Zoe's Kitchen, Inc. Form 4 September 24, 2014

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB Washington, D.C. 20549 Number: Expires:

**OMB APPROVAL** 

Estimated average

burden hours per

response...

5. Relationship of Reporting Person(s) to

3235-0287

January 31,

2005

0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

Todd Michael C

Toda Michael C			Symbol					
			Zoe's Kitchen, Inc. [ZOES]	(Check	all applicable)			
(Last)	(Last) (First) (		3. Date of Earliest Transaction					
			(Month/Day/Year)	Director	10% Owner			
C/O ZOES KITCHEN, 5700			09/18/2014	_X_ Officer (give t	title Other (specify			
GRANITE PARKWAY, GRANITE			05/10/2011	below)	below)			
				General Counsel and Secretary				

2. Issuer Name and Ticker or Trading

PARK BUILDING #2, SUITE 455

(Street)

(State)

(Zip)

1. Name and Address of Reporting Person \*

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

**PLANO, TX 75024** 

(City)

		Tuble 1 Tion Betty unite Securities required, Disposed on, or Beneficiany 6 wind						ny Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securitie	es	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	nAcquired (A	A) or	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed of	f (D)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4	and 5)	Owned	Indirect (I)	Ownership
						Following	(Instr. 4)	(Instr. 4)
				,	( <b>A</b> )	Reported		
					(A)	Transaction(s)		
			$\alpha$ . $\alpha$		0r	(Instr. 3 and 4)		
_			Code V	Amount (	(D) Price			
Common								

Common 20,000 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Zoe's Kitchen, Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 28.16	09/18/2014		A	20,000	<u>(1)</u>	09/18/2024	Common Stock	20,000

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Todd Michael C C/O ZOES KITCHEN, 5700 GRANITE PARKWAY GRANITE PARK BUILDING #2, SUITE 455 PLANO, TX 75024

General Counsel and Secretary

## **Signatures**

/s/ Michael Todd

09/24/2014

\*\*Signature of Date
Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Such options will vest in four equal annual installments on September 18, 2015, 2016, 2017 and 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2