

Wiseman John W.
Form 4
January 17, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Wiseman John W.

2. Issuer Name and Ticker or Trading Symbol
FACTSET RESEARCH SYSTEMS INC [FDS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
01/15/2019

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP, Global Head of Sales

C/O FACTSET RESEARCH SYSTEMS INC., 601 MERRITT 7

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

NORWALK, CT 06851

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---|
| | | | | (A) or (D) | Price | | | |
| | | | | Code | V | Amount | | |
| Common Stock | 01/15/2019 | | M | 210 | A | \$ 92.22 | 2,050 | D |
| Common Stock | 01/15/2019 | | M | 612 | A | \$ 92.22 | 2,662 | D |
| Common Stock | 01/15/2019 | | M | 72 | A | \$ 94.84 | 2,734 | D |
| Common Stock | 01/15/2019 | | M | 1,990 | A | \$ 131.31 | 4,724 | D |
| Common Stock | 01/15/2019 | | M | 1,827 | A | \$ 152.28 | 6,551 | D |

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| | | | | | | | |
|--------------|------------|---|-------|---|-------------------------|--------|---|
| Common Stock | 01/15/2019 | M | 800 | A | \$ 159.14 | 7,351 | D |
| Common Stock | 01/15/2019 | M | 3,608 | A | \$ 160.58 | 10,959 | D |
| Common Stock | 01/15/2019 | S | 6,094 | D | \$ 205.56 <u>(1)</u> | 4,865 | D |
| Common Stock | 01/15/2019 | S | 3,025 | D | \$ 206.23 <u>(2)</u> | 1,840 | D |
| Common Stock | 01/15/2019 | S | 240 | D | \$ 205.02 | 1,600 | D |
| Common Stock | 01/15/2019 | S | 310 | D | \$ 205.39 <u>(3)</u> | 1,290 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Employee Stock Option (right to buy) | \$ 92.22 | 01/15/2019 | | M | 210 | <u>(4)</u> 11/01/2022 | Common Stock | 210 |
| Employee Stock Option (right to buy) | \$ 92.22 | 01/15/2019 | | M | 612 | <u>(5)</u> 11/01/2022 | Common Stock | 612 |

| | | | | | | | | |
|--|-----------|------------|---|-------|-------------|------------|-----------------|-------|
| Employee Stock Option (right to buy) | \$ 94.84 | 01/15/2019 | M | 72 | <u>(6)</u> | 11/01/2021 | Common Stock | 72 |
| Employee Stock Option (right to buy) | \$ 131.31 | 01/15/2019 | M | 1,990 | <u>(7)</u> | 11/03/2024 | Common Stock | 1,990 |
| Employee Stock Option (right to buy) | \$ 152.28 | 01/15/2019 | M | 1,827 | <u>(8)</u> | 11/01/2026 | Common Stock | 1,827 |
| Employee Stock Option (right to buy) | \$ 159.14 | 01/15/2019 | M | 800 | <u>(9)</u> | 05/01/2025 | Common Stock | 800 |
| Employee Stock Option (right to buy) | \$ 160.58 | 01/15/2019 | M | 3,608 | <u>(10)</u> | 07/06/2027 | Common Stock | 3,608 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Wiseman John W. C/O FACTSET RESEARCH SYSTEMS INC. 601 MERRITT 7 NORWALK, CT 06851 | | | EVP, Global Head of Sales | |

Signatures

/s/ John W.
Wiseman

01/17/2019

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Price reported in Column 4 is a weighted average price based on various sales prices within a range. For the shares aggregating 6,094, the per share sales prices ranged from \$205.10 to \$206.09. The reporting person undertakes to provide FactSet, any security holder of FactSet and the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold in

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each separate price within the ranges set forth in this footnote to this Form 4.

Price reported in Column 4 is a weighted average price based on various sales prices within a range. For the shares aggregating 3,025, the per share sales prices ranged from \$206.10 to \$206.48. The reporting person undertakes to provide FactSet, any security holder of

- (2) FactSet and the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold in each separate price within the ranges set forth in this footnote to this Form 4.

Price reported in Column 4 is a weighted average price based on various sales prices within a range. For the shares aggregating 310, the per share sales prices ranged from \$205.38 to \$205.51. The reporting person undertakes to provide FactSet, any security holder of

- (3) FactSet and the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold in each separate price within the ranges set forth in this footnote to this Form 4.

- (4) Options granted on November 1, 2012 vest over a 5 year period with 40% exercisable two years after the grant date and the remainder vesting at a rate of 1.67% per month thereafter.

- (5) Options granted on November 1, 2012 vest over a 5 year period with 20% exercisable one year after the grant date and the remainder vesting at a rate of 1.67% per month thereafter.

- (6) Options granted on November 1, 2011 vest over a 5 year period with 20% exercisable one year after the grant date and the remainder vesting at a rate of 1.67% per month thereafter.

- (7) Options granted on November 3, 2014 vest over a 5 year period with 60% exercisable three years after the grant date and the remaining 40% vesting five years after the grant date.

- (8) Options granted on November 1, 2016 vest 20% annually on the anniversary date of the grant and are fully vested after five years.

- (9) Options granted on May 1, 2015 vest over a 5 year period with 60% exercisable three years after the grant date and the remaining 40% vesting five years after the grant date.

- (10) Options granted on July 6, 2017 vest 20% annually on the anniversary date of the grant and are fully vested after five years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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