Edgar Filing: RAMA MICHAEL P - Form 4

| RAMA MIC Form 4 December 0 | | | | | | | | | | | | | |
|--|---|--|-------------------------|--|-----|---|------------------------------|---------------|--|--|--|--|--|
| | ЛЛ | | | | | | | | | OMB AF | PROVAL | | |
| FORM | UNITED | STATES | | | | | | ANGE CO | OMMISSION | OMB Number: | 3235-0287 | | |
| Subject to Section 16. Form 4 or Form 5 obligations may continue Section 17(a) of the Pu | | | | Washington, D.C. 20549 CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES ction 16(a) of the Securities Exchange Act of 1934, blic Utility Holding Company Act of 1935 or Section the Investment Company Act of 1940 | | | | | | | January 31, 2005 Estimated average burden hours per response 0.5 | | |
| (Print or Type | Responses) | | | | | | | | | | | | |
| RAMA MICHAEL P Sy | | | Symbol | - | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | NV5 Global, Inc. [NVEE] | | | | | | (Check all applicable) | | | | |
| (Mo | | | | Date of Earliest Transaction Month/Day/Year) 2/01/2017 | | | | | Director 10% Owner X Officer (give title 0ther (specify below) VP and CFO | | | | |
| | (Street) | | 4. If Amo Filed(Mo | | | ate Origin r) | al | 1 | 6. Individual or Joi Applicable Line) _X_ Form filed by O | ne Reporting Per | rson | | |
| HOLLYW | OOD, FL 33021 | | | | | | | ī | Form filed by Mo Person | ore than One Rej | porting | | |
| (City) | (State) | (Zip) | Tab | le I - No | n-l | Derivative | e Secu | rities Acqu | ired, Disposed of, | or Beneficiall | y Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deem Execution any (Month/D | Date, if | Code (Instr. 8 | 3) | 4. Securi oror Dispo (Instr. 3, Amount | sed of 4 and (A) or | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock | 12/01/2017 | | | S | | 200 | D | \$ 55.155 | 29,949 | D | | | |
| Common Stock | 12/01/2017 | | | S | | 200 | D | \$ 55.055 | 29,749 | D | | | |
| Common Stock | 12/01/2017 | | | S | | 100 | D | \$ 55.1499 | 29,649 | D | | | |
| Common Stock | 12/01/2017 | | | S | | 1,000 | D | \$ 55.2306 | 28,649 | D | | | |
| Common Stock | 12/01/2017 | | | S | | 300 | D | \$ 55.2273 | 28,349 | D | | | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exer | cisable and | 7. Title a | nd | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|-----------------|--------------|-------------|----------------|--------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | onNumber | Expiration D | ate | Amount | of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | 'Year) | Underlyi | ng | Security | Secu |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securitie | S | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr. 3 a | and 4) | | Owne |
| | Security | | | | Acquired | | | | | | Follo |
| | • | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | ۸. | mount | | |
| | | | | | | | | | | | |
| | | | | | | | Expiration | or Title Nu | | | |
| | | | | | | | Date | | umber | | |
| | | | | Cada V | (Λ) (D) | | | of | | | |
| | | | | Code v | (A) (D) | | | 30 | nares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| RAMA MICHAEL P 200 SOUTH PARK RD. SUITE 350 HOLLYWOOD, FL 33021 | | | VP and CFO | | | | | |
| Signatures | | | | | | | | |
| /s/ MaryJo O'Brien, as attorney in fact | , | 12/05/20 | 17 | | | | | |

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.