### Edgar Filing: DANIELS BRIAN - Form 3

**DANIELS BRIAN** Form 3 March 06, 2008

# FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

 **DANIELS BRIAN** 

(Last) (First) (Middle)

(Month/Day/Year)

03/04/2008

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol BRISTOL MYERS SQUIBB CO [(BMY)]

**BRISTOL-MYERS SQUIBB** 

COMPANY, Â ROUTE 206 & PROVINCELINE ROAD

(Street)

Statement

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

**SVP** Global Development

Director \_X\_\_ Officer

10% Owner Other

(give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

PRINCETON. NJÂ 08543

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

Form: Direct (D) or Indirect (Instr. 5)

(I) (Instr. 5)

Common Stock, \$0.10 par value

37,141 (1)

Â D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative (Instr. 5)

6. Nature of Indirect Beneficial Ownership

Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Executive Option (right to buy)	03/06/2002(2)	03/05/2011	Common Stock, \$0.10 par value	15,775	\$ 59.78	D	Â
Option (right to buy)	(3)	07/31/2010	Common Stock, \$0.10 par value	12,620	\$ 48.73	D	Â
Option (right to buy)	03/05/2003(3)	03/04/2012	Common Stock, \$0.10 par value	18,000	\$ 48.08	D	Â
Option (right to buy)	03/04/2004(3)	03/03/2013	Common Stock, \$0.10 par value	5,989	\$ 23.14	D	Â
Option (right to buy)	(3)	03/01/2014	Common Stock, \$0.10 par value	18,750	\$ 28.11	D	Â
Option (right to buy)	(4)	02/28/2015	Common Stock, \$0.10 par value	15,650	\$ 25.45	D	Â
Option (right to buy)	(5)	03/06/2016	Common Stock, \$0.10 par value	17,940	\$ 22.73	D	Â
Option (right to buy)	(6)	03/05/2017	Common Stock, \$0.10 par value	37,875	\$ 27.01	D	Â
Restricted Stock Units	(7)	(7)	Common Stock, \$0.10 par value	9,465	\$ <u>(8)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Keiauonsinps					
	Director	10% Owner	Officer	Other		

Reporting Owners 2

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DANIELS BRIAN BRISTOL-MYERS SQUIBB COMPANY ROUTE 206 & PROVINCELINE ROAD PRINCETON. NJÂ 08543

 $\hat{A}$   $\hat{A}$   $\hat{A}$  SVP Global Development  $\hat{A}$ 

## **Signatures**

/s/ Daniels, M.D., Brian

03/05/2008

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The total includes 26,480 shares of unvested restricted stock plus 10,661 shares held in a retail account.
- Option is not exercisable until the closing share price of common stock achieves a price of at least 15% above the option grant price and
- (2) remains at that price for seven consecutive trading days. Shares vest one-third per year, on a cumulative basis, on the third, fourth, and fifth anniversaries of the grant date.
- (3) Currently Exercisable.
- (4) 7,837 shares are currently exercisable. An additional 7,813 shares will become exercisable on March 1, 2009.
- (5) 5,980 shares will become exercisable on each of March 7, 2008, March 7, 2009, and March 7, 2010.
- (6) 9,468 shares will become exercisable on March 6, 2008 and 9,469 shares will become exercisable on each of March 6, 2009, March 6, 2010, and March 6, 2011.
- (7) 2,366 shares will vest on each of March 7, 2008, March 7, 2009, and March 7, 2010 and 2,367 shares will vest on March 7, 2011.
- (8) Each restricted stock unit converts into one share of common stock upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3