# Edgar Filing: Lee Yau Tat - Form 4

Lee Yau Tat										
Form 4										
February 20,	2018									
FORM	4									PPROVAL
. •	• UNITEI	) STATES		hington, 1			NGE (	COMMISSION	OMB Number:	3235-0287
Check this				g, -	210120	•••			Expires:	January 31
if no longe	er STATE	MENT O	F CHAN	GES IN H	BENEFI	CIA	LOW	<b>NERSHIP OF</b>	•	2005
subject to Section 16	ó.			SECURI	SECURITIES					average
	Form 4 or					burden hou response	•			
Form 5	They pursually to Section 10(a) of the Securities Exchange Act of 1934,							•		
obligation may conti		7(a) of the	Public Ut	ility Hold	ing Com	ipany	Act o	f 1935 or Sectio	n	
See Instru		30(h)	of the Inv	vestment (	Compan	y Act	of 19	40		
1(b).										
(Print or Type R	esponses)									
(Thin of Type R	esponses)									
1. Name and Address of Reporting Person <sup>*</sup> 2. Issuer			Name and Ticker or Trading				5. Relationship of Reporting Person(s) to			
L V T. t			Symbol					Issuer	1 0	
			•	X INC [E	QIX]					
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Check				k all applicable)			
(2007)	(1100)	(11110010)	(Month/Da		insaction			Director	109	6 Owner
ONE LAGO	ON DRIVE		02/15/20	-				X Officer (giv		er (specify
								below) Presid	below) lent - Asia Paci	fic
	(Street)		4 If Amer	ndment, Dat	o Original					
	(Succe)			th/Day/Year)	-			6. Individual or J Applicable Line)	onit/Oroup rin	lig(Check
			1 nea(mon	un/Duy/Teur)				_X_ Form filed by	One Reporting Po	erson
REDWOOD	CITY, CA 94	065						Form filed by I Person	More than One R	eporting
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	f. or Beneficia	llv Owned
1.Title of	2. Transaction D	ate 2A De		3.	4. Securi			5. Amount of	6. Ownership	-
Security	(Month/Day/Yea			Transactio			or	Securities	Form: Direct	Indirect
(Instr. 3) any		Code Disposed of (D)					Beneficially	(D) or	Beneficial	
		(Month	/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)
								Reported	(Instr. 1)	(1130. 1)
						(A) or		Transaction(s)		
				Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common	02/15/2018			М	1,088	А	\$0	8,142 (1)	D	
Stock	02/13/2010			141	1,000	11	ψυ	0,172	D	
Common Stock	02/15/2018			М	1,002	А	\$0	9,144	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Nun onof Der Securir Acquir (A) or Dispos (D) (Instr. and 5)	ivative ties red sed of 3, 4,	6. Date Exer Expiration D (Month/Day,	ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Pr Deriv Secu (Inst
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0	02/15/2018		М	1	1,088	(2)	(3)	Common Stock	1,088	\$
Restricted Stock Units	\$ 0	02/15/2018		М	1	1,002	<u>(4)</u>	(3)	Common Stock	1,002	\$

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Toporting of the real of real cost	Director	10% Owner	Officer	Other			
Lee Yau Tat ONE LAGOON DRIVE REDWOOD CITY, CA 94065			President - Asia Pacific				
Signatures							

Samantha	02/20/2018		
Lagocki, POA			
<b>**</b> Signature of Reporting	Date		

**\*\***Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 55 shares acquired under the Equinix, Inc. Employee Stock Purchase Plan on February 14, 2018.

On February 12, 2015 the reporting person was granted performance restricted stock units, the vesting of which was subject to both continued service and the attainment of certain revenue and AFFO targets for 2015. The Compensation Committee certified the degree to

- (2) which the targets were achieved, therefore 50% of the award vested on February 12, 2016, with 25% additional units scheduled to vest on each of February 15, 2017 and February 15, 2018, subject solely to continued service.
- (3) Restricted stock unit award expires upon reporting person's termination of employment.
- (4) On March 16, 2016, the reporting person was granted performance restricted stock units, the vesting of which was subject to both continued service and the attainment of certain AFFO, Revenue and EBITDA targets for 2016. The Compensation Committee certified the degree to which the targets were achieved, therefore 50% of the award vested on February 17, 2017, 25% will vest on February 15,

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2018 and the remaining 25% will on February 15, 2019, subject solely to continued service.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.