

Stinnett Thomas C
Form 4
November 01, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Stinnett Thomas C

2. Issuer Name and Ticker or Trading Symbol
Stock Yards Bancorp, Inc. [SYBT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
3814 ROCK BAY DRIVE

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/30/2018

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Executive Vice President

LOUISVILLE, KY 40245

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock | | | | | 405 | I | By Spouse |
| Common Stock | | | | | 10,978 | I | KSOP - fbo Thomas Stinnett |
| Common Stock | 10/30/2018 | | M | 3,000 A | \$ 28,010.7431 14.76 <u>(1)</u> | D | |
| Common Stock | 10/30/2018 | | F | 1,920 D | \$ 26,090.7431 31.15 <u>(1)</u> | D | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Appreciation Right | \$ 25.76 | | | | | 03/15/2017 | 03/15/2026 | Common Stock | 6,87 |
| Stock Appreciation Right | \$ 14.76 | 10/30/2018 | | M | 3,000 | 02/17/2010 | 02/17/2019 | Common Stock | 3,000 |
| Stock Appreciation Right | \$ 14.02 | | | | | 02/16/2011 | 02/16/2020 | Common Stock | 3,000 |
| Stock Appreciation Right | \$ 15.84 | | | | | 03/15/2012 | 03/15/2021 | Common Stock | 4,230 |
| Stock Appreciation Right | \$ 15.24 | | | | | 02/20/2013 | 02/20/2022 | Common Stock | 7,750 |
| Stock Appreciation Right | \$ 15.26 | | | | | 02/19/2014 | 02/19/2023 | Common Stock | 11,500 |
| Stock Appreciation Right | \$ 19.37 | | | | | 02/18/2015 | 02/18/2024 | Common Stock | 6,860 |
| Stock Appreciation Right | \$ 22.96 | | | | | 03/17/2016 | 03/17/2025 | Common Stock | 5,480 |
| Stock Appreciation | \$ 40 | | | | | 03/21/2018 | 03/21/2027 | Common Stock | 3,600 |

Right

Stock

Appreciation \$ 35.9

Right

02/20/2019 02/20/2028

Common
Stock

3,54

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Stinnett Thomas C 3814 ROCK BAY DRIVE LOUISVILLE, KY 40245 | | | Executive Vice President | |

Signatures

/s/ Thomas C.
Stinnett

11/01/2018

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired through automatic dividend reinvestment.

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