

SCHWAB CHARLES CORP  
 Form 3  
 July 09, 2007

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Brigeman Benjamin L  
 (Last) (First) (Middle)

C/O THE CHARLES SCHWAB CORPORATION,Â 120 KEARNY STREET

(Street)

SAN FRANCISCO,Â CAÂ 94108

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
 07/02/2007

3. Issuer Name and Ticker or Trading Symbol  
 SCHWAB CHARLES CORP [SCHW]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer  Other  
 (give title below) (specify below)  
 EVP - Schwab Investor Services

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 13,337  | D  | Â   |
| Common Stock                    | 23,776  | I  | by Trust  |
| Common Stock                    | 1,532   | I  | by 401(k)   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

Edgar Filing: SCHWAB CHARLES CORP - Form 3

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) |                                  | 4. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 5. Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 6. Nature of Indirect<br>Beneficial<br>Ownership<br>(Instr. 5) |
|---|--|--------------------|--|----------------------------------|--|--|--|
|   | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount or<br>Number of<br>Shares |  |  |  |
| Non-Qualified Stock Option (right to buy)     | 02/25/2003   | 02/25/2009         | Common Stock   | 11,250                           | \$ 23.3125   | D  | Â  |
| Incentive Stock Option (right to buy)         | 02/25/2003   | 02/25/2009         | Common Stock   | 11,250                           | \$ 23.3125   | D  | Â  |
| Non-Qualified Stock Option (right to buy)     | 11/01/2003   | 11/01/2009         | Common Stock   | 7,500                            | \$ 25.9167   | D  | Â  |
| Non-Qualified Stock Option (right to buy)     | 02/23/2004   | 02/23/2010         | Common Stock   | 3,188                            | \$ 26.375  | D  | Â  |
| Incentive Stock Option (right to buy)         | 02/23/2004   | 02/23/2010         | Common Stock   | 2,063                            | \$ 26.375  | D  | Â  |
| Non-Qualified Stock Option (right to buy)     | 10/25/2004   | 10/25/2010         | Common Stock   | 5,000                            | \$ 31.0625   | D  | Â  |
| Non-Qualified Stock Option (right to buy)     | 12/15/2004   | 12/15/2010         | Common Stock   | 33,000                           | \$ 28.75   | D  | Â  |
| Non-Qualified Stock Option (right to buy)     | 02/28/2003   | 02/28/2011         | Common Stock   | 5,000                            | \$ 20.9  | D  | Â  |
| Incentive Stock Option (right to buy)         | 02/28/2005   | 02/28/2011         | Common Stock   | 5,000                            | \$ 20.9  | D  | Â  |
| Non-Qualified Stock Option (right to buy)     | 05/04/2001   | 05/04/2011         | Common Stock   | 2,500                            | \$ 20.68   | D  | Â  |
| Non-Qualified Stock Option (right to buy)     | 07/18/2004   | 07/18/2011         | Common Stock   | 8,327                            | \$ 15.02   | D  | Â  |
| Incentive Stock Option (right to buy)         | 07/18/2005   | 07/18/2011         | Common Stock   | 3,173                            | \$ 15.02   | D  | Â  |
| Non-Qualified Stock Option (right to buy)     | 07/18/2005   | 07/18/2011         | Common Stock   | 55,000                           | \$ 15.02   | D  | Â  |
| Non-Qualified Stock Option (right to buy)     | 09/24/2005   | 09/24/2011         | Common Stock   | 13,300                           | \$ 10.2  | D  | Â  |
| Non-Qualified Stock Option (right to buy)     | 02/27/2005   | 02/27/2012         | Common Stock   | 13,993                           | \$ 13.11   | D  | Â  |
| Incentive Stock Option (right to buy)         | 02/27/2006   | 02/27/2012         | Common Stock   | 6,007                            | \$ 13.11   | D  | Â  |
| Non-Qualified Stock Option (right to buy)     | 11/08/2006   | 11/08/2012         | Common Stock   | 16,000                           | \$ 9.71  | D  | Â  |

|   |       |            |              |        |           |   |   |
|---|-------|------------|--------------|--------|-----------|---|---|
| Non-Qualified Stock Option (right to buy) | Â (1) | 09/30/2011 | Common Stock | 21,898 | \$ 9.19   | D | Â |
| Non-Qualified Stock Option (right to buy) | Â (2) | 10/30/2013 | Common Stock | 20,271 | \$ 19.186 | D | Â |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                                  |       |
|---|---------------|-----------|----------------------------------|-------|
|   | Director      | 10% Owner | Officer                          | Other |
| Brigeman Benjamin L<br>C/O THE CHARLES SCHWAB CORPORATION<br>120 KEARNY STREET<br>SAN FRANCISCO, CA 94108 | Â             | Â         | Â EVP - Schwab Investor Services | Â     |

## Signatures

Benjamin L. Brigeman 07/09/2007

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options were granted on 09/30/2004 under the 2004 Stock Incentive Plan and vest 40% on the first anniversary of the grant date and 20% on the second, third and fourth anniversary of the grant date.
- (2) The options were granted on 10/30/2006 under the 2004 Stock Incentive Plan and vest in four equal installments beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.