

AMERICAN ELECTRIC POWER CO INC

Form 4

May 03, 2017

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Hillebrand Lana L

2. Issuer Name and Ticker or Trading Symbol  
AMERICAN ELECTRIC POWER CO INC [AEP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
05/01/2017

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Exec VP, Chief Admin Officer

1 RIVERSIDE PLAZA

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

COLUMBUS, OH 43215

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	05/01/2017		M		2,194 (1) \$ 67.64 (4)	2,194	D
Common Stock	05/01/2017		F		1,032 \$ 67.64 (4)	1,162	D
Common Stock	05/01/2017		D		1,162 \$ 67.64 (4)	0	D
Common Stock	05/01/2017		M		1,654 (2) \$ 67.64	1,654	D

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Common Stock	05/01/2017	F	778	D	<u>(4)</u> \$ 67.64	876	D
Common Stock	05/01/2017	D	876	D	<u>(4)</u> \$ 67.64	0	D
Common Stock	05/01/2017	M	<u>(3)</u> 1,252	A	<u>(4)</u> \$ 67.64	1,252	D
Common Stock	05/01/2017	F	589	D	<u>(4)</u> \$ 67.64	663	D
Common Stock	05/01/2017	D	663	D	<u>(4)</u> \$ 67.64	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V	(A)	(D)	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	05/01/2017		M		2,194		Common Stock	2,194
Restricted Stock Units	<u>(2)</u>	05/01/2017		M		1,654		Common Stock	1,654
Restricted Stock	<u>(3)</u>	05/01/2017		M		1,252		Common Stock	1,252

Units

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hillebrand Lana L 1 RIVERSIDE PLAZA COLUMBUS, OH 43215			Exec VP, Chief Admin Officer	

## Signatures

Thomas G. Berkemeyer, Attorney-in-Fact for Lana L.  
Hillebrand

05/03/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (1) A portion of Ms. Hillebrand's restricted stock units (2,194) that were granted on 12/10/2013 vested on 5/1/2017. Upon vesting, 1,032 restricted stock units were withheld to satisfy the reporting persons' tax liability and the remaining restricted stock units were settled in cash.
  - (2) A portion of Ms. Hillebrand's restricted stock units (1654) that were granted on 2/24/2015 vested on 5/1/2017. Upon vesting, 778 restricted stock units were withheld to satisfy the reporting persons' tax liability and the remaining restricted stock units were settled in cash.
  - (3) A portion of Ms. Hillebrand's restricted stock units (1,252) that were granted on 2/23/2016 vested on 5/1/2017. Upon vesting, 589 restricted stock units were withheld to satisfy the reporting persons' tax liability and the remaining restricted stock units were settled in cash.
  - (4) Value is based on 20 day average closing price of \$67.64.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.