### Edgar Filing: Pieri Nathan - Form 4

Pieri Nathan Form 4 August 14, 2										
FORM	14 UNITED S	<b>4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISS Washington, D.C. 20549							9PROVAL 3235-0287	
Check th if no long subject to Section 1 Form 4 c Form 5 obligatio may com <i>See</i> Instr 1(b).	ger 5 16. 5 5 6 5 5 5 5 5 5 5 5 5 5 5 5 5	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section						burden hou response	Expires:January 31, 2005Estimated average burden hours per response0.5	
(Print or Type I	Responses)									
Pieri Nathan Symbol			. Issuer Name <b>and</b> Ticker or Trading mbol mber Road, Inc. [AMBR]				5. Relationship of Reporting Person(s) to Issuer			
(Last) C/O AMBE MEADOW	. Date of Earliest Transaction Month/Day/Year) 8/10/2018				(Check all applicable) <u></u> Director <u></u> 10% Owner <u>X_</u> Officer (give title <u></u> Other (specify below) Chief Product Officer					
	(Street)	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
EAST RUT	HERFORD, NJ 0	7073					Form filed by N Person	More than One Re	eporting	
(City)	(State)	(Zip)	Table I - Non-I	Derivative	Secur	ities Aco	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code	<ul> <li>4. Securities Acquired action(A) or Disposed of (D)</li> <li>8) (Instr. 3, 4 and 5)</li> <li>(A)</li> </ul>		Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	08/10/2018		Code V M <u>(1)</u>	7 Amount 4,920 (1)	or	Price \$ 0	Transaction(s) (Instr. 3 and 4) 35,746	D		
Common Stock	08/10/2018		S	4,920	D	\$ 8.94	30,826	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(2)	08/10/2018		М	4,920	02/10/2020(3)	(3)	Common Stock	4,920

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Pieri Nathan C/O AMBER ROAD, INC. ONE MEADOWLANDS PLAZA EAST RUTHERFORD, NJ 07073			Chief Product Officer			
Signatures						

/s/ Nathan Pieri 08/14/2018 <u>\*\*</u>Signature of Date Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the conversion upon vesting of restricted stock units ("RSUs") into common stock. On February 10, 2016, the reporting person was granted 78,717 RSUs, of which 1/16th vested on August 10, 2018, at which time tax withholding obligations were due.
- (2) Each RSU represents a contingent right to receive one share of common stock of the registrant.

On February 10, 2016, the reporting person was granted 78,717 RSUs, of which 1/16th vested on August 10, 2018. The remaining
 (3) unvested RSUs will continue to vest as to 1/16th of the original number of shares subject to the RSUs on each succeeding quarter until fully vested on February 10, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.