

CORNERSTONE TOTAL RETURN FUND INC  
Form N-PX  
August 17, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT

INVESTMENT COMPANY

Investment Company Act file number 811-02363

Cornerstone Total Return Fund, Inc.

(Exact name of registrant as specified in charter)

225 Pictoria Drive, Suite 450, Cincinnati, OH 45246  
(Address of principal executive offices) (Zip code)

Benjamin V. Mollozzi, Esq.

c/o Ultimus Fund Solutions, LLC, 225 Pictoria Drive, Suite 450, Cincinnati, OH 45246

(Name and address of agent for service)

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Registrant's telephone number, including area code: (866) 668-6558

Date of fiscal year end: December 31

Date of reporting period: July 1, 2017 - June 30, 2018

## ITEM 1. PROXY VOTING RECORD.

Company Name	Ticker	CUSIP	Meeting Date	Proposal Number	Proposal Description	Proponent	Ballot Status	M
3M Company	MMM	88579Y101	5/8/2018	1	Elect Sondra L. Barbour	Mgmt	Voted	Fo
3M Company	MMM	88579Y101	5/8/2018	2	Elect Thomas K. Brown	Mgmt	Voted	Fo
3M Company	MMM	88579Y101	5/8/2018	3	Elect David B. Dillon	Mgmt	Voted	Fo
3M Company	MMM	88579Y101	5/8/2018	4	Elect Michael L. Eskew	Mgmt	Voted	Fo
3M Company	MMM	88579Y101	5/8/2018	5	Elect Herbert L. Henkel	Mgmt	Voted	Fo
3M Company	MMM	88579Y101	5/8/2018	6	Elect Amy E. Hood	Mgmt	Voted	Fo
3M Company	MMM	88579Y101	5/8/2018	7	Elect Muhtar Kent	Mgmt	Voted	Fo
3M Company	MMM	88579Y101	5/8/2018	8	Elect Edward M. Liddy	Mgmt	Voted	Fo
3M Company	MMM	88579Y101	5/8/2018	9	Elect Gregory R. Page	Mgmt	Voted	Fo
3M Company	MMM	88579Y101	5/8/2018	10	Elect Michael F. Roman	Mgmt	Voted	Fo
3M Company	MMM	88579Y101	5/8/2018	11	Elect Inge G. Thulin	Mgmt	Voted	Fo
3M Company	MMM	88579Y101	5/8/2018	12	Elect Patricia A. Woertz	Mgmt	Voted	Fo
3M Company	MMM	88579Y101	5/8/2018	13	Ratification of Auditor	Mgmt	Voted	Fo
3M Company	MMM	88579Y101	5/8/2018	14	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
3M Company	MMM	88579Y101	5/8/2018	15	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	A
3M Company	MMM	88579Y101	5/8/2018	16	Shareholder Proposal Regarding Employee Salary Considerations When Setting Executive Compensation	ShrHoldr	Voted	A
Abbott Laboratories	ABT	002824100	4/27/2018	1.1	Elect Robert J. Alpern	Mgmt	Voted	Fo
Abbott Laboratories	ABT	002824100	4/27/2018	1.2	Elect Roxanne S. Austin	Mgmt	Voted	Fo
Abbott Laboratories	ABT	002824100	4/27/2018	1.3	Elect Sally E. Blount	Mgmt	Voted	Fo
Abbott Laboratories	ABT	002824100	4/27/2018	1.4	Elect Edward M. Liddy	Mgmt	Voted	Fo

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Abbott Laboratories	ABT	002824100	4/27/2018	1.5	Elect Nancy McKinstry	Mgmt	Voted	Fo
Abbott Laboratories	ABT	002824100	4/27/2018	1.6	Elect Phebe N. Novakovic	Mgmt	Voted	Fo
Abbott Laboratories	ABT	002824100	4/27/2018	1.7	Elect William A. Osborn	Mgmt	Voted	Fo
Abbott Laboratories	ABT	002824100	4/27/2018	1.8	Elect Samuel C. Scott III	Mgmt	Voted	Fo
Abbott Laboratories	ABT	002824100	4/27/2018	1.9	Elect Daniel J. Starks	Mgmt	Voted	Fo
Abbott Laboratories	ABT	002824100	4/27/2018	1.10	Elect John G. Stratton	Mgmt	Voted	Fo
Abbott Laboratories	ABT	002824100	4/27/2018	1.11	Elect Glenn F. Tilton	Mgmt	Voted	Fo
Abbott Laboratories	ABT	002824100	4/27/2018	1.12	Elect Miles D. White	Mgmt	Voted	Fo
Abbott Laboratories	ABT	002824100	4/27/2018	2	Ratification of Auditor	Mgmt	Voted	Fo
Abbott Laboratories	ABT	002824100	4/27/2018	3	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Abbott Laboratories	ABT	002824100	4/27/2018	4	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	Ap
AbbVie Inc.	ABBV	00287Y109	5/4/2018	1.1	Elect Roxanne S. Austin	Mgmt	Voted	Fo
AbbVie Inc.	ABBV	00287Y109	5/4/2018	1.2	Elect Richard A. Gonzalez	Mgmt	Voted	Fo
AbbVie Inc.	ABBV	00287Y109	5/4/2018	1.3	Elect Rebecca B. Roberts	Mgmt	Voted	Fo
AbbVie Inc.	ABBV	00287Y109	5/4/2018	1.4	Elect Glenn F. Tilton	Mgmt	Voted	Fo
AbbVie Inc.	ABBV	00287Y109	5/4/2018	2	Ratification of Auditor	Mgmt	Voted	Fo
AbbVie Inc.	ABBV	00287Y109	5/4/2018	3	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
AbbVie Inc.	ABBV	00287Y109	5/4/2018	4	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
AbbVie Inc.	ABBV	00287Y109	5/4/2018	5	Repeal of Classified Board	Mgmt	Voted	Fo
AbbVie Inc.	ABBV	00287Y109	5/4/2018	6	Elimination of Supermajority Requirement	Mgmt	Voted	Fo
AbbVie Inc.	ABBV	00287Y109	5/4/2018	7	Shareholder Proposal	ShrHoldr	Voted	Ap

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						Regarding Lobbying Report			
AbbVie Inc.	ABBV	00287Y109	5/4/2018	8		Shareholder Proposal Regarding Independent Board Chair	ShrHolder	Voted	A
AbbVie Inc.	ABBV	00287Y109	5/4/2018	9		Shareholder Proposal Regarding Report on Linking Executive Compensation to Drug Pricing	ShrHolder	Voted	A
Aberdeen Chile Fund, Inc.	CH	00301W105	1/26/2018	1		Increase of Authorized Common Stock	Mgmt	Voted	F
Aberdeen Chile Fund, Inc.	CH	00301W105	1/26/2018	2		Issuance of Common Stock	Mgmt	Voted	F
Aberdeen Chile Fund, Inc.	CH	00301W105	1/26/2018	3		Approve the Elimination of the Fund's Fundamental Investment Policy to Invest Primarily in Chilean Securities	Mgmt	Voted	F
Aberdeen Chile Fund, Inc.	CH	00301W105	1/26/2018	4		Amendment to Fundamental Investment Restriction Regarding Borrowing	Mgmt	Voted	F
Aberdeen Chile Fund, Inc.	CH	00301W105	1/26/2018	5		Amendment to the Investment Advisory Agreement	Mgmt	Voted	F
Aberdeen Chile Fund, Inc.	CH	00301W105	1/26/2018	1		Elect Nancy Yao Maasbach	Mgmt	Voted	F
Aberdeen Chile Fund, Inc.	CH	00301W105	1/26/2018	2		Elect C. William Maher	Mgmt	Voted	F
Aberdeen Chile Fund, Inc.	CH	00301W105	1/26/2018	3		Elect Rahn K. Porter	Mgmt	Voted	F
Aberdeen Singapore Fund Inc	SGF	003244100	4/2/2018	1		Reorganizations of the Aberdeen Singapore Fund, Inc. into the Aberdeen Chile Fund	Mgmt	Voted	N
	ADX	006212104	4/19/2018	1.1		Elect Enrique R. Arzac	Mgmt	Voted	F

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Adams Diversified  
Equity Fund

Adams Diversified Equity Fund	ADX	006212104	4/19/2018	1.2	Elect Kenneth J. Dale	Mgmt	Voted	Fo
Adams Diversified Equity Fund	ADX	006212104	4/19/2018	1.3	Elect Frederic A. Escherich	Mgmt	Voted	Fo
Adams Diversified Equity Fund	ADX	006212104	4/19/2018	1.4	Elect Roger W. Gale	Mgmt	Voted	Fo
Adams Diversified Equity Fund	ADX	006212104	4/19/2018	1.5	Elect Lauriann C. Kloppenburg	Mgmt	Voted	Fo
Adams Diversified Equity Fund	ADX	006212104	4/19/2018	1.6	Elect Kathleen T. McGahran	Mgmt	Voted	Fo
Adams Diversified Equity Fund	ADX	006212104	4/19/2018	1.7	Elect Craig R. Smith	Mgmt	Voted	Fo
Adams Diversified Equity Fund	ADX	006212104	4/19/2018	1.8	Elect Mark E. Stoeckle	Mgmt	Voted	Fo
Adams Diversified Equity Fund	ADX	006212104	4/19/2018	2	Ratification of Auditor	Mgmt	Voted	Fo
Adams Natural Resources Fund Inc	PEO	00548F105	4/19/2018	1.1	Elect Enrique R. Arzac	Mgmt	Voted	Fo
Adams Natural Resources Fund Inc	PEO	00548F105	4/19/2018	1.2	Elect Kenneth J. Dale	Mgmt	Voted	Fo
Adams Natural Resources Fund Inc	PEO	00548F105	4/19/2018	1.3	Elect Frederic A. Escherich	Mgmt	Voted	Fo
Adams Natural Resources Fund Inc	PEO	00548F105	4/19/2018	1.4	Elect Roger W. Gale	Mgmt	Voted	Fo
Adams Natural Resources Fund Inc	PEO	00548F105	4/19/2018	1.5	Elect Lauriann C. Kloppenburg	Mgmt	Voted	Fo

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Adams Natural Resources Fund Inc	PEO	00548F105	4/19/2018	1.6	Elect Kathleen T. McGahran	Mgmt	Voted	Fo
Adams Natural Resources Fund Inc	PEO	00548F105	4/19/2018	1.7	Elect Craig R. Smith	Mgmt	Voted	Fo
Adams Natural Resources Fund Inc	PEO	00548F105	4/19/2018	1.8	Elect Mark E. Stoeckle	Mgmt	Voted	Fo
Adams Natural Resources Fund Inc	PEO	00548F105	4/19/2018	2	Ratification of Auditor	Mgmt	Voted	Fo
Adobe Systems Incorporated	ADBE	00724F101	4/12/2018	1	Elect Amy L. Banse	Mgmt	Voted	Fo
Adobe Systems Incorporated	ADBE	00724F101	4/12/2018	2	Elect Edward W. Barnholt	Mgmt	Voted	Fo
Adobe Systems Incorporated	ADBE	00724F101	4/12/2018	3	Elect Robert K. Burgess	Mgmt	Voted	Fo
Adobe Systems Incorporated	ADBE	00724F101	4/12/2018	4	Elect Frank A. Calderoni	Mgmt	Voted	Fo
Adobe Systems Incorporated	ADBE	00724F101	4/12/2018	5	Elect James E. Daley	Mgmt	Voted	Fo
Adobe Systems Incorporated	ADBE	00724F101	4/12/2018	6	Elect Laura B. Desmond	Mgmt	Voted	Fo
Adobe Systems Incorporated	ADBE	00724F101	4/12/2018	7	Elect Charles M. Geschke	Mgmt	Voted	Fo
Adobe Systems Incorporated	ADBE	00724F101	4/12/2018	8	Elect Shantanu Narayen	Mgmt	Voted	Fo
Adobe Systems Incorporated	ADBE	00724F101	4/12/2018	9	Elect Daniel Rosensweig	Mgmt	Voted	Fo
Adobe Systems Incorporated	ADBE	00724F101	4/12/2018	10	Elect John E. Warnock	Mgmt	Voted	Fo
Adobe Systems Incorporated	ADBE	00724F101	4/12/2018	11	Amendment to the 2003 Equity Incentive Plan	Mgmt	Voted	Fo
Adobe Systems Incorporated	ADBE	00724F101	4/12/2018	12	Ratification of Auditor	Mgmt	Voted	Fo
Adobe Systems Incorporated	ADBE	00724F101	4/12/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Aetna Inc.	AET	00817Y108	5/18/2018	1	Elect Fernando Aguirre	Mgmt	Voted	Fo

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Aetna Inc.	AET	00817Y108	5/18/2018	2	Elect Mark T. Bertolini	Mgmt	Voted	Fo
Aetna Inc.	AET	00817Y108	5/18/2018	3	Elect Frank M. Clark, Jr.	Mgmt	Voted	Fo
Aetna Inc.	AET	00817Y108	5/18/2018	4	Elect Molly J. Coye	Mgmt	Voted	Fo
Aetna Inc.	AET	00817Y108	5/18/2018	5	Elect Roger N. Farah	Mgmt	Voted	Fo
Aetna Inc.	AET	00817Y108	5/18/2018	6	Elect Jeffrey E. Garten	Mgmt	Voted	Fo
Aetna Inc.	AET	00817Y108	5/18/2018	7	Elect Ellen M. Hancock	Mgmt	Voted	Fo
Aetna Inc.	AET	00817Y108	5/18/2018	8	Elect Richard J. Harrington	Mgmt	Voted	Fo
Aetna Inc.	AET	00817Y108	5/18/2018	9	Elect Edward J. Ludwig	Mgmt	Voted	Fo
Aetna Inc.	AET	00817Y108	5/18/2018	10	Elect Olympia J. Snowe	Mgmt	Voted	Fo
Aetna Inc.	AET	00817Y108	5/18/2018	11	Ratification of Auditor	Mgmt	Voted	Fo
Aetna Inc.	AET	00817Y108	5/18/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Aetna Inc.	AET	00817Y108	5/18/2018	13	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	Ap
Aetna Inc.	AET	00817Y108	5/18/2018	14	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	Ap
Air Products and Chemicals, Inc.	APD	009158106	1/25/2018	1	Elect Susan K. Carter	Mgmt	Voted	Fo
Air Products and Chemicals, Inc.	APD	009158106	1/25/2018	2	Elect Charles I. Cogut	Mgmt	Voted	Fo
Air Products and Chemicals, Inc.	APD	009158106	1/25/2018	3	Elect Seifi Ghasemi	Mgmt	Voted	Fo
Air Products and Chemicals, Inc.	APD	009158106	1/25/2018	4	Elect Chadwick C. Deaton	Mgmt	Voted	Fo
Air Products and Chemicals, Inc.	APD	009158106	1/25/2018	5	Elect David H. Y. Ho	Mgmt	Voted	Fo
Air Products and Chemicals, Inc.	APD	009158106	1/25/2018	6	Elect Margaret G. McGlynn	Mgmt	Voted	Fo
Air Products and Chemicals, Inc.	APD	009158106	1/25/2018	7	Elect Edward L. Monser	Mgmt	Voted	Fo
Air Products and Chemicals, Inc.	APD	009158106	1/25/2018	8	Elect Matthew H. Paull	Mgmt	Voted	Fo
	APD	009158106	1/25/2018	9		Mgmt	Voted	Fo



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Air Products and Chemicals, Inc.					Advisory Vote on Executive Compensation			
Air Products and Chemicals, Inc.	APD	009158106	1/25/2018	10	Ratification of Auditor	Mgmt	Voted	Fo
Air Products and Chemicals, Inc.	APD	009158106	1/25/2018	11	Approval of Performance Terms under LTIP (Proposal Withdrawn)	Mgmt	Voted	Fo
Allergan plc	AGN	G0177J108	5/2/2018	1	Elect Nesli Basgoz	Mgmt	Voted	Fo
Allergan plc	AGN	G0177J108	5/2/2018	2	Elect Paul M. Bisaro	Mgmt	Voted	Fo
Allergan plc	AGN	G0177J108	5/2/2018	3	Elect Joseph H. Boccuzzi	Mgmt	Voted	Fo
Allergan plc	AGN	G0177J108	5/2/2018	4	Elect Christopher W. Bodine	Mgmt	Voted	Fo
Allergan plc	AGN	G0177J108	5/2/2018	5	Elect Adriane M. Brown	Mgmt	Voted	Fo
Allergan plc	AGN	G0177J108	5/2/2018	6	Elect Christopher J. Coughlin	Mgmt	Voted	Fo
Allergan plc	AGN	G0177J108	5/2/2018	7	Elect Carol Anthony Davidson	Mgmt	Voted	Fo
Allergan plc	AGN	G0177J108	5/2/2018	8	Elect Catherine M. Klema	Mgmt	Voted	Fo
Allergan plc	AGN	G0177J108	5/2/2018	9	Elect Peter J. McDonnell	Mgmt	Voted	Fo
Allergan plc	AGN	G0177J108	5/2/2018	10	Elect Patrick J. O'Sullivan	Mgmt	Voted	Fo
Allergan plc	AGN	G0177J108	5/2/2018	11	Elect Brenton L. Saunders	Mgmt	Voted	Fo
Allergan plc	AGN	G0177J108	5/2/2018	12	Elect Fred G. Weiss	Mgmt	Voted	Fo
Allergan plc	AGN	G0177J108	5/2/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Allergan plc	AGN	G0177J108	5/2/2018	14	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	Fo
Allergan plc	AGN	G0177J108	5/2/2018	15	Authority to Issue Shares w/ Preemptive Rights	Mgmt	Voted	Fo
Allergan plc	AGN	G0177J108	5/2/2018	16	Authority to Issue Shares w/o Preemptive	Mgmt	Voted	Fo

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					Rights			
Allergan plc	AGN	G0177J108	5/2/2018	17	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	Voted	Fe
Allergan plc	AGN	G0177J108	5/2/2018	18	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	Ap
Alpine Global Premier Properties Fund	AWP	02083A103	3/14/2018	1	Amendment to the Investment Advisory Agreement	Mgmt	Voted	Fe
Alpine Global Premier Properties Fund	AWP	02083A103	3/14/2018	2	Approval of a Sub-Advisory Agreement with Aberdeen Asset Management Inc.	Mgmt	Voted	Fe
Alpine Global Premier Properties Fund	AWP	02083A103	3/14/2018	3.1	Elect John Sievwright	Mgmt	Voted	Fe
Alpine Global Premier Properties Fund	AWP	02083A103	3/14/2018	3.2	Elect P. Gerald Malone	Mgmt	Voted	Fe
Alpine Global Premier Properties Fund	AWP	02083A103	3/14/2018	3.3	Elect Nancy Yao Maasbach	Mgmt	Voted	Fe
Alpine Global Premier Properties Fund	AWP	02083A103	3/14/2018	3.4	Elect Martin Gilbert	Mgmt	Voted	Fe
Alpine Total Dynamic Dividend Fund	AOD	021060207	3/14/2018	1	Amendment to the Investment Advisory Agreement	Mgmt	Voted	Na
Alpine Total Dynamic Dividend Fund	AOD	021060207	3/14/2018	2	Elect John Sievwright	Mgmt	Voted	Na
Alpine Total Dynamic Dividend Fund	AOD	021060207	3/14/2018	3	Elect P. Gerald Malone	Mgmt	Voted	Na
Alpine Total Dynamic Dividend Fund	AOD	021060207	3/14/2018	4	Elect Nancy Yao Maasbach	Mgmt	Voted	Na
Alpine Total Dynamic Dividend Fund	AOD	021060207	3/14/2018	5	Elect Martin Gilbert	Mgmt	Voted	Na

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Altria Group, Inc.	MO	02209S103	5/17/2018	1	Elect John T. Casteen III	Mgmt	Voted	Fo
Altria Group, Inc.	MO	02209S103	5/17/2018	2	Elect Dinyar S. Devitre	Mgmt	Voted	Fo
Altria Group, Inc.	MO	02209S103	5/17/2018	3	Elect Thomas F. Farrell II	Mgmt	Voted	Fo
Altria Group, Inc.	MO	02209S103	5/17/2018	4	Elect Debra J. Kelly-Ennis	Mgmt	Voted	Fo
Altria Group, Inc.	MO	02209S103	5/17/2018	5	Elect W. Leo Kiely III	Mgmt	Voted	Fo
Altria Group, Inc.	MO	02209S103	5/17/2018	6	Elect Kathryn B. McQuade	Mgmt	Voted	Fo
Altria Group, Inc.	MO	02209S103	5/17/2018	7	Elect George Munoz	Mgmt	Voted	Fo
Altria Group, Inc.	MO	02209S103	5/17/2018	8	Elect Mark E. Newman	Mgmt	Voted	Fo
Altria Group, Inc.	MO	02209S103	5/17/2018	9	Elect Nabil Y. Sakkab	Mgmt	Voted	Fo
Altria Group, Inc.	MO	02209S103	5/17/2018	10	Elect Virginia E. Shanks	Mgmt	Voted	Fo
Altria Group, Inc.	MO	02209S103	5/17/2018	11	Elect Howard Willard III	Mgmt	Voted	Fo
Altria Group, Inc.	MO	02209S103	5/17/2018	12	Ratification of Auditor	Mgmt	Voted	Fo
Altria Group, Inc.	MO	02209S103	5/17/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Altria Group, Inc.	MO	02209S103	5/17/2018	14	Shareholder Proposal Regarding Nicotine Levels in Tobacco Products	ShrHoldr	Voted	Ap
Amazon.com, Inc.	AMZN	023135106	5/30/2018	1	Elect Jeffrey P. Bezos	Mgmt	Voted	Fo
Amazon.com, Inc.	AMZN	023135106	5/30/2018	2	Elect Tom A. Alberg	Mgmt	Voted	Fo
Amazon.com, Inc.	AMZN	023135106	5/30/2018	3	Elect Jamie S. Gorelick	Mgmt	Voted	Fo
Amazon.com, Inc.	AMZN	023135106	5/30/2018	4	Elect Daniel P. Huttenlocher	Mgmt	Voted	Fo
Amazon.com, Inc.	AMZN	023135106	5/30/2018	5	Elect Judith A. McGrath	Mgmt	Voted	Fo
Amazon.com, Inc.	AMZN	023135106	5/30/2018	6	Elect Jonathan J. Rubinstein	Mgmt	Voted	Fo
Amazon.com, Inc.	AMZN	023135106	5/30/2018	7	Elect Thomas O. Ryder	Mgmt	Voted	Fo
Amazon.com, Inc.	AMZN	023135106	5/30/2018	8	Elect Patricia Q. Stonesifer	Mgmt	Voted	Fo
Amazon.com, Inc.	AMZN	023135106	5/30/2018	9	Elect Wendell P. Weeks	Mgmt	Voted	Fo

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Amazon.com, Inc.	AMZN	023135106	5/30/2018	10	Ratification of Auditor	Mgmt	Voted	Fo
Amazon.com, Inc.	AMZN	023135106	5/30/2018	11	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Amazon.com, Inc.	AMZN	023135106	5/30/2018	12	Shareholder Proposal Regarding Board Diversity	ShrHoldr	Voted	Ap
Amazon.com, Inc.	AMZN	023135106	5/30/2018	13	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	Ap
Amazon.com, Inc.	AMZN	023135106	5/30/2018	14	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Voted	Ap
American Electric Power Company, Inc.	AEP	025537101	4/24/2018	1	Elect Nicholas K. Akins	Mgmt	Voted	Fo
American Electric Power Company, Inc.	AEP	025537101	4/24/2018	2	Elect David J. Anderson	Mgmt	Voted	Fo
American Electric Power Company, Inc.	AEP	025537101	4/24/2018	3	Elect J. Barnie Beasley, Jr.	Mgmt	Voted	Fo
American Electric Power Company, Inc.	AEP	025537101	4/24/2018	4	Elect Ralph D. Crosby, Jr.	Mgmt	Voted	Fo
American Electric Power Company, Inc.	AEP	025537101	4/24/2018	5	Elect Linda A. Goodspeed	Mgmt	Voted	Fo
American Electric Power Company, Inc.	AEP	025537101	4/24/2018	6	Elect Thomas E. Hoaglin	Mgmt	Voted	Fo
American Electric Power Company, Inc.	AEP	025537101	4/24/2018	7	Elect Sandra Beach Lin	Mgmt	Voted	Fo
American Electric Power Company, Inc.	AEP	025537101	4/24/2018	8	Elect Richard C. Notebaert	Mgmt	Voted	Fo
American Electric Power Company, Inc.	AEP	025537101	4/24/2018	9	Elect Lionel L. Nowell, III	Mgmt	Voted	Fo
American Electric Power Company, Inc.	AEP	025537101	4/24/2018	10	Elect Stephen S. Rasmussen	Mgmt	Voted	Fo
American Electric Power Company, Inc.	AEP	025537101	4/24/2018	11	Elect Oliver G. Richard, III	Mgmt	Voted	Fo
American Electric Power Company, Inc.	AEP	025537101	4/24/2018	12	Elect Sara Martinez Tucker	Mgmt	Voted	Fo
American Electric Power Company, Inc.	AEP	025537101	4/24/2018	13	Ratification of Auditor	Mgmt	Voted	Fo
	AEP	025537101	4/24/2018	14		Mgmt	Voted	Fo

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American Electric Power Company, Inc.						Advisory Vote on Executive Compensation			
American Express Company	AXP	025816109	5/7/2018	1		Elect Charlene Barshefsky	Mgmt	Voted	Fo
American Express Company	AXP	025816109	5/7/2018	2		Elect John J. Brennan	Mgmt	Voted	Fo
American Express Company	AXP	025816109	5/7/2018	3		Elect Peter Chernin	Mgmt	Voted	Fo
American Express Company	AXP	025816109	5/7/2018	4		Elect Ralph de la Vega	Mgmt	Voted	Fo
American Express Company	AXP	025816109	5/7/2018	5		Elect Anne M. A. Lauvergeon	Mgmt	Voted	Fo
American Express Company	AXP	025816109	5/7/2018	6		Elect Micheal O. Leavitt	Mgmt	Voted	Fo
American Express Company	AXP	025816109	5/7/2018	7		Elect Theodore Leonsis	Mgmt	Voted	Fo
American Express Company	AXP	025816109	5/7/2018	8		Elect Richard C. Levin	Mgmt	Voted	Fo
American Express Company	AXP	025816109	5/7/2018	9		Elect Samuel J. Palmisano	Mgmt	Voted	Fo
American Express Company	AXP	025816109	5/7/2018	10		Elect Stephen J. Squeri	Mgmt	Voted	Fo
American Express Company	AXP	025816109	5/7/2018	11		Elect Daniel L. Vasella	Mgmt	Voted	Fo
American Express Company	AXP	025816109	5/7/2018	12		Elect Ronald A. Williams	Mgmt	Voted	Fo
American Express Company	AXP	025816109	5/7/2018	13		Elect Christopher Young	Mgmt	Voted	Fo
American Express Company	AXP	025816109	5/7/2018	14		Ratification of Auditor	Mgmt	Voted	Fo
American Express Company	AXP	025816109	5/7/2018	15		Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
American Express Company	AXP	025816109	5/7/2018	16		Shareholder Proposal Regarding Right to Act By Written Consent	ShrHoldr	Voted	A
American Express Company	AXP	025816109	5/7/2018	17		Shareholder Proposal Regarding Independent Chair	ShrHoldr	Voted	A

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Ameriprise Financial, Inc.	AMP	03076C106	4/25/2018	1	Elect James M. Cracchiolo	Mgmt	Voted	Fo
Ameriprise Financial, Inc.	AMP	03076C106	4/25/2018	2	Elect Dianne Neal Blixt	Mgmt	Voted	Fo
Ameriprise Financial, Inc.	AMP	03076C106	4/25/2018	3	Elect Amy DiGesio	Mgmt	Voted	Fo
Ameriprise Financial, Inc.	AMP	03076C106	4/25/2018	4	Elect Lon R. Greenberg	Mgmt	Voted	Fo
Ameriprise Financial, Inc.	AMP	03076C106	4/25/2018	5	Elect Jeffrey Noddle	Mgmt	Voted	Fo
Ameriprise Financial, Inc.	AMP	03076C106	4/25/2018	6	Elect Robert F. Sharpe, Jr.	Mgmt	Voted	Fo
Ameriprise Financial, Inc.	AMP	03076C106	4/25/2018	7	Elect Christopher J. Williams	Mgmt	Voted	Fo
Ameriprise Financial, Inc.	AMP	03076C106	4/25/2018	8	Elect W. Edward Walter	Mgmt	Voted	Fo
Ameriprise Financial, Inc.	AMP	03076C106	4/25/2018	9	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Ameriprise Financial, Inc.	AMP	03076C106	4/25/2018	10	Ratification of Auditor	Mgmt	Voted	Fo
Ameriprise Financial, Inc.	AMP	03076C106	4/25/2018	11	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Voted	Ap
AMGEN Inc.	AMGN	031162100	5/22/2018	1	Elect Wanda M. Austin	Mgmt	Voted	Fo
AMGEN Inc.	AMGN	031162100	5/22/2018	2	Elect Robert A. Bradway	Mgmt	Voted	Fo
AMGEN Inc.	AMGN	031162100	5/22/2018	3	Elect Brian J. Druker	Mgmt	Voted	Fo
AMGEN Inc.	AMGN	031162100	5/22/2018	4	Elect Robert A. Eckert	Mgmt	Voted	Fo
AMGEN Inc.	AMGN	031162100	5/22/2018	5	Elect Greg C. Garland	Mgmt	Voted	Fo
AMGEN Inc.	AMGN	031162100	5/22/2018	6	Elect Fred Hassan	Mgmt	Voted	Fo
AMGEN Inc.	AMGN	031162100	5/22/2018	7	Elect Rebecca M. Henderson	Mgmt	Voted	Fo
AMGEN Inc.	AMGN	031162100	5/22/2018	8	Elect Frank C. Herringer	Mgmt	Voted	Fo
AMGEN Inc.	AMGN	031162100	5/22/2018	9	Elect Charles M. Holley, Jr.	Mgmt	Voted	Fo

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AMGEN Inc.	AMGN	031162100	5/22/2018	10	Elect Tyler Jacks	Mgmt	Voted	Fo
AMGEN Inc.	AMGN	031162100	5/22/2018	11	Elect Ellen J. Kullman	Mgmt	Voted	Fo
AMGEN Inc.	AMGN	031162100	5/22/2018	12	Elect Ronald D. Sugar	Mgmt	Voted	Fo
AMGEN Inc.	AMGN	031162100	5/22/2018	13	Elect R. Sanders Williams	Mgmt	Voted	Fo
AMGEN Inc.	AMGN	031162100	5/22/2018	14	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
AMGEN Inc.	AMGN	031162100	5/22/2018	15	Ratification of Auditor	Mgmt	Voted	Fo
AMGEN Inc.	AMGN	031162100	5/22/2018	16	Shareholder Proposal Regarding Report on Linking Executive Compensation to Drug Pricing	ShrHoldr	Voted	Ap
Amphenol Corporation	APH	032095101	5/17/2018	1	Elect Ronald P. Badie	Mgmt	Voted	Fo
Amphenol Corporation	APH	032095101	5/17/2018	2	Elect Stanley L. Clark	Mgmt	Voted	Fo
Amphenol Corporation	APH	032095101	5/17/2018	3	Elect John D. Craig	Mgmt	Voted	Fo
Amphenol Corporation	APH	032095101	5/17/2018	4	Elect David P. Falck	Mgmt	Voted	Fo
Amphenol Corporation	APH	032095101	5/17/2018	5	Elect Edward G. Jepsen	Mgmt	Voted	Fo
Amphenol Corporation	APH	032095101	5/17/2018	6	Elect Martin H. Loeffler	Mgmt	Voted	Fo
Amphenol Corporation	APH	032095101	5/17/2018	7	Elect John R. Lord	Mgmt	Voted	Fo
Amphenol Corporation	APH	032095101	5/17/2018	8	Elect R. Adam Norwitt	Mgmt	Voted	Fo
Amphenol Corporation	APH	032095101	5/17/2018	9	Elect Diana G. Reardon	Mgmt	Voted	Fo
Amphenol Corporation	APH	032095101	5/17/2018	10	Elect Anne C. Wolff	Mgmt	Voted	Fo
Amphenol Corporation	APH	032095101	5/17/2018	11	Ratification of Auditor	Mgmt	Voted	Fo
Amphenol Corporation	APH	032095101	5/17/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo

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Amphenol Corporation	APH	032095101	5/17/2018	13	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	AP
Analog Devices, Inc.	ADI	032654105	3/14/2018	1	Elect Ray Stata	Mgmt	Voted	Fo
Analog Devices, Inc.	ADI	032654105	3/14/2018	2	Elect Vincent Roche	Mgmt	Voted	Fo
Analog Devices, Inc.	ADI	032654105	3/14/2018	3	Elect James A. Champy	Mgmt	Voted	Fo
Analog Devices, Inc.	ADI	032654105	3/14/2018	4	Elect Bruce R. Evans	Mgmt	Voted	Fo
Analog Devices, Inc.	ADI	032654105	3/14/2018	5	Elect Edward H. Frank	Mgmt	Voted	Fo
Analog Devices, Inc.	ADI	032654105	3/14/2018	6	Elect Mark M. Little	Mgmt	Voted	Fo
Analog Devices, Inc.	ADI	032654105	3/14/2018	7	Elect Neil Novich	Mgmt	Voted	Fo
Analog Devices, Inc.	ADI	032654105	3/14/2018	8	Elect Kenton J. Sicchitano	Mgmt	Voted	Fo
Analog Devices, Inc.	ADI	032654105	3/14/2018	9	Elect Lisa T. Su	Mgmt	Voted	Fo
Analog Devices, Inc.	ADI	032654105	3/14/2018	10	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Analog Devices, Inc.	ADI	032654105	3/14/2018	11	Ratification of Auditor	Mgmt	Voted	Fo
Aon plc	AON	G0408V102	6/22/2018	1	Elect Lester B. Knight	Mgmt	Voted	Fo
Aon plc	AON	G0408V102	6/22/2018	2	Elect Gregory C. Case	Mgmt	Voted	Fo
Aon plc	AON	G0408V102	6/22/2018	3	Elect Jin-Yong Cai	Mgmt	Voted	Fo
Aon plc	AON	G0408V102	6/22/2018	4	Elect Jeffrey C. Campbell	Mgmt	Voted	Fo
Aon plc	AON	G0408V102	6/22/2018	5	Elect Fulvio Conti	Mgmt	Voted	Fo
Aon plc	AON	G0408V102	6/22/2018	6	Elect Cheryl Francis	Mgmt	Voted	Fo
Aon plc	AON	G0408V102	6/22/2018	7	Elect J. Michael Losh	Mgmt	Voted	Fo
Aon plc	AON	G0408V102	6/22/2018	8	Elect Richard B. Myers	Mgmt	Voted	Fo
Aon plc	AON	G0408V102	6/22/2018	9	Elect Richard C. Notebaert	Mgmt	Voted	Fo
Aon plc	AON	G0408V102	6/22/2018	10	Elect Gloria Santona	Mgmt	Voted	Fo
Aon plc	AON	G0408V102	6/22/2018	11	Elect Carolyn Y. Woo	Mgmt	Voted	Fo
Aon plc	AON	G0408V102	6/22/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Aon plc	AON	G0408V102	6/22/2018	13	Remuneration Report (Advisory)	Mgmt	Voted	Fo
Aon plc	AON	G0408V102	6/22/2018	14	Accounts and Reports	Mgmt	Voted	Fo



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Aon plc	AON	G0408V102	6/22/2018	15	Ratification of Auditor	Mgmt	Voted	FO
Aon plc	AON	G0408V102	6/22/2018	16	Ratification of Statutory Auditor	Mgmt	Voted	FO
Aon plc	AON	G0408V102	6/22/2018	17	Authority to Set Auditor's Fees	Mgmt	Voted	FO
Aon plc	AON	G0408V102	6/22/2018	18	Approval of Share Repurchase Contracts and Repurchase Counterparties	Mgmt	Voted	FO
Aon plc	AON	G0408V102	6/22/2018	19	Authority to Issue Shares w/ Preemptive Rights	Mgmt	Voted	FO
Aon plc	AON	G0408V102	6/22/2018	20	Authority to Issue Shares w/o Preemptive Rights	Mgmt	Voted	FO
Aon plc	AON	G0408V102	6/22/2018	21	Authorisation of Political Donations	Mgmt	Voted	FO
Apple Inc.	AAPL	037833100	2/13/2018	1	Elect James A. Bell	Mgmt	Voted	FO
Apple Inc.	AAPL	037833100	2/13/2018	2	Elect Timothy D. Cook	Mgmt	Voted	FO
Apple Inc.	AAPL	037833100	2/13/2018	3	Elect Albert A. Gore	Mgmt	Voted	FO
Apple Inc.	AAPL	037833100	2/13/2018	4	Elect Robert A. Iger	Mgmt	Voted	FO
Apple Inc.	AAPL	037833100	2/13/2018	5	Elect Andrea Jung	Mgmt	Voted	FO
Apple Inc.	AAPL	037833100	2/13/2018	6	Elect Arthur D. Levinson	Mgmt	Voted	FO
Apple Inc.	AAPL	037833100	2/13/2018	7	Elect Ronald D. Sugar	Mgmt	Voted	FO
Apple Inc.	AAPL	037833100	2/13/2018	8	Elect Susan L. Wagner	Mgmt	Voted	FO
Apple Inc.	AAPL	037833100	2/13/2018	9	Ratification of Auditor	Mgmt	Voted	FO
Apple Inc.	AAPL	037833100	2/13/2018	10	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
Apple Inc.	AAPL	037833100	2/13/2018	11	Approve the Amended and Restated Apple Inc. Non-Employee Director Stock Plan	Mgmt	Voted	FO
Apple Inc.	AAPL	037833100	2/13/2018	12	Shareholder Proposal Regarding Amendments to Proxy Access Bylaw	ShrHoldr	Voted	AP
Apple Inc.	AAPL	037833100	2/13/2018	13		ShrHoldr	Voted	AP

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						Shareholder Proposal Regarding Formation of a Human Rights Committee			
Applied Materials, Inc.	AMAT	038222105	3/8/2018	1	Elect Judy Bruner	Mgmt	Voted	FO	
Applied Materials, Inc.	AMAT	038222105	3/8/2018	2	Elect Eric Chen	Mgmt	Voted	FO	
Applied Materials, Inc.	AMAT	038222105	3/8/2018	3	Elect Aart J. de Geus	Mgmt	Voted	FO	
Applied Materials, Inc.	AMAT	038222105	3/8/2018	4	Elect Gary E. Dickerson	Mgmt	Voted	FO	
Applied Materials, Inc.	AMAT	038222105	3/8/2018	5	Elect Stephen R. Forrest	Mgmt	Voted	FO	
Applied Materials, Inc.	AMAT	038222105	3/8/2018	6	Elect Thomas J. Iannotti	Mgmt	Voted	FO	
Applied Materials, Inc.	AMAT	038222105	3/8/2018	7	Elect Alexander A. Karsner	Mgmt	Voted	FO	
Applied Materials, Inc.	AMAT	038222105	3/8/2018	8	Elect Adrianna C. Ma	Mgmt	Voted	FO	
Applied Materials, Inc.	AMAT	038222105	3/8/2018	9	Elect Scott A. McGregor	Mgmt	Voted	FO	
Applied Materials, Inc.	AMAT	038222105	3/8/2018	10	Elect Dennis D. Powell	Mgmt	Voted	FO	
Applied Materials, Inc.	AMAT	038222105	3/8/2018	11	Advisory Vote on Executive Compensation	Mgmt	Voted	FO	
Applied Materials, Inc.	AMAT	038222105	3/8/2018	12	Ratification of Auditor	Mgmt	Voted	FO	
Applied Materials, Inc.	AMAT	038222105	3/8/2018	13	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Voted	AP	
Applied Materials, Inc.	AMAT	038222105	3/8/2018	14	Shareholder Proposal Regarding Diversity Report	ShrHoldr	Voted	AP	
Aptiv PLC	APTV	G27823106	4/26/2018	1	Elect Kevin P. Clark	Mgmt	Voted	FO	
Aptiv PLC	APTV	G27823106	4/26/2018	2	Elect Nancy E. Cooper	Mgmt	Voted	FO	
Aptiv PLC	APTV	G27823106	4/26/2018	3	Elect Frank J. Dellaquila	Mgmt	Voted	FO	
Aptiv PLC	APTV	G27823106	4/26/2018	4		Mgmt	Voted	FO	

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						Elect Nicholas M. Donofrio			
Aptiv PLC	APTV	G27823106	4/26/2018	5		Elect Mark Frissora	Mgmt	Voted	Fo
Aptiv PLC	APTV	G27823106	4/26/2018	6		Elect Rajiv L. Gupta	Mgmt	Voted	Fo
Aptiv PLC	APTV	G27823106	4/26/2018	7		Elect Sean O. Mahoney	Mgmt	Voted	Fo
Aptiv PLC	APTV	G27823106	4/26/2018	8		Elect Colin J. Parris	Mgmt	Voted	Fo
Aptiv PLC	APTV	G27823106	4/26/2018	9		Elect Ana G. Pinczuk	Mgmt	Voted	Fo
Aptiv PLC	APTV	G27823106	4/26/2018	10		Elect Thomas W. Sidlik	Mgmt	Voted	Fo
Aptiv PLC	APTV	G27823106	4/26/2018	11		Elect Lawrence A. Zimmerman	Mgmt	Voted	Fo
Aptiv PLC	APTV	G27823106	4/26/2018	12		Ratification of Auditor	Mgmt	Voted	Fo
Aptiv PLC	APTV	G27823106	4/26/2018	13		Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Aptiv PLC	APTV	G27823106	4/26/2018	14		Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
AT&T Inc.	T	00206R102	4/27/2018	1		Elect Randall L. Stephenson	Mgmt	Voted	Fo
AT&T Inc.	T	00206R102	4/27/2018	2		Elect Samuel A. Di Piazza, Jr.	Mgmt	Voted	Fo
AT&T Inc.	T	00206R102	4/27/2018	3		Elect Richard W. Fisher	Mgmt	Voted	Fo
AT&T Inc.	T	00206R102	4/27/2018	4		Elect Scott T. Ford	Mgmt	Voted	Fo
AT&T Inc.	T	00206R102	4/27/2018	5		Elect Glenn H. Hutchins	Mgmt	Voted	Fo
AT&T Inc.	T	00206R102	4/27/2018	6		Elect William E. Kennard	Mgmt	Voted	Fo
AT&T Inc.	T	00206R102	4/27/2018	7		Elect Michael B. McCallister	Mgmt	Voted	Fo
AT&T Inc.	T	00206R102	4/27/2018	8		Elect Beth E. Mooney	Mgmt	Voted	Fo
AT&T Inc.	T	00206R102	4/27/2018	9		Elect Joyce M. Roche	Mgmt	Voted	Fo
AT&T Inc.	T	00206R102	4/27/2018	10		Elect Matthew K. Rose	Mgmt	Voted	Fo
AT&T Inc.	T	00206R102	4/27/2018	11		Elect Cindy B. Taylor	Mgmt	Voted	Fo
AT&T Inc.	T	00206R102	4/27/2018	12		Elect Laura D'Andrea Tyson	Mgmt	Voted	Fo
AT&T Inc.	T	00206R102	4/27/2018	13		Elect Geoffrey Y. Yang	Mgmt	Voted	Fo
AT&T Inc.	T	00206R102	4/27/2018	14		Ratification of Auditor	Mgmt	Voted	Fo

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AT&T Inc.	T	00206R102	4/27/2018	15	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
AT&T Inc.	T	00206R102	4/27/2018	16	Stock Purchase and Deferral Plan	Mgmt	Voted	FO
AT&T Inc.	T	00206R102	4/27/2018	17	Approval of the 2018 Incentive Plan	Mgmt	Voted	FO
AT&T Inc.	T	00206R102	4/27/2018	18	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	AP
AT&T Inc.	T	00206R102	4/27/2018	19	Shareholder Proposal Regarding Amendments to Proxy Access Bylaw	ShrHoldr	Voted	AP
AT&T Inc.	T	00206R102	4/27/2018	20	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	AP
AT&T Inc.	T	00206R102	4/27/2018	21	Shareholder Proposal Regarding Lowering Threshold for Right to Act By Written Consent	ShrHoldr	Voted	AP
Bank of America Corporation	BAC	060505104	4/25/2018	1	Elect Sharon L. Allen	Mgmt	Voted	FO
Bank of America Corporation	BAC	060505104	4/25/2018	2	Elect Susan S. Bies	Mgmt	Voted	FO
Bank of America Corporation	BAC	060505104	4/25/2018	3	Elect Jack O. Bovender, Jr.	Mgmt	Voted	FO
Bank of America Corporation	BAC	060505104	4/25/2018	4	Elect Frank P. Bramble, Sr.	Mgmt	Voted	FO
Bank of America Corporation	BAC	060505104	4/25/2018	5	Elect Pierre J. P. de Weck	Mgmt	Voted	FO
Bank of America Corporation	BAC	060505104	4/25/2018	6	Elect Arnold W. Donald	Mgmt	Voted	FO
Bank of America Corporation	BAC	060505104	4/25/2018	7	Elect Linda P. Hudson	Mgmt	Voted	FO
Bank of America Corporation	BAC	060505104	4/25/2018	8	Elect Monica C. Lozano	Mgmt	Voted	FO
Bank of America Corporation	BAC	060505104	4/25/2018	9	Elect Thomas J. May	Mgmt	Voted	FO
Bank of America Corporation	BAC	060505104	4/25/2018	10	Elect Brian T. Moynihan	Mgmt	Voted	FO

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Bank of America Corporation	BAC	060505104	4/25/2018	11	Elect Lionel L. Nowell, III	Mgmt	Voted	Fo
Bank of America Corporation	BAC	060505104	4/25/2018	12	Elect Michael D. White	Mgmt	Voted	Fo
Bank of America Corporation	BAC	060505104	4/25/2018	13	Elect Thomas D. Woods	Mgmt	Voted	Fo
Bank of America Corporation	BAC	060505104	4/25/2018	14	Elect R. David Yost	Mgmt	Voted	Fo
Bank of America Corporation	BAC	060505104	4/25/2018	15	Elect Maria T. Zuber	Mgmt	Voted	Fo
Bank of America Corporation	BAC	060505104	4/25/2018	16	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Bank of America Corporation	BAC	060505104	4/25/2018	17	Ratification of Auditor	Mgmt	Voted	Fo
Bank of America Corporation	BAC	060505104	4/25/2018	18	Shareholder Proposal Regarding Independent Chair	ShrHoldr	Voted	Ap
Baxter International Inc.	BAX	071813109	5/8/2018	1	Elect Jose E. Almeida	Mgmt	Voted	Fo
Baxter International Inc.	BAX	071813109	5/8/2018	2	Elect Thomas F. Chen	Mgmt	Voted	Fo
Baxter International Inc.	BAX	071813109	5/8/2018	3	Elect John D. Forsyth	Mgmt	Voted	Fo
Baxter International Inc.	BAX	071813109	5/8/2018	4	Elect James R. Gavin III	Mgmt	Voted	Fo
Baxter International Inc.	BAX	071813109	5/8/2018	5	Elect Peter S. Hellman	Mgmt	Voted	Fo
Baxter International Inc.	BAX	071813109	5/8/2018	6	Elect Munib Islam	Mgmt	Voted	Fo
Baxter International Inc.	BAX	071813109	5/8/2018	7	Elect Michael F. Mahoney	Mgmt	Voted	Fo
Baxter International Inc.	BAX	071813109	5/8/2018	8	Elect Stephen N. Oesterle	Mgmt	Voted	Fo
Baxter International Inc.	BAX	071813109	5/8/2018	9	Elect Carole J. Shapazian	Mgmt	Voted	Fo
Baxter International Inc.	BAX	071813109	5/8/2018	10	Elect Cathy R. Smith	Mgmt	Voted	Fo
Baxter International Inc.	BAX	071813109	5/8/2018	11	Elect Thomas T. Stallkamp	Mgmt	Voted	Fo

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Baxter International Inc.	BAX	071813109	5/8/2018	12	Elect Albert P.L. Stroucken	Mgmt	Voted	Fo
Baxter International Inc.	BAX	071813109	5/8/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Baxter International Inc.	BAX	071813109	5/8/2018	14	Ratification of Auditor	Mgmt	Voted	Fo
Baxter International Inc.	BAX	071813109	5/8/2018	15	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	Ap
Baxter International Inc.	BAX	071813109	5/8/2018	16	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Voted	Ap
Blackrock Energy & Resources Trust	BGR	09250U101	7/25/2017	1.1	Elect Michael J. Castellano	Mgmt	Voted	Fo
Blackrock Energy & Resources Trust	BGR	09250U101	7/25/2017	1.2	Elect R. Glenn Hubbard	Mgmt	Voted	Fo
Blackrock Energy & Resources Trust	BGR	09250U101	7/25/2017	1.3	Elect W. Carl Kester	Mgmt	Voted	Fo
Blackrock Energy & Resources Trust	BGR	09250U101	7/25/2017	1.4	Elect John M. Perlowski	Mgmt	Voted	Fo
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/25/2017	1.1	Elect Michael J. Castellano	Mgmt	Voted	Fo
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/25/2017	1.2	Elect R. Glenn Hubbard	Mgmt	Voted	Fo
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/25/2017	1.3	Elect W. Carl Kester	Mgmt	Voted	Fo
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/25/2017	1.4	Elect John M. Perlowski	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	1	Elect Mathis Cabiallavetta	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	2	Elect Pamela Daley	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	3	Elect William S.	Mgmt	Voted	Fo

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BlackRock, Inc.	BLK	09247X101	5/23/2018	4	Elect Jessica P. Einhorn	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	5	Elect Laurence D. Fink	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	6	Elect William E. Ford	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	7	Elect Fabrizio Freda	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	8	Elect Murry S. Gerber	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	9	Elect Margaret L. Johnson	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	10	Elect Robert S. Kapito	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	11	Elect Sir Deryck C. Maughan	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	12	Elect Cheryl D. Mills	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	13	Elect Gordon M. Nixon	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	14	Elect Charles H. Robbins	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	15	Elect Ivan G. Seidenberg	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	16	Elect Marco Antonio Slim Domit	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	17	Elect Susan L. Wagner	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	18	Elect Mark Wilson	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	19	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	20	Amendment to the 1999 Stock Award and Incentive Plan	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	21	Ratification of Auditor	Mgmt	Voted	Fo
BlackRock, Inc.	BLK	09247X101	5/23/2018	22	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	Ap
Boston Scientific Corporation	BSX	101137107	5/10/2018	1	Elect Nelda J. Connors	Mgmt	Voted	Fo
Boston Scientific Corporation	BSX	101137107	5/10/2018	2	Elect Charles J. Dockendorff	Mgmt	Voted	Fo
Boston Scientific Corporation	BSX	101137107	5/10/2018	3	Elect Yoshiaki Fujimori	Mgmt	Voted	Fo

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Boston Scientific Corporation	BSX	101137107	5/10/2018	4	Elect Donna A. James	Mgmt	Voted	For
Boston Scientific Corporation	BSX	101137107	5/10/2018	5	Elect Edward J. Ludwig	Mgmt	Voted	For
Boston Scientific Corporation	BSX	101137107	5/10/2018	6	Elect Stephen P. MacMillan	Mgmt	Voted	For
Boston Scientific Corporation	BSX	101137107	5/10/2018	7	Elect Michael F. Mahoney	Mgmt	Voted	For
Boston Scientific Corporation	BSX	101137107	5/10/2018	8	Elect David J. Roux	Mgmt	Voted	For
Boston Scientific Corporation	BSX	101137107	5/10/2018	9	Elect John E. Sununu	Mgmt	Voted	For
Boston Scientific Corporation	BSX	101137107	5/10/2018	10	Elect Ellen M. Zane	Mgmt	Voted	For
Boston Scientific Corporation	BSX	101137107	5/10/2018	11	Advisory Vote on Executive Compensation	Mgmt	Voted	For
Boston Scientific Corporation	BSX	101137107	5/10/2018	12	Ratification of Auditor	Mgmt	Voted	For
BrandywineGLOBAL - Global Income Opportunities Fund Inc	BWG	10537L104	2/23/2018	1.1	Elect Robert D. Agdern	Mgmt	Voted	For
BrandywineGLOBAL - Global Income Opportunities Fund Inc	BWG	10537L104	2/23/2018	1.2	Elect Eileen A. Kamerick	Mgmt	Voted	For
BrandywineGLOBAL - Global Income Opportunities Fund Inc	BWG	10537L104	2/23/2018	1.3	Elect Riordan Roett	Mgmt	Voted	For
Brighthouse Financial, Inc.	BHF	10922N103	5/23/2018	1	Elect John D. McCallion	Mgmt	Voted	For
Brighthouse Financial, Inc.	BHF	10922N103	5/23/2018	2	Elect Diane E. Offereins	Mgmt	Voted	For
Brighthouse Financial, Inc.	BHF	10922N103	5/23/2018	3	Elect Patrick J. Shoumlin	Mgmt	Voted	For
Brighthouse Financial, Inc.	BHF	10922N103	5/23/2018	4	Ratification of Auditor	Mgmt	Voted	For
Brighthouse Financial, Inc.	BHF	10922N103	5/23/2018	5	Advisory Vote on Executive	Mgmt	Voted	For



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Compensation

Brighthouse Financial, Inc.	BHF	10922N103	5/23/2018	6	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
Brighthouse Financial, Inc.	BHF	10922N103	5/23/2018	7	Approval of the 2017 Stock and Incentive Compensation Plan	Mgmt	Voted	Fo
Brighthouse Financial, Inc.	BHF	10922N103	5/23/2018	8	Approval of the 2017 Non-Management Director Stock Compensation Plan	Mgmt	Voted	Fo
Brighthouse Financial, Inc.	BHF	10922N103	5/23/2018	9	Approval of Performance Goals Under Temporary Incentive Deferred Compensation Plan	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	1	Accounts and Reports	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	2	Remuneration Report (Advisory)	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	3	Appointment of Auditor	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	4	Authority to Set Auditor's Fees	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	5	Elect Richard Burrows	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	6	Elect Nicandro Durante	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	7	Elect Susan J. Farr	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	8	Elect Marion Helmes	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	9	Elect Savio Kwan	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	10	Elect Dimitri Panayotopoulos	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	11	Elect Kieran Poynter	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	12	Elect Ben Stevens	Mgmt	Voted	Fo

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British American Tobacco plc	BATS	110448107	4/25/2018	13	Elect Luc Jobin	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	14	Elect Holly K. Koepfel	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	15	Elect Lionel L. Nowell, III	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	16	Authority to Issue Shares w/ Preemptive Rights	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	17	Authority to Issue Shares w/o Preemptive Rights	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	18	Authority to Repurchase Shares	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	19	Authorisation of Political Donations	Mgmt	Voted	Fo
British American Tobacco plc	BATS	110448107	4/25/2018	20	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	Voted	Fo
Broadcom Limited	AVGO	Y09827109	3/23/2018	1	Reincorporation/Change of Continuance	Mgmt	Voted	Fo
Broadcom Limited	AVGO	Y09827109	4/4/2018	1	Elect Hock E. Tan	Mgmt	Voted	Fo
Broadcom Limited	AVGO	Y09827109	4/4/2018	2	Elect James V. Diller, Sr.	Mgmt	Voted	Fo
Broadcom Limited	AVGO	Y09827109	4/4/2018	3	Elect Gayla J. Delly	Mgmt	Voted	Fo
Broadcom Limited	AVGO	Y09827109	4/4/2018	4	Elect Lewis C. Eggebrecht	Mgmt	Voted	Fo
Broadcom Limited	AVGO	Y09827109	4/4/2018	5	Elect Kenneth Y. Hao	Mgmt	Voted	Fo
Broadcom Limited	AVGO	Y09827109	4/4/2018	6	Elect Eddy W. Hartenstein	Mgmt	Voted	Fo
Broadcom Limited	AVGO	Y09827109	4/4/2018	7	Elect Check Kian Low	Mgmt	Voted	Fo
Broadcom Limited	AVGO	Y09827109	4/4/2018	8	Elect Donald Macleod	Mgmt	Voted	Fo
Broadcom Limited	AVGO	Y09827109	4/4/2018	9	Elect Peter J. Marks	Mgmt	Voted	Fo
Broadcom Limited	AVGO	Y09827109	4/4/2018	10	Elect Dr. Henry S. Samuelli	Mgmt	Voted	Fo
Broadcom Limited	AVGO	Y09827109	4/4/2018	11	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	Fo

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Broadcom Limited	AVGO	Y09827109	4/4/2018	12	Authority to Issue Ordinary and Special Preference Shares	Mgmt	Voted	FO
Broadcom Limited	AVGO	Y09827109	4/4/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
Caterpillar Inc.	CAT	149123101	6/13/2018	1	Elect Kelly A. Ayotte	Mgmt	Voted	FO
Caterpillar Inc.	CAT	149123101	6/13/2018	2	Elect David L. Calhoun	Mgmt	Voted	FO
Caterpillar Inc.	CAT	149123101	6/13/2018	3	Elect Daniel M. Dickinson	Mgmt	Voted	FO
Caterpillar Inc.	CAT	149123101	6/13/2018	4	Elect Juan Gallardo	Mgmt	Voted	FO
Caterpillar Inc.	CAT	149123101	6/13/2018	5	Elect Dennis A. Muilenburg	Mgmt	Voted	FO
Caterpillar Inc.	CAT	149123101	6/13/2018	6	Elect William A. Osborn	Mgmt	Voted	FO
Caterpillar Inc.	CAT	149123101	6/13/2018	7	Elect Debra L. Reed	Mgmt	Voted	FO
Caterpillar Inc.	CAT	149123101	6/13/2018	8	Elect Edward B. Rust, Jr.	Mgmt	Voted	FO
Caterpillar Inc.	CAT	149123101	6/13/2018	9	Elect Susan C. Schwab	Mgmt	Voted	FO
Caterpillar Inc.	CAT	149123101	6/13/2018	10	Elect Jim Umpleby III	Mgmt	Voted	FO
Caterpillar Inc.	CAT	149123101	6/13/2018	11	Elect Miles D. White	Mgmt	Voted	FO
Caterpillar Inc.	CAT	149123101	6/13/2018	12	Elect Rayford Wilkins Jr.	Mgmt	Voted	FO
Caterpillar Inc.	CAT	149123101	6/13/2018	13	Ratification of Auditor	Mgmt	Voted	FO
Caterpillar Inc.	CAT	149123101	6/13/2018	14	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
Caterpillar Inc.	CAT	149123101	6/13/2018	15	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	AP
Caterpillar Inc.	CAT	149123101	6/13/2018	16	Shareholder Proposal Regarding Amendment to Clawback Policy	ShrHoldr	Voted	AP
Caterpillar Inc.	CAT	149123101	6/13/2018	17	Shareholder Proposal Regarding Human Rights Expertise	ShrHoldr	Voted	AP
	IGR	12504G100	10/16/2017	1.1	on Board	Mgmt	Voted	FO

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CBRE Clarion Global Real Estate Income Fund					Elect T. Ritson Ferguson			
CBRE Clarion Global Real Estate Income Fund	IGR	12504G100	10/16/2017	1.2	Elect Frederick S. Hammer	Mgmt	Voted	Fo
Celgene Corporation	CELG	151020104	6/13/2018	1.1	Elect Mark J. Alles	Mgmt	Voted	Fo
Celgene Corporation	CELG	151020104	6/13/2018	1.2	Elect Richard W. Barker	Mgmt	Voted	Fo
Celgene Corporation	CELG	151020104	6/13/2018	1.3	Elect Hans E. Bishop	Mgmt	Voted	Fo
Celgene Corporation	CELG	151020104	6/13/2018	1.4	Elect Michael W. Bonney	Mgmt	Voted	Fo
Celgene Corporation	CELG	151020104	6/13/2018	1.5	Elect Michael D. Casey	Mgmt	Voted	Fo
Celgene Corporation	CELG	151020104	6/13/2018	1.6	Elect Carrie S. Cox	Mgmt	Voted	Fo
Celgene Corporation	CELG	151020104	6/13/2018	1.7	Elect Michael A. Friedman	Mgmt	Voted	Fo
Celgene Corporation	CELG	151020104	6/13/2018	1.8	Elect Julia A. Haller	Mgmt	Voted	Fo
Celgene Corporation	CELG	151020104	6/13/2018	1.9	Elect Patricia Hemingway Hall	Mgmt	Voted	Fo
Celgene Corporation	CELG	151020104	6/13/2018	1.10	Elect James J. Loughlin	Mgmt	Voted	Fo
Celgene Corporation	CELG	151020104	6/13/2018	1.11	Elect Ernest Mario	Mgmt	Voted	Fo
Celgene Corporation	CELG	151020104	6/13/2018	1.12	Elect John H. Weiland	Mgmt	Voted	Fo
Celgene Corporation	CELG	151020104	6/13/2018	2	Ratification of Auditor	Mgmt	Voted	Fo
Celgene Corporation	CELG	151020104	6/13/2018	3	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Celgene Corporation	CELG	151020104	6/13/2018	4	Shareholder Proposal Regarding Amendment to Proxy Access Bylaw	ShrHoldr	Voted	Ap
Celgene Corporation	CELG	151020104	6/13/2018	5	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	Ap
Charter Communications, Inc.	CHTR	16119P108	4/25/2018	1	Elect W. Lance Conn	Mgmt	Voted	Fo
	CHTR	16119P108	4/25/2018	2	Elect Kim C. Goodman	Mgmt	Voted	Fo

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Charter  
Communications, Inc.

Charter Communications, Inc.	CHTR	16119P108	4/25/2018	3	Elect Craig A. Jacobson	Mgmt	Voted	Fo
Charter Communications, Inc.	CHTR	16119P108	4/25/2018	4	Elect Gregory B. Maffei	Mgmt	Voted	Fo
Charter Communications, Inc.	CHTR	16119P108	4/25/2018	5	Elect John C. Malone	Mgmt	Voted	Fo
Charter Communications, Inc.	CHTR	16119P108	4/25/2018	6	Elect John D. Markley, Jr.	Mgmt	Voted	Fo
Charter Communications, Inc.	CHTR	16119P108	4/25/2018	7	Elect David C. Merritt	Mgmt	Voted	Fo
Charter Communications, Inc.	CHTR	16119P108	4/25/2018	8	Elect Steven A. Miron	Mgmt	Voted	Fo
Charter Communications, Inc.	CHTR	16119P108	4/25/2018	9	Elect Balan Nair	Mgmt	Voted	Fo
Charter Communications, Inc.	CHTR	16119P108	4/25/2018	10	Elect Michael A. Newhouse	Mgmt	Voted	Fo
Charter Communications, Inc.	CHTR	16119P108	4/25/2018	11	Elect Mauricio Ramos	Mgmt	Voted	Fo
Charter Communications, Inc.	CHTR	16119P108	4/25/2018	12	Elect Thomas M. Rutledge	Mgmt	Voted	Fo
Charter Communications, Inc.	CHTR	16119P108	4/25/2018	13	Elect Eric L. Zinterhofer	Mgmt	Voted	Fo
Charter Communications, Inc.	CHTR	16119P108	4/25/2018	14	Ratification of Auditor	Mgmt	Voted	Fo
Charter Communications, Inc.	CHTR	16119P108	4/25/2018	15	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Voted	Ap
Charter Communications, Inc.	CHTR	16119P108	4/25/2018	16	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	Ap
Charter Communications, Inc.	CHTR	16119P108	4/25/2018	17	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Voted	Ap
Charter Communications, Inc.	CHTR	16119P108	4/25/2018	18	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	Ap

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Chevron Corporation	CVX	166764100	5/30/2018	1	Elect Wanda M. Austin	Mgmt	Voted	Fo
Chevron Corporation	CVX	166764100	5/30/2018	2	Elect John B. Frank	Mgmt	Voted	Fo
Chevron Corporation	CVX	166764100	5/30/2018	3	Elect Alice P. Gast	Mgmt	Voted	Fo
Chevron Corporation	CVX	166764100	5/30/2018	4	Elect Enrique Hernandez, Jr.	Mgmt	Voted	Fo
Chevron Corporation	CVX	166764100	5/30/2018	5	Elect Charles W. Moorman, IV	Mgmt	Voted	Fo
Chevron Corporation	CVX	166764100	5/30/2018	6	Elect Dambisa F. Moyo	Mgmt	Voted	Fo
Chevron Corporation	CVX	166764100	5/30/2018	7	Elect Ronald D. Sugar	Mgmt	Voted	Fo
Chevron Corporation	CVX	166764100	5/30/2018	8	Elect Inge G. Thulin	Mgmt	Voted	Fo
Chevron Corporation	CVX	166764100	5/30/2018	9	Elect D. James Umpleby	Mgmt	Voted	Fo
Chevron Corporation	CVX	166764100	5/30/2018	10	Elect Michael K. Wirth	Mgmt	Voted	Fo
Chevron Corporation	CVX	166764100	5/30/2018	11	Ratification of Auditor	Mgmt	Voted	Fo
Chevron Corporation	CVX	166764100	5/30/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Chevron Corporation	CVX	166764100	5/30/2018	13	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	Ap
Chevron Corporation	CVX	166764100	5/30/2018	14	Shareholder Proposal Regarding Report On Doing Business With Governments Complicit in Genocide	ShrHoldr	Voted	Ap
Chevron Corporation	CVX	166764100	5/30/2018	15	Shareholder Proposal Regarding Report on Transition to Low Carbon Economy	ShrHoldr	Voted	Ap
Chevron Corporation	CVX	166764100	5/30/2018	16	Shareholder Proposal Regarding Methane Emissions Report	ShrHoldr	Voted	Ap
Chevron Corporation	CVX	166764100	5/30/2018	17	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	Ap
Chevron Corporation	CVX	166764100	5/30/2018	18	Shareholder Proposal Regarding Environmental Expertise on Board	ShrHoldr	Voted	Ap
Chevron Corporation	CVX	166764100	5/30/2018	19		ShrHoldr	Voted	Ap

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					Shareholder Proposal Regarding Right to Call Special Meetings			
China Fund Inc	CHN	169373107	8/30/2017	1	Approval of a New Investment Advisory Agreement and Management Agreement	Mgmt	Voted	Fo
Cigna Corporation	CI	125509109	4/25/2018	1	Elect David M. Cordani	Mgmt	Voted	Fo
Cigna Corporation	CI	125509109	4/25/2018	2	Elect Eric J. Foss	Mgmt	Voted	Fo
Cigna Corporation	CI	125509109	4/25/2018	3	Elect Isaiah Harris, Jr.	Mgmt	Voted	Fo
Cigna Corporation	CI	125509109	4/25/2018	4	Elect Roman Martinez IV	Mgmt	Voted	Fo
Cigna Corporation	CI	125509109	4/25/2018	5	Elect John M. Partridge	Mgmt	Voted	Fo
Cigna Corporation	CI	125509109	4/25/2018	6	Elect James E. Rogers	Mgmt	Voted	Fo
Cigna Corporation	CI	125509109	4/25/2018	7	Elect Eric C. Wiseman	Mgmt	Voted	Fo
Cigna Corporation	CI	125509109	4/25/2018	8	Elect Donna F. Zarcone	Mgmt	Voted	Fo
Cigna Corporation	CI	125509109	4/25/2018	9	Elect William D. Zollars	Mgmt	Voted	Fo
Cigna Corporation	CI	125509109	4/25/2018	10	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Cigna Corporation	CI	125509109	4/25/2018	11	Ratification of Auditor	Mgmt	Voted	Fo
Cigna Corporation	CI	125509109	4/25/2018	12	Elimination of Supermajority Requirement	Mgmt	Voted	Fo
Citigroup Inc.	C	172967424	4/24/2018	1	Elect Michael L. Corbat	Mgmt	Voted	Fo
Citigroup Inc.	C	172967424	4/24/2018	2	Elect Ellen M. Costello	Mgmt	Voted	Fo
Citigroup Inc.	C	172967424	4/24/2018	3	Elect John C. Dugan	Mgmt	Voted	Fo
Citigroup Inc.	C	172967424	4/24/2018	4	Elect Duncan P. Hennes	Mgmt	Voted	Fo
Citigroup Inc.	C	172967424	4/24/2018	5	Elect Peter B. Henry	Mgmt	Voted	Fo
Citigroup Inc.	C	172967424	4/24/2018	6	Elect Franz B. Humer	Mgmt	Voted	Fo
Citigroup Inc.	C	172967424	4/24/2018	7	Elect S. Leslie Ireland	Mgmt	Voted	Fo
Citigroup Inc.	C	172967424	4/24/2018	8	Elect Renee J. James	Mgmt	Voted	Fo
Citigroup Inc.	C	172967424	4/24/2018	9	Elect Eugene M. McQuade	Mgmt	Voted	Fo
Citigroup Inc.	C	172967424	4/24/2018	10		Mgmt	Voted	Fo

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					Elect Michael E. O'Neill				
Citigroup Inc.	C	172967424	4/24/2018	11	Elect Gary M. Reiner	Mgmt	Voted	Fe	
Citigroup Inc.	C	172967424	4/24/2018	12	Elect Anthony M. Santomero	Mgmt	Voted	Fe	
Citigroup Inc.	C	172967424	4/24/2018	13	Elect Diana L. Taylor	Mgmt	Voted	Fe	
Citigroup Inc.	C	172967424	4/24/2018	14	Elect James S. Turley	Mgmt	Voted	Fe	
Citigroup Inc.	C	172967424	4/24/2018	15	Elect Deborah C. Wright	Mgmt	Voted	Fe	
Citigroup Inc.	C	172967424	4/24/2018	16	Elect Ernesto Zedillo Ponce de Leon	Mgmt	Voted	Fe	
Citigroup Inc.	C	172967424	4/24/2018	17	Ratification of Auditor	Mgmt	Voted	Fe	
Citigroup Inc.	C	172967424	4/24/2018	18	Advisory Vote on Executive Compensation	Mgmt	Voted	Fe	
Citigroup Inc.	C	172967424	4/24/2018	19	Amendment to the 2014 Stock Incentive Plan	Mgmt	Voted	Fe	
Citigroup Inc.	C	172967424	4/24/2018	20	Shareholder Proposal Regarding Indigenous Peoples' Rights Policy	ShrHoldr	Voted	Ap	
Citigroup Inc.	C	172967424	4/24/2018	21	Shareholder Proposal Regarding Cumulative Voting	ShrHoldr	Voted	Ap	
Citigroup Inc.	C	172967424	4/24/2018	22	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	Ap	
Citigroup Inc.	C	172967424	4/24/2018	23	Shareholder Proposal Regarding Amendment to Proxy Access Bylaw	ShrHoldr	Voted	Ap	
Citigroup Inc.	C	172967424	4/24/2018	24	Shareholder Proposal Regarding Government Service Vesting	ShrHoldr	Voted	Ap	
Citigroup Inc.	C	172967424	4/24/2018	25	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Voted	Ap	
CME Group Inc.	CME	12572Q105	5/9/2018	1	Elect Terrence A. Duffy	Mgmt	Voted	Fe	
CME Group Inc.	CME	12572Q105	5/9/2018	2	Elect Timothy S. Bitsberger	Mgmt	Voted	Fe	
CME Group Inc.	CME	12572Q105	5/9/2018	3	Elect Charles P. Carey	Mgmt	Voted	Fe	



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CME Group Inc.	CME	12572Q105	5/9/2018	4	Elect Dennis H. Chookaszian	Mgmt	Voted	Fo
CME Group Inc.	CME	12572Q105	5/9/2018	5	Elect Ana Dutra	Mgmt	Voted	Fo
CME Group Inc.	CME	12572Q105	5/9/2018	6	Elect Martin J. Gepsman	Mgmt	Voted	Fo
CME Group Inc.	CME	12572Q105	5/9/2018	7	Elect Larry G. Gerdes	Mgmt	Voted	Fo
CME Group Inc.	CME	12572Q105	5/9/2018	8	Elect Daniel R. Glickman	Mgmt	Voted	Fo
CME Group Inc.	CME	12572Q105	5/9/2018	9	Elect Deborah J. Lucas	Mgmt	Voted	Fo
CME Group Inc.	CME	12572Q105	5/9/2018	10	Elect Alex J. Pollock	Mgmt	Voted	Fo
CME Group Inc.	CME	12572Q105	5/9/2018	11	Elect Terry L. Savage	Mgmt	Voted	Fo
CME Group Inc.	CME	12572Q105	5/9/2018	12	Elect William R. Shepard	Mgmt	Voted	Fo
CME Group Inc.	CME	12572Q105	5/9/2018	13	Elect Howard J. Siegel	Mgmt	Voted	Fo
CME Group Inc.	CME	12572Q105	5/9/2018	14	Elect Dennis A. Suskind	Mgmt	Voted	Fo
CME Group Inc.	CME	12572Q105	5/9/2018	15	Ratification of Auditor	Mgmt	Voted	Fo
CME Group Inc.	CME	12572Q105	5/9/2018	16	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Cognizant Technology Solutions Corporation	CTSH	192446102	6/5/2018	1	Elect Zein Abdalla	Mgmt	Voted	Fo
Cognizant Technology Solutions Corporation	CTSH	192446102	6/5/2018	2	Elect Betsy Atkins	Mgmt	Voted	Fo
Cognizant Technology Solutions Corporation	CTSH	192446102	6/5/2018	3	Elect Maureen Breakiron-Evans	Mgmt	Voted	Fo
Cognizant Technology Solutions Corporation	CTSH	192446102	6/5/2018	4	Elect Jonathan Chadwick	Mgmt	Voted	Fo
Cognizant Technology Solutions Corporation	CTSH	192446102	6/5/2018	5	Elect John M. Dineen	Mgmt	Voted	Fo
Cognizant Technology Solutions Corporation	CTSH	192446102	6/5/2018	6	Elect Francisco D'Souza	Mgmt	Voted	Fo
	CTSH	192446102	6/5/2018	7	Elect John N. Fox, Jr.	Mgmt	Voted	Fo

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Cognizant Technology Solutions Corporation									
Cognizant Technology Solutions Corporation	CTSH	192446102	6/5/2018	8	Elect John E. Klein	Mgmt	Voted	FO	
Cognizant Technology Solutions Corporation	CTSH	192446102	6/5/2018	9	Elect Leo S. Mackay, Jr.	Mgmt	Voted	FO	
Cognizant Technology Solutions Corporation	CTSH	192446102	6/5/2018	10	Elect Michael Patsalos-Fox	Mgmt	Voted	FO	
Cognizant Technology Solutions Corporation	CTSH	192446102	6/5/2018	11	Elect Joseph M. Velli	Mgmt	Voted	FO	
Cognizant Technology Solutions Corporation	CTSH	192446102	6/5/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	FO	
Cognizant Technology Solutions Corporation	CTSH	192446102	6/5/2018	13	Ratification of Auditor	Mgmt	Voted	FO	
Cognizant Technology Solutions Corporation	CTSH	192446102	6/5/2018	14	Amendment to the 2004 Employee Stock Purchase Plan	Mgmt	Voted	FO	
Cognizant Technology Solutions Corporation	CTSH	192446102	6/5/2018	15	Elimination of Supermajority Requirement for	Mgmt	Voted	FO	
Cognizant Technology Solutions Corporation	CTSH	192446102	6/5/2018	16	Amendments to Bylaws Elimination of Supermajority Requirement for Removal	Mgmt	Voted	FO	
Cognizant Technology Solutions Corporation	CTSH	192446102	6/5/2018	17	of Directors Elimination of Supermajority Requirement to Amend Certain Provisions of Certificate of Incorporation	Mgmt	Voted	FO	
Cognizant Technology Solutions	CTSH	192446102	6/5/2018	18	Shareholder Proposal Regarding Right to Act	ShrHoldr	Voted	AP	

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Corporation					by Written Consent			
Cognizant Technology Solutions Corporation	CTSH	192446102	6/5/2018	19	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	Ap
Cohen & Steers Closed-End Opportunity Fund Inc.	FOF	19248P106	4/26/2018	1.1	Elect George Grossman	Mgmt	Voted	Fo
Cohen & Steers Closed-End Opportunity Fund Inc.	FOF	19248P106	4/26/2018	1.2	Elect Jane F. Magpiong	Mgmt	Voted	Fo
Cohen & Steers Closed-End Opportunity Fund Inc.	FOF	19248P106	4/26/2018	1.3	Elect Robert H. Steers	Mgmt	Voted	Fo
Cohen & Steers Closed-End Opportunity Fund Inc.	FOF	19248P106	4/26/2018	1.4	Elect C. Edward Ward, Jr.	Mgmt	Voted	Fo
Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/26/2018	1.1	Elect George Grossman	Mgmt	Voted	Fo
Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/26/2018	1.2	Elect Jane F. Magpiong	Mgmt	Voted	Fo
Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/26/2018	1.3	Elect Robert H. Steers	Mgmt	Voted	Fo
Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/26/2018	1.4	Elect C. Edward Ward, Jr.	Mgmt	Voted	Fo
Comcast Corporation	CMCSA	20030N101	6/11/2018	1.1	Elect Kenneth J. Bacon	Mgmt	Voted	Fo
Comcast Corporation	CMCSA	20030N101	6/11/2018	1.2	Elect Madeline S. Bell	Mgmt	Voted	Fo
Comcast Corporation	CMCSA	20030N101	6/11/2018	1.3	Elect Sheldon M. Bonovitz	Mgmt	Voted	Fo
Comcast Corporation	CMCSA	20030N101	6/11/2018	1.4	Elect Edward D. Breen	Mgmt	Voted	Fo
Comcast Corporation	CMCSA	20030N101	6/11/2018	1.5	Elect Gerald L. Hassell	Mgmt	Voted	Fo
Comcast Corporation	CMCSA	20030N101	6/11/2018	1.6	Elect Jeffrey A. Honickman	Mgmt	Voted	Fo
Comcast Corporation	CMCSA	20030N101	6/11/2018	1.7		Mgmt	Voted	Fo

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						Elect Maritza Gomez Montiel			
Comcast Corporation	CMCSA	20030N101	6/11/2018	1.8		Elect Asuka Nakahara	Mgmt	Voted	Fo
Comcast Corporation	CMCSA	20030N101	6/11/2018	1.9		Elect David C. Novak	Mgmt	Voted	Fo
Comcast Corporation	CMCSA	20030N101	6/11/2018	1.10		Elect Brian L. Roberts	Mgmt	Voted	Fo
Comcast Corporation	CMCSA	20030N101	6/11/2018	2		Ratification of Auditor	Mgmt	Voted	Fo
Comcast Corporation	CMCSA	20030N101	6/11/2018	3		Advisory vote on executive compensation	Mgmt	Voted	Fo
Comcast Corporation	CMCSA	20030N101	6/11/2018	4		Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	Ap
ConAgra Brands, Inc.	CAG	205887102	9/22/2017	1.1		Elect Bradley A. Alford	Mgmt	Voted	Fo
ConAgra Brands, Inc.	CAG	205887102	9/22/2017	1.2		Elect Thomas K. Brown	Mgmt	Voted	Fo
ConAgra Brands, Inc.	CAG	205887102	9/22/2017	1.3		Elect Stephen G. Butler	Mgmt	Voted	Fo
ConAgra Brands, Inc.	CAG	205887102	9/22/2017	1.4		Elect Sean M. Connolly	Mgmt	Voted	Fo
ConAgra Brands, Inc.	CAG	205887102	9/22/2017	1.5		Elect Thomas W. Dickson	Mgmt	Voted	Fo
ConAgra Brands, Inc.	CAG	205887102	9/22/2017	1.6		Elect Steven F. Goldstone	Mgmt	Voted	Fo
ConAgra Brands, Inc.	CAG	205887102	9/22/2017	1.7		Elect Joie Gregor	Mgmt	Voted	Fo
ConAgra Brands, Inc.	CAG	205887102	9/22/2017	1.8		Elect Rajive Johri	Mgmt	Voted	Fo
ConAgra Brands, Inc.	CAG	205887102	9/22/2017	1.9		Elect Richard H. Lenny	Mgmt	Voted	Fo
ConAgra Brands, Inc.	CAG	205887102	9/22/2017	1.10		Elect Ruth Ann Marshall	Mgmt	Voted	Fo
ConAgra Brands, Inc.	CAG	205887102	9/22/2017	1.11		Elect Craig P. Omtvedt	Mgmt	Voted	Fo
ConAgra Brands, Inc.	CAG	205887102	9/22/2017	2		Ratification of Auditor	Mgmt	Voted	Fo
ConAgra Brands, Inc.	CAG	205887102	9/22/2017	3		Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
ConAgra Brands, Inc.	CAG	205887102	9/22/2017	4		Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
Concho Resources Inc.	CXO	20605P101	5/17/2018	1		Elect Steven L. Beal	Mgmt	Voted	Fo
Concho Resources Inc.	CXO	20605P101	5/17/2018	2		Elect Tucker S. Bridwell	Mgmt	Voted	Fo
	CXO	20605P101	5/17/2018	3		Elect Mark Puckett	Mgmt	Voted	Fo

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Concho Resources Inc.									
Concho Resources Inc.	CXO	20605P101	5/17/2018	4	Elect Joseph Wright	Mgmt	Voted	For	
Concho Resources Inc.	CXO	20605P101	5/17/2018	5	Ratification of Auditor	Mgmt	Voted	For	
Concho Resources Inc.	CXO	20605P101	5/17/2018	6	Advisory Vote on Executive Compensation	Mgmt	Voted	For	
ConocoPhillips	COP	20825C104	5/15/2018	1	Elect Charles E. Bunch	Mgmt	Voted	For	
ConocoPhillips	COP	20825C104	5/15/2018	2	Elect Caroline Maury Devine	Mgmt	Voted	For	
ConocoPhillips	COP	20825C104	5/15/2018	3	Elect John V. Faraci	Mgmt	Voted	For	
ConocoPhillips	COP	20825C104	5/15/2018	4	Elect Jody L Freeman	Mgmt	Voted	For	
ConocoPhillips	COP	20825C104	5/15/2018	5	Elect Gay Huey Evans	Mgmt	Voted	For	
ConocoPhillips	COP	20825C104	5/15/2018	6	Elect Ryan M. Lance	Mgmt	Voted	For	
ConocoPhillips	COP	20825C104	5/15/2018	7	Elect Sharmila Mulligan	Mgmt	Voted	For	
ConocoPhillips	COP	20825C104	5/15/2018	8	Elect Arjun N. Murti	Mgmt	Voted	For	
ConocoPhillips	COP	20825C104	5/15/2018	9	Elect Robert A. Niblock	Mgmt	Voted	For	
ConocoPhillips	COP	20825C104	5/15/2018	10	Elect Harald J. Norvik	Mgmt	Voted	For	
ConocoPhillips	COP	20825C104	5/15/2018	11	Ratification of Auditor	Mgmt	Voted	For	
ConocoPhillips	COP	20825C104	5/15/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	For	
ConocoPhillips	COP	20825C104	5/15/2018	13	Shareholder Proposal Regarding Use of GAAP Metrics in Executive Compensation	ShrHoldr	Voted	Against	
Costco Wholesale Corporation	COST	22160K105	1/30/2018	1.1	Elect Kenneth D. Denman	Mgmt	Voted	For	
Costco Wholesale Corporation	COST	22160K105	1/30/2018	1.2	Elect W. Craig Jelinek	Mgmt	Voted	For	
Costco Wholesale Corporation	COST	22160K105	1/30/2018	1.3	Elect Jeffrey S. Raikes	Mgmt	Voted	For	
Costco Wholesale Corporation	COST	22160K105	1/30/2018	2	Ratification of Auditor	Mgmt	Voted	For	

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Costco Wholesale Corporation	COST	22160K105	1/30/2018	3	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Costco Wholesale Corporation	COST	22160K105	1/30/2018	4	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	Voted	A
Costco Wholesale Corporation	COST	22160K105	1/30/2018	5	Shareholder Proposal Regarding Prison Labor	ShrHoldr	Voted	A
CSX Corporation	CSX	126408103	5/18/2018	1	Elect Donna M. Alvarado	Mgmt	Voted	Fo
CSX Corporation	CSX	126408103	5/18/2018	2	Elect John B. Breaux	Mgmt	Voted	Fo
CSX Corporation	CSX	126408103	5/18/2018	3	Elect Pamela L. Carter	Mgmt	Voted	Fo
CSX Corporation	CSX	126408103	5/18/2018	4	Elect James M. Foote	Mgmt	Voted	Fo
CSX Corporation	CSX	126408103	5/18/2018	5	Elect Steven T. Halverson	Mgmt	Voted	Fo
CSX Corporation	CSX	126408103	5/18/2018	6	Elect Paul C. Hilal	Mgmt	Voted	Fo
CSX Corporation	CSX	126408103	5/18/2018	7	Elect Edward J. Kelly, III	Mgmt	Voted	Fo
CSX Corporation	CSX	126408103	5/18/2018	8	Elect John D. McPherson	Mgmt	Voted	Fo
CSX Corporation	CSX	126408103	5/18/2018	9	Elect David M. Moffett	Mgmt	Voted	Fo
CSX Corporation	CSX	126408103	5/18/2018	10	Elect Dennis H. Reilley	Mgmt	Voted	Fo
CSX Corporation	CSX	126408103	5/18/2018	11	Elect Linda H. Riefler	Mgmt	Voted	Fo
CSX Corporation	CSX	126408103	5/18/2018	12	Elect J. Steven Whisler	Mgmt	Voted	Fo
CSX Corporation	CSX	126408103	5/18/2018	13	Elect John J. Zillmer	Mgmt	Voted	Fo
CSX Corporation	CSX	126408103	5/18/2018	14	Ratification of Auditor	Mgmt	Voted	Fo
CSX Corporation	CSX	126408103	5/18/2018	15	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
CSX Corporation	CSX	126408103	5/18/2018	16	Approval of the 2018 Employee Stock Purchase Plan	Mgmt	Voted	Fo
Cummins Inc.	CMI	231021106	5/8/2018	1	Elect N. Thomas Linebarger	Mgmt	Voted	Fo
Cummins Inc.	CMI	231021106	5/8/2018	2	Elect Richard J. Freeland	Mgmt	Voted	Fo
Cummins Inc.	CMI	231021106	5/8/2018	3	Elect Robert J. Bernhard	Mgmt	Voted	Fo

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Cummins Inc.	CMI	231021106	5/8/2018	4	Elect Franklin R. Chang-Diaz	Mgmt	Voted	FO
Cummins Inc.	CMI	231021106	5/8/2018	5	Elect Bruno V. Di Leo Allen	Mgmt	Voted	FO
Cummins Inc.	CMI	231021106	5/8/2018	6	Elect Stephen B. Dobbs	Mgmt	Voted	FO
Cummins Inc.	CMI	231021106	5/8/2018	7	Elect Robert K. Herdman	Mgmt	Voted	FO
Cummins Inc.	CMI	231021106	5/8/2018	8	Elect Alexis M. Herman	Mgmt	Voted	FO
Cummins Inc.	CMI	231021106	5/8/2018	9	Elect Thomas J. Lynch	Mgmt	Voted	FO
Cummins Inc.	CMI	231021106	5/8/2018	10	Elect William I. Miller	Mgmt	Voted	FO
Cummins Inc.	CMI	231021106	5/8/2018	11	Elect Georgia R. Nelson	Mgmt	Voted	FO
Cummins Inc.	CMI	231021106	5/8/2018	12	Elect Karen H. Quintos	Mgmt	Voted	FO
Cummins Inc.	CMI	231021106	5/8/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
Cummins Inc.	CMI	231021106	5/8/2018	14	Ratification of Auditor	Mgmt	Voted	FO
Cummins Inc.	CMI	231021106	5/8/2018	15	Amendment Regarding Shareholders Ability to Amend Bylaws	Mgmt	Voted	FO
Cummins Inc.	CMI	231021106	5/8/2018	16	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Voted	AP
CVS Health Corporation	CVS	126650100	3/13/2018	1	Issuance of Stock for Merger	Mgmt	Voted	FO
CVS Health Corporation	CVS	126650100	3/13/2018	2	Right to Adjourn Meeting	Mgmt	Voted	FO
CVS Health Corporation	CVS	126650100	6/4/2018	1	Elect Richard M. Bracken	Mgmt	Voted	FO
CVS Health Corporation	CVS	126650100	6/4/2018	2	Elect C. David Brown II	Mgmt	Voted	FO
CVS Health Corporation	CVS	126650100	6/4/2018	3	Elect Alecia A. DeCoudreaux	Mgmt	Voted	FO
CVS Health Corporation	CVS	126650100	6/4/2018	4	Elect Nancy-Ann M. DeParle	Mgmt	Voted	FO
CVS Health Corporation	CVS	126650100	6/4/2018	5	Elect David W. Dorman	Mgmt	Voted	FO
CVS Health	CVS	126650100	6/4/2018	6	Elect Anne M. Finucane	Mgmt	Voted	FO

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Corporation									
CVS Health Corporation	CVS	126650100	6/4/2018	7	Elect Larry J. Merlo	Mgmt	Voted	FO	
CVS Health Corporation	CVS	126650100	6/4/2018	8	Elect Jean-Pierre Millon	Mgmt	Voted	FO	
CVS Health Corporation	CVS	126650100	6/4/2018	9	Elect Mary L. Schapiro	Mgmt	Voted	FO	
CVS Health Corporation	CVS	126650100	6/4/2018	10	Elect Richard J. Swift	Mgmt	Voted	FO	
CVS Health Corporation	CVS	126650100	6/4/2018	11	Elect William C. Weldon	Mgmt	Voted	FO	
CVS Health Corporation	CVS	126650100	6/4/2018	12	Elect Tony L. White	Mgmt	Voted	FO	
CVS Health Corporation	CVS	126650100	6/4/2018	13	Ratification of Auditor	Mgmt	Voted	FO	
CVS Health Corporation	CVS	126650100	6/4/2018	14	Advisory Vote on Executive Compensation	Mgmt	Voted	FO	
CVS Health Corporation	CVS	126650100	6/4/2018	15	Reduce Threshold for Shareholders' Right to Call a Special Meeting	Mgmt	Voted	FO	
CVS Health Corporation	CVS	126650100	6/4/2018	16	Shareholder Proposal Regarding Voting Disclosure	ShrHoldr	Voted	AP	
Danaher Corporation	DHR	235851102	5/8/2018	1	Elect Donald J. Ehrlich	Mgmt	Voted	FO	
Danaher Corporation	DHR	235851102	5/8/2018	2	Elect Linda P. Hefner Filler	Mgmt	Voted	FO	
Danaher Corporation	DHR	235851102	5/8/2018	3	Elect Thomas P. Joyce, Jr.	Mgmt	Voted	FO	
Danaher Corporation	DHR	235851102	5/8/2018	4	Elect Teri List-Stoll	Mgmt	Voted	FO	
Danaher Corporation	DHR	235851102	5/8/2018	5	Elect Walter G. Lohr, Jr.	Mgmt	Voted	FO	
Danaher Corporation	DHR	235851102	5/8/2018	6	Elect Mitchell P. Rales	Mgmt	Voted	FO	
Danaher Corporation	DHR	235851102	5/8/2018	7	Elect Steven M. Rales	Mgmt	Voted	FO	
Danaher Corporation	DHR	235851102	5/8/2018	8	Elect John T. Schwieters	Mgmt	Voted	FO	
Danaher Corporation	DHR	235851102	5/8/2018	9	Elect Alan G. Spoon	Mgmt	Voted	FO	
Danaher Corporation	DHR	235851102	5/8/2018	10	Elect Raymond C.	Mgmt	Voted	FO	



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Stevens

Danaher Corporation	DHR	235851102	5/8/2018	11	Elect Elias A. Zerhouni	Mgmt	Voted	Fo
Danaher Corporation	DHR	235851102	5/8/2018	12	Ratification of Auditor	Mgmt	Voted	Fo
Danaher Corporation	DHR	235851102	5/8/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Danaher Corporation	DHR	235851102	5/8/2018	14	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	Ap
Deere & Company	DE	244199105	2/28/2018	1	Elect Samuel R. Allen	Mgmt	Voted	Fo
Deere & Company	DE	244199105	2/28/2018	2	Elect Vance D. Coffman	Mgmt	Voted	Fo
Deere & Company	DE	244199105	2/28/2018	3	Elect Alan C. Heuberger	Mgmt	Voted	Fo
Deere & Company	DE	244199105	2/28/2018	4	Elect Charles O. Holliday, Jr.	Mgmt	Voted	Fo
Deere & Company	DE	244199105	2/28/2018	5	Elect Dipak C. Jain	Mgmt	Voted	Fo
Deere & Company	DE	244199105	2/28/2018	6	Elect Michael O. Johanns	Mgmt	Voted	Fo
Deere & Company	DE	244199105	2/28/2018	7	Elect Clayton M. Jones	Mgmt	Voted	Fo
Deere & Company	DE	244199105	2/28/2018	8	Elect Brian M. Krzanich	Mgmt	Voted	Fo
Deere & Company	DE	244199105	2/28/2018	9	Elect Gregory R. Page	Mgmt	Voted	Fo
Deere & Company	DE	244199105	2/28/2018	10	Elect Sherry M. Smith	Mgmt	Voted	Fo
Deere & Company	DE	244199105	2/28/2018	11	Elect Dmitri L. Stockton	Mgmt	Voted	Fo
Deere & Company	DE	244199105	2/28/2018	12	Elect Sheila G. Talton	Mgmt	Voted	Fo
Deere & Company	DE	244199105	2/28/2018	13	Advisory vote on executive compensation	Mgmt	Voted	Fo
Deere & Company	DE	244199105	2/28/2018	14	Amendment to the Long-Term Incentive Cash Plan	Mgmt	Voted	Fo
Deere & Company	DE	244199105	2/28/2018	15	Ratification of Auditor	Mgmt	Voted	Fo
Deere & Company	DE	244199105	2/28/2018	16	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Voted	Ap
Delphi Automotive PLC	DLPH	G27823106	11/7/2017	1	Company Name Change	Mgmt	Voted	Fo

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Delta Air Lines, Inc.	DAL	247361702	6/29/2018	1	Elect Edward H. Bastian	Mgmt	Voted	Fo
Delta Air Lines, Inc.	DAL	247361702	6/29/2018	2	Elect Francis S. Blake	Mgmt	Voted	Fo
Delta Air Lines, Inc.	DAL	247361702	6/29/2018	3	Elect Daniel A. Carp	Mgmt	Voted	Fo
Delta Air Lines, Inc.	DAL	247361702	6/29/2018	4	Elect Ashton B. Carter	Mgmt	Voted	Fo
Delta Air Lines, Inc.	DAL	247361702	6/29/2018	5	Elect David G. DeWalt	Mgmt	Voted	Fo
Delta Air Lines, Inc.	DAL	247361702	6/29/2018	6	Elect William H. Easter III	Mgmt	Voted	Fo
Delta Air Lines, Inc.	DAL	247361702	6/29/2018	7	Elect Michael P. Huerta	Mgmt	Voted	Fo
Delta Air Lines, Inc.	DAL	247361702	6/29/2018	8	Elect Jeanne P. Jackson	Mgmt	Voted	Fo
Delta Air Lines, Inc.	DAL	247361702	6/29/2018	9	Elect George N. Mattson	Mgmt	Voted	Fo
Delta Air Lines, Inc.	DAL	247361702	6/29/2018	10	Elect Douglas R Ralph	Mgmt	Voted	Fo
Delta Air Lines, Inc.	DAL	247361702	6/29/2018	11	Elect Sergio A. L. Rial	Mgmt	Voted	Fo
Delta Air Lines, Inc.	DAL	247361702	6/29/2018	12	Elect Kathy N. Waller	Mgmt	Voted	Fo
Delta Air Lines, Inc.	DAL	247361702	6/29/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Delta Air Lines, Inc.	DAL	247361702	6/29/2018	14	Ratification of Auditor	Mgmt	Voted	Fo
Devon Energy Corporation	DVN	25179M103	6/6/2018	1.1	Elect Barbara M. Baumann	Mgmt	Voted	Fo
Devon Energy Corporation	DVN	25179M103	6/6/2018	1.2	Elect John E. Bethancourt	Mgmt	Voted	Fo
Devon Energy Corporation	DVN	25179M103	6/6/2018	1.3	Elect David A. Hager	Mgmt	Voted	Fo
Devon Energy Corporation	DVN	25179M103	6/6/2018	1.4	Elect Robert H. Henry	Mgmt	Voted	Fo
Devon Energy Corporation	DVN	25179M103	6/6/2018	1.5	Elect Michael M. Kanovsky	Mgmt	Voted	Fo
Devon Energy Corporation	DVN	25179M103	6/6/2018	1.6	Elect John Krenicki, Jr.	Mgmt	Voted	Fo
Devon Energy Corporation	DVN	25179M103	6/6/2018	1.7	Elect Robert A. Mosbacher, Jr.	Mgmt	Voted	Fo
Devon Energy Corporation	DVN	25179M103	6/6/2018	1.8	Elect Duane C. Radtke	Mgmt	Voted	Fo
Devon Energy Corporation	DVN	25179M103	6/6/2018	1.9	Elect Mary P. Ricciardello	Mgmt	Voted	Fo

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Devon Energy Corporation	DVN	25179M103	6/6/2018	1.10	Elect John Richels	Mgmt	Voted	Fo
Devon Energy Corporation	DVN	25179M103	6/6/2018	2	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Devon Energy Corporation	DVN	25179M103	6/6/2018	3	Ratification of Auditor	Mgmt	Voted	Fo
Devon Energy Corporation	DVN	25179M103	6/6/2018	4	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Voted	Ap
DowDuPont Inc.	DWDP	26078J100	4/25/2018	1	Elect Lamberto Andreotti	Mgmt	Voted	Fo
DowDuPont Inc.	DWDP	26078J100	4/25/2018	2	Elect James A. Bell	Mgmt	Voted	Fo
DowDuPont Inc.	DWDP	26078J100	4/25/2018	3	Elect Edward D. Breen	Mgmt	Voted	Fo
DowDuPont Inc.	DWDP	26078J100	4/25/2018	4	Elect Robert A. Brown	Mgmt	Voted	Fo
DowDuPont Inc.	DWDP	26078J100	4/25/2018	5	Elect Alexander M. Cutler	Mgmt	Voted	Fo
DowDuPont Inc.	DWDP	26078J100	4/25/2018	6	Elect Jeff M. Fettig	Mgmt	Voted	Fo
DowDuPont Inc.	DWDP	26078J100	4/25/2018	7	Elect Marillyn A. Hewson	Mgmt	Voted	Fo
DowDuPont Inc.	DWDP	26078J100	4/25/2018	8	Elect Lois D. Juliber	Mgmt	Voted	Fo
DowDuPont Inc.	DWDP	26078J100	4/25/2018	9	Elect Andrew N. Liveris	Mgmt	Voted	Fo
DowDuPont Inc.	DWDP	26078J100	4/25/2018	10	Elect Raymond J. Milchovich	Mgmt	Voted	Fo
DowDuPont Inc.	DWDP	26078J100	4/25/2018	11	Elect Paul Polman	Mgmt	Voted	Fo
DowDuPont Inc.	DWDP	26078J100	4/25/2018	12	Elect Dennis H. Reilley	Mgmt	Voted	Fo
DowDuPont Inc.	DWDP	26078J100	4/25/2018	13	Elect James M. Ringler	Mgmt	Voted	Fo
DowDuPont Inc.	DWDP	26078J100	4/25/2018	14	Elect Ruth G. Shaw	Mgmt	Voted	Fo
DowDuPont Inc.	DWDP	26078J100	4/25/2018	15	Elect Lee M. Thomas	Mgmt	Voted	Fo
DowDuPont Inc.	DWDP	26078J100	4/25/2018	16	Elect Patrick J. Ward	Mgmt	Voted	Fo
DowDuPont Inc.	DWDP	26078J100	4/25/2018	17	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
DowDuPont Inc.	DWDP	26078J100	4/25/2018	18	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
DowDuPont Inc.	DWDP	26078J100	4/25/2018	19	Ratification of Auditor	Mgmt	Voted	Fo

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DowDuPont Inc.	DWDP	26078J100	4/25/2018	20	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	Voted	AP
DowDuPont Inc.	DWDP	26078J100	4/25/2018	21	Shareholder Proposal Regarding Report on Ratio Between CEO and Employee Pay	ShrHoldr	Voted	AP
DowDuPont Inc.	DWDP	26078J100	4/25/2018	22	Shareholder Proposal Regarding Linking Executive Pay to Sustainability	ShrHoldr	Voted	AP
DowDuPont Inc.	DWDP	26078J100	4/25/2018	23	Shareholder Proposal Regarding a Report on Reputational Risk Associated with the Bhopal Tragedy	ShrHoldr	Voted	AP
DowDuPont Inc.	DWDP	26078J100	4/25/2018	24	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	AP
DTE Energy Company	DTE	233331107	5/3/2018	1.1	Elect Gerard M. Anderson	Mgmt	Voted	FC
DTE Energy Company	DTE	233331107	5/3/2018	1.2	Elect David A. Brandon	Mgmt	Voted	FC
DTE Energy Company	DTE	233331107	5/3/2018	1.3	Elect W. Frank Fountain, Jr.	Mgmt	Voted	FC
DTE Energy Company	DTE	233331107	5/3/2018	1.4	Elect Charles G. McClure	Mgmt	Voted	FC
DTE Energy Company	DTE	233331107	5/3/2018	1.5	Elect Gail J. McGovern	Mgmt	Voted	FC
DTE Energy Company	DTE	233331107	5/3/2018	1.6	Elect Mark A. Murray	Mgmt	Voted	FC
DTE Energy Company	DTE	233331107	5/3/2018	1.7	Elect James B. Nicholson	Mgmt	Voted	FC
DTE Energy Company	DTE	233331107	5/3/2018	1.8	Elect Josue Robles, Jr.	Mgmt	Voted	FC
DTE Energy Company	DTE	233331107	5/3/2018	1.9	Elect Ruth G. Shaw	Mgmt	Voted	FC
DTE Energy Company	DTE	233331107	5/3/2018	1.10	Elect Robert C. Skaggs, Jr.	Mgmt	Voted	FC
DTE Energy Company	DTE	233331107	5/3/2018	1.11	Elect David A. Thomas	Mgmt	Voted	FC

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DTE Energy Company	DTE	233331107	5/3/2018	1.12	Elect James H. Vandenberghe	Mgmt	Voted	Fo
DTE Energy Company	DTE	233331107	5/3/2018	2	Ratification of Auditor	Mgmt	Voted	Fo
DTE Energy Company	DTE	233331107	5/3/2018	3	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
DTE Energy Company	DTE	233331107	5/3/2018	4	Amendment to the Long Term Incentive Plan	Mgmt	Voted	Fo
DTE Energy Company	DTE	233331107	5/3/2018	5	Shareholder Proposal Regarding an Economic Analysis on Closure of the Fermi 2 Nuclear Plant	ShrHoldr	Voted	AP
DTE Energy Company	DTE	233331107	5/3/2018	6	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	AP
Duff & Phelps Global Utility Income Fund Inc	DPG	26433C105	3/13/2018	1.1	Elect Donald C. Burke	Mgmt	Voted	Fo
Duff & Phelps Global Utility Income Fund Inc	DPG	26433C105	3/13/2018	1.2	Elect Clifford W. Hoffman	Mgmt	Voted	Fo
Duff & Phelps Global Utility Income Fund Inc	DPG	26433C105	3/13/2018	1.3	Elect Eileen A. Moran	Mgmt	Voted	Fo
Eaton Corporation plc	ETN	G29183103	4/25/2018	1	Elect Craig Arnold	Mgmt	Voted	Fo
Eaton Corporation plc	ETN	G29183103	4/25/2018	2	Elect Todd M. Bluedorn	Mgmt	Voted	Fo
Eaton Corporation plc	ETN	G29183103	4/25/2018	3	Elect Christopher M. Connor	Mgmt	Voted	Fo
Eaton Corporation plc	ETN	G29183103	4/25/2018	4	Elect Michael J. Critelli	Mgmt	Voted	Fo
Eaton Corporation plc	ETN	G29183103	4/25/2018	5	Elect Richard H. Fearon	Mgmt	Voted	Fo
Eaton Corporation plc	ETN	G29183103	4/25/2018	6	Elect Charles E. Golden	Mgmt	Voted	Fo
Eaton Corporation plc	ETN	G29183103	4/25/2018	7	Elect Arthur E. Johnson	Mgmt	Voted	Fo
Eaton Corporation plc	ETN	G29183103	4/25/2018	8	Elect Deborah L. McCoy	Mgmt	Voted	Fo
Eaton Corporation plc	ETN	G29183103	4/25/2018	9	Elect Gregory R. Page	Mgmt	Voted	Fo
Eaton Corporation plc	ETN	G29183103	4/25/2018	10	Elect Sandra Pianalto	Mgmt	Voted	Fo
Eaton Corporation plc	ETN	G29183103	4/25/2018	11	Elect Gerald B. Smith	Mgmt	Voted	Fo

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Eaton Corporation plc	ETN	G29183103	4/25/2018	12	Elect Dorothy C. Thompson	Mgmt	Voted	Fo
Eaton Corporation plc	ETN	G29183103	4/25/2018	13	Ratification of Auditor and Authority to Set Fees	Mgmt	Voted	Fo
Eaton Corporation plc	ETN	G29183103	4/25/2018	14	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Eaton Corporation plc	ETN	G29183103	4/25/2018	15	Authority to Issue Shares w/ Preemptive Rights	Mgmt	Voted	Fo
Eaton Corporation plc	ETN	G29183103	4/25/2018	16	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	Voted	Fo
Eaton Corporation plc	ETN	G29183103	4/25/2018	17	Authority to Repurchase Overseas Market Shares	Mgmt	Voted	Fo
Ecolab Inc.	ECL	278865100	5/3/2018	1	Elect Douglas M. Baker, Jr.	Mgmt	Voted	Fo
Ecolab Inc.	ECL	278865100	5/3/2018	2	Elect Barbara J. Beck	Mgmt	Voted	Fo
Ecolab Inc.	ECL	278865100	5/3/2018	3	Elect Leslie S. Biller	Mgmt	Voted	Fo
Ecolab Inc.	ECL	278865100	5/3/2018	4	Elect Carl M. Casale	Mgmt	Voted	Fo
Ecolab Inc.	ECL	278865100	5/3/2018	5	Elect Stephen I. Chazen	Mgmt	Voted	Fo
Ecolab Inc.	ECL	278865100	5/3/2018	6	Elect Jeffrey M. Ettinger	Mgmt	Voted	Fo
Ecolab Inc.	ECL	278865100	5/3/2018	7	Elect Arthur J. Higgins	Mgmt	Voted	Fo
Ecolab Inc.	ECL	278865100	5/3/2018	8	Elect Michael Larson	Mgmt	Voted	Fo
Ecolab Inc.	ECL	278865100	5/3/2018	9	Elect David W. MacLennan	Mgmt	Voted	Fo
Ecolab Inc.	ECL	278865100	5/3/2018	10	Elect Tracy B. McKibben	Mgmt	Voted	Fo
Ecolab Inc.	ECL	278865100	5/3/2018	11	Elect Victoria J. Reich	Mgmt	Voted	Fo
Ecolab Inc.	ECL	278865100	5/3/2018	12	Elect Suzanne M. Vautrinot	Mgmt	Voted	Fo
Ecolab Inc.	ECL	278865100	5/3/2018	13	Elect John J. Zillmer	Mgmt	Voted	Fo
Ecolab Inc.	ECL	278865100	5/3/2018	14	Ratification of Auditor	Mgmt	Voted	Fo
Ecolab Inc.	ECL	278865100	5/3/2018	15	Advisory Vote on Executive	Mgmt	Voted	Fo

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					Compensation				
Ecolab Inc.	ECL	278865100	5/3/2018	16	Shareholder Proposal Regarding Right to Call A Special Meeting	ShrHoldr	Voted	Ag	
Edison International	EIX	281020107	4/26/2018	1	Elect Michael C. Camunez	Mgmt	Voted	Fo	
Edison International	EIX	281020107	4/26/2018	2	Elect Vanessa C.L. Chang	Mgmt	Voted	Fo	
Edison International	EIX	281020107	4/26/2018	3	Elect James T. Morris	Mgmt	Voted	Fo	
Edison International	EIX	281020107	4/26/2018	4	Elect Timothy T. O'Toole	Mgmt	Voted	Fo	
Edison International	EIX	281020107	4/26/2018	5	Elect Pedro J. Pizarro	Mgmt	Voted	Fo	
Edison International	EIX	281020107	4/26/2018	6	Elect Linda G. Stuntz	Mgmt	Voted	Fo	
Edison International	EIX	281020107	4/26/2018	7	Elect William P. Sullivan	Mgmt	Voted	Fo	
Edison International	EIX	281020107	4/26/2018	8	Elect Ellen O. Tauscher	Mgmt	Voted	Fo	
Edison International	EIX	281020107	4/26/2018	9	Elect Peter J. Taylor	Mgmt	Voted	Fo	
Edison International	EIX	281020107	4/26/2018	10	Elect Brett White	Mgmt	Voted	Fo	
Edison International	EIX	281020107	4/26/2018	11	Ratification of Auditor	Mgmt	Voted	Fo	
Edison International	EIX	281020107	4/26/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo	
Edison International	EIX	281020107	4/26/2018	13	Shareholder Proposal Regarding Proxy Access Bylaw Amendment	ShrHoldr	Voted	Ag	
EOG Resources, Inc.	EOG	26875P101	4/24/2018	1	Elect Janet F. Clark	Mgmt	Voted	Fo	
EOG Resources, Inc.	EOG	26875P101	4/24/2018	2	Elect Charles R. Crisp	Mgmt	Voted	Fo	
EOG Resources, Inc.	EOG	26875P101	4/24/2018	3	Elect Robert P. Daniels	Mgmt	Voted	Fo	
EOG Resources, Inc.	EOG	26875P101	4/24/2018	4	Elect James C. Day	Mgmt	Voted	Fo	
EOG Resources, Inc.	EOG	26875P101	4/24/2018	5	Elect C. Christopher Gaut	Mgmt	Voted	Fo	
EOG Resources, Inc.	EOG	26875P101	4/24/2018	6	Elect Donald F. Textor	Mgmt	Voted	Fo	
EOG Resources, Inc.	EOG	26875P101	4/24/2018	7	Elect William R. Thomas	Mgmt	Voted	Fo	
EOG Resources, Inc.	EOG	26875P101	4/24/2018	8	Elect Frank G. Wisner	Mgmt	Voted	Fo	
EOG Resources, Inc.	EOG	26875P101	4/24/2018	9	Ratification of Auditor	Mgmt	Voted	Fo	

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EOG Resources, Inc.	EOG	26875P101	4/24/2018	10	Amendment to the Employee Stock Purchase Plan	Mgmt	Voted	FO
EOG Resources, Inc.	EOG	26875P101	4/24/2018	11	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
Estee Lauder Companies Inc.	EL	518439104	11/14/2017	1	Elect Charlene Barshefsky	Mgmt	Voted	FO
Estee Lauder Companies Inc.	EL	518439104	11/14/2017	2	Elect Wei Sun Christianson	Mgmt	Voted	FO
Estee Lauder Companies Inc.	EL	518439104	11/14/2017	3	Elect Fabrizio Freda	Mgmt	Voted	FO
Estee Lauder Companies Inc.	EL	518439104	11/14/2017	4	Elect Jane Lauder	Mgmt	Voted	FO
Estee Lauder Companies Inc.	EL	518439104	11/14/2017	5	Elect Leonard A. Lauder	Mgmt	Voted	FO
Estee Lauder Companies Inc.	EL	518439104	11/14/2017	6	Ratification of Auditor	Mgmt	Voted	FO
Estee Lauder Companies Inc.	EL	518439104	11/14/2017	7	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
Estee Lauder Companies Inc.	EL	518439104	11/14/2017	8	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
Express Scripts Holding Company	ESRX	30219G108	5/10/2018	1	Elect Maura C. Breen	Mgmt	Voted	FO
Express Scripts Holding Company	ESRX	30219G108	5/10/2018	2	Elect William J. DeLaney	Mgmt	Voted	FO
Express Scripts Holding Company	ESRX	30219G108	5/10/2018	3	Elect Elder Granger	Mgmt	Voted	FO
Express Scripts Holding Company	ESRX	30219G108	5/10/2018	4	Elect Nicholas J. LaHowchic	Mgmt	Voted	FO
Express Scripts Holding Company	ESRX	30219G108	5/10/2018	5	Elect Thomas P. Mac Mahon	Mgmt	Voted	FO
Express Scripts Holding Company	ESRX	30219G108	5/10/2018	6	Elect Kathleen M. Mazzarella	Mgmt	Voted	FO
Express Scripts Holding Company	ESRX	30219G108	5/10/2018	7	Elect Frank Mergenthaler	Mgmt	Voted	FO
Express Scripts Holding Company	ESRX	30219G108	5/10/2018	8	Elect Woodrow A. Myers, Jr.	Mgmt	Voted	FO



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Express Scripts Holding Company	ESRX	30219G108	5/10/2018	9	Elect Roderick A. Palmore	Mgmt	Voted	Fo
Express Scripts Holding Company	ESRX	30219G108	5/10/2018	10	Elect George Paz	Mgmt	Voted	Fo
Express Scripts Holding Company	ESRX	30219G108	5/10/2018	11	Elect William L. Roper	Mgmt	Voted	Fo
Express Scripts Holding Company	ESRX	30219G108	5/10/2018	12	Elect Seymour Sternberg	Mgmt	Voted	Fo
Express Scripts Holding Company	ESRX	30219G108	5/10/2018	13	Elect Timothy Wentworth	Mgmt	Voted	Fo
Express Scripts Holding Company	ESRX	30219G108	5/10/2018	14	Ratification of Auditor	Mgmt	Voted	Fo
Express Scripts Holding Company	ESRX	30219G108	5/10/2018	15	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Express Scripts Holding Company	ESRX	30219G108	5/10/2018	16	Shareholder Proposal Regarding Gender Pay Equity Report	ShrHoldr	Voted	Ap
Express Scripts Holding Company	ESRX	30219G108	5/10/2018	17	Shareholder Proposal Regarding Cyber Risk Report	ShrHoldr	Voted	Ap
Exxon Mobil Corporation	XOM	30231G102	5/30/2018	1	Elect Susan K. Avery	Mgmt	Voted	Fo
Exxon Mobil Corporation	XOM	30231G102	5/30/2018	2	Elect Angela F. Braly	Mgmt	Voted	Fo
Exxon Mobil Corporation	XOM	30231G102	5/30/2018	3	Elect Ursula M. Burns	Mgmt	Voted	Fo
Exxon Mobil Corporation	XOM	30231G102	5/30/2018	4	Elect Kenneth C. Frazier	Mgmt	Voted	Fo
Exxon Mobil Corporation	XOM	30231G102	5/30/2018	5	Elect Steven A. Kandarian	Mgmt	Voted	Fo
Exxon Mobil Corporation	XOM	30231G102	5/30/2018	6	Elect Douglas R. Oberhelman	Mgmt	Voted	Fo
Exxon Mobil Corporation	XOM	30231G102	5/30/2018	7	Elect Samuel J. Palmisano	Mgmt	Voted	Fo
Exxon Mobil Corporation	XOM	30231G102	5/30/2018	8	Elect Steven S Reinemund	Mgmt	Voted	Fo
Exxon Mobil Corporation	XOM	30231G102	5/30/2018	9	Elect William C. Weldon	Mgmt	Voted	Fo
	XOM	30231G102	5/30/2018	10	Elect Darren W. Woods	Mgmt	Voted	Fo

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Exxon Mobil Corporation									
Exxon Mobil Corporation	XOM	30231G102	5/30/2018	11	Ratification of Auditor	Mgmt	Voted	For	
Exxon Mobil Corporation	XOM	30231G102	5/30/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	For	
Exxon Mobil Corporation	XOM	30231G102	5/30/2018	13	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	Against	
Exxon Mobil Corporation	XOM	30231G102	5/30/2018	14	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	Against	
Exxon Mobil Corporation	XOM	30231G102	5/30/2018	15	Shareholder Proposal Regarding Disclosure of Director Skills Matrix	ShrHoldr	Voted	Against	
Exxon Mobil Corporation	XOM	30231G102	5/30/2018	16	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	Against	
Facebook, Inc.	FB	30303M102	5/31/2018	1.1	Elect Marc L. Andreessen	Mgmt	Voted	For	
Facebook, Inc.	FB	30303M102	5/31/2018	1.2	Elect Erskine B. Bowles	Mgmt	Voted	For	
Facebook, Inc.	FB	30303M102	5/31/2018	1.3	Elect Kenneth I. Chenault	Mgmt	Voted	For	
Facebook, Inc.	FB	30303M102	5/31/2018	1.4	Elect Susan D. Desmond-Hellmann	Mgmt	Voted	For	
Facebook, Inc.	FB	30303M102	5/31/2018	1.5	Elect Reed Hastings	Mgmt	Voted	For	
Facebook, Inc.	FB	30303M102	5/31/2018	1.6	Elect Jan Koum	Mgmt	Voted	For	
Facebook, Inc.	FB	30303M102	5/31/2018	1.7	Elect Sheryl K. Sandberg	Mgmt	Voted	For	
Facebook, Inc.	FB	30303M102	5/31/2018	1.8	Elect Peter A. Thiel	Mgmt	Voted	For	
Facebook, Inc.	FB	30303M102	5/31/2018	1.9	Elect Mark Zuckerberg	Mgmt	Voted	For	
Facebook, Inc.	FB	30303M102	5/31/2018	2	Ratification of Auditor	Mgmt	Voted	For	
Facebook, Inc.	FB	30303M102	5/31/2018	3	Shareholder Proposal Regarding Recapitalization	ShrHoldr	Voted	Against	
Facebook, Inc.	FB	30303M102	5/31/2018	4	Shareholder Proposal Regarding Formation of a Risk Oversight Committee	ShrHoldr	Voted	Against	

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Facebook, Inc.	FB	30303M102	5/31/2018	5	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	Voted	A
Facebook, Inc.	FB	30303M102	5/31/2018	6	Shareholder Proposal Regarding a Report on the Efficacy of Enforcement of Content Policies	ShrHoldr	Voted	A
Facebook, Inc.	FB	30303M102	5/31/2018	7	Shareholder Proposal Regarding Gender Pay Equity Report	ShrHoldr	Voted	A
Facebook, Inc.	FB	30303M102	5/31/2018	8	Shareholder Proposal Regarding Tax Policy Principles	ShrHoldr	Voted	A
FedEx Corporation	FDX	31428X106	9/25/2017	1	Elect James L. Barksdale	Mgmt	Voted	F
FedEx Corporation	FDX	31428X106	9/25/2017	2	Elect John A. Edwardson	Mgmt	Voted	F
FedEx Corporation	FDX	31428X106	9/25/2017	3	Elect Marvin Ellison	Mgmt	Voted	F
FedEx Corporation	FDX	31428X106	9/25/2017	4	Elect John Chris Inglis	Mgmt	Voted	F
FedEx Corporation	FDX	31428X106	9/25/2017	5	Elect Kimberly A. Jabal	Mgmt	Voted	F
FedEx Corporation	FDX	31428X106	9/25/2017	6	Elect Shirley Ann Jackson	Mgmt	Voted	F
FedEx Corporation	FDX	31428X106	9/25/2017	7	Elect R. Brad Martin	Mgmt	Voted	F
FedEx Corporation	FDX	31428X106	9/25/2017	8	Elect Joshua Cooper Ramo	Mgmt	Voted	F
FedEx Corporation	FDX	31428X106	9/25/2017	9	Elect Susan C. Schwab	Mgmt	Voted	F
FedEx Corporation	FDX	31428X106	9/25/2017	10	Elect Frederick W. Smith	Mgmt	Voted	F
FedEx Corporation	FDX	31428X106	9/25/2017	11	Elect David P. Steiner	Mgmt	Voted	F
FedEx Corporation	FDX	31428X106	9/25/2017	12	Elect Paul S. Walsh	Mgmt	Voted	F
FedEx Corporation	FDX	31428X106	9/25/2017	13	Advisory Vote on Executive Compensation	Mgmt	Voted	F
FedEx Corporation	FDX	31428X106	9/25/2017	14	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
FedEx Corporation	FDX	31428X106	9/25/2017	15	Amendment to the 2010 Omnibus Stock Incentive Plan	Mgmt	Voted	F

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FedEx Corporation	FDX	31428X106	9/25/2017	16	Ratification of Auditor	Mgmt	Voted	F
FedEx Corporation	FDX	31428X106	9/25/2017	17	Shareholder Proposal Regarding Amendments to Proxy Access Bylaw	ShrHoldr	Voted	A
FedEx Corporation	FDX	31428X106	9/25/2017	18	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	A
FedEx Corporation	FDX	31428X106	9/25/2017	19	Shareholder Proposal Regarding Voting Disclosure	ShrHoldr	Voted	A
FedEx Corporation	FDX	31428X106	9/25/2017	20	Shareholder Proposal Regarding Report on Risks Of LGBT-Focused State Regulations	ShrHoldr	Voted	A
Fiserv, Inc.	FISV	337738108	5/23/2018	1.1	Elect Alison Davis	Mgmt	Voted	F
Fiserv, Inc.	FISV	337738108	5/23/2018	1.2	Elect Harry DiSimone	Mgmt	Voted	F
Fiserv, Inc.	FISV	337738108	5/23/2018	1.3	Elect John Y. Kim	Mgmt	Voted	F
Fiserv, Inc.	FISV	337738108	5/23/2018	1.4	Elect Dennis F. Lynch	Mgmt	Voted	F
Fiserv, Inc.	FISV	337738108	5/23/2018	1.5	Elect Denis J. O'Leary	Mgmt	Voted	F
Fiserv, Inc.	FISV	337738108	5/23/2018	1.6	Elect Glenn M. Renwick	Mgmt	Voted	F
Fiserv, Inc.	FISV	337738108	5/23/2018	1.7	Elect Kim M. Robak	Mgmt	Voted	F
Fiserv, Inc.	FISV	337738108	5/23/2018	1.8	Elect J.D. Sherman	Mgmt	Voted	F
Fiserv, Inc.	FISV	337738108	5/23/2018	1.9	Elect Doyle R. Simons	Mgmt	Voted	F
Fiserv, Inc.	FISV	337738108	5/23/2018	1.10	Elect Jeffery W. Yabuki	Mgmt	Voted	F
Fiserv, Inc.	FISV	337738108	5/23/2018	2	Amendment to the 2007 Omnibus Incentive Plan	Mgmt	Voted	F
Fiserv, Inc.	FISV	337738108	5/23/2018	3	Advisory Vote on Executive Compensation	Mgmt	Voted	F
Fiserv, Inc.	FISV	337738108	5/23/2018	4	Ratification of Auditor	Mgmt	Voted	F
Fiserv, Inc.	FISV	337738108	5/23/2018	5	Shareholder Proposal Regarding Voting Disclosure	ShrHoldr	Voted	A
Ford Motor Company	F	345370860	5/10/2018	1	Elect Stephen G. Butler	Mgmt	Voted	F
Ford Motor Company	F	345370860	5/10/2018	2	Elect Kimberly A. Casiano	Mgmt	Voted	F

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Ford Motor Company	F	345370860	5/10/2018	3	Elect Anthony F. Earley, Jr.	Mgmt	Voted	Fo
Ford Motor Company	F	345370860	5/10/2018	4	Elect Edsel B. Ford II	Mgmt	Voted	Fo
Ford Motor Company	F	345370860	5/10/2018	5	Elect William Clay Ford, Jr.	Mgmt	Voted	Fo
Ford Motor Company	F	345370860	5/10/2018	6	Elect James P. Hackett	Mgmt	Voted	Fo
Ford Motor Company	F	345370860	5/10/2018	7	Elect William W. Helman IV	Mgmt	Voted	Fo
Ford Motor Company	F	345370860	5/10/2018	8	Elect William E. Kennard	Mgmt	Voted	Fo
Ford Motor Company	F	345370860	5/10/2018	9	Elect John C. Lechleiter	Mgmt	Voted	Fo
Ford Motor Company	F	345370860	5/10/2018	10	Elect Ellen R. Marram	Mgmt	Voted	Fo
Ford Motor Company	F	345370860	5/10/2018	11	Elect John L. Thornton	Mgmt	Voted	Fo
Ford Motor Company	F	345370860	5/10/2018	12	Elect John B. Veihmeyer	Mgmt	Voted	Fo
Ford Motor Company	F	345370860	5/10/2018	13	Elect Lynn M. Vojvodich	Mgmt	Voted	Fo
Ford Motor Company	F	345370860	5/10/2018	14	Elect John S. Weinberg	Mgmt	Voted	Fo
Ford Motor Company	F	345370860	5/10/2018	15	Ratification of Auditor	Mgmt	Voted	Fo
Ford Motor Company	F	345370860	5/10/2018	16	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Ford Motor Company	F	345370860	5/10/2018	17	Approval of the 2018 Long-Term Incentive Plan	Mgmt	Voted	Fo
Ford Motor Company	F	345370860	5/10/2018	18	Shareholder Proposal Regarding Recapitalization	ShrHoldr	Voted	A
Ford Motor Company	F	345370860	5/10/2018	19	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	A
Ford Motor Company	F	345370860	5/10/2018	20	Shareholder Proposal Regarding Report on Company Fleet Greenhouse Gas Emissions	ShrHoldr	Voted	A
Ford Motor Company	F	345370860	5/10/2018	21	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Voted	A

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Gabelli Global Small and Mid Cap Value Trust	GGZ	36249W104	5/14/2018	1.1	Elect Anthony J. Colavita	Mgmt	Voted	Fo
Gabelli Global Utility & Income Trust.	GLU	36242L105	5/14/2018	1.1	Elect Salvatore M. Salibello	Mgmt	Voted	Fo
Gabelli Healthcare & WellnessRx Trust	GRX	36246K103	5/14/2018	1.1	Elect Robert C. Kolodny	Mgmt	Voted	Fo
Gabelli Healthcare & WellnessRx Trust	GRX	36246K103	5/14/2018	1.2	Elect Salvatore J. Zizza	Mgmt	Voted	Fo
GDL Fund	GDL	361570104	5/14/2018	1.1	Elect Leslie F. Foley	Mgmt	Voted	Fo
GDL Fund	GDL	361570104	5/14/2018	1.2	Elect Mario J. Gabelli	Mgmt	Voted	Fo
GDL Fund	GDL	361570104	5/14/2018	1.3	Elect Michael J. Melarkey	Mgmt	Voted	Fo
General American Investors Company, Inc.	GAM	368802104	4/18/2018	1.1	Elect Rodney B. Berens	Mgmt	Voted	Fo
General American Investors Company, Inc.	GAM	368802104	4/18/2018	1.2	Elect Lewis B. Cullman	Mgmt	Voted	Fo
General American Investors Company, Inc.	GAM	368802104	4/18/2018	1.3	Elect Spencer Davidson	Mgmt	Voted	Fo
General American Investors Company, Inc.	GAM	368802104	4/18/2018	1.4	Elect Clara E. Del Villar	Mgmt	Voted	Fo
General American Investors Company, Inc.	GAM	368802104	4/18/2018	1.5	Elect John D. Gordan, III	Mgmt	Voted	Fo

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General American Investors Company, Inc.	GAM	368802104	4/18/2018	1.6	Elect Betsy F. Gotbaum	Mgmt	Voted	Fo
General American Investors Company, Inc.	GAM	368802104	4/18/2018	1.7	Elect Sidney R. Knafel	Mgmt	Voted	Fo
General American Investors Company, Inc.	GAM	368802104	4/18/2018	1.8	Elect Rose Peabody Lynch	Mgmt	Voted	Fo
General American Investors Company, Inc.	GAM	368802104	4/18/2018	1.9	Elect Jeffrey W. Priest	Mgmt	Voted	Fo
General American Investors Company, Inc.	GAM	368802104	4/18/2018	1.10	Elect Henry R. Schirmer	Mgmt	Voted	Fo
General American Investors Company, Inc.	GAM	368802104	4/18/2018	2	Ratification of Auditor	Mgmt	Voted	Fo
General Mills, Inc.	GIS	370334104	9/26/2017	1	Elect Bradbury H. Anderson	Mgmt	Voted	Fo
General Mills, Inc.	GIS	370334104	9/26/2017	2	Elect Alicia Boler Davis	Mgmt	Voted	Fo
General Mills, Inc.	GIS	370334104	9/26/2017	3	Elect R. Kerry Clark	Mgmt	Voted	Fo
General Mills, Inc.	GIS	370334104	9/26/2017	4	Elect David M. Cordani	Mgmt	Voted	Fo
General Mills, Inc.	GIS	370334104	9/26/2017	5	Elect Roger W. Ferguson, Jr.	Mgmt	Voted	Fo
General Mills, Inc.	GIS	370334104	9/26/2017	6	Elect Henrietta H. Fore	Mgmt	Voted	Fo
General Mills, Inc.	GIS	370334104	9/26/2017	7	Elect Jeffrey L. Harmening	Mgmt	Voted	Fo
General Mills, Inc.	GIS	370334104	9/26/2017	8	Elect Maria G. Henry	Mgmt	Voted	Fo
General Mills, Inc.	GIS	370334104	9/26/2017	9	Elect Heidi G. Miller	Mgmt	Voted	Fo
General Mills, Inc.	GIS	370334104	9/26/2017	10	Elect Steve Odland	Mgmt	Voted	Fo
General Mills, Inc.	GIS	370334104	9/26/2017	11	Elect Kendall J. Powell	Mgmt	Voted	Fo
General Mills, Inc.	GIS	370334104	9/26/2017	12	Elect Eric D. Sprunk	Mgmt	Voted	Fo
General Mills, Inc.	GIS	370334104	9/26/2017	13	Elect Jorge A. Uribe	Mgmt	Voted	Fo
General Mills, Inc.	GIS	370334104	9/26/2017	14	2017 Stock Compensation Plan	Mgmt	Voted	Fo
General Mills, Inc.	GIS	370334104	9/26/2017	15	Advisory Vote on Executive	Mgmt	Voted	Fo

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Compensation

Company	ISIN	Proposal ID	Start Date	End Date	Proposal Description	Category	Status	Outcome
General Mills, Inc.	GIS	370334104	9/26/2017	16	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
General Mills, Inc.	GIS	370334104	9/26/2017	17	Ratification of Auditor	Mgmt	Voted	Fo
General Motors Co.	GM	37045V100	6/12/2018	1	Elect Mary T. Barra	Mgmt	Voted	Fo
General Motors Co.	GM	37045V100	6/12/2018	2	Elect Linda R. Gooden	Mgmt	Voted	Fo
General Motors Co.	GM	37045V100	6/12/2018	3	Elect Joseph Jimenez	Mgmt	Voted	Fo
General Motors Co.	GM	37045V100	6/12/2018	4	Elect Jane L. Mendillo	Mgmt	Voted	Fo
General Motors Co.	GM	37045V100	6/12/2018	5	Elect Michael G. Mullen	Mgmt	Voted	Fo
General Motors Co.	GM	37045V100	6/12/2018	6	Elect James J. Mulva	Mgmt	Voted	Fo
General Motors Co.	GM	37045V100	6/12/2018	7	Elect Patricia F. Russo	Mgmt	Voted	Fo
General Motors Co.	GM	37045V100	6/12/2018	8	Elect Thomas M. Schoewe	Mgmt	Voted	Fo
General Motors Co.	GM	37045V100	6/12/2018	9	Elect Theodore M. Solso	Mgmt	Voted	Fo
General Motors Co.	GM	37045V100	6/12/2018	10	Elect Carol M. Stephenson	Mgmt	Voted	Fo
General Motors Co.	GM	37045V100	6/12/2018	11	Elect Devin N. Wenig	Mgmt	Voted	Fo
General Motors Co.	GM	37045V100	6/12/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
General Motors Co.	GM	37045V100	6/12/2018	13	Ratification of Auditor	Mgmt	Voted	Fo
General Motors Co.	GM	37045V100	6/12/2018	14	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	Ap
General Motors Co.	GM	37045V100	6/12/2018	15	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Voted	Ap
General Motors Co.	GM	37045V100	6/12/2018	16	Shareholder Proposal Regarding Report on Company Fleet Greenhouse Gas Emissions	ShrHoldr	Voted	Ap
Honeywell International Inc.	HON	438516106	4/23/2018	1	Elect Darius Adamczyk	Mgmt	Voted	Fo
Honeywell	HON	438516106	4/23/2018	2	Elect Duncan B.	Mgmt	Voted	Fo



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International Inc.					Angove			
Honeywell International Inc.	HON	438516106	4/23/2018	3	Elect William S. Ayer	Mgmt	Voted	Fo
Honeywell International Inc.	HON	438516106	4/23/2018	4	Elect Kevin Burke	Mgmt	Voted	Fo
Honeywell International Inc.	HON	438516106	4/23/2018	5	Elect Jaime Chico Pardo	Mgmt	Voted	Fo
Honeywell International Inc.	HON	438516106	4/23/2018	6	Elect D. Scott Davis	Mgmt	Voted	Fo
Honeywell International Inc.	HON	438516106	4/23/2018	7	Elect Linnet F. Deily	Mgmt	Voted	Fo
Honeywell International Inc.	HON	438516106	4/23/2018	8	Elect Judd Gregg	Mgmt	Voted	Fo
Honeywell International Inc.	HON	438516106	4/23/2018	9	Elect Clive Hollick	Mgmt	Voted	Fo
Honeywell International Inc.	HON	438516106	4/23/2018	10	Elect Grace D. Lieblein	Mgmt	Voted	Fo
Honeywell International Inc.	HON	438516106	4/23/2018	11	Elect George Paz	Mgmt	Voted	Fo
Honeywell International Inc.	HON	438516106	4/23/2018	12	Elect Robin L. Washington	Mgmt	Voted	Fo
Honeywell International Inc.	HON	438516106	4/23/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Honeywell International Inc.	HON	438516106	4/23/2018	14	Ratification of Auditor	Mgmt	Voted	Fo
Honeywell International Inc.	HON	438516106	4/23/2018	15	Decrease in Threshold Required to Call a Special Meeting	Mgmt	Voted	Fo
Honeywell International Inc.	HON	438516106	4/23/2018	16	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	Ap
Honeywell International Inc.	HON	438516106	4/23/2018	17	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	Ap
Humana Inc.	HUM	444859102	4/19/2018	1	Elect Kurt J. Hilzinger	Mgmt	Voted	Fo
Humana Inc.	HUM	444859102	4/19/2018	2	Elect Frank J. Bisignano	Mgmt	Voted	Fo
Humana Inc.	HUM	444859102	4/19/2018	3	Elect Bruce D. Broussard	Mgmt	Voted	Fo

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Humana Inc.	HUM	444859102	4/19/2018	4	Elect Frank A. D'Amelio	Mgmt	Voted	Fo
Humana Inc.	HUM	444859102	4/19/2018	5	Elect Karen B. DeSalvo	Mgmt	Voted	Fo
Humana Inc.	HUM	444859102	4/19/2018	6	Elect W. Roy Dunbar	Mgmt	Voted	Fo
Humana Inc.	HUM	444859102	4/19/2018	7	Elect David A. Jones Jr.	Mgmt	Voted	Fo
Humana Inc.	HUM	444859102	4/19/2018	8	Elect William J. McDonald	Mgmt	Voted	Fo
Humana Inc.	HUM	444859102	4/19/2018	9	Elect William E. Mitchell	Mgmt	Voted	Fo
Humana Inc.	HUM	444859102	4/19/2018	10	Elect David B. Nash	Mgmt	Voted	Fo
Humana Inc.	HUM	444859102	4/19/2018	11	Elect James J. O'Brien	Mgmt	Voted	Fo
Humana Inc.	HUM	444859102	4/19/2018	12	Elect Marissa T. Peterson	Mgmt	Voted	Fo
Humana Inc.	HUM	444859102	4/19/2018	13	Ratification of Auditor	Mgmt	Voted	Fo
Humana Inc.	HUM	444859102	4/19/2018	14	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Illinois Tool Works Inc.	ITW	452308109	5/4/2018	1	Elect Daniel J. Brutto	Mgmt	Voted	Fo
Illinois Tool Works Inc.	ITW	452308109	5/4/2018	2	Elect Susan Crown	Mgmt	Voted	Fo
Illinois Tool Works Inc.	ITW	452308109	5/4/2018	3	Elect James W. Griffith	Mgmt	Voted	Fo
Illinois Tool Works Inc.	ITW	452308109	5/4/2018	4	Elect Jay L. Henderson	Mgmt	Voted	Fo
Illinois Tool Works Inc.	ITW	452308109	5/4/2018	5	Elect Richard H. Lenny	Mgmt	Voted	Fo
Illinois Tool Works Inc.	ITW	452308109	5/4/2018	6	Elect E. Scott Santi	Mgmt	Voted	Fo
Illinois Tool Works Inc.	ITW	452308109	5/4/2018	7	Elect James A. Skinner	Mgmt	Voted	Fo
Illinois Tool Works Inc.	ITW	452308109	5/4/2018	8	Elect David H. B. Smith, Jr.	Mgmt	Voted	Fo
Illinois Tool Works Inc.	ITW	452308109	5/4/2018	9	Elect Pamela B. Strobel	Mgmt	Voted	Fo
Illinois Tool Works Inc.	ITW	452308109	5/4/2018	10	Elect Kevin M. Warren	Mgmt	Voted	Fo
	ITW	452308109	5/4/2018	11	Elect Anre D. Williams	Mgmt	Voted	Fo

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Illinois Tool Works Inc.

Illinois Tool Works Inc.	ITW	452308109	5/4/2018	12	Ratification of Auditor	Mgmt	Voted	Fo
Illinois Tool Works Inc.	ITW	452308109	5/4/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Illinois Tool Works Inc.	ITW	452308109	5/4/2018	14	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Voted	Ap
Illinois Tool Works Inc.	ITW	452308109	5/4/2018	15	Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions	ShrHoldr	Voted	Ap
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	1	Elect Kirk E. Arnold	Mgmt	Voted	Fo
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	2	Elect Ann C. Berzin	Mgmt	Voted	Fo
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	3	Elect John Bruton	Mgmt	Voted	Fo
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	4	Elect Jared L. Cohon	Mgmt	Voted	Fo
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	5	Elect Gary D. Forsee	Mgmt	Voted	Fo
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	6	Elect Linda P. Hudson	Mgmt	Voted	Fo
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	7	Elect Michael W. Lamach	Mgmt	Voted	Fo
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	8	Elect Myles P. Lee	Mgmt	Voted	Fo
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	9	Elect Karen B. Peetz	Mgmt	Voted	Fo
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	10	Elect John P. Surma	Mgmt	Voted	Fo
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	11	Elect Richard J. Swift	Mgmt	Voted	Fo
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	12	Elect Tony L. White	Mgmt	Voted	Fo
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	14	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	Fo
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	15	Approval of the 2018 Incentive Stock Plan	Mgmt	Voted	Fo
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	16	Authority to Issue Shares w/ Preemptive	Mgmt	Voted	Fo

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					Rights			
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	17	Authority to Issue Shares w/o Preemptive Rights	Mgmt	Voted	Fo
Ingersoll-Rand Plc	IR	G47791101	6/7/2018	18	Authority to Set Price Range for Reissue of Treasury Shares	Mgmt	Voted	Fo
Intel Corporation	INTC	458140100	5/17/2018	1	Elect Aneel Bhusri	Mgmt	Voted	Fo
Intel Corporation	INTC	458140100	5/17/2018	2	Elect Andy D. Bryant	Mgmt	Voted	Fo
Intel Corporation	INTC	458140100	5/17/2018	3	Elect Reed E. Hundt	Mgmt	Voted	Fo
Intel Corporation	INTC	458140100	5/17/2018	4	Elect Omar Ishrak	Mgmt	Voted	Fo
Intel Corporation	INTC	458140100	5/17/2018	5	Elect Brian M. Krzanich	Mgmt	Voted	Fo
Intel Corporation	INTC	458140100	5/17/2018	6	Elect Risa Lavizzo-Mourey	Mgmt	Voted	Fo
Intel Corporation	INTC	458140100	5/17/2018	7	Elect Tsu-Jae King Liu	Mgmt	Voted	Fo
Intel Corporation	INTC	458140100	5/17/2018	8	Elect Gregory D. Smith	Mgmt	Voted	Fo
Intel Corporation	INTC	458140100	5/17/2018	9	Elect Andrew Wilson	Mgmt	Voted	Fo
Intel Corporation	INTC	458140100	5/17/2018	10	Elect Frank D. Yeary	Mgmt	Voted	Fo
Intel Corporation	INTC	458140100	5/17/2018	11	Ratification of Auditor	Mgmt	Voted	Fo
Intel Corporation	INTC	458140100	5/17/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Intel Corporation	INTC	458140100	5/17/2018	13	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Voted	Ap
Intel Corporation	INTC	458140100	5/17/2018	14	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	Ap
Intel Corporation	INTC	458140100	5/17/2018	15	Shareholder Proposal Regarding Cost-Benefit Analysis of Political Contributions	ShrHoldr	Voted	Ap
Intercontinental Exchange, Inc.	ICE	45866F104	5/18/2018	1	Elect Sharon Y. Bowen	Mgmt	Voted	Fo
Intercontinental Exchange, Inc.	ICE	45866F104	5/18/2018	2	Elect Ann M. Cairns	Mgmt	Voted	Fo
Intercontinental Exchange, Inc.	ICE	45866F104	5/18/2018	3	Elect Charles R. Crisp	Mgmt	Voted	Fo

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Intercontinental Exchange, Inc.	ICE	45866F104	5/18/2018	4	Elect Duriya M. Farooqui	Mgmt	Voted	Fo
Intercontinental Exchange, Inc.	ICE	45866F104	5/18/2018	5	Elect Jean-Marc Forneri	Mgmt	Voted	Fo
Intercontinental Exchange, Inc.	ICE	45866F104	5/18/2018	6	Elect Lord Hague of Richmond	Mgmt	Voted	Fo
Intercontinental Exchange, Inc.	ICE	45866F104	5/18/2018	7	Elect Fred W. Hatfield	Mgmt	Voted	Fo
Intercontinental Exchange, Inc.	ICE	45866F104	5/18/2018	8	Elect Thomas E. Noonan	Mgmt	Voted	Fo
Intercontinental Exchange, Inc.	ICE	45866F104	5/18/2018	9	Elect Frederic V. Salerno	Mgmt	Voted	Fo
Intercontinental Exchange, Inc.	ICE	45866F104	5/18/2018	10	Elect Jeffrey C. Sprecher	Mgmt	Voted	Fo
Intercontinental Exchange, Inc.	ICE	45866F104	5/18/2018	11	Elect Judith A. Sprieser	Mgmt	Voted	Fo
Intercontinental Exchange, Inc.	ICE	45866F104	5/18/2018	12	Elect Vincent S. Tese	Mgmt	Voted	Fo
Intercontinental Exchange, Inc.	ICE	45866F104	5/18/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Intercontinental Exchange, Inc.	ICE	45866F104	5/18/2018	14	Approval of 2018 Employee Stock Purchase Plan	Mgmt	Voted	Fo
Intercontinental Exchange, Inc.	ICE	45866F104	5/18/2018	15	Ratification of Auditor	Mgmt	Voted	Fo
Intuit Inc.	INTU	461202103	1/18/2018	1	Elect Eve Burton	Mgmt	Voted	Fo
Intuit Inc.	INTU	461202103	1/18/2018	2	Elect Scott D. Cook	Mgmt	Voted	Fo
Intuit Inc.	INTU	461202103	1/18/2018	3	Elect Richard Dalzell	Mgmt	Voted	Fo
Intuit Inc.	INTU	461202103	1/18/2018	4	Elect Deborah Liu	Mgmt	Voted	Fo
Intuit Inc.	INTU	461202103	1/18/2018	5	Elect Suzanne Nora Johnson	Mgmt	Voted	Fo
Intuit Inc.	INTU	461202103	1/18/2018	6	Elect Dennis D. Powell	Mgmt	Voted	Fo
Intuit Inc.	INTU	461202103	1/18/2018	7	Elect Brad D. Smith	Mgmt	Voted	Fo
Intuit Inc.	INTU	461202103	1/18/2018	8	Elect Thomas J. Szkutak	Mgmt	Voted	Fo
Intuit Inc.	INTU	461202103	1/18/2018	9	Elect Raul Vazquez	Mgmt	Voted	Fo
Intuit Inc.	INTU	461202103	1/18/2018	10	Elect Jeff Weiner	Mgmt	Voted	Fo

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Intuit Inc.	INTU	461202103	1/18/2018	11	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
Intuit Inc.	INTU	461202103	1/18/2018	12	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
Intuit Inc.	INTU	461202103	1/18/2018	13	Approval of Material Terms of Performance Goals Under Senior Executive Incentive Plan	Mgmt	Voted	FO
Intuit Inc.	INTU	461202103	1/18/2018	14	Ratification of Auditor	Mgmt	Voted	FO
Japan Smaller Capitalization Fund Inc.	JOF	47109U104	11/15/2017	1.1	Elect Yutaka Itabashi	Mgmt	Voted	FO
Johnson & Johnson	JNJ	478160104	4/26/2018	1	Elect Mary C. Beckerle	Mgmt	Voted	FO
Johnson & Johnson	JNJ	478160104	4/26/2018	2	Elect D. Scott Davis	Mgmt	Voted	FO
Johnson & Johnson	JNJ	478160104	4/26/2018	3	Elect Ian E.L. Davis	Mgmt	Voted	FO
Johnson & Johnson	JNJ	478160104	4/26/2018	4	Elect Jennifer A. Doudna	Mgmt	Voted	FO
Johnson & Johnson	JNJ	478160104	4/26/2018	5	Elect Alex Gorsky	Mgmt	Voted	FO
Johnson & Johnson	JNJ	478160104	4/26/2018	6	Elect Mark B. McClellan	Mgmt	Voted	FO
Johnson & Johnson	JNJ	478160104	4/26/2018	7	Elect Anne M. Mulcahy	Mgmt	Voted	FO
Johnson & Johnson	JNJ	478160104	4/26/2018	8	Elect William D. Perez	Mgmt	Voted	FO
Johnson & Johnson	JNJ	478160104	4/26/2018	9	Elect Charles Prince	Mgmt	Voted	FO
Johnson & Johnson	JNJ	478160104	4/26/2018	10	Elect A. Eugene Washington	Mgmt	Voted	FO
Johnson & Johnson	JNJ	478160104	4/26/2018	11	Elect Ronald A. Williams	Mgmt	Voted	FO
Johnson & Johnson	JNJ	478160104	4/26/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
Johnson & Johnson	JNJ	478160104	4/26/2018	13	Ratification of Auditor	Mgmt	Voted	FO
Johnson & Johnson	JNJ	478160104	4/26/2018	14	Shareholder Proposal Regarding Excluding Compliance Costs for the Purposes of Executive Compensation	ShrHoldr	Voted	AP

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Johnson & Johnson	JNJ	478160104	4/26/2018	15	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	AP
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	1	Elect Linda B. Bammann	Mgmt	Voted	FC
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	2	Elect James A. Bell	Mgmt	Voted	FC
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	3	Elect Stephen B. Burke	Mgmt	Voted	FC
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	4	Elect Todd A. Combs	Mgmt	Voted	FC
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	5	Elect James S. Crown	Mgmt	Voted	FC
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	6	Elect James Dimon	Mgmt	Voted	FC
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	7	Elect Timothy P. Flynn	Mgmt	Voted	FC
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	8	Elect Mellody Hobson	Mgmt	Voted	FC
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	9	Elect Laban P. Jackson, Jr.	Mgmt	Voted	FC
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	10	Elect Michael A. Neal	Mgmt	Voted	FC
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	11	Elect Lee R. Raymond	Mgmt	Voted	FC
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	12	Elect William C. Weldon	Mgmt	Voted	FC
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	13	Ratification of Ownership Threshold for Shareholders to Call a Special Meeting	Mgmt	Voted	FC
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	14	Advisory Vote on Executive Compensation	Mgmt	Voted	FC
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	15	Amendment to the Long-Term Incentive Plan	Mgmt	Voted	FC
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	16	Ratification of Auditor	Mgmt	Voted	FC

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JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	17	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	AP
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	18	Shareholder Proposal Regarding Government Service Vesting	ShrHoldr	Voted	AP
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	19	Shareholder Proposal Regarding Genocide-Free Investing	ShrHoldr	Voted	AP
JPMorgan Chase & Co.	JPM	46625H100	5/15/2018	20	Shareholder Proposal Regarding Cumulative Voting	ShrHoldr	Voted	AP
Lamb Weston Holdings Inc	LW	513272104	9/28/2017	1	Elect Charles A. Blixt	Mgmt	Voted	Fo
Lamb Weston Holdings Inc	LW	513272104	9/28/2017	2	Elect Andre J. Hawaux	Mgmt	Voted	Fo
Lamb Weston Holdings Inc	LW	513272104	9/28/2017	3	Elect William G. Jurgensen	Mgmt	Voted	Fo
Lamb Weston Holdings Inc	LW	513272104	9/28/2017	4	Elect Thomas P. Maurer	Mgmt	Voted	Fo
Lamb Weston Holdings Inc	LW	513272104	9/28/2017	5	Elect Hala G. Modellmog	Mgmt	Voted	Fo
Lamb Weston Holdings Inc	LW	513272104	9/28/2017	6	Elect Andrew J. Schindler	Mgmt	Voted	Fo
Lamb Weston Holdings Inc	LW	513272104	9/28/2017	7	Elect Maria Renna Sharpe	Mgmt	Voted	Fo
Lamb Weston Holdings Inc	LW	513272104	9/28/2017	8	Elect Thomas P. Werner	Mgmt	Voted	Fo
Lamb Weston Holdings Inc	LW	513272104	9/28/2017	9	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Lamb Weston Holdings Inc	LW	513272104	9/28/2017	10	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
Lamb Weston Holdings Inc	LW	513272104	9/28/2017	11	Approval of the 2016 Stock Plan	Mgmt	Voted	Fo
Lamb Weston Holdings Inc	LW	513272104	9/28/2017	12	Ratification of Auditor	Mgmt	Voted	Fo
Latin American Discovery Fund Inc	LDF	51828C106	8/3/2017	1.1	Elect Kathleen A. Dennis	Mgmt	Voted	Fo



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Latin American Discovery Fund Inc	LDF	51828C106	8/3/2017	1.2	Elect Joseph J. Kearns	Mgmt	Voted	Fo
Latin American Discovery Fund Inc	LDF	51828C106	8/3/2017	1.3	Elect Patricia Maleski	Mgmt	Voted	Fo
Latin American Discovery Fund Inc	LDF	51828C106	8/3/2017	1.4	Elect Michael E. Nugent	Mgmt	Voted	Fo
Latin American Discovery Fund Inc	LDF	51828C106	8/3/2017	1.5	Elect Fergus Reid	Mgmt	Voted	Fo
Latin American Discovery Fund Inc	LDF	51828C106	6/21/2018	1.1	Elect Jakki L. Haussler	Mgmt	Voted	Fo
Latin American Discovery Fund Inc	LDF	51828C106	6/21/2018	1.2	Elect W. Allen Reed	Mgmt	Voted	Fo
Latin American Discovery Fund Inc	LDF	51828C106	6/21/2018	1.3	Elect Nancy C. Everett	Mgmt	Voted	Fo
Liberty All-Star Equity Fund	USA	530158104	8/24/2017	1.1	Elect Thomas W. Brock	Mgmt	Voted	Fo
Liberty All-Star Equity Fund	USA	530158104	8/24/2017	1.2	Elect George R. Gaspari	Mgmt	Voted	Fo
Liberty All-Star Equity Fund	USA	530158104	8/24/2017	2	Shareholder Proposal Regarding Self Tender Offer	ShrHoldr	Voted	Ap
Eli Lilly & Co	LLY	532457108	5/7/2018	1	Elect Katherine Baicker	Mgmt	Voted	Fo
Eli Lilly & Co	LLY	532457108	5/7/2018	2	Elect J. Erik Fyrwald	Mgmt	Voted	Fo
Eli Lilly & Co	LLY	532457108	5/7/2018	3	Elect Jamere Jackson	Mgmt	Voted	Fo
Eli Lilly & Co	LLY	532457108	5/7/2018	4	Elect Ellen R. Marram	Mgmt	Voted	Fo
Eli Lilly & Co	LLY	532457108	5/7/2018	5	Elect Jackson P. Tai	Mgmt	Voted	Fo
Eli Lilly & Co	LLY	532457108	5/7/2018	6	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Eli Lilly & Co	LLY	532457108	5/7/2018	7	Ratification of Auditor	Mgmt	Voted	Fo

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Eli Lilly & Co	LLY	532457108	5/7/2018	8	Repeal of Classified Board	Mgmt	Voted	Fo
Eli Lilly & Co	LLY	532457108	5/7/2018	9	Elimination of Supermajority Requirement	Mgmt	Voted	Fo
Eli Lilly & Co	LLY	532457108	5/7/2018	10	Amendment to the 2002 Stock Plan	Mgmt	Voted	Fo
Eli Lilly & Co	LLY	532457108	5/7/2018	11	Shareholder Proposal Regarding Cannabis Policy Advocacy	ShrHoldr	Voted	Ap
Eli Lilly & Co	LLY	532457108	5/7/2018	12	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	Ap
Eli Lilly & Co	LLY	532457108	5/7/2018	13	Shareholder Proposal Regarding Animal Welfare	ShrHoldr	Voted	Ap
Eli Lilly & Co	LLY	532457108	5/7/2018	14	Shareholder Proposal Regarding Report on Linking Executive Compensation to Drug Pricing	ShrHoldr	Voted	Ap
LMP Capital and Income Fund Inc	SCD	50208A102	4/6/2018	1.1	Elect Carol L. Colman	Mgmt	Voted	Fo
LMP Capital and Income Fund Inc	SCD	50208A102	4/6/2018	1.2	Elect Daniel P. Cronin	Mgmt	Voted	Fo
LMP Capital and Income Fund Inc	SCD	50208A102	4/6/2018	1.3	Elect Paolo M. Cucchi	Mgmt	Voted	Fo
Lockheed Martin Corporation	LMT	539830109	4/26/2018	1	Elect Board of Directors	Mgmt	Voted	Fo
Lockheed Martin Corporation	LMT	539830109	4/26/2018	2	Elect Daniel F. Akerson	Mgmt	Voted	Fo
Lockheed Martin Corporation	LMT	539830109	4/26/2018	3	Elect Nolan D. Archibald	Mgmt	Voted	Fo
Lockheed Martin Corporation	LMT	539830109	4/26/2018	4	Elect David B. Burritt	Mgmt	Voted	Fo
Lockheed Martin Corporation	LMT	539830109	4/26/2018	5	Elect Bruce A. Carlson	Mgmt	Voted	Fo
Lockheed Martin	LMT	539830109	4/26/2018	6	Elect James O. Ellis, Jr.	Mgmt	Voted	Fo

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Corporation

Lockheed Martin Corporation	LMT	539830109	4/26/2018	7	Elect Thomas J. Falk	Mgmt	Voted	Fo
Lockheed Martin Corporation	LMT	539830109	4/26/2018	8	Elect Ilene S. Gordon	Mgmt	Voted	Fo
Lockheed Martin Corporation	LMT	539830109	4/26/2018	9	Elect Marillyn A. Hewson	Mgmt	Voted	Fo
Lockheed Martin Corporation	LMT	539830109	4/26/2018	10	Elect Joseph W. Ralston	Mgmt	Voted	Fo
Lockheed Martin Corporation	LMT	539830109	4/26/2018	11	Elect James D. Taiclet, Jr.	Mgmt	Voted	Fo
Lockheed Martin Corporation	LMT	539830109	4/26/2018	12	Ratification of Auditor	Mgmt	Voted	Fo
Lockheed Martin Corporation	LMT	539830109	4/26/2018	13	Amendment to the Directors Equity Plan	Mgmt	Voted	Fo
Lockheed Martin Corporation	LMT	539830109	4/26/2018	14	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Lockheed Martin Corporation	LMT	539830109	4/26/2018	15	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Voted	Ap
Lowe's Companies, Inc.	LOW	548661107	6/1/2018	1.1	Elect Raul Alvarez	Mgmt	Voted	Fo
Lowe's Companies, Inc.	LOW	548661107	6/1/2018	1.2	Elect David H. Batchelder	Mgmt	Voted	Fo
Lowe's Companies, Inc.	LOW	548661107	6/1/2018	1.3	Elect Angela F. Braly	Mgmt	Voted	Fo
Lowe's Companies, Inc.	LOW	548661107	6/1/2018	1.4	Elect Sandra B. Cochran	Mgmt	Voted	Fo
Lowe's Companies, Inc.	LOW	548661107	6/1/2018	1.5	Elect Laurie Z. Douglas	Mgmt	Voted	Fo
Lowe's Companies, Inc.	LOW	548661107	6/1/2018	1.6	Elect Richard W. Dreiling	Mgmt	Voted	Fo
Lowe's Companies, Inc.	LOW	548661107	6/1/2018	1.7	Elect Marshall O. Larsen	Mgmt	Voted	Fo
Lowe's Companies, Inc.	LOW	548661107	6/1/2018	1.8	Elect James H. Morgan	Mgmt	Voted	Fo
Lowe's Companies, Inc.	LOW	548661107	6/1/2018	1.9	Elect Robert A. Niblock	Mgmt	Voted	Fo

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Lowe's Companies, Inc.	LOW	548661107	6/1/2018	1.10	Elect Brian C. Rogers	Mgmt	Voted	Fo
Lowe's Companies, Inc.	LOW	548661107	6/1/2018	1.11	Elect Bertram L. Scott	Mgmt	Voted	Fo
Lowe's Companies, Inc.	LOW	548661107	6/1/2018	1.12	Elect Lisa W. Wardell	Mgmt	Voted	Fo
Lowe's Companies, Inc.	LOW	548661107	6/1/2018	1.13	Elect Eric C. Wiseman	Mgmt	Voted	Fo
Lowe's Companies, Inc.	LOW	548661107	6/1/2018	2	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Lowe's Companies, Inc.	LOW	548661107	6/1/2018	3	Ratification of Auditor	Mgmt	Voted	Fo
Lowe's Companies, Inc.	LOW	548661107	6/1/2018	4	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	Ap
Macquarie Global Infrastructure Total Return Fund, Inc.	MGU	55608D101	6/19/2018	1	Elect Gordon A. Baird	Mgmt	Voted	Fo
Marsh & McLennan Companies, Inc.	MMC	571748102	5/17/2018	1	Elect Anthony K. Anderson	Mgmt	Voted	Fo
Marsh & McLennan Companies, Inc.	MMC	571748102	5/17/2018	2	Elect Oscar Fanjul Martin	Mgmt	Voted	Fo
Marsh & McLennan Companies, Inc.	MMC	571748102	5/17/2018	3	Elect Daniel S. Glaser	Mgmt	Voted	Fo
Marsh & McLennan Companies, Inc.	MMC	571748102	5/17/2018	4	Elect H. Edward Hanway	Mgmt	Voted	Fo
Marsh & McLennan Companies, Inc.	MMC	571748102	5/17/2018	5	Elect Deborah C. Hopkins	Mgmt	Voted	Fo
Marsh & McLennan Companies, Inc.	MMC	571748102	5/17/2018	6	Elect Elaine La Roche	Mgmt	Voted	Fo
Marsh & McLennan Companies, Inc.	MMC	571748102	5/17/2018	7	Elect Steven A. Mills	Mgmt	Voted	Fo
Marsh & McLennan Companies, Inc.	MMC	571748102	5/17/2018	8	Elect Bruce P. Nolop	Mgmt	Voted	Fo
Marsh & McLennan Companies, Inc.	MMC	571748102	5/17/2018	9	Elect Marc D. Oken	Mgmt	Voted	Fo
Marsh & McLennan Companies, Inc.	MMC	571748102	5/17/2018	10	Elect Morton O. Schapiro	Mgmt	Voted	Fo
	MMC	571748102	5/17/2018	11	Elect Lloyd M. Yates	Mgmt	Voted	Fo

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Marsh & McLennan Companies, Inc.									
Marsh & McLennan Companies, Inc.	MMC	571748102	5/17/2018	12	Elect R. David Yost	Mgmt	Voted	For	
Marsh & McLennan Companies, Inc.	MMC	571748102	5/17/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	For	
Marsh & McLennan Companies, Inc.	MMC	571748102	5/17/2018	14	Ratification of Auditor	Mgmt	Voted	For	
Marsh & McLennan Companies, Inc.	MMC	571748102	5/17/2018	15	Amendment to the 1999 Employee Stock Purchase Plan and Irish Savings Related Share Option Scheme	Mgmt	Voted	For	
Mastercard Incorporated	MA	57636Q104	6/26/2018	1	Elect Richard Haythornthwaite	Mgmt	Voted	For	
Mastercard Incorporated	MA	57636Q104	6/26/2018	2	Elect Ajay Banga	Mgmt	Voted	For	
Mastercard Incorporated	MA	57636Q104	6/26/2018	3	Elect Silvio Barzi	Mgmt	Voted	For	
Mastercard Incorporated	MA	57636Q104	6/26/2018	4	Elect David R. Carlucci	Mgmt	Voted	For	
Mastercard Incorporated	MA	57636Q104	6/26/2018	5	Elect Richard K. Davis	Mgmt	Voted	For	
Mastercard Incorporated	MA	57636Q104	6/26/2018	6	Elect Steven J. Freiberg	Mgmt	Voted	For	
Mastercard Incorporated	MA	57636Q104	6/26/2018	7	Elect Julius Genachowski	Mgmt	Voted	For	
Mastercard Incorporated	MA	57636Q104	6/26/2018	8	Elect Goh Choon Phong	Mgmt	Voted	For	
Mastercard Incorporated	MA	57636Q104	6/26/2018	9	Elect Merit E. Janow	Mgmt	Voted	For	
Mastercard Incorporated	MA	57636Q104	6/26/2018	10	Elect Nancy J. Karch	Mgmt	Voted	For	
Mastercard Incorporated	MA	57636Q104	6/26/2018	11	Elect Oki Matsumoto	Mgmt	Voted	For	
Mastercard Incorporated	MA	57636Q104	6/26/2018	12	Elect Rima Qureshi	Mgmt	Voted	For	
Mastercard Incorporated	MA	57636Q104	6/26/2018	13	Elect Jose Octavio Reyes Lagunes	Mgmt	Voted	For	

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Mastercard Incorporated	MA	57636Q104	6/26/2018	14	Elect Jackson P. Tai	Mgmt	Voted	Fo
Mastercard Incorporated	MA	57636Q104	6/26/2018	15	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Mastercard Incorporated	MA	57636Q104	6/26/2018	16	Ratification of Auditor	Mgmt	Voted	Fo
McDonald's Corporation	MCD	580135101	5/24/2018	1	Elect Lloyd H. Dean	Mgmt	Voted	Fo
McDonald's Corporation	MCD	580135101	5/24/2018	2	Elect Stephen J. Easterbrook	Mgmt	Voted	Fo
McDonald's Corporation	MCD	580135101	5/24/2018	3	Elect Robert A. Eckert	Mgmt	Voted	Fo
McDonald's Corporation	MCD	580135101	5/24/2018	4	Elect Margaret H. Georgiadis	Mgmt	Voted	Fo
McDonald's Corporation	MCD	580135101	5/24/2018	5	Elect Enrique Hernandez, Jr.	Mgmt	Voted	Fo
McDonald's Corporation	MCD	580135101	5/24/2018	6	Elect Jeanne P. Jackson	Mgmt	Voted	Fo
McDonald's Corporation	MCD	580135101	5/24/2018	7	Elect Richard H. Lenny	Mgmt	Voted	Fo
McDonald's Corporation	MCD	580135101	5/24/2018	8	Elect John Mulligan	Mgmt	Voted	Fo
McDonald's Corporation	MCD	580135101	5/24/2018	9	Elect Sheila A. Penrose	Mgmt	Voted	Fo
McDonald's Corporation	MCD	580135101	5/24/2018	10	Elect John W. Rogers, Jr.	Mgmt	Voted	Fo
McDonald's Corporation	MCD	580135101	5/24/2018	11	Elect Miles D. White	Mgmt	Voted	Fo
McDonald's Corporation	MCD	580135101	5/24/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
McDonald's Corporation	MCD	580135101	5/24/2018	13	Ratification of Auditor	Mgmt	Voted	Fo
McDonald's Corporation	MCD	580135101	5/24/2018	14	Shareholder Proposal Regarding Right to Act By Written Consent	ShrHoldr	Voted	Ap
McDonald's Corporation	MCD	580135101	5/24/2018	15	Shareholder Proposal Regarding Report on Plastic Straws	ShrHoldr	Voted	Ap

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McDonald's Corporation	MCD	580135101	5/24/2018	16	Shareholder Proposal Regarding Charitable Contributions Report	ShrHoldr	Voted	Ap
Mckesson Corporation	MCK	58155Q103	7/26/2017	1	Elect Andy D. Bryant	Mgmt	Voted	Fo
Mckesson Corporation	MCK	58155Q103	7/26/2017	2	Elect N. Anthony Coles	Mgmt	Voted	Fo
Mckesson Corporation	MCK	58155Q103	7/26/2017	3	Elect John H. Hammergren	Mgmt	Voted	Fo
Mckesson Corporation	MCK	58155Q103	7/26/2017	4	Elect M. Christine Jacobs	Mgmt	Voted	Fo
Mckesson Corporation	MCK	58155Q103	7/26/2017	5	Elect Donald R. Knauss	Mgmt	Voted	Fo
Mckesson Corporation	MCK	58155Q103	7/26/2017	6	Elect Marie L. Knowles	Mgmt	Voted	Fo
Mckesson Corporation	MCK	58155Q103	7/26/2017	7	Elect Edward A. Mueller	Mgmt	Voted	Fo
Mckesson Corporation	MCK	58155Q103	7/26/2017	8	Elect Susan R. Salka	Mgmt	Voted	Fo
Mckesson Corporation	MCK	58155Q103	7/26/2017	9	Ratification of Auditor	Mgmt	Voted	Fo
Mckesson Corporation	MCK	58155Q103	7/26/2017	10	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Mckesson Corporation	MCK	58155Q103	7/26/2017	11	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
Mckesson Corporation	MCK	58155Q103	7/26/2017	12	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	Ap
Mckesson Corporation	MCK	58155Q103	7/26/2017	13	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Voted	Ap
Medtronic Plc	MDT	G5960L103	12/8/2017	1	Elect Richard H. Anderson	Mgmt	Voted	Fo
Medtronic Plc	MDT	G5960L103	12/8/2017	2	Elect Craig Arnold	Mgmt	Voted	Fo
Medtronic Plc	MDT	G5960L103	12/8/2017	3	Elect Scott C. Donnelly	Mgmt	Voted	Fo
Medtronic Plc	MDT	G5960L103	12/8/2017	4	Elect Randall J. Hogan III	Mgmt	Voted	Fo
Medtronic Plc	MDT	G5960L103	12/8/2017	5	Elect Omar Ishrak	Mgmt	Voted	Fo

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Medtronic Plc	MDT	G5960L103	12/8/2017	6	Elect Shirley Ann Jackson	Mgmt	Voted	Fo
Medtronic Plc	MDT	G5960L103	12/8/2017	7	Elect Michael O. Leavitt	Mgmt	Voted	Fo
Medtronic Plc	MDT	G5960L103	12/8/2017	8	Elect James T. Lenehan	Mgmt	Voted	Fo
Medtronic Plc	MDT	G5960L103	12/8/2017	9	Elect Elizabeth G. Nabel	Mgmt	Voted	Fo
Medtronic Plc	MDT	G5960L103	12/8/2017	10	Elect Denise M. O'Leary	Mgmt	Voted	Fo
Medtronic Plc	MDT	G5960L103	12/8/2017	11	Elect Kendall J. Powell	Mgmt	Voted	Fo
Medtronic Plc	MDT	G5960L103	12/8/2017	12	Elect Robert C. Pozen	Mgmt	Voted	Fo
Medtronic Plc	MDT	G5960L103	12/8/2017	13	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	Fo
Medtronic Plc	MDT	G5960L103	12/8/2017	14	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Medtronic Plc	MDT	G5960L103	12/8/2017	15	Amendment to the 2013 Stock Award and Incentive Plan	Mgmt	Voted	Fo
MetLife, Inc.	MET	59156R108	10/19/2017	1	Amendments to the Certificate of Incorporation to Modify the Preferred Stock Dividend Payment Tests	Mgmt	Voted	Fo
MetLife, Inc.	MET	59156R108	10/19/2017	2	Right to Adjourn Meeting	Mgmt	Voted	Fo
Mexico Equity And Income Fund Inc	MXE	592834105	12/14/2017	1	Elect Phillip Goldstein	Mgmt	Voted	Fo
Mexico Fund Inc	MXF	592835102	3/6/2018	1.1	Elect Marc J. Shapiro	Mgmt	Voted	Fo
Mexico Fund Inc	MXF	592835102	3/6/2018	1.2	Elect Jaime Serra Puche	Mgmt	Voted	Fo
Micron Technology, Inc.	MU	595112103	1/17/2018	1	Elect Robert L. Bailey	Mgmt	Voted	Fo
	MU	595112103	1/17/2018	2	Elect Richard M. Beyer	Mgmt	Voted	Fo



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Micron Technology, Inc.									
Micron Technology, Inc.	MU	595112103	1/17/2018	3	Elect Patrick J. Byrne	Mgmt	Voted	For	
Micron Technology, Inc.	MU	595112103	1/17/2018	4	Elect Mercedes Johnson	Mgmt	Voted	For	
Micron Technology, Inc.	MU	595112103	1/17/2018	5	Elect Sanjay Mehrotra	Mgmt	Voted	For	
Micron Technology, Inc.	MU	595112103	1/17/2018	6	Elect Lawrence N. Mondry	Mgmt	Voted	For	
Micron Technology, Inc.	MU	595112103	1/17/2018	7	Elect Robert E. Switz	Mgmt	Voted	For	
Micron Technology, Inc.	MU	595112103	1/17/2018	8	Employee Stock Purchase Plan	Mgmt	Voted	For	
Micron Technology, Inc.	MU	595112103	1/17/2018	9	Approval of Performance Goals Under Executive Officer Performance Incentive Plan	Mgmt	Voted	For	
Micron Technology, Inc.	MU	595112103	1/17/2018	10	Ratification of Auditor	Mgmt	Voted	For	
Micron Technology, Inc.	MU	595112103	1/17/2018	11	Advisory Vote on Executive Compensation	Mgmt	Voted	For	
Micron Technology, Inc.	MU	595112103	1/17/2018	12	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1	
Microsoft Corporation	MSFT	594918104	11/29/2017	1	Elect William H. Gates III	Mgmt	Voted	For	
Microsoft Corporation	MSFT	594918104	11/29/2017	2	Elect Reid G. Hoffman	Mgmt	Voted	For	
Microsoft Corporation	MSFT	594918104	11/29/2017	3	Elect Hugh F. Johnston	Mgmt	Voted	For	
Microsoft Corporation	MSFT	594918104	11/29/2017	4	Elect Teri L. List-Stoll	Mgmt	Voted	For	
Microsoft Corporation	MSFT	594918104	11/29/2017	5	Elect Satya Nadella	Mgmt	Voted	For	
Microsoft Corporation	MSFT	594918104	11/29/2017	6	Elect Charles H. Noski	Mgmt	Voted	For	
	MSFT	594918104	11/29/2017	7		Mgmt	Voted	For	

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Microsoft Corporation						Elect Helmut G. W. Panke			
Microsoft Corporation	MSFT	594918104	11/29/2017	8		Elect Sandra E. Peterson	Mgmt	Voted	Fo
Microsoft Corporation	MSFT	594918104	11/29/2017	9		Elect Penny S. Pritzker	Mgmt	Voted	Fo
Microsoft Corporation	MSFT	594918104	11/29/2017	10		Elect Charles W. Scharf	Mgmt	Voted	Fo
Microsoft Corporation	MSFT	594918104	11/29/2017	11		Elect Arne M. Sorenson	Mgmt	Voted	Fo
Microsoft Corporation	MSFT	594918104	11/29/2017	12		Elect John W. Stanton	Mgmt	Voted	Fo
Microsoft Corporation	MSFT	594918104	11/29/2017	13		Elect John W. Thompson	Mgmt	Voted	Fo
Microsoft Corporation	MSFT	594918104	11/29/2017	14		Elect Padmasree Warrior	Mgmt	Voted	Fo
Microsoft Corporation	MSFT	594918104	11/29/2017	15		Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Microsoft Corporation	MSFT	594918104	11/29/2017	16		Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
Microsoft Corporation	MSFT	594918104	11/29/2017	17		Ratification of Auditor	Mgmt	Voted	Fo
Microsoft Corporation	MSFT	594918104	11/29/2017	18		Approval of Material Terms of Performance Goals Under Executive Incentive Plan	Mgmt	Voted	Fo
Microsoft Corporation	MSFT	594918104	11/29/2017	19		Approval of the 2017 Stock Plan	Mgmt	Voted	Fo
Mondelez International Inc.	MDLZ	609207105	5/16/2018	1		Elect Lewis W.K. Booth	Mgmt	Voted	Fo
Mondelez International Inc.	MDLZ	609207105	5/16/2018	2		Elect Charles E. Bunch	Mgmt	Voted	Fo
Mondelez International Inc.	MDLZ	609207105	5/16/2018	3		Elect Debra A. Crew	Mgmt	Voted	Fo
Mondelez International Inc.	MDLZ	609207105	5/16/2018	4		Elect Lois D. Juliber	Mgmt	Voted	Fo
Mondelez International Inc.	MDLZ	609207105	5/16/2018	5		Elect Mark D. Ketchum	Mgmt	Voted	Fo

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Mondelez International Inc.	MDLZ	609207105	5/16/2018	6	Elect Peter W. May	Mgmt	Voted	Fo
Mondelez International Inc.	MDLZ	609207105	5/16/2018	7	Elect Jorge S. Mesquita	Mgmt	Voted	Fo
Mondelez International Inc.	MDLZ	609207105	5/16/2018	8	Elect Joseph Neubauer	Mgmt	Voted	Fo
Mondelez International Inc.	MDLZ	609207105	5/16/2018	9	Elect Fredric G. Reynolds	Mgmt	Voted	Fo
Mondelez International Inc.	MDLZ	609207105	5/16/2018	10	Elect Christiana Shi	Mgmt	Voted	Fo
Mondelez International Inc.	MDLZ	609207105	5/16/2018	11	Elect Patrick T. Siewert	Mgmt	Voted	Fo
Mondelez International Inc.	MDLZ	609207105	5/16/2018	12	Elect Jean-Francois M. L. van Boxmeer	Mgmt	Voted	Fo
Mondelez International Inc.	MDLZ	609207105	5/16/2018	13	Elect Dirk Van de Put	Mgmt	Voted	Fo
Mondelez International Inc.	MDLZ	609207105	5/16/2018	14	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Mondelez International Inc.	MDLZ	609207105	5/16/2018	15	Ratification of Auditor	Mgmt	Voted	Fo
Mondelez International Inc.	MDLZ	609207105	5/16/2018	16	Shareholder Proposal Regarding Reporting on the Use of Non-recyclable Packaging	ShrHoldr	Voted	Ap
Mondelez International Inc.	MDLZ	609207105	5/16/2018	17	Shareholder Proposal Regarding Plant Closings	ShrHoldr	Voted	Ap
Monsanto Company	MON	61166W101	1/31/2018	1	Elect Dwight M. Barns	Mgmt	Voted	Fo
Monsanto Company	MON	61166W101	1/31/2018	2	Elect Gregory H. Boyce	Mgmt	Voted	Fo
Monsanto Company	MON	61166W101	1/31/2018	3	Elect David L. Chicoine	Mgmt	Voted	Fo
Monsanto Company	MON	61166W101	1/31/2018	4	Elect Janice L. Fields	Mgmt	Voted	Fo
Monsanto Company	MON	61166W101	1/31/2018	5	Elect Hugh Grant	Mgmt	Voted	Fo
Monsanto Company	MON	61166W101	1/31/2018	6	Elect Laura K. Ipsen	Mgmt	Voted	Fo
Monsanto Company	MON	61166W101	1/31/2018	7	Elect Marcos Marinho Lutz	Mgmt	Voted	Fo
Monsanto Company	MON	61166W101	1/31/2018	8	Elect C. Steven McMillan	Mgmt	Voted	Fo

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Monsanto Company	MON	61166W101	1/31/2018	9	Elect Jon R. Moeller	Mgmt	Voted	Fo
Monsanto Company	MON	61166W101	1/31/2018	10	Elect George H. Poste	Mgmt	Voted	Fo
Monsanto Company	MON	61166W101	1/31/2018	11	Elect Robert J. Stevens	Mgmt	Voted	Fo
Monsanto Company	MON	61166W101	1/31/2018	12	Elect Patricia Verduin	Mgmt	Voted	Fo
Monsanto Company	MON	61166W101	1/31/2018	13	Ratification of Auditor	Mgmt	Voted	Fo
Monsanto Company	MON	61166W101	1/31/2018	14	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Monsanto Company	MON	61166W101	1/31/2018	15	Shareholder Proposal Regarding Formation of Human Rights Committee	ShrHoldr	Voted	Ap
Moody's Corporation	MCO	615369105	4/24/2018	1	Elect Basil L. Anderson	Mgmt	Voted	Fo
Moody's Corporation	MCO	615369105	4/24/2018	2	Elect Jorge A. Bermudez	Mgmt	Voted	Fo
Moody's Corporation	MCO	615369105	4/24/2018	3	Elect Vincent A. Forlenza	Mgmt	Voted	Fo
Moody's Corporation	MCO	615369105	4/24/2018	4	Elect Kathryn M. Hill	Mgmt	Voted	Fo
Moody's Corporation	MCO	615369105	4/24/2018	5	Elect Raymond W. McDaniel, Jr.	Mgmt	Voted	Fo
Moody's Corporation	MCO	615369105	4/24/2018	6	Elect Henry A. McKinnell, Jr.	Mgmt	Voted	Fo
Moody's Corporation	MCO	615369105	4/24/2018	7	Elect Leslie F. Seidman	Mgmt	Voted	Fo
Moody's Corporation	MCO	615369105	4/24/2018	8	Elect Bruce Van Saun	Mgmt	Voted	Fo
Moody's Corporation	MCO	615369105	4/24/2018	9	Elect Gerrit Zalm	Mgmt	Voted	Fo
Moody's Corporation	MCO	615369105	4/24/2018	10	Ratification of Auditor	Mgmt	Voted	Fo
Moody's Corporation	MCO	615369105	4/24/2018	11	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Moody's Corporation	MCO	615369105	4/24/2018	12	Shareholder Proposal Regarding Amendment to Clawback Policy	ShrHoldr	Voted	Ap
Morgan Stanley	MS	617446448	5/24/2018	1	Elect Elizabeth Corley	Mgmt	Voted	Fo
Morgan Stanley	MS	617446448	5/24/2018	2	Elect Alistair Darling	Mgmt	Voted	Fo
Morgan Stanley	MS	617446448	5/24/2018	3	Elect Thomas H. Glocer	Mgmt	Voted	Fo
Morgan Stanley	MS	617446448	5/24/2018	4	Elect James P. Gorman	Mgmt	Voted	Fo
Morgan Stanley	MS	617446448	5/24/2018	5	Elect Robert H. Herz	Mgmt	Voted	Fo

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Morgan Stanley	MS	617446448	5/24/2018	6	Elect Nobuyuki Hirano	Mgmt	Voted	FO
Morgan Stanley	MS	617446448	5/24/2018	7	Elect Jami Miscik	Mgmt	Voted	FO
Morgan Stanley	MS	617446448	5/24/2018	8	Elect Dennis M. Nally	Mgmt	Voted	FO
Morgan Stanley	MS	617446448	5/24/2018	9	Elect Hutham S. Olayan	Mgmt	Voted	FO
Morgan Stanley	MS	617446448	5/24/2018	10	Elect Ryohsuke Tamakoshi	Mgmt	Voted	FO
Morgan Stanley	MS	617446448	5/24/2018	11	Elect Perry M. Traquina	Mgmt	Voted	FO
Morgan Stanley	MS	617446448	5/24/2018	12	Elect Rayford Wilkins, Jr.	Mgmt	Voted	FO
Morgan Stanley	MS	617446448	5/24/2018	13	Ratification of Auditor	Mgmt	Voted	FO
Morgan Stanley	MS	617446448	5/24/2018	14	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
Morgan Stanley	MS	617446448	5/24/2018	15	Shareholder Proposal Regarding Government Service Vesting	ShrHoldr	Voted	AP
Morgan Stanley Asia-Pacific Fund Inc	APF	61744U106	8/3/2017	1.1	Elect Kathleen A. Dennis	Mgmt	Voted	FO
Morgan Stanley Asia-Pacific Fund Inc	APF	61744U106	8/3/2017	1.2	Elect Joseph J. Kearns	Mgmt	Voted	FO
Morgan Stanley Asia-Pacific Fund Inc	APF	61744U106	8/3/2017	1.3	Elect Michael E. Nugent	Mgmt	Voted	FO
Morgan Stanley Asia-Pacific Fund Inc	APF	61744U106	8/3/2017	1.4	Elect Fergus Reid	Mgmt	Voted	FO
Morgan Stanley Asia-Pacific Fund Inc	APF	61744U106	8/3/2017	1.5	Elect Patricia Maleski	Mgmt	Voted	FO
Morgan Stanley Asia-Pacific Fund Inc	APF	61744U106	6/21/2018	1.1	Elect Nancy C. Everett	Mgmt	Voted	FO
Morgan Stanley Asia-Pacific Fund Inc	APF	61744U106	6/21/2018	1.2	Elect Michael F. Klein	Mgmt	Voted	FO
Morgan Stanley Asia-Pacific Fund Inc	APF	61744U106	6/21/2018	1.3	Elect W. Allen Reed	Mgmt	Voted	FO

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Morgan Stanley China A Share Fund Inc	CAF	617468103	8/3/2017	1.1	Elect Kathleen A. Dennis	Mgmt	Voted	FO
Morgan Stanley China A Share Fund Inc	CAF	617468103	8/3/2017	1.2	Elect Joseph J. Kearns	Mgmt	Voted	FO
Morgan Stanley China A Share Fund Inc	CAF	617468103	8/3/2017	1.3	Elect Michael E. Nugent	Mgmt	Voted	FO
Morgan Stanley China A Share Fund Inc	CAF	617468103	8/3/2017	1.4	Elect Fergus Reid	Mgmt	Voted	FO
Morgan Stanley China A Share Fund Inc	CAF	617468103	8/3/2017	1.5	Elect Patricia Maleski	Mgmt	Voted	FO
Morgan Stanley China A Share Fund Inc	CAF	617468103	6/21/2018	1.1	Elect Nancy C. Everett	Mgmt	Voted	FO
Morgan Stanley China A Share Fund Inc	CAF	617468103	6/21/2018	1.2	Elect Michael F. Klein	Mgmt	Voted	FO
Morgan Stanley China A Share Fund Inc	CAF	617468103	6/21/2018	1.3	Elect W. Allen Reed	Mgmt	Voted	FO
Morgan Stanley India Investment Fund Inc	IIF	61745C105	8/3/2017	1.1	Elect Jakki L. Haussler	Mgmt	Voted	FO
Morgan Stanley India Investment Fund Inc	IIF	61745C105	8/3/2017	1.2	Elect Nancy C. Everett	Mgmt	Voted	FO
Morgan Stanley India Investment Fund Inc	IIF	61745C105	8/3/2017	1.3	Elect Patricia Maleski	Mgmt	Voted	FO
Morgan Stanley India Investment Fund Inc	IIF	61745C105	6/21/2018	1.1	Elect Joseph J. Kearns	Mgmt	Voted	FO
Morgan Stanley India Investment Fund Inc	IIF	61745C105	6/21/2018	1.2	Elect Patricia Maleski	Mgmt	Voted	FO
Netflix, Inc.	NFLX	64110L106	6/6/2018	1	Elect Richard N. Barton	Mgmt	Voted	FO
Netflix, Inc.	NFLX	64110L106	6/6/2018	2	Elect Rodolphe Belmer	Mgmt	Voted	FO

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Netflix, Inc.	NFLX	64110L106	6/6/2018	3	Elect Bradford L. Smith	Mgmt	Voted	Fo
Netflix, Inc.	NFLX	64110L106	6/6/2018	4	Elect Anne M. Sweeney	Mgmt	Voted	Fo
Netflix, Inc.	NFLX	64110L106	6/6/2018	5	Ratification of Auditor	Mgmt	Voted	Fo
Netflix, Inc.	NFLX	64110L106	6/6/2018	6	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Netflix, Inc.	NFLX	64110L106	6/6/2018	7	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	Ap
Netflix, Inc.	NFLX	64110L106	6/6/2018	8	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Voted	Ap
Netflix, Inc.	NFLX	64110L106	6/6/2018	9	Shareholder Proposal Regarding Amendment to Clawback Policy	ShrHoldr	Voted	Ap
Netflix, Inc.	NFLX	64110L106	6/6/2018	10	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Voted	Ap
Netflix, Inc.	NFLX	64110L106	6/6/2018	11	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	Voted	Ap
Netflix, Inc.	NFLX	64110L106	6/6/2018	12	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHoldr	Voted	Ap
New Ireland Fund Inc	IRL	645673104	6/11/2018	1.1	Elect Michael A. Pignataro	Mgmt	Voted	Fo
Newell Brands Inc.	NWL	651229106	5/15/2018	1.1	Elect Bridget M. Ryan-Berman	Mgmt	Voted	Fo
Newell Brands Inc.	NWL	651229106	5/15/2018	1.2	Elect Patrick D. Campbell	Mgmt	Voted	Fo
Newell Brands Inc.	NWL	651229106	5/15/2018	1.3	Elect James R. Craigie	Mgmt	Voted	Fo
Newell Brands Inc.	NWL	651229106	5/15/2018	1.4	Elect Debra A. Crew	Mgmt	Voted	Fo
Newell Brands Inc.	NWL	651229106	5/15/2018	1.5	Elect Brett M. Icahn	Mgmt	Voted	Fo
Newell Brands Inc.	NWL	651229106	5/15/2018	1.6	Elect Gerardo I. Lopez	Mgmt	Voted	Fo
Newell Brands Inc.	NWL	651229106	5/15/2018	1.7	Elect Courtney Mather	Mgmt	Voted	Fo
Newell Brands Inc.	NWL	651229106	5/15/2018	1.8	Elect Michael B. Polk	Mgmt	Voted	Fo
Newell Brands Inc.	NWL	651229106	5/15/2018	1.9	Elect Judith A. Sprieser	Mgmt	Voted	Fo

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Newell Brands Inc.	NWL	651229106	5/15/2018	1.10	Elect Robert A. Steele	Mgmt	Voted	FO
Newell Brands Inc.	NWL	651229106	5/15/2018	1.11	Elect Steven J. Strobel	Mgmt	Voted	FO
Newell Brands Inc.	NWL	651229106	5/15/2018	1.12	Elect Michael A. Todman	Mgmt	Voted	FO
Newell Brands Inc.	NWL	651229106	5/15/2018	2	Ratification of Auditor	Mgmt	Voted	FO
Newell Brands Inc.	NWL	651229106	5/15/2018	3	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
Newell Brands Inc.	NWL	651229106	5/15/2018	4	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Voted	NA
NextEra Energy, Inc.	NEE	65339F101	5/24/2018	1	Elect Sherry S. Barrat	Mgmt	Voted	FO
NextEra Energy, Inc.	NEE	65339F101	5/24/2018	2	Elect James L. Camaren	Mgmt	Voted	FO
NextEra Energy, Inc.	NEE	65339F101	5/24/2018	3	Elect Kenneth B. Dunn	Mgmt	Voted	FO
NextEra Energy, Inc.	NEE	65339F101	5/24/2018	4	Elect Naren K. Gursahaney	Mgmt	Voted	FO
NextEra Energy, Inc.	NEE	65339F101	5/24/2018	5	Elect Kirk S. Hachigian	Mgmt	Voted	FO
NextEra Energy, Inc.	NEE	65339F101	5/24/2018	6	Elect Toni Jennings	Mgmt	Voted	FO
NextEra Energy, Inc.	NEE	65339F101	5/24/2018	7	Elect Amy B. Lane	Mgmt	Voted	FO
NextEra Energy, Inc.	NEE	65339F101	5/24/2018	8	Elect James L. Robo	Mgmt	Voted	FO
NextEra Energy, Inc.	NEE	65339F101	5/24/2018	9	Elect Rudy E. Schupp	Mgmt	Voted	FO
NextEra Energy, Inc.	NEE	65339F101	5/24/2018	10	Elect John L. Skolds	Mgmt	Voted	FO
NextEra Energy, Inc.	NEE	65339F101	5/24/2018	11	Elect William H. Swanson	Mgmt	Voted	FO
NextEra Energy, Inc.	NEE	65339F101	5/24/2018	12	Elect Hansel E. Tookes II	Mgmt	Voted	FO
NextEra Energy, Inc.	NEE	65339F101	5/24/2018	13	Ratification of Auditor	Mgmt	Voted	FO
NextEra Energy, Inc.	NEE	65339F101	5/24/2018	14	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
NextEra Energy, Inc.	NEE	65339F101	5/24/2018	15	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Voted	AP
NextEra Energy, Inc.	NEE	65339F101	5/24/2018	16	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Voted	AP



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Nike Inc.	NKE	654106103	9/21/2017	1.1	Elect Alan B. Graf, Jr.	Mgmt	Voted	FO
Nike Inc.	NKE	654106103	9/21/2017	1.2	Elect John C. Lechleiter	Mgmt	Voted	FO
Nike Inc.	NKE	654106103	9/21/2017	1.3	Elect Michelle Peluso	Mgmt	Voted	FO
Nike Inc.	NKE	654106103	9/21/2017	2	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
Nike Inc.	NKE	654106103	9/21/2017	3	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
Nike Inc.	NKE	654106103	9/21/2017	4	Amendment to the Long-Term Incentive Plan	Mgmt	Voted	FO
Nike Inc.	NKE	654106103	9/21/2017	5	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Voted	AP
Nike Inc.	NKE	654106103	9/21/2017	6	Ratification of Auditor	Mgmt	Voted	FO
Nuveen Global Equity Income Fund	JRI	67074Y105	4/11/2018	1.1	Elect Margo L. Cook	Mgmt	Voted	FO
Nuveen Global Equity Income Fund	JRI	67074Y105	4/11/2018	1.2	Elect Jack B. Evans	Mgmt	Voted	FO
Nuveen Global Equity Income Fund	JRI	67074Y105	4/11/2018	1.3	Elect Albin F. Moschner	Mgmt	Voted	FO
Nuveen Global Equity Income Fund	JRI	67074Y105	4/11/2018	1.4	Elect William J. Schneider	Mgmt	Voted	FO
Occidental Petroleum Corporation	OXY	674599105	5/4/2018	1	Elect Spencer Abraham	Mgmt	Voted	FO
Occidental Petroleum Corporation	OXY	674599105	5/4/2018	2	Elect Howard I. Atkins	Mgmt	Voted	FO
Occidental Petroleum Corporation	OXY	674599105	5/4/2018	3	Elect Eugene L. Batchelder	Mgmt	Voted	FO
Occidental Petroleum Corporation	OXY	674599105	5/4/2018	4	Elect John E. Feick	Mgmt	Voted	FO
Occidental Petroleum Corporation	OXY	674599105	5/4/2018	5	Elect Margaret M. Foran	Mgmt	Voted	FO
	OXY	674599105	5/4/2018	6		Mgmt	Voted	FO

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Occidental Petroleum Corporation						Elect Carlos M. Gutierrez			
Occidental Petroleum Corporation	OXY	674599105	5/4/2018	7		Elect Vicki A. Hollub	Mgmt	Voted	Fo
Occidental Petroleum Corporation	OXY	674599105	5/4/2018	8		Elect William R. Klesse	Mgmt	Voted	Fo
Occidental Petroleum Corporation	OXY	674599105	5/4/2018	9		Elect Jack B. Moore	Mgmt	Voted	Fo
Occidental Petroleum Corporation	OXY	674599105	5/4/2018	10		Elect Avedick B. Poladian	Mgmt	Voted	Fo
Occidental Petroleum Corporation	OXY	674599105	5/4/2018	11		Elect Elisse B. Walter	Mgmt	Voted	Fo
Occidental Petroleum Corporation	OXY	674599105	5/4/2018	12		Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Occidental Petroleum Corporation	OXY	674599105	5/4/2018	13		Amendment to the 2015 Long-Term Incentive Plan	Mgmt	Voted	Fo
Occidental Petroleum Corporation	OXY	674599105	5/4/2018	14		Ratification of Auditor	Mgmt	Voted	Fo
Oracle Corporation	ORCL	68389X105	11/15/2017	1.1		Elect Jeffrey S. Berg	Mgmt	Voted	Fo
Oracle Corporation	ORCL	68389X105	11/15/2017	1.2		Elect Michael J. Boskin	Mgmt	Voted	Fo
Oracle Corporation	ORCL	68389X105	11/15/2017	1.3		Elect Safra A. Catz	Mgmt	Voted	Fo
Oracle Corporation	ORCL	68389X105	11/15/2017	1.4		Elect Bruce R. Chizen	Mgmt	Voted	Fo
Oracle Corporation	ORCL	68389X105	11/15/2017	1.5		Elect George H. Conrades	Mgmt	Voted	Fo
Oracle Corporation	ORCL	68389X105	11/15/2017	1.6		Elect Lawrence J. Ellison	Mgmt	Voted	Fo
Oracle Corporation	ORCL	68389X105	11/15/2017	1.7		Elect Hector Garcia-Molina	Mgmt	Voted	Fo
Oracle Corporation	ORCL	68389X105	11/15/2017	1.8		Elect Jeffrey O. Henley	Mgmt	Voted	Fo
Oracle Corporation	ORCL	68389X105	11/15/2017	1.9		Elect Mark V. Hurd	Mgmt	Voted	Fo
Oracle Corporation	ORCL	68389X105	11/15/2017	1.10		Elect Renee J. James	Mgmt	Voted	Fo
Oracle Corporation	ORCL	68389X105	11/15/2017	1.11		Elect Leon E. Panetta	Mgmt	Voted	Fo
Oracle Corporation	ORCL	68389X105	11/15/2017	1.12		Elect Naomi O. Seligman	Mgmt	Voted	Fo
Oracle Corporation	ORCL	68389X105	11/15/2017	2		Advisory Vote on Executive	Mgmt	Voted	Fo

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					Compensation			
Oracle Corporation	ORCL	68389X105	11/15/2017	3	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
Oracle Corporation	ORCL	68389X105	11/15/2017	4	Amendment to 2000 Long Term-Term Incentive Plan	Mgmt	Voted	Fo
Oracle Corporation	ORCL	68389X105	11/15/2017	5	Ratification of Auditor	Mgmt	Voted	Fo
Oracle Corporation	ORCL	68389X105	11/15/2017	6	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Voted	Ap
Oracle Corporation	ORCL	68389X105	11/15/2017	7	Shareholder Proposal Regarding Gender Pay Equity Report	ShrHoldr	Voted	Ap
Oracle Corporation	ORCL	68389X105	11/15/2017	8	Shareholder Proposal Regarding Amendments to Proxy Access Bylaw	ShrHoldr	Voted	Ap
PepsiCo, Inc.	PEP	713448108	5/2/2018	1	Elect Shona L. Brown	Mgmt	Voted	Fo
PepsiCo, Inc.	PEP	713448108	5/2/2018	2	Elect George W. Buckley	Mgmt	Voted	Fo
PepsiCo, Inc.	PEP	713448108	5/2/2018	3	Elect Cesar Conde	Mgmt	Voted	Fo
PepsiCo, Inc.	PEP	713448108	5/2/2018	4	Elect Ian M. Cook	Mgmt	Voted	Fo
PepsiCo, Inc.	PEP	713448108	5/2/2018	5	Elect Dina Dublon	Mgmt	Voted	Fo
PepsiCo, Inc.	PEP	713448108	5/2/2018	6	Elect Richard W. Fisher	Mgmt	Voted	Fo
PepsiCo, Inc.	PEP	713448108	5/2/2018	7	Elect William R. Johnson	Mgmt	Voted	Fo
PepsiCo, Inc.	PEP	713448108	5/2/2018	8	Elect Indra K. Nooyi	Mgmt	Voted	Fo
PepsiCo, Inc.	PEP	713448108	5/2/2018	9	Elect David C. Page	Mgmt	Voted	Fo
PepsiCo, Inc.	PEP	713448108	5/2/2018	10	Elect Robert C. Pohlada	Mgmt	Voted	Fo
PepsiCo, Inc.	PEP	713448108	5/2/2018	11	Elect Daniel L. Vasella	Mgmt	Voted	Fo
PepsiCo, Inc.	PEP	713448108	5/2/2018	12	Elect Darren Walker	Mgmt	Voted	Fo
PepsiCo, Inc.	PEP	713448108	5/2/2018	13	Elect Alberto Weisser	Mgmt	Voted	Fo
PepsiCo, Inc.	PEP	713448108	5/2/2018	14	Ratification of Auditor	Mgmt	Voted	Fo
PepsiCo, Inc.	PEP	713448108	5/2/2018	15	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo

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PepsiCo, Inc.	PEP	713448108	5/2/2018	16	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Voted	AP
PG&E Corporation	PCG	69331C108	5/22/2018	1	Elect Lewis Chew	Mgmt	Voted	FC
PG&E Corporation	PCG	69331C108	5/22/2018	2	Elect Fred J. Fowler	Mgmt	Voted	FC
PG&E Corporation	PCG	69331C108	5/22/2018	3	Elect Richard C. Kelly	Mgmt	Voted	FC
PG&E Corporation	PCG	69331C108	5/22/2018	4	Elect Roger H. Kimmel	Mgmt	Voted	FC
PG&E Corporation	PCG	69331C108	5/22/2018	5	Elect Richard A. Meserve	Mgmt	Voted	FC
PG&E Corporation	PCG	69331C108	5/22/2018	6	Elect Forrest E. Miller	Mgmt	Voted	FC
PG&E Corporation	PCG	69331C108	5/22/2018	7	Elect Eric D. Mullins	Mgmt	Voted	FC
PG&E Corporation	PCG	69331C108	5/22/2018	8	Elect Rosendo G. Parra	Mgmt	Voted	FC
PG&E Corporation	PCG	69331C108	5/22/2018	9	Elect Barbara L. Rambo	Mgmt	Voted	FC
PG&E Corporation	PCG	69331C108	5/22/2018	10	Elect Anne Shen Smith	Mgmt	Voted	FC
PG&E Corporation	PCG	69331C108	5/22/2018	11	Elect Geisha J. Williams	Mgmt	Voted	FC
PG&E Corporation	PCG	69331C108	5/22/2018	12	Ratification of Auditor	Mgmt	Voted	FC
PG&E Corporation	PCG	69331C108	5/22/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	FC
PG&E Corporation	PCG	69331C108	5/22/2018	14	Shareholder Proposal Regarding Charitable Contributions	ShrHoldr	Voted	AP
PG&E Corporation	PCG	69331C108	5/22/2018	15	Shareholder Proposal Regarding Proxy Access Bylaw Amendment	ShrHoldr	Voted	AP
Philip Morris International Inc.	PM	718172109	5/9/2018	1	Elect Harold Brown	Mgmt	Voted	FC
Philip Morris International Inc.	PM	718172109	5/9/2018	2	Elect Andre Calantzopoulos	Mgmt	Voted	FC
Philip Morris International Inc.	PM	718172109	5/9/2018	3	Elect Louis C. Camilleri	Mgmt	Voted	FC
Philip Morris International Inc.	PM	718172109	5/9/2018	4	Elect Massimo Ferragamo	Mgmt	Voted	FC
Philip Morris International Inc.	PM	718172109	5/9/2018	5	Elect Werner Geissler	Mgmt	Voted	FC
	PM	718172109	5/9/2018	6	Elect Lisa A. Hook	Mgmt	Voted	FC

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Philip Morris  
International Inc.

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International Inc.

Philip Morris  
International Inc.

Pioneer Natural  
Resources Company

Pioneer Natural  
Resources Company

Pioneer Natural  
Resources Company

Pioneer Natural  
Resources Company

Pioneer Natural  
Resources Company

Pioneer Natural  
Resources Company

Pioneer Natural  
Resources Company

Pioneer Natural  
Resources Company

PM	718172109	5/9/2018	7	Elect Jennifer Xin-Zhe Li	Mgmt	Voted	FO
PM	718172109	5/9/2018	8	Elect Jun Makihara	Mgmt	Voted	FO
PM	718172109	5/9/2018	9	Elect Sergio Marchionne	Mgmt	Voted	FO
PM	718172109	5/9/2018	10	Elect Kalpana Morparia	Mgmt	Voted	FO
PM	718172109	5/9/2018	11	Elect Lucio A. Noto	Mgmt	Voted	FO
PM	718172109	5/9/2018	12	Elect Frederik Paulsen	Mgmt	Voted	FO
PM	718172109	5/9/2018	13	Elect Robert B. Polet	Mgmt	Voted	FO
PM	718172109	5/9/2018	14	Elect Stephen M. Wolf	Mgmt	Voted	FO
PM	718172109	5/9/2018	15	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
PM	718172109	5/9/2018	16	Ratification of Auditor	Mgmt	Voted	FO
PXD	723787107	5/17/2018	1	Elect Edison C. Buchanan	Mgmt	Voted	FO
PXD	723787107	5/17/2018	2	Elect Andrew F. Cates	Mgmt	Voted	FO
PXD	723787107	5/17/2018	3	Elect Timothy L. Dove	Mgmt	Voted	FO
PXD	723787107	5/17/2018	4	Elect Phillip A. Gobe	Mgmt	Voted	FO
PXD	723787107	5/17/2018	5	Elect Larry R. Grillot	Mgmt	Voted	FO
PXD	723787107	5/17/2018	6	Elect Stacy P. Methvin	Mgmt	Voted	FO
PXD	723787107	5/17/2018	7	Elect Royce W. Mitchell	Mgmt	Voted	FO
PXD	723787107	5/17/2018	8	Elect Frank A. Risch	Mgmt	Voted	FO

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Pioneer Natural Resources Company	PXD	723787107	5/17/2018	9	Elect Scott D. Sheffield	Mgmt	Voted	Fo
Pioneer Natural Resources Company	PXD	723787107	5/17/2018	10	Elect Mona K. Sutphen	Mgmt	Voted	Fo
Pioneer Natural Resources Company	PXD	723787107	5/17/2018	11	Elect J. Kenneth Thompson	Mgmt	Voted	Fo
Pioneer Natural Resources Company	PXD	723787107	5/17/2018	12	Elect Phoebe A. Wood	Mgmt	Voted	Fo
Pioneer Natural Resources Company	PXD	723787107	5/17/2018	13	Elect Michael D. Wortley	Mgmt	Voted	Fo
Pioneer Natural Resources Company	PXD	723787107	5/17/2018	14	Ratification of Auditor	Mgmt	Voted	Fo
Pioneer Natural Resources Company	PXD	723787107	5/17/2018	15	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Praxair, Inc.	PX	74005P104	9/27/2017	1	Merger/Acquisition	Mgmt	Voted	Fo
Praxair, Inc.	PX	74005P104	9/27/2017	2	Reduction in Share Premium Account (Linde plc)	Mgmt	Voted	Fo
Praxair, Inc.	PX	74005P104	9/27/2017	3	Advisory Vote on Golden Parachutes	Mgmt	Voted	Fo
Praxair, Inc.	PX	74005P104	9/27/2017	4	Right to Adjourn Meeting	Mgmt	Voted	Fo
Prudential Financial, Inc.	PRU	744320102	5/8/2018	1	Elect Thomas J. Baltimore, Jr.	Mgmt	Voted	Fo
Prudential Financial, Inc.	PRU	744320102	5/8/2018	2	Elect Gilbert F. Casellas	Mgmt	Voted	Fo
Prudential Financial, Inc.	PRU	744320102	5/8/2018	3	Elect Mark B. Grier	Mgmt	Voted	Fo
Prudential Financial, Inc.	PRU	744320102	5/8/2018	4	Elect Martina Hund-Mejean	Mgmt	Voted	Fo
Prudential Financial, Inc.	PRU	744320102	5/8/2018	5	Elect Karl J. Krapek	Mgmt	Voted	Fo
Prudential Financial, Inc.	PRU	744320102	5/8/2018	6	Elect Peter R. Lighte	Mgmt	Voted	Fo
Prudential Financial, Inc.	PRU	744320102	5/8/2018	7	Elect George Paz	Mgmt	Voted	Fo
Prudential Financial, Inc.	PRU	744320102	5/8/2018	8	Elect Sandra Pianalto	Mgmt	Voted	Fo

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Prudential Financial, Inc.	PRU	744320102	5/8/2018	9	Elect Christine A. Poon	Mgmt	Voted	Fo
Prudential Financial, Inc.	PRU	744320102	5/8/2018	10	Elect Douglas A. Scovanner	Mgmt	Voted	Fo
Prudential Financial, Inc.	PRU	744320102	5/8/2018	11	Elect John R. Strangfeld	Mgmt	Voted	Fo
Prudential Financial, Inc.	PRU	744320102	5/8/2018	12	Elect Michael A. Todman	Mgmt	Voted	Fo
Prudential Financial, Inc.	PRU	744320102	5/8/2018	13	Ratification of Auditor	Mgmt	Voted	Fo
Prudential Financial, Inc.	PRU	744320102	5/8/2018	14	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Prudential Financial, Inc.	PRU	744320102	5/8/2018	15	Shareholder Proposal Independent Board Chairman	ShrHoldr	Voted	Ap
Republic Services, Inc.	RSG	760759100	5/11/2018	1	Elect Manuel Kadre	Mgmt	Voted	Fo
Republic Services, Inc.	RSG	760759100	5/11/2018	2	Elect Tomago Collins	Mgmt	Voted	Fo
Republic Services, Inc.	RSG	760759100	5/11/2018	3	Elect Thomas W. Handley	Mgmt	Voted	Fo
Republic Services, Inc.	RSG	760759100	5/11/2018	4	Elect Jennifer M Kirk	Mgmt	Voted	Fo
Republic Services, Inc.	RSG	760759100	5/11/2018	5	Elect Michael Larson	Mgmt	Voted	Fo
Republic Services, Inc.	RSG	760759100	5/11/2018	6	Elect Kim S. Pegula	Mgmt	Voted	Fo
Republic Services, Inc.	RSG	760759100	5/11/2018	7	Elect Ramon A. Rodriguez	Mgmt	Voted	Fo
Republic Services, Inc.	RSG	760759100	5/11/2018	8	Elect Donald W. Slager	Mgmt	Voted	Fo
Republic Services, Inc.	RSG	760759100	5/11/2018	9	Elect John M. Trani	Mgmt	Voted	Fo
Republic Services, Inc.	RSG	760759100	5/11/2018	10	Elect Sandra M Volpe	Mgmt	Voted	Fo
Republic Services, Inc.	RSG	760759100	5/11/2018	11	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
	RSG	760759100	5/11/2018	12	Ratification of Auditor	Mgmt	Voted	Fo

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Republic Services, Inc.									
Republic Services, Inc.	RSG	760759100	5/11/2018	13	Approval of the 2018 Employee Stock Purchase Plan	Mgmt	Voted	For	
Republic Services, Inc.	RSG	760759100	5/11/2018	14	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Voted	Against	
Reynolds American Inc.	RAI	761713106	7/19/2017	1	Merger/Acquisition	Mgmt	Voted	For	
Reynolds American Inc.	RAI	761713106	7/19/2017	2	Advisory Vote on Golden Parachutes	Mgmt	Voted	For	
Reynolds American Inc.	RAI	761713106	7/19/2017	3	Right to Adjourn Meeting	Mgmt	Voted	For	
RMR Real Estate Income Fund.	RIF	76970B101	4/25/2018	1	Elect Jeffrey P. Somers	Mgmt	Voted	For	
Roper Technologies, Inc.	ROP	776696106	6/4/2018	1.1	Elect Shellye L. Archambeau	Mgmt	Voted	For	
Roper Technologies, Inc.	ROP	776696106	6/4/2018	1.2	Elect Amy Woods Brinkley	Mgmt	Voted	For	
Roper Technologies, Inc.	ROP	776696106	6/4/2018	1.3	Elect John F. Fort, III	Mgmt	Voted	For	
Roper Technologies, Inc.	ROP	776696106	6/4/2018	1.4	Elect Brian D. Jellison	Mgmt	Voted	For	
Roper Technologies, Inc.	ROP	776696106	6/4/2018	1.5	Elect Robert D. Johnson	Mgmt	Voted	For	
Roper Technologies, Inc.	ROP	776696106	6/4/2018	1.6	Elect Robert E. Knowling, Jr.	Mgmt	Voted	For	
Roper Technologies, Inc.	ROP	776696106	6/4/2018	1.7	Elect Wilbur J. Prezzano	Mgmt	Voted	For	
Roper Technologies, Inc.	ROP	776696106	6/4/2018	1.8	Elect Laura G. Thatcher	Mgmt	Voted	For	
Roper Technologies, Inc.	ROP	776696106	6/4/2018	1.9	Elect Richard F. Wallman	Mgmt	Voted	For	
Roper Technologies, Inc.	ROP	776696106	6/4/2018	1.10	Elect Christopher Wright	Mgmt	Voted	For	
Roper Technologies, Inc.	ROP	776696106	6/4/2018	2	Advisory Vote on Executive	Mgmt	Voted	For	



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Compensation

Roper Technologies, Inc.	ROP	776696106	6/4/2018	3	Ratification of Auditor	Mgmt	Voted	Fo
Royal Caribbean Cruises Ltd.	RCL	V7780T103	5/21/2018	1	Elect John F. Brock	Mgmt	Voted	Fo
Royal Caribbean Cruises Ltd.	RCL	V7780T103	5/21/2018	2	Elect Richard D. Fain	Mgmt	Voted	Fo
Royal Caribbean Cruises Ltd.	RCL	V7780T103	5/21/2018	3	Elect William L. Kimsey	Mgmt	Voted	Fo
Royal Caribbean Cruises Ltd.	RCL	V7780T103	5/21/2018	4	Elect Maritza Gomez Montiel	Mgmt	Voted	Fo
Royal Caribbean Cruises Ltd.	RCL	V7780T103	5/21/2018	5	Elect Ann S. Moore	Mgmt	Voted	Fo
Royal Caribbean Cruises Ltd.	RCL	V7780T103	5/21/2018	6	Elect Eyal M. Ofer	Mgmt	Voted	Fo
Royal Caribbean Cruises Ltd.	RCL	V7780T103	5/21/2018	7	Elect Thomas J. Pritzker	Mgmt	Voted	Fo
Royal Caribbean Cruises Ltd.	RCL	V7780T103	5/21/2018	8	Elect William K. Reilly	Mgmt	Voted	Fo
Royal Caribbean Cruises Ltd.	RCL	V7780T103	5/21/2018	9	Elect Bernt Reitan	Mgmt	Voted	Fo
Royal Caribbean Cruises Ltd.	RCL	V7780T103	5/21/2018	10	Elect Vagn Sorensen	Mgmt	Voted	Fo
Royal Caribbean Cruises Ltd.	RCL	V7780T103	5/21/2018	11	Elect Donald Thompson	Mgmt	Voted	Fo
Royal Caribbean Cruises Ltd.	RCL	V7780T103	5/21/2018	12	Elect Arne Alexander Wilhelmsen	Mgmt	Voted	Fo
Royal Caribbean Cruises Ltd.	RCL	V7780T103	5/21/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Royal Caribbean Cruises Ltd.	RCL	V7780T103	5/21/2018	14	Ratification of Auditor	Mgmt	Voted	Fo
Royce Micro-Cap Trust, Inc.	RMT	780915104	9/28/2017	1.1	Elect Stephen L. Isaacs	Mgmt	Voted	Fo
Royce Micro-Cap Trust, Inc.	RMT	780915104	9/28/2017	1.2	Elect Christopher D. Clark	Mgmt	Voted	Fo
Royce Micro-Cap Trust, Inc.	RMT	780915104	9/28/2017	1.3	Elect Christopher C. Grisanti	Mgmt	Voted	Fo

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Royce Micro-Cap Trust, Inc.	RMT	780910105	9/28/2017	1.1	Elect Stephen L. Isaacs	Mgmt	Voted	Fo
Royce Micro-Cap Trust, Inc.	RMT	780910105	9/28/2017	1.2	Elect Christopher D. Clark	Mgmt	Voted	Fo
Royce Micro-Cap Trust, Inc.	RMT	780910105	9/28/2017	1.3	Elect Christopher C. Grisanti	Mgmt	Voted	Fo
Royce Micro-Cap Trust, Inc.	RMT	78081T104	9/28/2017	1.1	Elect Stephen L. Isaacs	Mgmt	Voted	Fo
Royce Micro-Cap Trust, Inc.	RMT	78081T104	9/28/2017	1.2	Elect Christopher D. Clark	Mgmt	Voted	Fo
Royce Micro-Cap Trust, Inc.	RMT	78081T104	9/28/2017	1.3	Elect Christopher C. Grisanti	Mgmt	Voted	Fo
S&P Global Inc.	SPGI	78409V104	5/1/2018	1	Elect Marco Alvera	Mgmt	Voted	Fo
S&P Global Inc.	SPGI	78409V104	5/1/2018	2	Elect William D. Green	Mgmt	Voted	Fo
S&P Global Inc.	SPGI	78409V104	5/1/2018	3	Elect Charles E. Haldeman, Jr.	Mgmt	Voted	Fo
S&P Global Inc.	SPGI	78409V104	5/1/2018	4	Elect Stephanie C. Hill	Mgmt	Voted	Fo
S&P Global Inc.	SPGI	78409V104	5/1/2018	5	Elect Rebecca Jacoby	Mgmt	Voted	Fo
S&P Global Inc.	SPGI	78409V104	5/1/2018	6	Elect Monique F. Leroux	Mgmt	Voted	Fo
S&P Global Inc.	SPGI	78409V104	5/1/2018	7	Elect Maria R. Morris	Mgmt	Voted	Fo
S&P Global Inc.	SPGI	78409V104	5/1/2018	8	Elect Douglas L. Peterson	Mgmt	Voted	Fo
S&P Global Inc.	SPGI	78409V104	5/1/2018	9	Elect Sir Michael Rake	Mgmt	Voted	Fo
S&P Global Inc.	SPGI	78409V104	5/1/2018	10	Elect Edward B. Rust, Jr.	Mgmt	Voted	Fo
S&P Global Inc.	SPGI	78409V104	5/1/2018	11	Elect Kurt L. Schmoke	Mgmt	Voted	Fo
S&P Global Inc.	SPGI	78409V104	5/1/2018	12	Elect Richard E. Thornburgh	Mgmt	Voted	Fo
S&P Global Inc.	SPGI	78409V104	5/1/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo

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S&P Global Inc.	SPGI	78409V104	5/1/2018	14	Ratification of Auditor	Mgmt	Voted	Fo
Sempra Energy	SRE	816851109	5/10/2018	1	Elect Alan L. Boeckmann	Mgmt	Voted	Fo
Sempra Energy	SRE	816851109	5/10/2018	2	Elect Kathleen Brown	Mgmt	Voted	Fo
Sempra Energy	SRE	816851109	5/10/2018	3	Elect Andres Conesa Labastida	Mgmt	Voted	Fo
Sempra Energy	SRE	816851109	5/10/2018	4	Elect Maria Contreras-Sweet	Mgmt	Voted	Fo
Sempra Energy	SRE	816851109	5/10/2018	5	Elect Pablo A. Ferrero	Mgmt	Voted	Fo
Sempra Energy	SRE	816851109	5/10/2018	6	Elect William D. Jones	Mgmt	Voted	Fo
Sempra Energy	SRE	816851109	5/10/2018	7	Elect Jeffrey W. Martin	Mgmt	Voted	Fo
Sempra Energy	SRE	816851109	5/10/2018	8	Elect Bethany J. Mayer	Mgmt	Voted	Fo
Sempra Energy	SRE	816851109	5/10/2018	9	Elect William G. Ouchi	Mgmt	Voted	Fo
Sempra Energy	SRE	816851109	5/10/2018	10	Elect Debra L. Reed	Mgmt	Voted	Fo
Sempra Energy	SRE	816851109	5/10/2018	11	Elect William C. Rusnack	Mgmt	Voted	Fo
Sempra Energy	SRE	816851109	5/10/2018	12	Elect Lynn Schenk	Mgmt	Voted	Fo
Sempra Energy	SRE	816851109	5/10/2018	13	Elect Jack T. Taylor	Mgmt	Voted	Fo
Sempra Energy	SRE	816851109	5/10/2018	14	Elect James C. Yardley	Mgmt	Voted	Fo
Sempra Energy	SRE	816851109	5/10/2018	15	Ratification of Auditor	Mgmt	Voted	Fo
Sempra Energy	SRE	816851109	5/10/2018	16	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Sempra Energy	SRE	816851109	5/10/2018	17	Shareholder Proposal Regarding Amendment to Proxy Access Bylaw	ShrHoldr	Voted	Ap
Source Capital, Inc.	SOR	836144105	5/14/2018	1.1	Elect J. Richard Atwood	Mgmt	Voted	Fo
Source Capital, Inc.	SOR	836144105	5/14/2018	1.2	Elect Sandra Brown	Mgmt	Voted	Fo
Source Capital, Inc.	SOR	836144105	5/14/2018	1.3	Elect Mark L. Lipson	Mgmt	Voted	Fo
Source Capital, Inc.	SOR	836144105	5/14/2018	1.4	Elect Alfred E. Osborne, Jr.	Mgmt	Voted	Fo

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Source Capital, Inc.	SOR	836144105	5/14/2018	1.5	Elect A. Robert Pisano	Mgmt	Voted	Fo
Source Capital, Inc.	SOR	836144105	5/14/2018	1.6	Elect Patrick B. Purcell	Mgmt	Voted	Fo
Source Capital, Inc.	SOR	836144105	5/14/2018	1.7	Elect Allan M. Rudnick	Mgmt	Voted	Fo
Southwest Airlines Co.	LUV	844741108	5/16/2018	1	Elect David W. Biegler	Mgmt	Voted	Fo
Southwest Airlines Co.	LUV	844741108	5/16/2018	2	Elect J. Veronica Biggins	Mgmt	Voted	Fo
Southwest Airlines Co.	LUV	844741108	5/16/2018	3	Elect Douglas H. Brooks	Mgmt	Voted	Fo
Southwest Airlines Co.	LUV	844741108	5/16/2018	4	Elect William H. Cunningham	Mgmt	Voted	Fo
Southwest Airlines Co.	LUV	844741108	5/16/2018	5	Elect John G. Denison	Mgmt	Voted	Fo
Southwest Airlines Co.	LUV	844741108	5/16/2018	6	Elect Thomas W. Gilligan	Mgmt	Voted	Fo
Southwest Airlines Co.	LUV	844741108	5/16/2018	7	Elect Gary C. Kelly	Mgmt	Voted	Fo
Southwest Airlines Co.	LUV	844741108	5/16/2018	8	Elect Grace D. Lieblein	Mgmt	Voted	Fo
Southwest Airlines Co.	LUV	844741108	5/16/2018	9	Elect Nancy B. Loeffler	Mgmt	Voted	Fo
Southwest Airlines Co.	LUV	844741108	5/16/2018	10	Elect John T. Montford	Mgmt	Voted	Fo
Southwest Airlines Co.	LUV	844741108	5/16/2018	11	Elect Ron Ricks	Mgmt	Voted	Fo
Southwest Airlines Co.	LUV	844741108	5/16/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Southwest Airlines Co.	LUV	844741108	5/16/2018	13	Ratification of Auditor	Mgmt	Voted	Fo
Southwest Airlines Co.	LUV	844741108	5/16/2018	14	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	Ap
	LUV	844741108	5/16/2018	15		ShrHoldr	Voted	Ap

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Southwest Airlines Co.						Shareholder Proposal Regarding Right to Act by Written Consent			
Sprott Focus Trust, Inc.	FUND	85208J109	9/20/2017	1.1		Elect Barbara Connolly Keady	Mgmt	Voted	FO
Sprott Focus Trust, Inc.	FUND	85208J109	9/20/2017	1.2		Elect Peyton T. Muldoon	Mgmt	Voted	FO
Sprott Focus Trust, Inc.	FUND	85208J109	9/20/2017	2		Ratification of Auditor	Mgmt	Voted	FO
Starbucks Corporation	SBUX	855244109	3/21/2018	1		Elect Howard Schultz	Mgmt	Voted	FO
Starbucks Corporation	SBUX	855244109	3/21/2018	2		Elect Rosalind G. Brewer	Mgmt	Voted	FO
Starbucks Corporation	SBUX	855244109	3/21/2018	3		Elect Mary N. Dillon	Mgmt	Voted	FO
Starbucks Corporation	SBUX	855244109	3/21/2018	4		Elect Mellody Hobson	Mgmt	Voted	FO
Starbucks Corporation	SBUX	855244109	3/21/2018	5		Elect Kevin R. Johnson	Mgmt	Voted	FO
Starbucks Corporation	SBUX	855244109	3/21/2018	6		Elect Jorgen Vig Knudstorp	Mgmt	Voted	FO
Starbucks Corporation	SBUX	855244109	3/21/2018	7		Elect Satya Nadella	Mgmt	Voted	FO
Starbucks Corporation	SBUX	855244109	3/21/2018	8		Elect Joshua Cooper Ramo	Mgmt	Voted	FO
Starbucks Corporation	SBUX	855244109	3/21/2018	9		Elect Clara Shih	Mgmt	Voted	FO
Starbucks Corporation	SBUX	855244109	3/21/2018	10		Elect Javier G. Teruel	Mgmt	Voted	FO
Starbucks Corporation	SBUX	855244109	3/21/2018	11		Elect Myron E. Ullman, III	Mgmt	Voted	FO
Starbucks Corporation	SBUX	855244109	3/21/2018	12		Elect Craig E. Weatherup	Mgmt	Voted	FO
Starbucks Corporation	SBUX	855244109	3/21/2018	13		Advisory Vote on Executive Compensation	Mgmt	Voted	FO

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Starbucks Corporation	SBUX	855244109	3/21/2018	14	Ratification of Auditor	Mgmt	Voted	FO
Starbucks Corporation	SBUX	855244109	3/21/2018	15	Shareholder Proposal Regarding Amendment to Proxy Access Bylaw	ShrHoldr	Voted	AP
Starbucks Corporation	SBUX	855244109	3/21/2018	16	Shareholder Proposal Regarding Report on Sustainable Packaging	ShrHoldr	Voted	AP
Starbucks Corporation	SBUX	855244109	3/21/2018	17	Shareholder Proposal Regarding Report on Paid Family Leave	ShrHoldr	Voted	AP
Starbucks Corporation	SBUX	855244109	3/21/2018	18	Shareholder Proposal Regarding Diversity Report	ShrHoldr	Voted	AP
State Street Corporation	STT	857477103	5/16/2018	1	Elect Kennett F. Burnes	Mgmt	Voted	FO
State Street Corporation	STT	857477103	5/16/2018	2	Elect Patrick de Saint-Aignan	Mgmt	Voted	FO
State Street Corporation	STT	857477103	5/16/2018	3	Elect Lynn A. Dugle	Mgmt	Voted	FO
State Street Corporation	STT	857477103	5/16/2018	4	Elect Amelia C. Fawcett	Mgmt	Voted	FO
State Street Corporation	STT	857477103	5/16/2018	5	Elect William C. Freda	Mgmt	Voted	FO
State Street Corporation	STT	857477103	5/16/2018	6	Elect Linda A. Hill	Mgmt	Voted	FO
State Street Corporation	STT	857477103	5/16/2018	7	Elect Joseph L. Hooley	Mgmt	Voted	FO
State Street Corporation	STT	857477103	5/16/2018	8	Elect Sara Mathew	Mgmt	Voted	FO
State Street Corporation	STT	857477103	5/16/2018	9	Elect William L. Meaney	Mgmt	Voted	FO
State Street Corporation	STT	857477103	5/16/2018	10	Elect Sean O'Sullivan	Mgmt	Voted	FO
State Street Corporation	STT	857477103	5/16/2018	11	Elect Richard P. Sergel	Mgmt	Voted	FO
State Street Corporation	STT	857477103	5/16/2018	12	Elect Gregory L. Summe	Mgmt	Voted	FO
State Street Corporation	STT	857477103	5/16/2018	13	Advisory Vote on Executive	Mgmt	Voted	FO

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Compensation

State Street Corporation	STT	857477103	5/16/2018	14	Elimination of Supermajority Requirement	Mgmt	Voted	FO
State Street Corporation	STT	857477103	5/16/2018	15	Ratification of Auditor	Mgmt	Voted	FO
Stryker Corporation	SYK	863667101	5/2/2018	1	Elect Mary K. Brainerd	Mgmt	Voted	FO
Stryker Corporation	SYK	863667101	5/2/2018	2	Elect Srikant M. Datar	Mgmt	Voted	FO
Stryker Corporation	SYK	863667101	5/2/2018	3	Elect Roch Doliveux	Mgmt	Voted	FO
Stryker Corporation	SYK	863667101	5/2/2018	4	Elect Louise L. Francesconi	Mgmt	Voted	FO
Stryker Corporation	SYK	863667101	5/2/2018	5	Elect Allan C. Golston	Mgmt	Voted	FO
Stryker Corporation	SYK	863667101	5/2/2018	6	Elect Kevin A. Lobo	Mgmt	Voted	FO
Stryker Corporation	SYK	863667101	5/2/2018	7	Elect Sherilyn S. McCoy	Mgmt	Voted	FO
Stryker Corporation	SYK	863667101	5/2/2018	8	Elect Andrew K. Silvernail	Mgmt	Voted	FO
Stryker Corporation	SYK	863667101	5/2/2018	9	Elect Ronda E. Stryker	Mgmt	Voted	FO
Stryker Corporation	SYK	863667101	5/2/2018	10	Elect Rajeev Suri	Mgmt	Voted	FO
Stryker Corporation	SYK	863667101	5/2/2018	11	Ratification of Auditor	Mgmt	Voted	FO
Stryker Corporation	SYK	863667101	5/2/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
Symantec Corporation	SYMC	871503108	10/5/2017	1	Elect Gregory S. Clark	Mgmt	Voted	FO
Symantec Corporation	SYMC	871503108	10/5/2017	2	Elect Frank E. Dangeard	Mgmt	Voted	FO
Symantec Corporation	SYMC	871503108	10/5/2017	3	Elect Kenneth Y. Hao	Mgmt	Voted	FO
Symantec Corporation	SYMC	871503108	10/5/2017	4	Elect David Humphrey	Mgmt	Voted	FO
Symantec Corporation	SYMC	871503108	10/5/2017	5	Elect Geraldine B. Laybourne	Mgmt	Voted	FO
Symantec Corporation	SYMC	871503108	10/5/2017	6	Elect David L. Mahoney	Mgmt	Voted	FO
Symantec Corporation	SYMC	871503108	10/5/2017	7	Elect Robert S. Miller	Mgmt	Voted	FO

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Symantec Corporation	SYMC	871503108	10/5/2017	8	Elect Anita Sands	Mgmt	Voted	Fo
Symantec Corporation	SYMC	871503108	10/5/2017	9	Elect Daniel H. Schulman	Mgmt	Voted	Fo
Symantec Corporation	SYMC	871503108	10/5/2017	10	Elect V. Paul Unruh	Mgmt	Voted	Fo
Symantec Corporation	SYMC	871503108	10/5/2017	11	Elect Suzanne Vautrinot	Mgmt	Voted	Fo
Symantec Corporation	SYMC	871503108	10/5/2017	12	Ratification of Auditor	Mgmt	Voted	Fo
Symantec Corporation	SYMC	871503108	10/5/2017	13	Amendment to the 2013 Equity Incentive Plan	Mgmt	Voted	Fo
Symantec Corporation	SYMC	871503108	10/5/2017	14	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Symantec Corporation	SYMC	871503108	10/5/2017	15	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
Symantec Corporation	SYMC	871503108	10/5/2017	16	Shareholder Proposal Regarding Voting Disclosure	ShrHoldr	Voted	Ap
Symantec Corporation	SYMC	871503108	10/5/2017	17	Shareholder Proposal Regarding Retention of Shares Until Normal Retirement Age	ShrHoldr	Voted	Ap
Sysco Corporation	SY Y	871829107	11/17/2017	1	Elect Daniel J. Brutto	Mgmt	Voted	Fo
Sysco Corporation	SY Y	871829107	11/17/2017	2	Elect John M. Cassaday	Mgmt	Voted	Fo
Sysco Corporation	SY Y	871829107	11/17/2017	3	Elect William J. DeLaney	Mgmt	Voted	Fo
Sysco Corporation	SY Y	871829107	11/17/2017	4	Elect Joshua D. Frank	Mgmt	Voted	Fo
Sysco Corporation	SY Y	871829107	11/17/2017	5	Elect Larry C. Glasscock	Mgmt	Voted	Fo
Sysco Corporation	SY Y	871829107	11/17/2017	6	Elect Bradley M. Halverson	Mgmt	Voted	Fo
Sysco Corporation	SY Y	871829107	11/17/2017	7	Elect Hans-Joachim Koerber	Mgmt	Voted	Fo
Sysco Corporation	SY Y	871829107	11/17/2017	8	Elect Nancy S. Newcomb	Mgmt	Voted	Fo
Sysco Corporation	SY Y	871829107	11/17/2017	9	Elect Nelson Peltz	Mgmt	Voted	Fo



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Sysco Corporation	SY Y	871829107	11/17/2017	10	Elect Edward D. Shirley	Mgmt	Voted	Fo
Sysco Corporation	SY Y	871829107	11/17/2017	11	Elect Sheila G. Talton	Mgmt	Voted	Fo
Sysco Corporation	SY Y	871829107	11/17/2017	12	Elect Richard G. Tilghman	Mgmt	Voted	Fo
Sysco Corporation	SY Y	871829107	11/17/2017	13	Elect Jackie M. Ward	Mgmt	Voted	Fo
Sysco Corporation	SY Y	871829107	11/17/2017	14	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Sysco Corporation	SY Y	871829107	11/17/2017	15	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
Sysco Corporation	SY Y	871829107	11/17/2017	16	Ratification of Auditor	Mgmt	Voted	Fo
Sysco Corporation	SY Y	871829107	11/17/2017	17	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Voted	Ap
Templeton Dragon Fund Inc	TDF	88018T101	5/30/2018	1.1	Elect Ann Torre Bates	Mgmt	Voted	Fo
Templeton Dragon Fund Inc	TDF	88018T101	5/30/2018	1.2	Elect David W. Niemiec	Mgmt	Voted	Fo
Templeton Dragon Fund Inc	TDF	88018T101	5/30/2018	1.3	Elect Robert E. Wade	Mgmt	Voted	Fo
Templeton Dragon Fund Inc	TDF	88018T101	5/30/2018	2	Amendment to Investment Objective Regarding Commodities	Mgmt	Voted	Fo
Templeton Dragon Fund Inc	TDF	88018T101	5/30/2018	3	Ratification of Auditor	Mgmt	Voted	Fo
Templeton Emerging Markets Fund	EMF	880191101	3/29/2018	1.1	Elect Ann Torre Bates	Mgmt	Voted	Fo
Templeton Emerging Markets Fund	EMF	880191101	3/29/2018	1.2	Elect David W. Niemiec	Mgmt	Voted	Fo
Templeton Emerging Markets Fund	EMF	880191101	3/29/2018	1.3	Elect Larry D. Thompson	Mgmt	Voted	Fo
Templeton Emerging Markets Fund	EMF	880191101	3/29/2018	1.4	Elect Robert E. Wade	Mgmt	Voted	Fo

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Templeton Emerging Markets Fund	EMF	880191101	3/29/2018	2	Amendment to Fundamental Investment Restriction Regarding Commodities	Mgmt	Voted	FO
Templeton Emerging Markets Fund	EMF	880191101	3/29/2018	3	Amendment to Fundamental Investment Restriction Regarding Lending	Mgmt	Voted	FO
Templeton Emerging Markets Fund	EMF	880191101	3/29/2018	4	Approval to Change the Fund from a Diversified Fund to a Non-Diversified Fund	Mgmt	Voted	FO
Templeton Emerging Markets Fund	EMF	880191101	3/29/2018	5	Ratification of Auditor	Mgmt	Voted	FO
Texas Instruments Incorporated	TXN	882508104	4/26/2018	1	Elect Ralph W. Babb, Jr.	Mgmt	Voted	FO
Texas Instruments Incorporated	TXN	882508104	4/26/2018	2	Elect Mark A. Blinn	Mgmt	Voted	FO
Texas Instruments Incorporated	TXN	882508104	4/26/2018	3	Elect Todd M. Bluedorn	Mgmt	Voted	FO
Texas Instruments Incorporated	TXN	882508104	4/26/2018	4	Elect Daniel A. Carp	Mgmt	Voted	FO
Texas Instruments Incorporated	TXN	882508104	4/26/2018	5	Elect Janet F. Clark	Mgmt	Voted	FO
Texas Instruments Incorporated	TXN	882508104	4/26/2018	6	Elect Carrie S. Cox	Mgmt	Voted	FO
Texas Instruments Incorporated	TXN	882508104	4/26/2018	7	Elect Brian T. Crutcher	Mgmt	Voted	FO
Texas Instruments Incorporated	TXN	882508104	4/26/2018	8	Elect J.M. Hobby	Mgmt	Voted	FO
Texas Instruments Incorporated	TXN	882508104	4/26/2018	9	Elect Ronald Kirk	Mgmt	Voted	FO
Texas Instruments Incorporated	TXN	882508104	4/26/2018	10	Elect Pamela H. Patsley	Mgmt	Voted	FO
Texas Instruments Incorporated	TXN	882508104	4/26/2018	11	Elect Robert E. Sanchez	Mgmt	Voted	FO
Texas Instruments Incorporated	TXN	882508104	4/26/2018	12	Elect Richard K. Templeton	Mgmt	Voted	FO
Texas Instruments Incorporated	TXN	882508104	4/26/2018	13	Advisory Vote on Executive	Mgmt	Voted	FO

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Compensation

Texas Instruments Incorporated	TXN	882508104	4/26/2018	14	Approval of the 2018 Director Compensation Plan	Mgmt	Voted	FO
Texas Instruments Incorporated	TXN	882508104	4/26/2018	15	Ratification of Auditor	Mgmt	Voted	FO
Thai Fund Inc	TTF	882904105	8/3/2017	1.1	Elect Kathleen A. Dennis	Mgmt	Voted	FO
Thai Fund Inc	TTF	882904105	8/3/2017	1.2	Elect Joseph J. Kearns	Mgmt	Voted	FO
Thai Fund Inc	TTF	882904105	8/3/2017	1.3	Elect Michael E. Nugent	Mgmt	Voted	FO
Thai Fund Inc	TTF	882904105	8/3/2017	1.4	Elect Fergus Reid	Mgmt	Voted	FO
Thai Fund Inc	TTF	882904105	8/3/2017	1.5	Elect Patricia Maleski	Mgmt	Voted	FO
Thai Fund Inc	TTF	882904105	12/14/2017	1	Liquidation of the Fund	Mgmt	Voted	FO
The Allstate Corporation	ALL	020002101	5/11/2018	1	Elect Kermit R. Crawford	Mgmt	Voted	FO
The Allstate Corporation	ALL	020002101	5/11/2018	2	Elect Michael L. Eskew	Mgmt	Voted	FO
The Allstate Corporation	ALL	020002101	5/11/2018	3	Elect Margaret M. Keane	Mgmt	Voted	FO
The Allstate Corporation	ALL	020002101	5/11/2018	4	Elect Siddharth N. Mehta	Mgmt	Voted	FO
The Allstate Corporation	ALL	020002101	5/11/2018	5	Elect Jacques P. Perold	Mgmt	Voted	FO
The Allstate Corporation	ALL	020002101	5/11/2018	6	Elect Andrea Redmond	Mgmt	Voted	FO
The Allstate Corporation	ALL	020002101	5/11/2018	7	Elect Gregg M. Sherrill	Mgmt	Voted	FO
The Allstate Corporation	ALL	020002101	5/11/2018	8	Elect Judith A. Sprieser	Mgmt	Voted	FO
	ALL	020002101	5/11/2018	9	Elect Perry M. Traquina	Mgmt	Voted	FO

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The Allstate Corporation									
The Allstate Corporation	ALL	020002101	5/11/2018	10	Elect Thomas J. Wilson	Mgmt	Voted	Fo	
The Allstate Corporation	ALL	020002101	5/11/2018	11	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo	
The Allstate Corporation	ALL	020002101	5/11/2018	12	Ratification of Auditor	Mgmt	Voted	Fo	
The Allstate Corporation	ALL	020002101	5/11/2018	13	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	Ap	
The Allstate Corporation	ALL	020002101	5/11/2018	14	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Voted	Ap	
The Boeing Company	BA	097023105	4/30/2018	1	Elect Robert A. Bradway	Mgmt	Voted	Fo	
The Boeing Company	BA	097023105	4/30/2018	2	Elect David L. Calhoun	Mgmt	Voted	Fo	
The Boeing Company	BA	097023105	4/30/2018	3	Elect Arthur D. Collins, Jr.	Mgmt	Voted	Fo	
The Boeing Company	BA	097023105	4/30/2018	4	Elect Kenneth M. Duberstein	Mgmt	Voted	Fo	
The Boeing Company	BA	097023105	4/30/2018	5	Elect Edmund P. Giambastiani, Jr.	Mgmt	Voted	Fo	
The Boeing Company	BA	097023105	4/30/2018	6	Elect Lynn J. Good	Mgmt	Voted	Fo	
The Boeing Company	BA	097023105	4/30/2018	7	Elect Lawrence W. Kellner	Mgmt	Voted	Fo	
The Boeing Company	BA	097023105	4/30/2018	8	Elect Caroline B. Kennedy	Mgmt	Voted	Fo	
The Boeing Company	BA	097023105	4/30/2018	9	Elect Edward M. Liddy	Mgmt	Voted	Fo	
The Boeing Company	BA	097023105	4/30/2018	10	Elect Dennis A. Muilenburg	Mgmt	Voted	Fo	
The Boeing Company	BA	097023105	4/30/2018	11	Elect Susan C. Schwab	Mgmt	Voted	Fo	
The Boeing Company	BA	097023105	4/30/2018	12	Elect Ronald A. Williams	Mgmt	Voted	Fo	
The Boeing Company	BA	097023105	4/30/2018	13	Elect Mike S. Zafirovski	Mgmt	Voted	Fo	
The Boeing Company	BA	097023105	4/30/2018	14		Mgmt	Voted	Fo	

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						Advisory Vote on Executive Compensation			
The Boeing Company	BA	097023105	4/30/2018	15		Ratification of Auditor	Mgmt	Voted	Fo
The Boeing Company	BA	097023105	4/30/2018	16		Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	Ap
The Boeing Company	BA	097023105	4/30/2018	17		Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Voted	Ap
The Boeing Company	BA	097023105	4/30/2018	18		Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	Ap
The Boeing Company	BA	097023105	4/30/2018	19		Shareholder Proposal Regarding Board Size	ShrHoldr	Voted	Ap
The Central and Eastern European Fund, Inc.	CEE	153436100	6/22/2018	1.1		Elect Wolfgang Leoni	Mgmt	Voted	Fo
The Central and Eastern European Fund, Inc.	CEE	153436100	6/22/2018	1.2		Elect Christian H. Strenger	Mgmt	Voted	Fo
The Central and Eastern European Fund, Inc.	CEE	153436100	6/22/2018	2		Ratification of Auditor	Mgmt	Voted	Fo
The Clorox Company	CLX	189054109	11/15/2017	1		Elect Amy L. Banse	Mgmt	Voted	Fo
The Clorox Company	CLX	189054109	11/15/2017	2		Elect Richard Carmona	Mgmt	Voted	Fo
The Clorox Company	CLX	189054109	11/15/2017	3		Elect Benno Dorer	Mgmt	Voted	Fo
The Clorox Company	CLX	189054109	11/15/2017	4		Elect Spencer C. Fleischer	Mgmt	Voted	Fo
The Clorox Company	CLX	189054109	11/15/2017	5		Elect Esther Lee	Mgmt	Voted	Fo
The Clorox Company	CLX	189054109	11/15/2017	6		Elect A.D. David Mackay	Mgmt	Voted	Fo
The Clorox Company	CLX	189054109	11/15/2017	7		Elect Robert W. Matschullat	Mgmt	Voted	Fo
The Clorox Company	CLX	189054109	11/15/2017	8		Elect Jeffrey Noddle	Mgmt	Voted	Fo
The Clorox Company	CLX	189054109	11/15/2017	9		Elect Pamela Thomas-Graham	Mgmt	Voted	Fo

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The Clorox Company	CLX	189054109	11/15/2017	10	Elect Carolyn M. Ticknor	Mgmt	Voted	Fo
The Clorox Company	CLX	189054109	11/15/2017	11	Elect Russell Weiner	Mgmt	Voted	Fo
The Clorox Company	CLX	189054109	11/15/2017	12	Elect Christopher Williams	Mgmt	Voted	Fo
The Clorox Company	CLX	189054109	11/15/2017	13	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
The Clorox Company	CLX	189054109	11/15/2017	14	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
The Clorox Company	CLX	189054109	11/15/2017	15	Ratification of Auditor	Mgmt	Voted	Fo
The Clorox Company	CLX	189054109	11/15/2017	16	Re-approval of the 2005 Stock Incentive Plan	Mgmt	Voted	Fo
The Clorox Company	CLX	189054109	11/15/2017	17	Director Equity Award Policy	Mgmt	Voted	Fo
The Clorox Company	CLX	189054109	11/15/2017	18	Shareholder Proposal Regarding Amendments to Proxy Access Bylaw	ShrHoldr	Voted	Ap
The European Equity Fund, Inc.	EEA	298768102	6/22/2018	1.1	Elect Wilhelm Bender	Mgmt	Voted	Fo
The European Equity Fund, Inc.	EEA	298768102	6/22/2018	1.2	Elect Walter C. Dostmann	Mgmt	Voted	Fo
The European Equity Fund, Inc.	EEA	298768102	6/22/2018	2	Ratification of Auditor	Mgmt	Voted	Fo
The Goldman Sachs Group, Inc.	GS	38141G104	5/2/2018	1	Elect Lloyd C. Blankfein	Mgmt	Voted	Fo
The Goldman Sachs Group, Inc.	GS	38141G104	5/2/2018	2	Elect M. Michele Burns	Mgmt	Voted	Fo
The Goldman Sachs Group, Inc.	GS	38141G104	5/2/2018	3	Elect Mark Flaherty	Mgmt	Voted	Fo
The Goldman Sachs Group, Inc.	GS	38141G104	5/2/2018	4	Elect William W. George	Mgmt	Voted	Fo
The Goldman Sachs Group, Inc.	GS	38141G104	5/2/2018	5	Elect James A. Johnson	Mgmt	Voted	Fo
The Goldman Sachs Group, Inc.	GS	38141G104	5/2/2018	6	Elect Ellen J. Kullman	Mgmt	Voted	Fo

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The Goldman Sachs Group, Inc.	GS	38141G104	5/2/2018	7	Elect Lakshmi N. Mittal	Mgmt	Voted	Fo
The Goldman Sachs Group, Inc.	GS	38141G104	5/2/2018	8	Elect Adebayo O. Ogunlesi	Mgmt	Voted	Fo
The Goldman Sachs Group, Inc.	GS	38141G104	5/2/2018	9	Elect Peter Oppenheimer	Mgmt	Voted	Fo
The Goldman Sachs Group, Inc.	GS	38141G104	5/2/2018	10	Elect David A. Viniar	Mgmt	Voted	Fo
The Goldman Sachs Group, Inc.	GS	38141G104	5/2/2018	11	Elect Mark O. Winkelman	Mgmt	Voted	Fo
The Goldman Sachs Group, Inc.	GS	38141G104	5/2/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
The Goldman Sachs Group, Inc.	GS	38141G104	5/2/2018	13	Amendment to the Stock Incentive Plan	Mgmt	Voted	Fo
The Goldman Sachs Group, Inc.	GS	38141G104	5/2/2018	14	Ratification of Auditor	Mgmt	Voted	Fo
The Goldman Sachs Group, Inc.	GS	38141G104	5/2/2018	15	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	Ap
The Goldman Sachs Group, Inc.	GS	38141G104	5/2/2018	16	Shareholder Proposal Regarding Amendment to Proxy Access Bylaw	ShrHoldr	Voted	Ap
The Home Depot, Inc.	HD	437076102	5/17/2018	1	Elect Gerard J. Arpey	Mgmt	Voted	Fo
The Home Depot, Inc.	HD	437076102	5/17/2018	2	Elect Ari Bousbib	Mgmt	Voted	Fo
The Home Depot, Inc.	HD	437076102	5/17/2018	3	Elect Jeffery H. Boyd	Mgmt	Voted	Fo
The Home Depot, Inc.	HD	437076102	5/17/2018	4	Elect Gregory D. Brenneman	Mgmt	Voted	Fo
The Home Depot, Inc.	HD	437076102	5/17/2018	5	Elect J. Frank Brown	Mgmt	Voted	Fo
The Home Depot, Inc.	HD	437076102	5/17/2018	6	Elect Albert P. Carey	Mgmt	Voted	Fo
The Home Depot, Inc.	HD	437076102	5/17/2018	7	Elect Armando Codina	Mgmt	Voted	Fo
The Home Depot, Inc.	HD	437076102	5/17/2018	8	Elect Helena B. Foulkes	Mgmt	Voted	Fo
The Home Depot, Inc.	HD	437076102	5/17/2018	9	Elect Linda R. Gooden	Mgmt	Voted	Fo

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The Home Depot, Inc.									
The Home Depot, Inc.	HD	437076102	5/17/2018	10	Elect Wayne M. Hewett	Mgmt	Voted	Fo	
The Home Depot, Inc.	HD	437076102	5/17/2018	11	Elect Stephanie C. Linnartz	Mgmt	Voted	Fo	
The Home Depot, Inc.	HD	437076102	5/17/2018	12	Elect Craig A. Menear	Mgmt	Voted	Fo	
The Home Depot, Inc.	HD	437076102	5/17/2018	13	Elect Mark Vadon	Mgmt	Voted	Fo	
The Home Depot, Inc.	HD	437076102	5/17/2018	14	Ratification of Auditor	Mgmt	Voted	Fo	
The Home Depot, Inc.	HD	437076102	5/17/2018	15	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo	
The Home Depot, Inc.	HD	437076102	5/17/2018	16	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Voted	Ap	
The Home Depot, Inc.	HD	437076102	5/17/2018	17	Shareholder Proposal Regarding Employment Diversity Report	ShrHoldr	Voted	Ap	
The Home Depot, Inc.	HD	437076102	5/17/2018	18	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	Ap	
The Home Depot, Inc.	HD	437076102	5/17/2018	19	Shareholder Proposal Regarding Amendment to Clawback Policy	ShrHoldr	Voted	Ap	
The India Fund, Inc.	IFN	454089103	6/20/2018	1	Elect Nisha Kumar	Mgmt	Voted	Fo	
The India Fund, Inc.	IFN	454089103	6/20/2018	2	Elect Martin J Gilbert	Mgmt	Voted	Fo	
The India Fund, Inc.	IFN	454089103	6/20/2018	3	Elect Jeswald W. Salacuse	Mgmt	Voted	Fo	
The Kraft Heinz Company	KHC	500754106	4/23/2018	1	Elect Gregory E. Abel	Mgmt	Voted	Fo	



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The Kraft Heinz Company	KHC	500754106	4/23/2018	2	Elect Alexandre Behring	Mgmt	Voted	Fo
The Kraft Heinz Company	KHC	500754106	4/23/2018	3	Elect John T. Cahill	Mgmt	Voted	Fo
The Kraft Heinz Company	KHC	500754106	4/23/2018	4	Elect Tracy Britt Cool	Mgmt	Voted	Fo
The Kraft Heinz Company	KHC	500754106	4/23/2018	5	Elect Feroz Dewan	Mgmt	Voted	Fo
The Kraft Heinz Company	KHC	500754106	4/23/2018	6	Elect Jeanne P. Jackson	Mgmt	Voted	Fo
The Kraft Heinz Company	KHC	500754106	4/23/2018	7	Elect Jorge Paulo Lemann	Mgmt	Voted	Fo
The Kraft Heinz Company	KHC	500754106	4/23/2018	8	Elect John C. Pope	Mgmt	Voted	Fo
The Kraft Heinz Company	KHC	500754106	4/23/2018	9	Elect Marcel Herrmann Telles	Mgmt	Voted	Fo
The Kraft Heinz Company	KHC	500754106	4/23/2018	10	Elect Alexandre Van Damme	Mgmt	Voted	Fo
The Kraft Heinz Company	KHC	500754106	4/23/2018	11	Elect George El Zoghbi	Mgmt	Voted	Fo
The Kraft Heinz Company	KHC	500754106	4/23/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
The Kraft Heinz Company	KHC	500754106	4/23/2018	13	Ratification of Auditor	Mgmt	Voted	Fo
The Kraft Heinz Company	KHC	500754106	4/23/2018	14	Shareholder Proposal Regarding Reporting on the Use of Non-Recyclable Packaging	ShrHoldr	Voted	Ap
The New Germany Fund, Inc.	GF	644465106	6/22/2018	1.1	Elect Richard R. Burt	Mgmt	Voted	Fo
The New Germany Fund, Inc.	GF	644465106	6/22/2018	1.2	Elect Wolfgang Leoni	Mgmt	Voted	Fo
The New Germany Fund, Inc.	GF	644465106	6/22/2018	2	Ratification of Auditor	Mgmt	Voted	Fo
The PNC Financial Services Group, Inc.	PNC	693475105	4/24/2018	1	Elect Charles E. Bunch	Mgmt	Voted	Fo
The PNC Financial Services Group, Inc.	PNC	693475105	4/24/2018	2	Elect Debra A. Cafaro	Mgmt	Voted	Fo

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The PNC Financial Services Group, Inc.	PNC	693475105	4/24/2018	3	Elect Marjorie Rodgers Cheshire	Mgmt	Voted	FO
The PNC Financial Services Group, Inc.	PNC	693475105	4/24/2018	4	Elect William S. Demchak	Mgmt	Voted	FO
The PNC Financial Services Group, Inc.	PNC	693475105	4/24/2018	5	Elect Andrew T. Feldstein	Mgmt	Voted	FO
The PNC Financial Services Group, Inc.	PNC	693475105	4/24/2018	6	Elect Daniel R. Hesse	Mgmt	Voted	FO
The PNC Financial Services Group, Inc.	PNC	693475105	4/24/2018	7	Elect Richard B. Kelson	Mgmt	Voted	FO
The PNC Financial Services Group, Inc.	PNC	693475105	4/24/2018	8	Elect Linda R. Medler	Mgmt	Voted	FO
The PNC Financial Services Group, Inc.	PNC	693475105	4/24/2018	9	Elect Martin Pfinsgraff	Mgmt	Voted	FO
The PNC Financial Services Group, Inc.	PNC	693475105	4/24/2018	10	Elect Donald J. Shepard	Mgmt	Voted	FO
The PNC Financial Services Group, Inc.	PNC	693475105	4/24/2018	11	Elect Michael J. Ward	Mgmt	Voted	FO
The PNC Financial Services Group, Inc.	PNC	693475105	4/24/2018	12	Elect Gregory D. Wasson	Mgmt	Voted	FO
The PNC Financial Services Group, Inc.	PNC	693475105	4/24/2018	13	Ratification of Auditor	Mgmt	Voted	FO
The PNC Financial Services Group, Inc.	PNC	693475105	4/24/2018	14	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
The Procter & Gamble Company	PG	742718109	10/10/2017	1.1	Elect Francis S. Blake	Mgmt	Unvoted	FO
The Procter & Gamble Company	PG	742718109	10/10/2017	1.2	Elect Angela F. Braly	Mgmt	Unvoted	FO
The Procter & Gamble Company	PG	742718109	10/10/2017	1.3	Elect Amy Chang	Mgmt	Unvoted	FO
The Procter & Gamble Company	PG	742718109	10/10/2017	1.4	Elect Kenneth I. Chenault	Mgmt	Unvoted	FO
The Procter & Gamble Company	PG	742718109	10/10/2017	1.5	Elect Scott D. Cook	Mgmt	Unvoted	FO
The Procter & Gamble Company	PG	742718109	10/10/2017	1.6	Elect Terry J. Lundgren	Mgmt	Unvoted	FO
The Procter & Gamble Company	PG	742718109	10/10/2017	1.7	Elect W. James McNerney, Jr.	Mgmt	Unvoted	FO

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The Procter & Gamble Company	PG	742718109	10/10/2017	1.8	Elect David. S. Taylor	Mgmt	Unvoted	FO
The Procter & Gamble Company	PG	742718109	10/10/2017	1.9	Elect Margaret C. Whitman	Mgmt	Unvoted	FO
The Procter & Gamble Company	PG	742718109	10/10/2017	1.10	Elect Patricia A. Woertz	Mgmt	Unvoted	FO
The Procter & Gamble Company	PG	742718109	10/10/2017	1.11	Elect Ernesto Zedillo	Mgmt	Unvoted	FO
The Procter & Gamble Company	PG	742718109	10/10/2017	2	Ratification of Auditor	Mgmt	Unvoted	FO
The Procter & Gamble Company	PG	742718109	10/10/2017	3	Advisory Vote on Executive Compensation	Mgmt	Unvoted	FO
The Procter & Gamble Company	PG	742718109	10/10/2017	4	Frequency of Advisory Vote on Executive Compensation	Mgmt	Unvoted	1
The Procter & Gamble Company	PG	742718109	10/10/2017	5	Shareholder Proposal Regarding Holy Land Principles	ShrHoldr	Unvoted	AP
The Procter & Gamble Company	PG	742718109	10/10/2017	6	Shareholder Proposal Regarding Report on Risks Of LGBT-Focused State Regulations	ShrHoldr	Unvoted	AP
The Procter & Gamble Company	PG	742718109	10/10/2017	7	Shareholder Proposal Regarding Report on Conducting Business in Conflict-Affected Areas	ShrHoldr	Unvoted	AP
The Procter & Gamble Company	PG	742718109	10/10/2017	8	Shareholder Proposal Regarding Repeal of Bylaw Amendments	ShrHoldr	Unvoted	AP
The Procter & Gamble Company	PG	742718109	10/10/2017	1.1	Elect Nelson Peltz	Mgmt	Voted	NA
The Procter & Gamble Company	PG	742718109	10/10/2017	1.2	Elect Mgmt. Nominee Francis S. Blake	Mgmt	Voted	NA
The Procter & Gamble Company	PG	742718109	10/10/2017	1.3	Elect Mgmt. Nominee Angela F. Braly	Mgmt	Voted	NA
The Procter & Gamble Company	PG	742718109	10/10/2017	1.4	Elect Mgmt. Nominee Amy L. Chang	Mgmt	Voted	NA
The Procter & Gamble Company	PG	742718109	10/10/2017	1.5	Elect Mgmt. Nominee Kenneth I. Chenault	Mgmt	Voted	NA

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The Procter & Gamble Company	PG	742718109	10/10/2017	1.6	Elect Mgmt. Nominee Scott D. Cook	Mgmt	Voted	NA
The Procter & Gamble Company	PG	742718109	10/10/2017	1.7	Elect Mgmt. Nominee Terry J. Lundgren	Mgmt	Voted	NA
The Procter & Gamble Company	PG	742718109	10/10/2017	1.8	Elect Mgmt. Nominee W. James McNerney, Jr.	Mgmt	Voted	NA
The Procter & Gamble Company	PG	742718109	10/10/2017	1.9	Elect Mgmt. Nominee David. S. Taylor	Mgmt	Voted	NA
The Procter & Gamble Company	PG	742718109	10/10/2017	1.10	Elect Mgmt. Nominee Margaret C. Whitman	Mgmt	Voted	NA
The Procter & Gamble Company	PG	742718109	10/10/2017	1.11	Elect Mgmt. Nominee Patricia A. Woertz	Mgmt	Voted	NA
The Procter & Gamble Company	PG	742718109	10/10/2017	2	Ratification of Auditor	Mgmt	Voted	NA
The Procter & Gamble Company	PG	742718109	10/10/2017	3	Advisory Vote on Executive Compensation	Mgmt	Voted	NA
The Procter & Gamble Company	PG	742718109	10/10/2017	4	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	NA
The Procter & Gamble Company	PG	742718109	10/10/2017	5	Shareholder Proposal Regarding Holy Land Principles	ShrHoldr	Voted	NA
The Procter & Gamble Company	PG	742718109	10/10/2017	6	Shareholder Proposal Regarding Report on Risks Of LGBT-Focused State Regulations	ShrHoldr	Voted	NA
The Procter & Gamble Company	PG	742718109	10/10/2017	7	Shareholder Proposal Regarding Report on Conducting Business in Conflict-Affected Areas	ShrHoldr	Voted	NA
The Procter & Gamble Company	PG	742718109	10/10/2017	8	Shareholder Proposal Regarding Repeal of Bylaw Amendments	ShrHoldr	Voted	NA
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/19/2018	1.1	Elect Phillip Goldstein	ShrHoldr	Unvoted	NA
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/19/2018	1.2	Elect Gerald Hellerman	ShrHoldr	Unvoted	NA
The Swiss Helvetia	SWZ	870875101	6/19/2018	2	Ratification of Auditor	Mgmt	Unvoted	NA

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Fund, Inc.

The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/19/2018	3	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Unvoted	NA
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/19/2018	4	Shareholder Proposal Regarding Self-Tender Offer	ShrHoldr	Unvoted	NA
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/19/2018	1.1	Elect Brian A. Berris	Mgmt	Voted	FO
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/19/2018	1.2	Elect Jean Hoysradt	Mgmt	Voted	FO
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/19/2018	2	Ratification of Auditor	Mgmt	Voted	FO
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/19/2018	3	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	AP
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/19/2018	4	Shareholder Proposal Regarding Self-Tender Offer	ShrHoldr	Voted	AP
The TJX Companies, Inc.	TJX	872540109	6/5/2018	1	Elect Zein Abdalla	Mgmt	Voted	FO
The TJX Companies, Inc.	TJX	872540109	6/5/2018	2	Elect Alan M. Bennett	Mgmt	Voted	FO
The TJX Companies, Inc.	TJX	872540109	6/5/2018	3	Elect David T. Ching	Mgmt	Voted	FO
The TJX Companies, Inc.	TJX	872540109	6/5/2018	4	Elect Ernie Herrman	Mgmt	Voted	FO
The TJX Companies, Inc.	TJX	872540109	6/5/2018	5	Elect Michael F. Hines	Mgmt	Voted	FO
The TJX Companies, Inc.	TJX	872540109	6/5/2018	6	Elect Amy B. Lane	Mgmt	Voted	FO
The TJX Companies, Inc.	TJX	872540109	6/5/2018	7	Elect Carol Meyrowitz	Mgmt	Voted	FO
The TJX Companies, Inc.	TJX	872540109	6/5/2018	8	Elect Jackwyn L. Nemerov	Mgmt	Voted	FO
The TJX Companies, Inc.	TJX	872540109	6/5/2018	9	Elect John F. O'Brien	Mgmt	Voted	FO
The TJX Companies, Inc.	TJX	872540109	6/5/2018	10	Elect Willow B. Shire	Mgmt	Voted	FO
	TJX	872540109	6/5/2018	11	Ratification of Auditor	Mgmt	Voted	FO

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The TJX Companies,  
Inc.

The TJX Companies, Inc.	TJX	872540109	6/5/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
The TJX Companies, Inc.	TJX	872540109	6/5/2018	13	Shareholder Proposal Regarding Gender and Ethnicity Pay Equity Report	ShrHoldr	Voted	Ap
The TJX Companies, Inc.	TJX	872540109	6/5/2018	14	Shareholder Proposal Regarding Amendment to Clawback Policy	ShrHoldr	Voted	Ap
The TJX Companies, Inc.	TJX	872540109	6/5/2018	15	Shareholder Proposal Regarding Prison Labor Report	ShrHoldr	Voted	Ap
Thermo Fisher Scientific Inc.	TMO	883556102	5/23/2018	1	Elect Marc N. Casper	Mgmt	Voted	Fo
Thermo Fisher Scientific Inc.	TMO	883556102	5/23/2018	2	Elect Nelson J. Chai	Mgmt	Voted	Fo
Thermo Fisher Scientific Inc.	TMO	883556102	5/23/2018	3	Elect C. Martin Harris	Mgmt	Voted	Fo
Thermo Fisher Scientific Inc.	TMO	883556102	5/23/2018	4	Elect Tyler Jacks	Mgmt	Voted	Fo
Thermo Fisher Scientific Inc.	TMO	883556102	5/23/2018	5	Elect Judy C. Lewent	Mgmt	Voted	Fo
Thermo Fisher Scientific Inc.	TMO	883556102	5/23/2018	6	Elect Thomas J. Lynch	Mgmt	Voted	Fo
Thermo Fisher Scientific Inc.	TMO	883556102	5/23/2018	7	Elect Jim P. Manzi	Mgmt	Voted	Fo
Thermo Fisher Scientific Inc.	TMO	883556102	5/23/2018	8	Elect Lars Rebien Sorensen	Mgmt	Voted	Fo
Thermo Fisher Scientific Inc.	TMO	883556102	5/23/2018	9	Elect Scott M. Sperling	Mgmt	Voted	Fo
Thermo Fisher Scientific Inc.	TMO	883556102	5/23/2018	10	Elect Elaine S. Ullian	Mgmt	Voted	Fo
Thermo Fisher Scientific Inc.	TMO	883556102	5/23/2018	11	Elect Dion J. Weisler	Mgmt	Voted	Fo
Thermo Fisher Scientific Inc.	TMO	883556102	5/23/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo

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Thermo Fisher Scientific Inc.	TMO	883556102	5/23/2018	13	Ratification of Auditor	Mgmt	Voted	FO
Tri-Continental Corporation	TY	895436103	4/16/2018	1.1	Elect George S. Batejan	Mgmt	Voted	FO
Tri-Continental Corporation	TY	895436103	4/16/2018	1.2	Elect Kathleen Blatz	Mgmt	Voted	FO
Tri-Continental Corporation	TY	895436103	4/16/2018	1.3	Elect Edward J. Boudreau, Jr.	Mgmt	Voted	FO
Tri-Continental Corporation	TY	895436103	4/16/2018	1.4	Elect Pamela G. Carlton	Mgmt	Voted	FO
Tri-Continental Corporation	TY	895436103	4/16/2018	2	Ratification of Auditor	Mgmt	Voted	FO
Turkish Investment Fund Inc	TKF	900145103	8/3/2017	1.1	Elect Kathleen A. Dennis	Mgmt	Voted	FO
Turkish Investment Fund Inc	TKF	900145103	8/3/2017	1.2	Elect Joseph J. Kearns	Mgmt	Voted	FO
Turkish Investment Fund Inc	TKF	900145103	8/3/2017	1.3	Elect Michael E. Nugent	Mgmt	Voted	FO
Turkish Investment Fund Inc	TKF	900145103	8/3/2017	1.4	Elect Fergus Reid	Mgmt	Voted	FO
Turkish Investment Fund Inc	TKF	900145103	8/3/2017	1.5	Elect Patricia Maleski	Mgmt	Voted	FO
Turkish Investment Fund Inc	TKF	900145103	8/3/2017	2	Liquidation of the Turkish Investment Fund	Mgmt	Voted	FO
Twenty-First Century Fox, Inc.	FOX	90130A101	11/15/2017	1	Elect K. Rupert Murdoch	Mgmt	Voted	FO
Twenty-First Century Fox, Inc.	FOX	90130A101	11/15/2017	2	Elect Lachlan K. Murdoch	Mgmt	Voted	FO
Twenty-First Century Fox, Inc.	FOX	90130A101	11/15/2017	3	Elect Delphine Arnault	Mgmt	Voted	FO

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Twenty-First Century Fox, Inc.	FOX	90130A101	11/15/2017	4	Elect James W. Breyer	Mgmt	Voted	FO
Twenty-First Century Fox, Inc.	FOX	90130A101	11/15/2017	5	Elect Chase Carey	Mgmt	Voted	FO
Twenty-First Century Fox, Inc.	FOX	90130A101	11/15/2017	6	Elect David F. DeVoe	Mgmt	Voted	FO
Twenty-First Century Fox, Inc.	FOX	90130A101	11/15/2017	7	Elect Viet D. Dinh	Mgmt	Voted	FO
Twenty-First Century Fox, Inc.	FOX	90130A101	11/15/2017	8	Elect Roderick Ian Eddington	Mgmt	Voted	FO
Twenty-First Century Fox, Inc.	FOX	90130A101	11/15/2017	9	Elect James Murdoch	Mgmt	Voted	FO
Twenty-First Century Fox, Inc.	FOX	90130A101	11/15/2017	10	Elect Jacques Nasser	Mgmt	Voted	FO
Twenty-First Century Fox, Inc.	FOX	90130A101	11/15/2017	11	Elect Robert S. Silberman	Mgmt	Voted	FO
Twenty-First Century Fox, Inc.	FOX	90130A101	11/15/2017	12	Elect Tidjane Thiam	Mgmt	Voted	FO
Twenty-First Century Fox, Inc.	FOX	90130A101	11/15/2017	13	Elect Jeffrey W. Ubben	Mgmt	Voted	FO
Twenty-First Century Fox, Inc.	FOX	90130A101	11/15/2017	14	Ratification of Auditor	Mgmt	Voted	FO
Twenty-First Century Fox, Inc.	FOX	90130A101	11/15/2017	15	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
Twenty-First Century Fox, Inc.	FOX	90130A101	11/15/2017	16	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
Twenty-First Century Fox, Inc.	FOX	90130A101	11/15/2017	17	Shareholder Proposal Regarding Recapitalization	ShrHoldr	Voted	A
Tyson Foods, Inc.	TSN	902494103	2/8/2018	1	Elect John H. Tyson	Mgmt	Voted	FO
Tyson Foods, Inc.	TSN	902494103	2/8/2018	2	Elect Gaurdie E. Banister, Jr.	Mgmt	Voted	FO
Tyson Foods, Inc.	TSN	902494103	2/8/2018	3	Elect Dean Banks	Mgmt	Voted	FO
Tyson Foods, Inc.	TSN	902494103	2/8/2018	4	Elect Mike D. Beebe	Mgmt	Voted	FO
Tyson Foods, Inc.	TSN	902494103	2/8/2018	5	Elect Mikel A. Durham	Mgmt	Voted	FO
Tyson Foods, Inc.	TSN	902494103	2/8/2018	6	Elect Tom Hayes	Mgmt	Voted	FO



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Tyson Foods, Inc.	TSN	902494103	2/8/2018	7	Elect Kevin M. McNamara	Mgmt	Voted	Fo
Tyson Foods, Inc.	TSN	902494103	2/8/2018	8	Elect Cheryl S. Miller	Mgmt	Voted	Fo
Tyson Foods, Inc.	TSN	902494103	2/8/2018	9	Elect Jeffrey K. Schomburger	Mgmt	Voted	Fo
Tyson Foods, Inc.	TSN	902494103	2/8/2018	10	Elect Robert Thurber	Mgmt	Voted	Fo
Tyson Foods, Inc.	TSN	902494103	2/8/2018	11	Elect Barbara A. Tyson	Mgmt	Voted	Fo
Tyson Foods, Inc.	TSN	902494103	2/8/2018	12	Ratification of Auditor	Mgmt	Voted	Fo
Tyson Foods, Inc.	TSN	902494103	2/8/2018	13	Amendment to the 2000 Stock Incentive Plan	Mgmt	Voted	Fo
Tyson Foods, Inc.	TSN	902494103	2/8/2018	14	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	Ap
Tyson Foods, Inc.	TSN	902494103	2/8/2018	15	Shareholder Proposal Regarding Water Stewardship Policy	ShrHoldr	Voted	Ap
U.S. Bancorp	USB	902973304	4/17/2018	1	Elect Warner L. Baxter	Mgmt	Voted	Fo
U.S. Bancorp	USB	902973304	4/17/2018	2	Elect Marc N. Casper	Mgmt	Voted	Fo
U.S. Bancorp	USB	902973304	4/17/2018	3	Elect Andrew Cecere	Mgmt	Voted	Fo
U.S. Bancorp	USB	902973304	4/17/2018	4	Elect Arthur D. Collins, Jr.	Mgmt	Voted	Fo
U.S. Bancorp	USB	902973304	4/17/2018	5	Elect Kimberly J. Harris	Mgmt	Voted	Fo
U.S. Bancorp	USB	902973304	4/17/2018	6	Elect Roland Hernandez	Mgmt	Voted	Fo
U.S. Bancorp	USB	902973304	4/17/2018	7	Elect Doreen Woo Ho	Mgmt	Voted	Fo
U.S. Bancorp	USB	902973304	4/17/2018	8	Elect Olivia F. Kirtley	Mgmt	Voted	Fo
U.S. Bancorp	USB	902973304	4/17/2018	9	Elect Karen S. Lynch	Mgmt	Voted	Fo
U.S. Bancorp	USB	902973304	4/17/2018	10	Elect Richard P. McKenney	Mgmt	Voted	Fo
U.S. Bancorp	USB	902973304	4/17/2018	11	Elect David B. O'Maley	Mgmt	Voted	Fo
U.S. Bancorp	USB	902973304	4/17/2018	12	Elect O'dell M. Owens	Mgmt	Voted	Fo
U.S. Bancorp	USB	902973304	4/17/2018	13	Elect Craig D. Schnuck	Mgmt	Voted	Fo
U.S. Bancorp	USB	902973304	4/17/2018	14	Elect Scott W. Wine	Mgmt	Voted	Fo
U.S. Bancorp	USB	902973304	4/17/2018	15	Ratification of Auditor	Mgmt	Voted	Fo
U.S. Bancorp	USB	902973304	4/17/2018	16	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo

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Union Pacific Corporation	UNP	907818108	5/10/2018	1	Elect Andrew H. Card, Jr.	Mgmt	Voted	Fo
Union Pacific Corporation	UNP	907818108	5/10/2018	2	Elect Erroll B. Davis, Jr.	Mgmt	Voted	Fo
Union Pacific Corporation	UNP	907818108	5/10/2018	3	Elect David B. Dillon	Mgmt	Voted	Fo
Union Pacific Corporation	UNP	907818108	5/10/2018	4	Elect Lance M. Fritz	Mgmt	Voted	Fo
Union Pacific Corporation	UNP	907818108	5/10/2018	5	Elect Deborah C. Hopkins	Mgmt	Voted	Fo
Union Pacific Corporation	UNP	907818108	5/10/2018	6	Elect Jane H. Lute	Mgmt	Voted	Fo
Union Pacific Corporation	UNP	907818108	5/10/2018	7	Elect Michael R. McCarthy	Mgmt	Voted	Fo
Union Pacific Corporation	UNP	907818108	5/10/2018	8	Elect Thomas F. McLarty III	Mgmt	Voted	Fo
Union Pacific Corporation	UNP	907818108	5/10/2018	9	Elect Bhavesh V. Patel	Mgmt	Voted	Fo
Union Pacific Corporation	UNP	907818108	5/10/2018	10	Elect Jose H. Villarreal	Mgmt	Voted	Fo
Union Pacific Corporation	UNP	907818108	5/10/2018	11	Ratification of Auditor	Mgmt	Voted	Fo
Union Pacific Corporation	UNP	907818108	5/10/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Union Pacific Corporation	UNP	907818108	5/10/2018	13	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Voted	Ap
United Parcel Service, Inc.	UPS	911312106	5/10/2018	1	Elect David P. Abney	Mgmt	Voted	Fo
United Parcel Service, Inc.	UPS	911312106	5/10/2018	2	Elect Rodney C. Adkins	Mgmt	Voted	Fo
United Parcel Service, Inc.	UPS	911312106	5/10/2018	3	Elect Michael J. Burns	Mgmt	Voted	Fo
United Parcel Service, Inc.	UPS	911312106	5/10/2018	4	Elect William R. Johnson	Mgmt	Voted	Fo
United Parcel Service, Inc.	UPS	911312106	5/10/2018	5	Elect Candace Kendle	Mgmt	Voted	Fo
United Parcel Service, Inc.	UPS	911312106	5/10/2018	6	Elect Ann M. Livermore	Mgmt	Voted	Fo

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United Parcel Service, Inc.	UPS	911312106	5/10/2018	7	Elect Rudy H.P. Markham	Mgmt	Voted	Fo
United Parcel Service, Inc.	UPS	911312106	5/10/2018	8	Elect Franck J. Moison	Mgmt	Voted	Fo
United Parcel Service, Inc.	UPS	911312106	5/10/2018	9	Elect Clark T. Randt, Jr.	Mgmt	Voted	Fo
United Parcel Service, Inc.	UPS	911312106	5/10/2018	10	Elect Christiana Shi	Mgmt	Voted	Fo
United Parcel Service, Inc.	UPS	911312106	5/10/2018	11	Elect John T. Stankey	Mgmt	Voted	Fo
United Parcel Service, Inc.	UPS	911312106	5/10/2018	12	Elect Carol B. Tome	Mgmt	Voted	Fo
United Parcel Service, Inc.	UPS	911312106	5/10/2018	13	Elect Kevin M. Warsh	Mgmt	Voted	Fo
United Parcel Service, Inc.	UPS	911312106	5/10/2018	14	2018 Omnibus Incentive Compensation Plan	Mgmt	Voted	Fo
United Parcel Service, Inc.	UPS	911312106	5/10/2018	15	Ratification of Auditor	Mgmt	Voted	Fo
United Parcel Service, Inc.	UPS	911312106	5/10/2018	16	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	Ap
United Parcel Service, Inc.	UPS	911312106	5/10/2018	17	Shareholder Proposal Regarding Recapitalization	ShrHoldr	Voted	Ap
United Parcel Service, Inc.	UPS	911312106	5/10/2018	18	Shareholder Proposal Regarding Linking Executive Pay to Sustainability	ShrHoldr	Voted	Ap
United Technologies Corporation	UTX	913017109	4/30/2018	1	Elect Lloyd J Austin	Mgmt	Voted	Fo
United Technologies Corporation	UTX	913017109	4/30/2018	2	Elect Diane M. Bryant	Mgmt	Voted	Fo
United Technologies Corporation	UTX	913017109	4/30/2018	3	Elect John V. Faraci	Mgmt	Voted	Fo
United Technologies Corporation	UTX	913017109	4/30/2018	4	Elect Jean-Pierre Garnier	Mgmt	Voted	Fo
United Technologies Corporation	UTX	913017109	4/30/2018	5	Elect Gregory J. Hayes	Mgmt	Voted	Fo
	UTX	913017109	4/30/2018	6	Elect Ellen J. Kullman	Mgmt	Voted	Fo

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United Technologies Corporation									
United Technologies Corporation	UTX	913017109	4/30/2018	7	Elect Marshall O. Larsen	Mgmt	Voted	For	
United Technologies Corporation	UTX	913017109	4/30/2018	8	Elect Harold W. McGraw III	Mgmt	Voted	For	
United Technologies Corporation	UTX	913017109	4/30/2018	9	Elect Margaret L. O'Sullivan	Mgmt	Voted	For	
United Technologies Corporation	UTX	913017109	4/30/2018	10	Elect Fredric G. Reynolds	Mgmt	Voted	For	
United Technologies Corporation	UTX	913017109	4/30/2018	11	Elect Brian C. Rogers	Mgmt	Voted	For	
United Technologies Corporation	UTX	913017109	4/30/2018	12	Elect Christine Todd Whitman	Mgmt	Voted	For	
United Technologies Corporation	UTX	913017109	4/30/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	For	
United Technologies Corporation	UTX	913017109	4/30/2018	14	Approval of the 2018 Long-Term Incentive Plan	Mgmt	Voted	For	
United Technologies Corporation	UTX	913017109	4/30/2018	15	Ratification of Auditor	Mgmt	Voted	For	
United Technologies Corporation	UTX	913017109	4/30/2018	16	Elimination of Supermajority Requirement	Mgmt	Voted	For	
United Technologies Corporation	UTX	913017109	4/30/2018	17	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	Against	
UnitedHealth Group Incorporated	UNH	91324P102	6/4/2018	1	Elect William C. Ballard, Jr.	Mgmt	Voted	For	
UnitedHealth Group Incorporated	UNH	91324P102	6/4/2018	2	Elect Richard T. Burke	Mgmt	Voted	For	
UnitedHealth Group Incorporated	UNH	91324P102	6/4/2018	3	Elect Timothy P. Flynn	Mgmt	Voted	For	
UnitedHealth Group Incorporated	UNH	91324P102	6/4/2018	4	Elect Stephen J. Hemsley	Mgmt	Voted	For	
UnitedHealth Group Incorporated	UNH	91324P102	6/4/2018	5	Elect Michele J. Hooper	Mgmt	Voted	For	
UnitedHealth Group Incorporated	UNH	91324P102	6/4/2018	6	Elect F. William Mcabb, III	Mgmt	Voted	For	

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UnitedHealth Group Incorporated	UNH	91324P102	6/4/2018	7	Elect Valerie C. Montgomery Rice	Mgmt	Voted	Fo
UnitedHealth Group Incorporated	UNH	91324P102	6/4/2018	8	Elect Glenn M. Renwick	Mgmt	Voted	Fo
UnitedHealth Group Incorporated	UNH	91324P102	6/4/2018	9	Elect Kenneth I. Shine	Mgmt	Voted	Fo
UnitedHealth Group Incorporated	UNH	91324P102	6/4/2018	10	Elect David S. Wichmann	Mgmt	Voted	Fo
UnitedHealth Group Incorporated	UNH	91324P102	6/4/2018	11	Elect Gail R. Wilensky	Mgmt	Voted	Fo
UnitedHealth Group Incorporated	UNH	91324P102	6/4/2018	12	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
UnitedHealth Group Incorporated	UNH	91324P102	6/4/2018	13	Ratification of Auditor	Mgmt	Voted	Fo
Visa Inc.	V	92826C839	1/30/2018	1	Elect Lloyd A. Carney	Mgmt	Voted	Fo
Visa Inc.	V	92826C839	1/30/2018	2	Elect Mary B. Cranston	Mgmt	Voted	Fo
Visa Inc.	V	92826C839	1/30/2018	3	Elect Francisco Javier Fernandez-Carbajal	Mgmt	Voted	Fo
Visa Inc.	V	92826C839	1/30/2018	4	Elect Gary A. Hoffman	Mgmt	Voted	Fo
Visa Inc.	V	92826C839	1/30/2018	5	Elect Alfred F. Kelly, Jr.	Mgmt	Voted	Fo
Visa Inc.	V	92826C839	1/30/2018	6	Elect John F. Lundgren	Mgmt	Voted	Fo
Visa Inc.	V	92826C839	1/30/2018	7	Elect Robert W. Matschullat	Mgmt	Voted	Fo
Visa Inc.	V	92826C839	1/30/2018	8	Elect Suzanne Nora Johnson	Mgmt	Voted	Fo
Visa Inc.	V	92826C839	1/30/2018	9	Elect John A.C. Swainson	Mgmt	Voted	Fo
Visa Inc.	V	92826C839	1/30/2018	10	Elect Maynard G. Webb, Jr.	Mgmt	Voted	Fo
Visa Inc.	V	92826C839	1/30/2018	11	Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Visa Inc.	V	92826C839	1/30/2018	12	Ratification of Auditor	Mgmt	Voted	Fo
Voya Asia Pacific High Dividend Equity Income Fund	IAE	92912J102	7/6/2017	1.1	Elect Martin J. Gavin	Mgmt	Voted	Fo

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Voya Asia Pacific High Dividend Equity Income Fund	IAE	92912J102	7/6/2017	1.2	Elect Patrick W. Kenny	Mgmt	Voted	FO
Voya Asia Pacific High Dividend Equity Income Fund	IAE	92912J102	7/6/2017	1.3	Elect Shaun P. Mathews	Mgmt	Voted	FO
Voya Asia Pacific High Dividend Equity Income Fund	IAE	92912J102	7/6/2017	1.4	Elect Roger B. Vincent	Mgmt	Voted	FO
Walgreens Boots Alliance, Inc.	WBA	931427108	1/17/2018	1	Elect Jose E. Almeida	Mgmt	Voted	FO
Walgreens Boots Alliance, Inc.	WBA	931427108	1/17/2018	2	Elect Janice M. Babiak	Mgmt	Voted	FO
Walgreens Boots Alliance, Inc.	WBA	931427108	1/17/2018	3	Elect David J. Brailer	Mgmt	Voted	FO
Walgreens Boots Alliance, Inc.	WBA	931427108	1/17/2018	4	Elect William C. Foote	Mgmt	Voted	FO
Walgreens Boots Alliance, Inc.	WBA	931427108	1/17/2018	5	Elect Ginger L. Graham	Mgmt	Voted	FO
Walgreens Boots Alliance, Inc.	WBA	931427108	1/17/2018	6	Elect John A. Lederer	Mgmt	Voted	FO
Walgreens Boots Alliance, Inc.	WBA	931427108	1/17/2018	7	Elect Dominic P. Murphy	Mgmt	Voted	FO
Walgreens Boots Alliance, Inc.	WBA	931427108	1/17/2018	8	Elect Stefano Pessina	Mgmt	Voted	FO
Walgreens Boots Alliance, Inc.	WBA	931427108	1/17/2018	9	Elect Leonard D. Schaeffer	Mgmt	Voted	FO
Walgreens Boots Alliance, Inc.	WBA	931427108	1/17/2018	10	Elect Nancy M. Schlichting	Mgmt	Voted	FO
Walgreens Boots Alliance, Inc.	WBA	931427108	1/17/2018	11	Elect James A. Skinner	Mgmt	Voted	FO
Walgreens Boots Alliance, Inc.	WBA	931427108	1/17/2018	12	Ratification of Auditor	Mgmt	Voted	FO
Walgreens Boots Alliance, Inc.	WBA	931427108	1/17/2018	13	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
Walgreens Boots Alliance, Inc.	WBA	931427108	1/17/2018	14	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1
	WBA	931427108	1/17/2018	15		Mgmt	Voted	FO

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Walgreens Boots Alliance, Inc.					Amendment to the 2013 Omnibus Incentive Plan			
Walgreens Boots Alliance, Inc.	WBA	931427108	1/17/2018	16	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Voted	AP
Walgreens Boots Alliance, Inc.	WBA	931427108	1/17/2018	17	Shareholder Proposal Regarding Amendments to Proxy Access Bylaw	ShrHoldr	Voted	AP
Waste Management, Inc.	WM	94106L109	5/14/2018	1	Elect Bradbury H. Anderson	Mgmt	Voted	FO
Waste Management, Inc.	WM	94106L109	5/14/2018	2	Elect Frank M. Clark, Jr.	Mgmt	Voted	FO
Waste Management, Inc.	WM	94106L109	5/14/2018	3	Elect James C Fish	Mgmt	Voted	FO
Waste Management, Inc.	WM	94106L109	5/14/2018	4	Elect Andres R. Gluski	Mgmt	Voted	FO
Waste Management, Inc.	WM	94106L109	5/14/2018	5	Elect Patrick W. Gross	Mgmt	Voted	FO
Waste Management, Inc.	WM	94106L109	5/14/2018	6	Elect Victoria M. Holt	Mgmt	Voted	FO
Waste Management, Inc.	WM	94106L109	5/14/2018	7	Elect Kathleen M. Mazarella	Mgmt	Voted	FO
Waste Management, Inc.	WM	94106L109	5/14/2018	8	Elect John C. Pope	Mgmt	Voted	FO
Waste Management, Inc.	WM	94106L109	5/14/2018	9	Elect Thomas H. Weidemeyer	Mgmt	Voted	FO
Waste Management, Inc.	WM	94106L109	5/14/2018	10	Ratification of Auditor	Mgmt	Voted	FO
Waste Management, Inc.	WM	94106L109	5/14/2018	11	Advisory Vote on Executive Compensation	Mgmt	Voted	FO
Waste Management, Inc.	WM	94106L109	5/14/2018	12	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Voted	AP
Xcel Energy Inc.	XEL	98389B100	5/16/2018	1	Elect Richard K. Davis	Mgmt	Voted	FO
Xcel Energy Inc.	XEL	98389B100	5/16/2018	2	Elect Benjamin G.S. Fowke III	Mgmt	Voted	FO
Xcel Energy Inc.	XEL	98389B100	5/16/2018	3		Mgmt	Voted	FO

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						Elect Richard T. O'Brien			
Xcel Energy Inc.	XEL	98389B100	5/16/2018	4		Elect David K Owens	Mgmt	Voted	Fo
Xcel Energy Inc.	XEL	98389B100	5/16/2018	5		Elect Christopher J. Policinski	Mgmt	Voted	Fo
Xcel Energy Inc.	XEL	98389B100	5/16/2018	6		Elect James T. Prokopanko	Mgmt	Voted	Fo
Xcel Energy Inc.	XEL	98389B100	5/16/2018	7		Elect A. Patricia Sampson	Mgmt	Voted	Fo
Xcel Energy Inc.	XEL	98389B100	5/16/2018	8		Elect James J. Sheppard	Mgmt	Voted	Fo
Xcel Energy Inc.	XEL	98389B100	5/16/2018	9		Elect David A. Westerlund	Mgmt	Voted	Fo
Xcel Energy Inc.	XEL	98389B100	5/16/2018	10		Elect Kim Williams	Mgmt	Voted	Fo
Xcel Energy Inc.	XEL	98389B100	5/16/2018	11		Elect Timothy V. Wolf	Mgmt	Voted	Fo
Xcel Energy Inc.	XEL	98389B100	5/16/2018	12		Elect Daniel Yohannes	Mgmt	Voted	Fo
Xcel Energy Inc.	XEL	98389B100	5/16/2018	13		Advisory Vote on Executive Compensation	Mgmt	Voted	Fo
Xcel Energy Inc.	XEL	98389B100	5/16/2018	14		Ratification of Auditor	Mgmt	Voted	Fo
Yum! Brands, Inc.	YUM	988498101	5/17/2018	1		Elect Paget L. Alves	Mgmt	Voted	Fo
Yum! Brands, Inc.	YUM	988498101	5/17/2018	2		Elect Michael J. Cavanagh	Mgmt	Voted	Fo
Yum! Brands, Inc.	YUM	988498101	5/17/2018	3		Elect Christopher M. Connor	Mgmt	Voted	Fo
Yum! Brands, Inc.	YUM	988498101	5/17/2018	4		Elect Brian C. Cornell	Mgmt	Voted	Fo
Yum! Brands, Inc.	YUM	988498101	5/17/2018	5		Elect Greg Creed	Mgmt	Voted	Fo
Yum! Brands, Inc.	YUM	988498101	5/17/2018	6		Elect Tanya L. Domier	Mgmt	Voted	Fo
Yum! Brands, Inc.	YUM	988498101	5/17/2018	7		Elect Mirian M. Graddick-Weir	Mgmt	Voted	Fo
Yum! Brands, Inc.	YUM	988498101	5/17/2018	8		Elect Thomas C. Nelson	Mgmt	Voted	Fo
Yum! Brands, Inc.	YUM	988498101	5/17/2018	9		Elect P. Justin Skala	Mgmt	Voted	Fo
Yum! Brands, Inc.	YUM	988498101	5/17/2018	10		Elect Elane B. Stock	Mgmt	Voted	Fo
Yum! Brands, Inc.	YUM	988498101	5/17/2018	11		Elect Robert D. Walter	Mgmt	Voted	Fo
Yum! Brands, Inc.	YUM	988498101	5/17/2018	12		Ratification of Auditor	Mgmt	Voted	Fo
Yum! Brands, Inc.	YUM	988498101	5/17/2018	13		Advisory Vote on Executive	Mgmt	Voted	Fo



Compensation

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Cornerstone Total Return Fund, Inc.

By (Signature and Title) /s/ Ralph W. Bradshaw  
Ralph W. Bradshaw, President and Chairman  
(Principal Executive Officer)

Date August 17, 2018