#### CHU RONALD J

Form 4

November 20, 2012

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person \* CHU RONALD J

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First)

(Middle)

TETRA TECH INC [TTEK]

(Check all applicable)

EXECUTIVE VICE PRESIDENT

3475 EAST FOOTHILL

3. Date of Earliest Transaction (Month/Day/Year)

Director

10% Owner Other (specify

11/16/2012

\_X\_\_ Officer (give title ) below)

**BOULEVARD** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

PASADENA,, CA 91107

| (City)                               | (State) (Z                              | ip) Table   | I - Non-De  | rivative S   | ecurit    | ies Acqui   | ired, Disposed of                               | , or Beneficial   | ly Owned |
|--------------------------------------|---|---|---|--------------|-----------|---|---|---|----------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |              |           | Beneficially Form: Owned Direct ( Following or Indir Reported (I) | Ownership<br>Form:<br>Direct (D)<br>or Indirect | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
|                                      |   |   | Code V  | Amount       | or<br>(D) | Price   | (Instr. 3 and 4)                                |   |          |
| COMMON<br>STOCK                      | 11/16/2012                              |   | A   | 439 (1)      | A         | \$0   | 20,678  | D   |          |
| COMMON<br>STOCK                      | 11/16/2012                              |   | A   | 8,350        | D         | \$ 0  | 29,028  | D   |          |
| COMMON<br>STOCK                      | 11/16/2012                              |   | F   | 1,016<br>(2) | D         | \$<br>24.26   | 28,012  | D   |          |
| COMMON<br>STOCK                      | 11/16/2012                              |   | F   | 1,460<br>(3) | D         | \$<br>24.26   | 26,552  | D   |          |
| COMMON<br>STOCK                      | 11/16/2012                              |   | F   | 823 (4)      | D         | \$<br>24.26   | 25,729  | D   |          |

#### Edgar Filing: CHU RONALD J - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |         | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and A<br>Underlying Se<br>(Instr. 3 and 4 |
|--|---|---|---|---|---------|--|--------------------|--|
|  |   |   |   | Code V  | (A) (D) | Date Exercisable   | Expiration<br>Date | Title  |
| EMPLOYEE<br>STOCK<br>OPTION<br>(RIGHT TO<br>BUY) | \$ 24.26  | 11/16/2012                              |   | A   | 20,875  | 11/16/2013(5)  | 11/16/2020         | COMMON<br>STOCK                                    |
| RESTRICTED<br>STOCK<br>UNITS                     | \$ 0 (6)  | 11/16/2012                              |   | A   | 4,175   | 11/16/2013(7)  | 11/16/2016         | COMMON<br>STOCK                                    |

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CHU RONALD J

3475 EAST FOOTHILL BOULEVARD PASADENA., CA 91107

**EXECUTIVE VICE PRESIDENT** 

### **Signatures**

JANIS SALIN for RONALD J. CHU

11/17/2012

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) n accordance with the Executive Compensation Policy, 120% of the installment vested on November 16, 2012 and 439 additional shares were issued to the reporting person.

Reporting Owners 2

#### Edgar Filing: CHU RONALD J - Form 4

- On November 16, 2012, 2,423 shares of restricted stock granted in November 2011 vested. Of the 2,423 vested shares, 1,016 shares were withheld to pay the related tax liability.
- (3) On November 16, 2012, 2,197 shares of restricted stock granted in November 2011 vested. Of the 2,197 vested shares, 1,460 shares were withheld to pay the related tax liability.
- (4) On November 16, 2012, 1,961 shares of restricted stock granted in November 2011 vested. Of the 1,961 vested shares, 823 shares were withheld to pay the related tax liability.
- (5) The option becomes exercisable as to 25% of the shares on each anniversary of the grant date.
- (6) Each restricted stock unit represents the right to receive, at settlement, one share of common stock.
- (7) The restricted stock units vest in four equal annual installments beginning November 16, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.