Edgar Filing: A-Mark Precious Metals, Inc. - Form 4

A-Mark Precious Metals, Inc.

Common

Stock, par

value \$0.01 per share Common

Stock, par

value \$0.01

09/21/2016

09/22/2016

BLVD., SUITE 230 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) SANTA MONICA, CA 90401 -X_ Form filed by One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned	Form 4											
CURING 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB Number: 3235-0287 Check this box if no longer subject to section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: January 31, 2005 Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Statement of the Securities Exchange Act of 1934, obligations may contine Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 16(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1935, Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Statement of the Public Utility Holding Company Act of 1940 1(b). Print or Type Responses) 1. Name and Address of Reporting Person_ (Month/Day/Year) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Check all applicable) (Last) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) -X. Officer (give the below) -X. 10% Owner -X. Officer (give the below) -Mark Securities Acquired, Disposed of, or Beneficial Owned (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficial Owned -Scorring Person 6. Amount of Scorring Caporid Transaction(A) or Disposed of (D) Code V Amount (D) S. Amount of Scorring Caporid T	September 22	2, 2016										
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per share	per share							10.02				

1,000 (1)

1,300

(1)

S

S

D

D

\$ 16.52

\$16

67,956

66,656

D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	Under Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

per share

Reporting Owner Name / Address	ŝ	Relationships							
	Director	10% Owner	Officer	Other					
ROBERTS GREGORY N 429 SANTA MONICA BLVD SUITE 230 SANTA MONICA, CA 90401	X	Х	Chief Executive Officer						
Signatures									
/s/ Gregory N. Roberts	9/22/2016								
**Signature of Reporting Person	Date								

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sales made pursuant to Rule 10b5-1 trading plan.
- (2) Shares beneficially owned by Silver Bow Ventures, LLC, which is 50% owned by the Reporting Person. The Reporting Person disclaims ownership of such shares in excess of his proportionate pecuniary interest in Silver Bow Ventures, LLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.