

STEINBERG JOSEPH S
Form 4
August 31, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STEINBERG JOSEPH S

(Last) (First) (Middle)

C/O CRIMSON WINE GROUP LTD., 2700 NAPA VALLEY CORPORATE DRIVE

(Street)

NAPA, CA 94558

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Crimson Wine Group, Ltd [CWGL]

3. Date of Earliest Transaction (Month/Day/Year)

08/30/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
Common Stock	08/30/2017		G ⁽¹⁾⁽²⁾	V	74,806	D	\$ 0 0	I	By JSS 2008 Family Trust
Common Stock	08/30/2017		G ⁽³⁾⁽⁴⁾	V	14,806	D	\$ 0 0	I	By JSS 2009 Family Trust
Common Stock	08/30/2017		G ⁽⁵⁾	V	57,403	D	\$ 0 0	I	By JSS 2011 Family

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Common Stock	08/30/2017	<u>G(1)(2)(3)(4)</u>	V	29,872	A	\$ 0	107,862	I	Trust By Paul S. Steinberg 2004 Trust
Common Stock	08/30/2017	<u>G(1)(2)(3)(4)</u>	V	29,870	A	\$ 0	107,860	I	Trust By Rachel C. Steinberg 2004 Trust
Common Stock	08/30/2017	<u>G(1)(2)(3)(4)</u>	V	29,870	A	\$ 0	107,860	I	Trust By Sarah A. Steinberg 2004 Trust
Common Stock	08/30/2017	<u>G(5)</u>	V	57,403	A	\$ 0	57,403	I	Trust By JSS 2010 Family Trust
Common Stock							361,796	D	
Common Stock							13,200	I	By Spouse
Common Stock							720	I	By Daughter
Common Stock							200,000	I	By JSS Holding Corp - 1
Common Stock							70,000	I	By JSS Holding Corp - 2
Common Stock							200,000	I	By JSS Holding Corp - 3
Common Stock							200,000	I	By JSS Holding Corp - 4
Common Stock							200,000	I	By JSS Holding Corp - 5
Common Stock							200,000	I	By JSS Holding Corp - 6

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Common Stock		200,000	I	By JSS Holding Corp - 7
Common Stock		114,806	I	By Steinberg Holding Inc - D
Common Stock		114,806	I	By Steinberg Holding Inc - E
Common Stock		114,806	I	By Steinberg Holding Inc - F
Common Stock		114,806	I	By Steinberg Holding Inc - G

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

Director 10% Owner Officer Other

STEINBERG JOSEPH S
C/O CRIMSON WINE GROUP LTD. X
2700 NAPA VALLEY CORPORATE DRIVE
NAPA, CA 94558

Signatures

/s/ Shannon McLaren as
Attorney-in-Fact 08/31/2017

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The transaction involved a gift by the JSS 2008 Family Trust U/T/A May 30 2008 Mark Hoenig Trustee of (i) 24,935 shares of CWGL common stock to Sarah A. Steinberg 2004 Trust ua dtd 04/19/2004, Joseph S. Steinberg, Jeffrey C. Keil, Sarah A. Steinberg, and Avil Neikrug as Trustees; (ii) 24,936 shares of CWGL common stock to Paul S. Steinberg 2004 Trust ua dtd 04/19/2004, Joseph S. Steinberg, Diane H. Steinberg and Jeffrey C. Keil as Trustees; and (iii) 24,935 shares of CWGL common stock to Rachel C. Steinberg 2004 Trust ua dtd 04/19/2004, Joseph S. Steinberg, Diane H. Steinberg and Jeffrey C. Keil as Trustees. Mr. Steinberg may be deemed to be beneficial owner of shares of common stock held by such trusts.

(2) The transaction is being reported solely to report the change in the form of beneficial ownership from indirect by the JSS 2008 Family Trust to indirect by the three recipient trusts and creation of pecuniary interests in the recipient trusts, of which the reporting person is trustee and the reporting person's adult children are the beneficiaries, as a result of the gifts reported.

(3) The transaction involved a gift by the JSS 2009 Family Trust U/T/A Sept 17 2009 Mark Hoenig Trustee of (i) 4,935 shares of CWGL common stock to Sarah A. Steinberg 2004 Trust ua dtd 04/19/2004, Joseph S. Steinberg, Jeffrey C. Keil, Sarah A. Steinberg, and Avil Neikrug as Trustees; (ii) 4,936 shares of CWGL common stock to Paul S. Steinberg 2004 Trust ua dtd 04/19/2004, Joseph S. Steinberg, Diane H. Steinberg and Jeffrey C. Keil as Trustees; and (iii) 4,935 shares of CWGL common stock to Rachel C. Steinberg 2004 Trust ua dtd 04/19/2004, Joseph S. Steinberg, Diane H. Steinberg and Jeffrey C. Keil as Trustees. Mr. Steinberg may be deemed to be beneficial owner of shares of common stock held by such trusts.

(4) The transaction is being reported solely to report the change in the form of beneficial ownership from indirect by the JSS 2009 Family Trust to indirect by the three recipient trusts and creation of pecuniary interests in the recipient trusts, of which the reporting person is trustee and the reporting person's adult children are the beneficiaries, as a result of the gifts reported.

(5) The transaction involved a gift of CWGL common stock by the JSS 2011 Family Trust U/T/A March 30 2011 Mark Hoenig Trustee to the JSS 2010 Family Trust, Joseph S. Steinberg, Diane H. Steinberg, Jeffrey C. Keil and Avraham Neikrug as Trustees. Mr. Steinberg may be deemed to be beneficial owner of shares of common stock held by such trusts. The transaction is being reported solely to report the change in the form of beneficial ownership from indirect by the JSS 2011 Family Trust to indirect by JSS 2010 Family Trust and creation of pecuniary interests in JSS 2010 Family Trust, of which the reporting person is trustee and the reporting person's adult children are the beneficiaries, as a result of the gifts reported.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.