## Edgar Filing: Krishnan Ram - Form 4

Krishnan Ram

| Form 4<br>October 24, 2  | 0018                               |                      |   |   |   |          |   |  |   |   |  |
|--|------------------------------------|----------------------|---|---|---|----------|---|--|---|---|--|
| FORM   |                                    |                      |   |   |   |          |   |  | OMB AI  | PPROVAL   |  |
|  |                                    | D STATES             |   | ITIES A<br>hington,                     |   |          | NGE (   | COMMISSION   | OMB<br>Number:  | 3235-0287   |  |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or |                                    |                      | F CHAN  | CHANGES IN BENEFICIAL OWN<br>SECURITIES |   |          |   |  | Expires: January 3<br>20<br>Estimated average<br>burden hours per<br>response |   |  |
| Form 5<br>obligation<br>may conti<br><i>See</i> Instru<br>1(b).          | $\frac{18}{1000}$ Section 1'       | 7(a) of the          |   | ility Hold                              | ing Com                                       | ipany    | Act o   | ge Act of 1934,<br>f 1935 or Sectio<br>40                                      |   |   |  |
| (Print or Type R   | Responses)                         |                      |   |   |   |          |   |  |   |   |  |
|  |                                    |                      | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>NTN BUZZTIME INC [NTN] |   |   |          | ıg  | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)  |   |   |  |
| (Last)<br>2231 RUTH<br>200   | (First)<br>ERFORD ROA              | (Middle)<br>AD, STE. | 3. Date of<br>(Month/D<br>10/23/20  | •                                       | ansaction                                     |          |   | X Director<br>X Officer (give<br>below)  | 10%   | 9)<br>9 Owner<br>er (specify                                      |  |
|  |                                    |                      | endment, Date Original<br>onth/Day/Year)  |   |   |          | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul> |  |   |   |  |
| CARLSBAI   | D, CA 92008                        |                      |   |   |   |          |   |  | Iore than One Re  |   |  |
| (City)   | (State)                            | (Zip)                | Table   | e I - Non-D                             | erivative S                                   | Securi   | ties Aco  | quired, Disposed of  | f, or Beneficial  | ly Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3)                                     | 2. Transaction D<br>(Month/Day/Yea | ar) Executio<br>any  | med<br>on Date, if<br>Day/Year)   | 3.<br>Transactio<br>Code<br>(Instr. 8)  | 4. Securi<br>on(A) or Di<br>(D)<br>(Instr. 3, | ispose   | d of  | Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)          | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock  | 10/23/2018                         |                      |   | Code V<br>F                             | Amount 240 (1)                                | (D)<br>D | Price<br>\$<br>4.32   | (Instr. 3 and 4)<br>74,937   | D   |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: Krishnan Ram - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>ofNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Secur | unt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|--|---|---------------------|--------------------|-------|--|---|---|
|   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |   |

## **Reporting Owners**

| <b>Reporting Owner</b>                              | Relationships |          |           |         |       |  |  |
|---|---------------|----------|-----------|---------|-------|--|--|
|   |               | Director | 10% Owner | Officer | Other |  |  |
| Krishnan Ram<br>2231 RUTHERFORD<br>CARLSBAD, CA 920 | Х             |          | CEO       |         |       |  |  |
| Signatures  |               |          |           |         |       |  |  |
| /s/ Ram<br>Krishnan                                 | 10/24/2018    |          |           |         |       |  |  |
| <u>**</u> Signature of                              | Date          |          |           |         |       |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were withheld by the issuer to satisfy statutory tax withholding requirements on vesting of restricted stock units. The number of shares to be withheld was determinable on October 23, 2018. No shares were sold in connection with this transaction.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Person