Tricon Capital Group Inc.

Form 4 May 25, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* TCN/TNHC LP

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

New Home Co Inc. [NWHM]

(Check all applicable)

(Last)

(City)

Security

(Instr. 3)

(Middle) (First)

3. Date of Earliest Transaction

(Month/Day/Year)

Officer (give title

10% Owner \_X\_ Other (specify

1067 YONGE STREET

05/22/2018

below) below) See "Remarks"below.

4. If Amendment, Date Original

Applicable Line)

Director

Filed(Month/Day/Year)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

(Instr. 4)

6. Individual or Joint/Group Filing(Check

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Owned

Following

TORONTO, A6 M4W2L2

1.Title of 2. Transaction Date 2A. Deemed

(Street)

(State)

(Month/Day/Year)

(Zip)

3. 4. Securities Execution Date, if TransactionAcquired (A) or Code Disposed of (D)

(Instr. 8)

5. Amount of Securities Beneficially

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(Instr. 4)

(A)

(Instr. 3, 4 and 5)

Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	tionNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secu

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Bene Own Follo Repo Trans (Instr

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr.	8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Secur (Instr	ities . 3 and 4)	(Instr. 5)	
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address		Relationships					
		10% Owner	Officer	Other			
TCN/TNHC LP 1067 YONGE STREET TORONTO, A6 M4W2L2				See "Remarks"below.			
TCN/TNHC GP LLC 1067 YONGE STREET TORONTO, A6 M4W2L2		X					
TRICON HOUSING PARTNERS US II EQUITY HOLDINGS LP 1067 YONGE STREET TORONTO, A6 M4W2L2		X					
TRICON HOUSING PARTNERS US II GP LLC 1067 YONGE STREET TORONTO, A6 M4W2L2		X					
TRICON USA INC. 1067 YONGE STREET TORONTO, A6 M4W2L2				See "Remarks" below.			
TRICON HOLDINGS USA LLC 1067 YONGE STREET TORONTO, A6 M4W2L2				See Remarks			
TRICON US TOPCO LLC 1067 YONGE STREET TORONTO, A6 M4W2L2				See remarks			
TRICON HOLDINGS CANADA INC. 1067 YONGE STREET TORONTO, A6 M4W2L2				See remarks			
Tricon Capital Group Inc. 1067 YONGE STREET TORONTO, A6 M4W2L2				See remarks			

Reporting Owners 2

# **Signatures**

/s/ Miek Harbur, Attorney-in-Fact for TCN/TNHC LP					
**Signature of Reporting Person	Date				
/s/ Miek Harbur, Attorney-in-Fact for TCN/TNHC GP LLC					
**Signature of Reporting Person	Date				
/s/ Miek Harbur, Attorney-in-Fact for Tricon Housing Partners US II Equity Holdings LP					
**Signature of Reporting Person	Date				
/s/ Miek Harbur, Attorney-in-Fact for Tricon Housing Partners US II GP LLC	05/25/2018				
**Signature of Reporting Person	Date				
/s/ Miek Harbur, Attroney-in-Fact for Tricon USA Inc.	05/25/2018				
**Signature of Reporting Person	Date				
/s/ Miek Harbur, Attorney-in-Fact for Tricon Holdings USA LLC	05/25/2018				
**Signature of Reporting Person	Date				
/s/ Miek Harbur, Attorney-in-Fact for Tricon US Topco LLC	05/25/2018				
**Signature of Reporting Person	Date				
/s/ Miek Harbur, Attorney-in-Fact for Tricon Holdings Canada Inc.	05/25/2018				
**Signature of Reporting Person	Date				
/s/ Miek Harbur, Attorney-in-Fact for Tricon Capital Group Inc.	05/25/2018				
**Signature of Reporting Person	Date				

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

#### **Remarks:**

This "exit" Form 4 is filed solely to report that the Reporting Persons are no longer subject to Section 16 with respect to the Iss

TCN/TNHC LP formerly was a party to an Investor Rights Agreement with Joseph Davis, H. Lawrence Webb, Wayne Stelma Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3