Amber Road, Inc. Form 4 November 18, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

Check this box if no longer subject to Section 16

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Number: January 31, 2005

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations may continue

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Estimated average burden hours per response... 0.5

may continue.

See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person ** Cooke Albert C III			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
(Last)	(Last) (First) (Middle)		Amber Road, Inc. [AMBR]  3. Date of Earliest Transaction	(Check all applicable)		
(Last)	(Filst)	(Middle)	(Month/Day/Year)	Director 10% Owner		
C/O AMBER ROAD, INC., ONE MEADOWLANDS PLAZA			11/16/2016	X_ Officer (give title Other (specify below) VP, Global Sales		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
EAST RUTH	IERFORD.	NJ 07073	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
EAST RUTHERFORD, NJ 07073				Person		

(City)	(State)	Zip) Table	e I - Non-D	erivative S	Securit	ties Acq	uired, Disposed of	f, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	(Instr. 3, 4	sposed 4 and 5 (A) or	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/16/2016		Code V	Amount 20,000	(D)	Price \$ 3.29	37,404	D	
Common Stock	11/16/2016		S	20,000	D	\$ 10.5 (1)	17,404	D	
Common Stock	11/16/2016		S	14,404	D	\$ 10.5	3,000	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) (I	D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 3.29	11/16/2016		M	20,	000	01/24/2008	01/24/2017	Common Stock	20,000

## **Reporting Owners**

Panarting Owner Name	/ A J.J	Relationships

Director 10% Owner Officer Other

Cooke Albert C III C/O AMBER ROAD, INC. ONE MEADOWLANDS PLAZA EAST RUTHERFORD, NJ 07073

VP, Global Sales

#### **Signatures**

/s/ Albert C. Cooke III 11/18/2016

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale of exercised stock options from a 1/24/07 stock option grant of 40,080 options, expiring on 1/24/17, at an exercise price of \$3.29 per share, with the exercise price to be satisfied by sale proceeds (cashless).
- (2) Final stock option exercise of a 1/24/07 stock option grant of 40,080 options, expiring on 1/24/17, at an exercise price of \$3.29 per share, with the exercise price to be satisfied by sale proceeds (cashless).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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