

Roudeix Richard
Form 4
November 07, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Roudeix Richard

2. Issuer Name and Ticker or Trading Symbol
LyondellBasell Industries N.V.
[LYB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
4TH FLOOR, ONE VINE STREET

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/05/2018

____ Director _____ 10% Owner
____ Officer (give title below) _____ Other (specify below)
SVP, O&P - EAI

LONDON, X0 W1J 0AH

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price |
| Class A Ordinary Shares | 11/05/2018 | | M | | 199 | A | \$ 44 |
| | | | | | 6,934.751 | (1) | D |
| Class A Ordinary Shares | 11/05/2018 | | M | | 820 | A | \$ 44 |
| | | | | | 7,754.751 | (1) | D |
| Class A Ordinary Shares | 11/05/2018 | | F | | 545 | D | \$ 93.1 |
| | | | | | 7,209.751 | (1) | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price or Value of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|---|
| Stock Options (Right to Buy) | \$ 44 | 11/05/2018 | | M | 199 | ⁽²⁾ 02/28/2022 | Class A Ordinary Shares | 199 |
| Stock Options (Right to Buy) | \$ 44 | 11/05/2018 | | M | 820 | ⁽²⁾ 02/28/2022 | Class A Ordinary Shares | 820 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------|-------|
| | Director | 10% Owner | Officer | Other |
| Roudeix Richard 4TH FLOOR ONE VINE STREET LONDON, X0 W1J 0AH | | | SVP, O&P - EAI | |

Signatures

/s/ Lara A. Mason,
Attorney-in-Fact

11/07/2018

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- Includes 2,575 restricted stock units ("RSUs") granted pursuant to the issuer's long-term incentive plan: 615 granted on February 16, 2016 that vest on February 16, 2019; 926 granted on February 16, 2017 that vest on February 16, 2020 and 1,034 granted on February 21, 2018 that vest on February 21, 2021.
- (1)
- (2) Granted pursuant to the issuer's long-term incentive plan. Award vested as follows: 341 vested on February 28, 2013, 339 vested on February 28, 2014 and 339 vested on February 28, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.