

PLANTRONICS INC /CA/

Form 4

February 06, 2017

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
HAGERTY ROBERT C

(Last) (First) (Middle)

345 ENCINAL STREET

(Street)

SANTA CRUZ, CA 95060

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

PLANTRONICS INC /CA/ [PLT]

3. Date of Earliest Transaction
(Month/Day/Year)

02/03/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMMON STOCK	02/03/2017		M	200 A \$ 31.05	12,584	D	
COMMON STOCK	02/03/2017		S	200 D \$ 53.73	12,384	D	
COMMON STOCK	02/03/2017		M	600 A \$ 31.05	12,984	D	
COMMON STOCK	02/03/2017		S	600 D \$ 53.72	12,384	D	
COMMON STOCK	02/03/2017		M	1,477 A \$ 31.05	13,861	D	
	02/03/2017		S	1,477 D \$ 53.71	12,384	D	

COMMON
STOCK

COMMON STOCK	02/03/2017	M	100	A	\$ 31.05	12,484	D
COMMON STOCK	02/03/2017	S	100	D	\$ 53.705	12,384	D
COMMON STOCK	02/03/2017	M	1,750	A	\$ 31.05	14,134	D
COMMON STOCK	02/03/2017	S	1,750	D	\$ 53.7	12,384	D
COMMON STOCK	02/03/2017	M	50	A	\$ 31.05	12,434	D
COMMON STOCK	02/03/2017	S	50	D	\$ 53.69	12,384	D
COMMON STOCK	02/03/2017	M	646	A	\$ 31.05	13,030	D
COMMON STOCK	02/03/2017	S	646	D	\$ 53.68	12,384	D
COMMON STOCK	02/03/2017	M	39	A	\$ 31.05	12,423	D
COMMON STOCK	02/03/2017	S	39	D	\$ 53.66	12,384	D
COMMON STOCK	02/03/2017	M	100	A	\$ 31.05	12,484	D
COMMON STOCK	02/03/2017	S	100	D	\$ 53.655	12,384	D
COMMON STOCK	02/03/2017	M	1,038	A	\$ 31.05	13,422	D
COMMON STOCK	02/03/2017	S	1,038	D	\$ 53.65	12,384	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)
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	Derivative Security		Code	V	(A) or Disposed of (D) (Instr. 3, 4, and 5)		Date Exercisable	Expiration Date	Title
					(A)	(D)			
Non-Qualified Stock Option (right to buy)	\$ 31.05	02/03/2017	M			200	09/14/2012 ⁽¹⁾	09/14/2018	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 31.05	02/03/2017	M			600	09/14/2012 ⁽¹⁾	09/14/2018	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 31.05	02/03/2017	M			1,477	09/14/2012 ⁽¹⁾	09/14/2018	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 31.05	02/03/2017	M			100	09/14/2012 ⁽¹⁾	09/14/2018	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 31.05	02/03/2017	M			1,750	09/14/2012 ⁽¹⁾	09/14/2018	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 31.05	02/03/2017	M			50	09/14/2012 ⁽¹⁾	09/14/2018	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 31.05	02/03/2017	M			646	09/14/2012 ⁽¹⁾	09/14/2018	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 31.05	02/03/2017	M			39	09/14/2012 ⁽¹⁾	09/14/2018	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 31.05	02/03/2017	M			100	09/14/2012 ⁽¹⁾	09/14/2018	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 31.05	02/03/2017	M			1,038	09/14/2012 ⁽¹⁾	09/14/2018	COMMON STOCK

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

HAGERTY ROBERT C
345 ENCINAL STREET
SANTA CRUZ, CA 95060

X

Signatures

ROBERT C
HAGERTY

02/04/2017

__Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This option shall become exercisable in installments cumulatively with respect to twenty-five percent (25%) of the Optioned Stock one year after the date of grant and as to an additional six and one-quarter percent (6.25%) of the Optioned Stock each three months thereafter, if on such dates Optionee has remained in Continuous Status as a Director, so that one hundred percent (100%) of the Optioned Stock shall be exercisable four years after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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