

PLANTRONICS INC /CA/

Form 4

August 09, 2016

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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2005  
Estimated average  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HOUSTON DONALD S**

(Last) (First) (Middle)

345 ENCINAL STREET

(Street)

SANTA CRUZ, CA 95060

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

PLANTRONICS INC /CA/ [PLT]

3. Date of Earliest Transaction  
(Month/Day/Year)

08/05/2016

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
TOP SALES EXECUTIVE - GLOBAL.E

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
COMMON STOCK	08/05/2016		M	100	A \$ 24.11	64,639	D
COMMON STOCK	08/05/2016		S	100	D \$ 51.27	64,539	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 24.11	08/05/2016		M	5,025	10/30/2010 <sup>(1)</sup> 10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	08/05/2016		M	100	10/30/2010 <sup>(1)</sup> 10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	08/05/2016		M	600	10/30/2010 <sup>(1)</sup> 10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	08/05/2016		M	900	10/30/2010 <sup>(1)</sup> 10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	08/05/2016		M	400	10/30/2010 <sup>(1)</sup> 10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	08/05/2016		M	3,991	10/30/2010 <sup>(1)</sup> 10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	08/05/2016		M	400	10/30/2010 <sup>(1)</sup> 10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	08/05/2016		M	300	10/30/2010 <sup>(1)</sup> 10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	08/05/2016		M	200	10/30/2010 <sup>(1)</sup> 10/30/2016	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	08/05/2016		M	500	10/30/2010 <sup>(1)</sup> 10/30/2016	COMMON STOCK
	\$ 24.11	08/05/2016		M	785	10/30/2010 <sup>(1)</sup> 10/30/2016	

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Non-Qualified Stock Option (right to buy)								COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	08/05/2016	M	400	10/30/2010 <sup>(1)</sup>	10/30/2016		COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	08/05/2016	M	100	10/30/2010 <sup>(1)</sup>	10/30/2016		COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	08/05/2016	M	600	10/30/2010 <sup>(1)</sup>	10/30/2016		COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	08/05/2016	M	2,999	10/30/2010 <sup>(1)</sup>	10/30/2016		COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	08/05/2016	M	100	10/30/2010 <sup>(1)</sup>	10/30/2016		COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.11	08/05/2016	M	100	10/30/2010 <sup>(1)</sup>	10/30/2016		COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 30.04	08/05/2016	M	5,032	05/07/2011 <sup>(1)</sup>	05/07/2017		COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 30.04	08/05/2016	M	1,010	05/07/2011 <sup>(1)</sup>	05/07/2017		COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 30.04	08/05/2016	M	3,850	05/07/2011 <sup>(1)</sup>	05/07/2017		COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 30.04	08/05/2016	M	1,512	05/07/2011 <sup>(1)</sup>	05/07/2017		COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 30.04	08/05/2016	M	1,600	05/07/2011 <sup>(1)</sup>	05/07/2017		COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 30.04	08/05/2016	M	1,250	05/07/2011 <sup>(1)</sup>	05/07/2017		COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 30.04	08/05/2016	M	600	05/07/2011 <sup>(1)</sup>	05/07/2017		COMMON STOCK
Non-Qualified Stock Option	\$ 30.04	08/05/2016	M	856	05/07/2011 <sup>(1)</sup>	05/07/2017		COMMON STOCK

(right to buy)

Non-Qualified Stock Option (right to buy)	\$ 30.04	08/05/2016	M	200	05/07/2011 <sup>(1)</sup>	05/07/2017	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 30.04	08/05/2016	M	100	05/07/2011 <sup>(1)</sup>	05/07/2017	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 30.04	08/05/2016	M	1,100	05/07/2011 <sup>(1)</sup>	05/07/2017	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 30.04	08/05/2016	M	100	05/07/2011 <sup>(1)</sup>	05/07/2017	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 30.04	08/05/2016	M	200	05/07/2011 <sup>(1)</sup>	05/07/2017	COMMON STOCK

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOUSTON DONALD S 345 ENCINAL STREET SANTA CRUZ, CA 95060			TOP SALES EXECUTIVE - GLOBAL.E	

## Signatures

DONALD S.  
HOUSTON

08/05/2016

\_\_\_\_Signature of Reporting  
Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Option was granted by the Issuer pursuant to a Rule 16-b plan and becomes exercisable as follows: 33.3% of the shares subject to the option vest 12 months from date of grant; and 1/36th of the shares subject to option vest each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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