

VAN CAMP PETER

Form 4

February 20, 2019

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
VAN CAMP PETER

(Last) (First) (Middle)

ONE LAGOON DRIVE, 4TH
FLOOR

(Street)

REDWOOD CITY, CA 94065

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
EQUINIX INC [EQIX]

3. Date of Earliest Transaction
(Month/Day/Year)
02/15/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify
below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	02/15/2019		M		601	A	\$ 0	8,024	D
Common Stock	02/15/2019		M		576	A	\$ 0	8,600	D
Common Stock	02/19/2019		S ⁽¹⁾		30	D	\$ 419.035 ⁽²⁾	8,570	D
Common Stock	02/19/2019		S ⁽¹⁾		23	D	\$ 420.0913 ⁽³⁾	8,547	D
Common Stock	02/19/2019		S ⁽¹⁾		10	D	\$ 420.8	8,537	D

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Common Stock	02/19/2019	<u>S⁽¹⁾</u>	30	D	\$ <u>(4)</u>	422.4033	8,507	D
Common Stock	02/19/2019	<u>S⁽¹⁾</u>	80	D	\$ <u>(5)</u>	424.285	8,427	D
Common Stock	02/19/2019	<u>S⁽¹⁾</u>	30	D	\$ <u>(6)</u>	425.3817	8,397	D
Common Stock	02/19/2019	<u>S⁽¹⁾</u>	80	D	\$ <u>(7)</u>	426.4831	8,317	D
Common Stock	02/19/2019	<u>S⁽¹⁾</u>	91	D	\$ <u>(8)</u>	427.5596	8,226	D
Common Stock	02/19/2019	<u>S⁽¹⁾</u>	60	D	\$ <u>(9)</u>	428.3958	8,166	D
Common Stock	02/19/2019	<u>S⁽¹⁾</u>	30	D	\$ <u>(10)</u>	429.48	8,136	D
Common Stock	02/19/2019	<u>S⁽¹⁾</u>	111	D	\$ <u>(11)</u>	431.3525	8,025	D
Common Stock	02/20/2019	<u>S⁽¹²⁾</u>	200	D	\$	428.1	7,825	D
Common Stock	02/20/2019	<u>S⁽¹²⁾</u>	1,426	D	\$ <u>(13)</u>	429.9189	6,399	D
Common Stock	02/20/2019	<u>S⁽¹²⁾</u>	882	D	\$ <u>(14)</u>	431.0174	5,517	D
Common Stock	02/20/2019	<u>S⁽¹²⁾</u>	259	D	\$ <u>(15)</u>	431.7027	5,258	D
Common Stock	02/20/2019	<u>S⁽¹²⁾</u>	76	D	\$	433.47	5,182	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0	02/15/2019		M		601		<u>(16)</u>	<u>(17)</u>	Common Stock	601	\$ 0
Restricted Stock Units	\$ 0	02/15/2019		M		576		<u>(18)</u>	<u>(17)</u>	Common Stock	576	\$ 0

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
VAN CAMP PETER ONE LAGOON DRIVE 4TH FLOOR REDWOOD CITY, CA 94065		X		

Signatures

Samantha
Lagocki, POA
02/20/2019
 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were sold pursuant to a 10b5-1 Trading Plan in order to raise funds to pay the required withholding tax pursuant to the vesting of RSUs.
The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$418.485 to \$419.37, inclusive. The reporting person undertakes to provide to Equinix, Inc, any security holder of Equinix Inc, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes 3 through 11 to this Form 4.
- (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$419.71 to \$420.485 inclusive.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$422.00 to \$422.89 inclusive.

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- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$423.86 to \$424.78 inclusive.
- (6) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$424.97 to \$425.925 inclusive.
- (7) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$426.005 to \$426.85 inclusive.
- (8) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$427.06 to \$427.88 inclusive.
- (9) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$428.12 to \$428.71 inclusive.
- (10) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$429.43 to \$429.52 inclusive.
- (11) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$431.02 to \$431.415 inclusive.
- (12) Shares were sold pursuant to a 10b5-1 Trading Plan
 - The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$429.46 to \$430.19, inclusive. The reporting person undertakes to provide to Equinix, Inc, any security holder of Equinix Inc, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes 14 and 15 to this Form 4.
- (13) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$430.47 to \$431.14 inclusive.
- (14) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$431.61 to \$431.84 inclusive.
- (15) On March 16, 2016, the reporting person was granted performance restricted stock units, the vesting of which was subject to both continued service and the attainment of certain AFFO, Revenue and EBITDA targets for 2016. The Compensation Committee certified the degree to which the targets were achieved, therefore 50% of the award vested on February 17, 2017, 25% will vest on February 15, 2018 and the remaining 25% will on February 15, 2019, subject solely to continued service.
- (16) Restricted stock unit award expires upon reporting person's termination of employment.
 - On February 16, 2017, the reporting person was granted performance restricted stock units, the vesting of which was subject to both continued service and the attainment of certain AFFO, Revenue and EBITDA targets for 2017. The Compensation Committee certified the degree to which the targets were achieved, therefore 50% of the award vested on February 28, 2018, 25% will vest on February 15, 2019 and the remaining 25% will on February 15, 2020, subject solely to continued service.
- (17)
- (18)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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