash	37,897 0.005%
CFD	02/05/2029	Cash	37,177 0.005%
CFD	17/11/2028	Cash	36,622 0.005%
Call Option	07/06/2019	Cash	35,504 0.005%
CFD	25/04/2029	Cash	34,630 0.004%
CFD	21/07/2028	Cash	34,000 0.004%
CFD	22/10/2020	Cash	33,746 0.004%
CFD	24/10/2019	Cash	33,191 0.004%
Swap	07/07/2023	Cash	32,746 0.004%
CFD	22/02/2024	Cash	32,650 0.004%
Swap	27/04/2022	Cash	31,871 0.004%
CFD	30/04/2024	Cash	30,538 0.004%
Swap	12/06/2023	Cash	30,225 0.004%
CFD	25/04/2029	Cash	29,899 0.004%
CFD	24/10/2019	Cash	29,469 0.004%
CFD	17/07/2023	Cash	28,400 0.004%
Swap	15/06/2023	Cash	26,702 0.003%
CFD	28/02/2024	Cash	25,929 0.003%
CFD	06/07/2023	Cash	25,488 0.003%
CFD	21/02/2024	Cash	24,949 0.003%
CFD	26/02/2024	Cash	24,892 0.003%
CFD	18/09/2019	Cash	24,830 0.003%
CFD	24/10/2019	Cash	24,541 0.003%
Swap	14/06/2023	Cash	23,383 0.003%
CFD	14/07/2023	Cash	22,500 0.003%
CFD	04/12/2023	Cash	22,200 0.003%
Swap	12/07/2023	Cash	22,173 0.003%
Swap	01/02/2023	Cash	20,297 0.003%
CFD	17/01/2029	Cash	20,250 0.003%
CFD	16/02/2024	Cash	20,250 0.003%
CFD	24/10/2019	Cash	19,385 0.002%
Swap	07/07/2023	Cash	19,160 0.002%
Swap	12/05/2022	Cash	17,809 0.002%
CFD	23/11/2028	Cash	17,711 0.002%
CFD	21/02/2024	Cash	17,285 0.002%
Swap	17/09/2020	Cash	16,474 0.002%
Swap	29/04/2020	Cash	16,200 0.002%
Swap	28/05/2020	Cash	15,800 0.002%
Swap	04/02/2022	Cash	15,710 0.002%
Swap	15/06/2023	Cash	15,589 0.002%
CFD	11/11/2020	Cash	15,090 0.002%
CFD	15/02/2024	Cash	14,534 0.002%
Swap	31/12/2019	Cash	14,300 0.002%

## Edgar Filing: PEARSON PLC - Form 6-K

CFD	16/04/2024	Cash		14,128	0.002%
Swap	12/06/2023	Cash		13,985	0.002%
Swap	04/02/2022	Cash		13,826	0.002%
Swap	27/04/2022	Cash		13,817	0.002%
CFD	28/03/2024	Cash		13,800	0.002%
Swap	04/02/2022	Cash		13,507	0.002%
Swap	03/11/2021	Cash		12,609	0.002%
Swap	01/02/2023	Cash		11,847	0.002%
Swap	18/03/2020	Cash		11,600	0.001%
Swap	22/05/2020	Cash		11,592	0.001%
Swap	31/12/2019	Cash		10,900	0.001%
CFD	28/10/2020	Cash		10,812	0.001%
Swap	05/05/2022	Cash		10,762	0.001%
Swap	06/03/2020	Cash		10,500	0.001%
CFD	30/04/2024	Cash	9,462		0.001%
CFD	24/02/2021	Cash	8,734		0.001%
Swap	12/06/2023	Cash	8,165		0.001%
Call Option	29/05/2019	Cash	7,957		0.001%
Swap	27/04/2022	Cash	6,975		0.001%
CFD	28/02/2029	Cash	6,100		0.001%
Swap	27/05/2022	Cash	6,097		0.001%
Swap	16/04/2020	Cash	5,700		0.001%
CFD	14/01/2021	Cash	5,658		0.001%
Swap	05/05/2022	Cash	5,267		0.001%
CFD	20/04/2029	Cash	5,196		0.001%
CFD	15/12/2027	Cash	5,000		0.001%
CFD	08/03/2028	Cash	5,000		0.001%
CFD	12/05/2028	Cash	5,000		0.001%
CFD	04/05/2022	Cash	5,000		0.001%
Call Option	28/05/2019	Cash	4,962		0.001%
Swap	22/05/2020	Cash	4,774		0.001%
CFD	23/03/2029	Cash	4,400		0.001%
CFD	04/02/2022	Cash			0.001%



## Edgar Filing: PEARSON PLC - Form 6-K

			4,300	
CFD	27/02/2023	Cash	3,795	0.0005%
Swap	05/05/2022	Cash	3,700	0.0005%
Swap	03/11/2021	Cash	3,634	0.0005%
CFD	01/02/2029	Cash	3,500	0.0004%
CFD	18/04/2029	Cash	3,500	0.0004%
Call Option	20/06/2019	Cash	2,963	0.0004%
Swap	05/05/2022	Cash	2,928	0.0004%
CFD	24/03/2022	Cash	2,869	0.0004%
Swap	22/05/2020	Cash	2,857	0.0004%
Swap	03/11/2021	Cash	2,556	0.0003%
Swap	04/02/2022	Cash	2,470	0.0003%
CFD	28/10/2020	Cash	2,350	0.0003%
CFD	28/10/2020	Cash	2,350	0.0003%
Swap	03/11/2021	Cash	2,214	0.0003%
CFD	27/02/2023	Cash	2,209	0.0003%
CFD	28/02/2024	Cash	2,071	0.0003%
CFD	25/04/2029	Cash	1,848	0.0002%
Swap	22/05/2020	Cash	1,768	0.0002%
Call Option	20/06/2019	Cash	996	0.0001%
CFD	20/04/2029	Cash	607	0.0001%
CFD	25/04/2029	Cash	557	0.0001%
Swap	22/05/2020	Cash	461	0.0001%
Swap	22/05/2020	Cash	419	0.0001%
Swap	22/05/2020	Cash	329	0.00004%
Swap	22/05/2020	Cash		0.00004%

Edgar Filing: PEARSON PLC - Form 6-K

			323	
Swap	22/05/2020	Cash	323	0.00004%
CFD	20/04/2029	Cash	295	0.00004%
Call Option	08/05/2019	Cash	201	0.00003%
Call Option	07/05/2019	Cash	128	0.00002%
Call Option	07/05/2019	Cash	24	0.000003%

Total Number of voting rights and percentage of voting rights 42,326,210 5.42%

Additional information: Please note, total amount of voting rights have been rounded to 2 decimal places therefore there is a possibility of a rounding error.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

PEARSON plc

Date: 03 May 2019

By: /s/ NATALIE WHITE

-----  
Natalie White  
Deputy Company Secretary