

Sally Beauty Holdings, Inc.  
Form 8-K  
October 16, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(D) OF

THE SECURITIES EXCHANGE ACT OF 1934

Date of report: October 12, 2018 (Date of earliest event reported)

SALLY BEAUTY HOLDINGS, INC.

(Exact name of registrant as specified in its charter)

Commission File Number: 1-33145

Delaware

36-2257936

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(State or other jurisdiction of (I.R.S. Employer  
incorporation or organization) Identification No.)

3001 Colorado Boulevard  
Denton, Texas 76210

(Address of principal executive offices)

(940) 898-7500

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

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If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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Item 2.02 Results of Operation and Financial Condition.

On October 16, 2018, Sally Beauty Holdings, Inc. (the “Company”) issued the news release attached hereto as Exhibit 99.1 reporting, among other things, selected preliminary fiscal fourth quarter financial information for the Sally Beauty segment.

Item 5.02 Departure of Directors or Principal Officers; Election of Directors; Appointment of Principal Officers; Compensatory Arrangements of Certain Officers.

On October 16, 2018, the Company also announced that Carrie S. McDermott has resigned from her position as President of Sally Beauty Supply LLC, effective October 12, 2018.

In connection with Ms. McDermott’s resignation, the Company has appointed Aaron E. Alt, the Company’s Senior Vice President, Chief Financial Officer and Chief Administrative Officer, to serve as President of Sally Beauty Supply LLC.

In connection with such appointment, Mr. Alt’s base salary was increased from \$600,000 to \$700,000, and his target bonus opportunity, as a percentage of base salary, was increased from 60% to 80%.

Item 9.01 Financial Statement and Exhibits

(d)

Exhibit Description

99.1 News release issued by Sally Beauty Holdings, Inc. on October 16, 2018

All of the information furnished in Item 2.02 of this report and the accompanying exhibit shall not be deemed to be “filed” for the purposes of Section 18 of the Securities Exchange Act of 1934, as amended, and shall not be incorporated by reference in any filing under the Securities Act of 1933, as amended, unless expressly incorporated by reference therein.



SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SALLY BEAUTY HOLDINGS, INC.

Date: October 16, 2018 /s/ Christian A. Brickman  
Christian A. Brickman  
Director, President and Chief Executive Officer