Khichi Samrat S. Form 3 January 03, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Khichi Samrat S.

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

12/29/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

BECTON DICKINSON & CO [BDX]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O BECTON, DICKINSON AND COMPANY, 1 BECTON DRIVE

(Street)

Director \_X\_\_ Officer

10% Owner

Other (give title below) (specify below) EVP and General Counsel

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

FRANKLIN LAKES, NJÂ 07417

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock

29,758

Â D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of Price of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Derivative Security:

## Edgar Filing: Khichi Samrat S. - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Appreciation Rights	07/16/2015(1)	07/16/2024	Common Stock	14,093	\$ 95.03	D	Â
Stock Appreciation Rights	12/10/2015(1)	12/10/2024	Common Stock	12,286	\$ 110.04	D	Â
Stock Appreciation Rights	12/09/2016(1)	12/09/2025	Common Stock	14,822	\$ 121.49	D	Â
Stock Appreciation Rights	12/14/2017(1)	12/14/2026	Common Stock	12,505	\$ 143.07	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationships				
	Director	10% Owner	Officer	Other		
Khichi Samrat S. C/O BECTON, DICKINSON AND COMPANY						
1 BECTON DRIVE	Â	Â	EVP and General Counsel	Â		
FRANKLIN LAKES, NJ 07417						

## **Signatures**

Richard Stout, by power of attorney for Samrat S.

Khichi

01/03/2018

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock appreciation rights are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date

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