

BIO RAD LABORATORIES INC  
 Form 4  
 November 08, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HERTIA JOHN**

(Last) (First) (Middle)

C/O BIO-RAD LABORATORIES,  
 INC., 1000 ALFRED NOBEL  
 DRIVE

(Street)

HERCULES, CA 94547

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

**BIO RAD LABORATORIES INC  
 [BIO, BIOB]**

3. Date of Earliest Transaction  
 (Month/Day/Year)

11/04/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director  
 Officer (give title below)  
 \_\_\_\_ 10% Owner  
 \_\_\_\_ Other (specify below)  
 Executive Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 \_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |  |
| Bio-Rad A Common Stock          | 11/04/2016                           |  | M                              | 600 <sup>(1)</sup>  | A \$ 0  | 10,857   | D  |
| Bio-Rad A Common Stock          | 11/07/2016                           |  | S                              | 227 <sup>(2)</sup>  | D \$ 165.62   | 10,630   | D  |
| Bio-Rad A Common Stock          |                                      |  |                                |   |   | 252  | I By Spouse                                |



## Edgar Filing: BIO RAD LABORATORIES INC - Form 4

- (3) Each restricted stock unit represents a contingent right to receive one share of Bio-Rad Class A common stock.
- (4) The restricted stock units vest in five equal annual installments beginning November 4, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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