Edgar Filing: Spectrum Brands Holdings, Inc. - Form 4

Spectrum Brands Holdings, Inc. Form 4 October 03, 2013

October 03, 2	013											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION										OMB APPROVAL		
UNITED STATES SECURITIES AND EACHANGE COMMISSION								OMB Number:	3235-0287			
Check this if no longe subject to Section 16 Form 4 or Form 5	er STATEM	Washington, D.C. 20549 TEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES d pursuant to Section 16(a) of the Securities Exchange							Expires: Estimated a burden hou response	rs per		
obligation may contin <i>See</i> Instruct 1(b).	s Section 17(a string s) of the P	ublic Uti		ing Com	pany	Act o	f 1935 or Sectio	'n			
(Print or Type R	esponses)											
1. Name and Address of Reporting Person <u>*</u> Ambrecht Kenneth C			Symbol	Name and			-	5. Relationship of Reporting Person(s) to Issuer				
		Spectrun [SPB]	n Brands	Holding	s, Inc		(Check all applicable)					
(Last)				3. Date of Earliest Transaction (Month/Day/Year)					X_ Director 10% Owner Officer (give titleOther (specify			
	RUM BRANDS , INC., 601 RAY		10/01/20	013				below)	below)			
				ndment, Dat h/Day/Year)	-			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
MADISON,	WI 53711							Form filed by M Person	More than One Re	eporting		
(City)	(State) (A	Zip)	Table	I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	Date, if	3.4. SecuritiesTransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)))	Securities Beneficially Owned Brollowing O	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				
Common Stock	10/01/2013			А	1,595	А	\$ 0 (1)	12,696	D			
Common Stock	10/01/2013			А	456	А	\$ 0 (2)	13,152	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Ambrecht Kenneth C C/O SPECTRUM BRANDS HOLDINGS 601 RAYOVAC DRIVE MADISON, WI 53711	5, INC.	X						
Signatures								
/s/ Nathan E. Fagre, 10/03/2 attorney-in-fact)13						
**Signature of Reporting Person	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reporting Person was awarded 1,595 restricted stock units under the Spectrum Brands Holdings, Inc. 2011 Omnibus Equity Award Plan(1) which are settled in shares of common stock of the Issuer, and which are scheduled to vest in full on September 30, 2014 if Reporting Person is a director of the Issuer on such date.
- (2) The shares were received pursuant to the Reporting Person's election to receive stock in lieu of cash for the quarterly retainer paid for service as a member of the Board of Directors. The shares will vest immediately upon date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.