**EQUINIX INC** Form 4

February 17, 2017

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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**OMB APPROVAL** 

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if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * VAN CAMP PETER			2. Issuer Name <b>and</b> Ticker or Trading Symbol EQUINIX INC [EQIX]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(eneck all applicable)			
			(Month/Day/Year)	X Director 10% Owner			
ONE LAGOON DRIVE, 4TH FLOOR			02/15/2017	Officer (give title below) Other (specify below)			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person			
REDWOOD CITY, CA 94065				Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	(A) or		(D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/15/2017		M M	Amount 1,607	(D)	Price \$ 0	9,464	D	
Common Stock	02/15/2017		M	873	A	\$ 0	10,337	D	
Common Stock	02/16/2017		S <u>(1)</u>	100	D	\$ 371.67	10,237	D	
Common Stock	02/16/2017		S <u>(1)</u>	124	D	\$ 372.7606 (2)	10,113	D	
Common Stock	02/16/2017		S <u>(1)</u>	200	D	\$ 373.875 (3)	9,913	D	

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Common Stock	02/16/2017	S <u>(1)</u>	194	D	\$ 375.8262 (4)	9,719	D
Common Stock	02/16/2017	S <u>(1)</u>	300	D	\$ 378.1567 (5)	9,419	D
Common Stock	02/16/2017	S <u>(1)</u>	100	D	\$ 378.94	9,319	D
Common Stock	02/16/2017	S <u>(1)</u>	200	D	\$ 381.715 (6)	9,119	D
Common Stock	02/16/2017	S(1)	100	D	\$ 382.88	9,019	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0	02/15/2017		M		1,607	<u>(7)</u>	(8)	Common Stock	1,607
Restricted Stock Units	\$ 0	02/15/2017		M		873	<u>(9)</u>	(8)	Common Stock	873
Restricted Stock Units	\$ 0	02/16/2017		A	1,197		(10)	(8)	Common Stock	1,197

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
Reporting Owner Hame / Hauress	

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Director 10% Owner Officer Other

VAN CAMP PETER ONE LAGOON DRIVE 4TH FLOOR REDWOOD CITY, CA 94065

## **Signatures**

Samantha Lagocki, POA

\*\*Signature of Reporting Date
Person

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

X

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were sold pursuant to a 10b5-1 Trading Plan in order to raise funds to pay the required withholding tax pursuant to the vesting of RSUs.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$372.72 to \$372.85, inclusive. The reporting person undertakes to provide to Equinix, Inc, any security holder of Equinix Inc, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes 3 thru 6 to this Form 4.
- (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$373.77 to \$373.98 inclusive.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$375.55 to \$376.12 inclusive.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$377.79 to \$378.54 inclusive.
- (6) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$381.49 to \$381.94 inclusive.
- On March 6, 2014, the reporting person was granted performance restricted stock units, the vesting of which was subject to both continued service and the attainment of certain revenue and EBITDA targets for 2014. The Compensation Committee certified the degree to which the targets were achieved, therefore 50% of the award vested on February 13, 2015, 25% vested on February 15, 2016 and the remaining 25% vested on February 15, 2017, subject solely to continued service.
- (8) Restricted stock unit award expires upon reporting person's termination of employment.
- On February 12, 2015 the reporting person was granted performance restricted stock units, the vesting of which was subject to both continued service and the attainment of certain revenue and AFFO targets for 2015. The Compensation Committee certified the degree to which the targets were achieved, therefore 50% of the award vested on February 12, 2016, with 25% additional units scheduled to vest on each of February 15, 2017 and February 15, 2018, subject solely to continued service.
- On February 16, 2017, the reporting person was granted restricted stock units, the vesting of which is subject solely to continued service (10) through each vesting date. 33.33% of the award is scheduled to vest on January 15, 2018, with an additional 33.33% units scheduled to vest on each of January 15, 2019 and January 15, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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