Clough Global Dividend & Income Fund Form N-PX August 23, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-21583

### CLOUGH DIVIDEND AND INCOME FUND

(Exact name of registrant as specified in charter)

<u>1290 Broadway, Suite 1100, Denver, Colorado 80203</u> (Address of principal executive offices) (Zip code)

Sareena Khwaja-Dixon, Esq. Clough Dividend and Income Fund 1290 Broadway, Suite 1100 <u>Denver, Colorado 80203</u> (Name and address of agent for service)

Registrant's Telephone Number, including Area Code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 1, 2016 - June 30, 2017

Item 1 – Proxy Voting Record.

	ummary N, INC.							
Securit		009728106			Meeting	g Type	Annual	
	Symbol				Meeting		01-Jul-2016	
ISIN		US0097281069			Agenda		934429437 -	Management
Record	Date	11-May-2016			Holding Date	g Recon	11-May-2016	5
City /	Count	rv /	United states		Vote De Date	eadline	30-Jun-2016	
SEDOI	L(s)				Quick C	Code		
Item	Propo	sal		Proposed V by	ote	For/A Manag	gainst gement	
1.	DIRE	CTOR		Managemen	t			
	1	JOHN KAPOOR, PHD			For	Fo	r	
	2	KENNETH ABRAMOW	ΊΤΖ		For	Fo	r	
	3	ADRIENNE GRAVES, F	PHD		For	Fo	r	
	4	RONALD JOHNSON			For	Fo	r	
	5	STEVEN MEYER			For	Fo	r	
	6	TERRY ALLISON RAPI	PUHN		For	Fo	r	
	7	BRIAN TAMBI			For	Fo	r	
	8	ALAN WEINSTEIN			For	Fo	r	
2.	APPO THE ( REGI	OSAL TO RATIFY THE DINTMENT OF BDO USA COMPANY'S INDEPEND STERED PUBLIC ACCO FOR YEAR ENDING DE 16.	ENT UNTING	Managemen	t For	Fo	r	
3.	A NO THE C COMI DESC	OSAL TO APPROVE, TH N- BINDING ADVISORY COMPANY'S EXECUTIV PENSATION PROGRAM PRIBED IN THE COMPAN CY STATEMENT.	Y VOTE, E AS	Managemen	t For	Fo	r	
Accour Numbe		Account Name	Internal Cu Account	ustodian Ballo		Unavaila Shares	ble Vote Date	Date Confirmed
997AL	45	GLV BNP PLEDGE	997AIS4 S7	БАТЕ 9,000 ГREET	)	18,800	21-May-2016	21-May-2016

BANK & TRUST

				CO				
997AL	47	GLQ BNP PLEDGE	997AI	STATE STREET BANK & TRUST CO	5,500	46,700	21-May-2016	21-May-2016
997AL	49	GLO BNP PLEDGE	997AI	STATE STREET BANK & FRUST CO	5,200	111,100	21-May-2016	21-May-2016
ARMS	TRON	G WORLD INDUSTRIES,	INC.					
Securit	y	04247X102			Mee	ting Type	Annual	
Ticker	Symbo	l AWI			Mee	ting Date	08-Jul-2016	
ISIN		US04247X1028			Age	nda	934420237 -	Management
Record	l Date	15-Apr-2016			Hold Date	ling Recon	15-Apr-2016	
City /	Coun	trv /	Jnited States		Vote Date	e Deadline e	07-Jul-2016	
SEDOI	[ (s)				Ouic	ck Code		
SEDUI	L(S)				Quit	K COUC		
Item	Propo	osal		Propo by		For/A	gainst gement	
	Propo	osal ECTOR		by	sed	For/A	-	
Item	Propo			by	sed Vote	For/A	gement	
Item	Propo DIRE	CTOR		by	sed Vote gement	For/A Manag	r	
Item	Propo DIRE 1	ECTOR STAN A. ASKREN		by	sed Vote gement For	For/A Manaş Fo	gement r r	
Item	Propo DIRE 1 2	ECTOR STAN A. ASKREN VICTOR D. GRIZZLE	MS	by	sed Vote gement For For	For/A Manaş Fo Fo	gement r r r	
Item	Propo DIRE 1 2 3	ECTOR STAN A. ASKREN VICTOR D. GRIZZLE TAO HUANG	MS	by	sed Vote gement For For For	For/A Manaş Fo Fo Fo	gement r r r r	
Item	Propo DIRE 1 2 3 4	ECTOR STAN A. ASKREN VICTOR D. GRIZZLE TAO HUANG LARRY S. MCWILLIAN	MS	by	sed Vote gement For For For For	For/A Manaş Fo Fo Fo Fo	gement r r r r	
Item	Propo DIRE 1 2 3 4 5	ECTOR STAN A. ASKREN VICTOR D. GRIZZLE TAO HUANG LARRY S. MCWILLIAN JAMES C. MELVILLE	MS	by	sed Vote gement For For For For For	For/A Manag Fo Fo Fo Fo	gement r r r r r	
Item	Propo DIRE 1 2 3 4 5 6	ECTOR STAN A. ASKREN VICTOR D. GRIZZLE TAO HUANG LARRY S. MCWILLIAN JAMES C. MELVILLE JAMES J. O'CONNOR	MS	by	sed Vote gement For For For For For For	For/A Manag Fo Fo Fo Fo Fo	gement r r r r r r	
Item	Propo DIRE 1 2 3 4 5 6 7 8 9	ECTOR STAN A. ASKREN VICTOR D. GRIZZLE TAO HUANG LARRY S. MCWILLIAN JAMES C. MELVILLE JAMES J. O'CONNOR JOHN J. ROBERTS GREGORY P. SPIVY CHERRYL T. THOMAS	5	by	sed Vote gement For For For For For For For	For/A Manag Fo Fo Fo Fo Fo Fo	gement r r r r r r r	
Item	Propo DIRE 1 2 3 4 5 6 7 8 9 TO R KPM REGI	ECTOR STAN A. ASKREN VICTOR D. GRIZZLE TAO HUANG LARRY S. MCWILLIAN JAMES C. MELVILLE JAMES J. O'CONNOR JOHN J. ROBERTS GREGORY P. SPIVY	S OF NDENT	by Mana,	sed Vote gement For For For For For For For For	For/A Manag Fo Fo Fo Fo Fo Fo Fo	gement r r r r r r r r	
Item 1.	Propo DIRE 1 2 3 4 5 6 7 8 9 TO R 8 9 TO R KPM REGI FIRM TO A WOR	ECTOR STAN A. ASKREN VICTOR D. GRIZZLE TAO HUANG LARRY S. MCWILLIAN JAMES C. MELVILLE JAMES J. O'CONNOR JOHN J. ROBERTS GREGORY P. SPIVY CHERRYL T. THOMAS ATIFY THE SELECTION G LLP AS OUR INDEPEN ISTERED PUBLIC ACCO	OF VDENT UNTINC TRONG 016	by Mana, Mana,	sed Vote gement For For For For For For For For	For/A Manag Fo Fo Fo Fo Fo Fo Fo	gement r r r r r r r r	

## TO APPROVE OF THE ARMSTRONG WORLD INDUSTRIES, INC. 2016 LONG-TERM INCENTIVE PLAN.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLO	GLO	STATE STREET 997GLO TRUST CO	113,400	0	07-May-2016	11-Jul-2016
997AL45	GLV BNP PLEDGE	STATE STREET 997AL 5 TRUST CO	27,400	0	07-May-2016	11-Jul-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 47 TRUST CO	45,200	0	07-May-2016	11-Jul-2016
CHINA LONG	GYUAN POWER GROUP	CORPORATION	LTD			
Security	Y1501T101		Meeti	ng Type	ExtraOrdinar Meeting	y General
Ticker Symbol	l		Meeti	ng Date	12-Aug-2016	)
ISIN	CNE100000HD4		Agen	da	707215744 -	Management
Record Date	11-Jul-2016		Holdi Date	ng Recon	11-Jul-2016	
City / Coun	try BEIJING / C	China	Vote Date	Deadline	08-Aug-2016	į
SEDOL(s)	B3MFW30 - B4Q2TX B4XWG35 - BP3RS86		Quick	Code		
Item Propo	sal	Propos by	sed Vote		Against agement	
NOT AVA URL	SE NOTE THAT THE CO CE AND PROXY FORM ILABLE BY CLICKING-O LINKS:-	ARE ON THE	-			
http://	/www.hkexnews.hk/listedc	o/listconews/SEHI	K/2016/			

0624/LTN20160624371.pdf-,-

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/

#### 0624/LTN20160624389.pdf

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2

TO CONSIDER AND APPROVE THE APPOINTMENT OF MR. LUAN **BAOXING AS A NONEXECUTIVE** DIRECTOR OF THE COMPANY TO FILL THE VACANCY LEFT BY **RESIGNATION OF MR. SHAO** GUOYONG, WITH EFFECT FROM THE DATE OF THE EGM WHEN THE NOMINATION IS APPROVED BY THE SHAREHOLDERS OF THE COMPANY AND UNTIL THE EXPIRATION OF THE TERM OF THE CURRENT SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY (THE "BOARD"). MEANWHILE, TO AUTHORIZE THE REMUNERATION AND ASSESSMENT COMMITTEE OF THE BOARD TO DETERMINE THE Management For **REMUNERATION OF THE NEW** For DIRECTORS ACCORDING TO THE DIRECTORS' AND SUPERVISORS' **REMUNERATION PLAN FOR THE** YEAR 2016 AS APPROVED AT THE ANNUAL GENERAL MEETING UPON THE CANDIDATES FOR THE NEW DIRECTORS AS APPROVED AT THE EGM, AND TO AUTHORIZE THE CHAIRMAN OF THE BOARD OF THE COMPANY OR ANY ONE OF THE EXECUTIVE DIRECTORS TO ENTER INTO A SERVICE CONTRACT WITH EACH OF THE NEW DIRECTORS AND HANDLE OTHER RELEVANT MATTERS ON BEHALF OF THE COMPANY UPON THE CANDIDATES FOR THE NEW DIRECTORS BEING APPROVED AT THE EGM TO CONSIDER AND APPROVE THE Management For For APPOINTMENT OF MR. YANG XIANGBIN AS A NONEXECUTIVE DIRECTOR OF THE COMPANY TO FILL THE VACANCY LEFT BY **RESIGNATION OF MR. CHEN** JINGDONG, WITH EFFECT FROM THE DATE OF THE EGM WHEN THE NOMINATION IS APPROVED BY THE SHAREHOLDERS OF THE COMPANY AND UNTIL THE EXPIRATION OF THE TERM OF THE CURRENT

SESSION OF THE BOARD. MEANWHILE, TO AUTHORIZE THE REMUNERATION AND

ASSESSMENT COMMITTEE OF THE BOARD TO DETERMINE THE **REMUNERATION OF THE NEW** DIRECTORS ACCORDING TO THE DIRECTORS' AND SUPERVISORS' **REMUNERATION PLAN FOR THE** YEAR 2016 AS APPROVED AT THE ANNUAL GENERAL MEETING UPON THE CANDIDATES FOR THE NEW DIRECTORS AS APPROVED AT THE EGM, AND TO AUTHORIZE THE CHAIRMAN OF THE BOARD OF THE COMPANY OR ANY ONE OF THE EXECUTIVE DIRECTORS TO ENTER INTO A SERVICE CONTRACT WITH EACH OF THE NEW DIRECTORS AND HANDLE OTHER RELEVANT MATTERS ON BEHALF OF THE COMPANY UPON THE CANDIDATES FOR THE NEW DIRECTORS BEING APPROVED AT THE EGM.

Account Number	Account Name	Internal Custodia Account	Ballot an Shares	Unavail Shares	able Vote Date	Date Confirmed
GLV	GLV	STATE STREE BANK	Г 771,000	0	25-Jun-2016	10-Aug-2016
GLQ	GLQ	STATE STREE BANK	Г 1,253,000	0	25-Jun-2016	10-Aug-2016
GLO	GLO	STATE STREE BANK	Г 3,161,000	0	25-Jun-2016	10-Aug-2016
LIBERTY ME	DIA CORPORATION					
Security	531229409		Meetin	g Type	Annual	
Ticker Symbol	LSXMA		Meetin	g Date	23-Aug-2016	)
ISIN	US5312294094		Agenda	a	934458870 -	Management
Record Date	01-Jul-2016		Holdin Date	g Recon	01-Jul-2016	
City / Count	ry /	United States	Vote D Date	eadline	22-Aug-2016	j
SEDOL(s)			Quick	Code		

Item	Proposal			Proposed Vote by		For/Against Management			
1.	DIRE	CTOR		Manag	gemen	t			
	1	JOHN C. MALONE				For	Fo	r	
	2	ROBERT R. BENNETT				For	Fo	r	
	3	M. IAN G. GILCHRIST				For	Fo	r	
2.	SELE INDE	OPOSAL TO RATIFY TH CTION OF KPMG LLP A PENDENT AUDITORS F AL YEAR ENDING DECE 016.	S OUR OR THE	Manag	gemen	t For	Fo	r	
Accoun Numbe		Account Name	Internal Account	ustodiar	Ballo Share		Unavaila Shares	ble Vote Date	Date Confirmed
997AL4	49	GLO BNP PLEDGE	ST 997AIA &	RUST	1,656	5	113,800	09-Jul-2016	24-Aug-2016
997AL0	61	GLQ MS PLEDGE	ST 997AL6	RUST	9,168	3	0	09-Jul-2016	24-Aug-2016
LIBER	TY IN	TERACTIVE CORPORAT	ION						
Security	у	53071M880				Meeting	g Type	Annual	
Ticker	Symbol	LVNTA				Meeting	, Date	23-Aug-2016	
ISIN		US53071M8800				Agenda		934458882 -	Management
Record	Date	01-Jul-2016				Holding Date	Recon	01-Jul-2016	
City /	Coun	trv /	nited tates			Vote De Date	eadline	22-Aug-2016	
SEDOL	L(S)					Quick C	Code		
Item	Propo	sal		Propos by	sed Vo	ote	For/A Manag	gainst gement	
1.	DIRE	CTOR		Manag	gemen	t			
	1	JOHN C. MALONE				For	Fo	r	
	2	M. IAN G. GILCHRIST				For	Fo	r	
	3	MARK C. VADON				For	Fo	r	
	4	ANDREA L. WONG				For	Fo	r	

2.	A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS INDEPENDENT AUDITORS FO FISCAL YEAR ENDING DECE 31, 2016.	SOUR DR THE Mana	gement For	Fo	r	
3.	A PROPOSAL TO ADOPT THE LIBERTY INTERACTIVE CORPORATION 2016 OMNIBU INCENTIVE PLAN.	Mana	gement For	Fo	r	
Accoun Number	Account Name	Internal Custodia Account	n Ballot Shares	Unavaila Shares	ble Vote Date	Date Confirmed
997GL	/ GLV	STATE STREET 997GL& TRUST CO	24,200	0	09-Jul-2016	24-Aug-2016
997GL0	Q GLQ	STATE STREET 997GL W TRUST CO	26,100	0	09-Jul-2016	24-Aug-2016
997GL0	) GLO	STATE STREET 997GLO TRUST CO	65,800	0	09-Jul-2016	24-Aug-2016
997AL4	5 GLV BNP PLEDGE	STATE STREET 997AL45 TRUST CO	10,872	0	09-Jul-2016	24-Aug-2016
997AL4	7 GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	3,403	64,000	09-Jul-2016	24-Aug-2016
997AL4	9 GLO BNP PLEDGE	997AIS49ATE STREET BANK &	14,471	109,900	09-Jul-2016	24-Aug-2016

997AL		GLV MS PLEDGE	S 997AL&	TATE TREET ANK 0 RUST	24,00	00	0	09-Jul-2016	24-Aug-2016
	AT, INC.								
Securit	•	92552V100				Meeting		Annual	
	Symbol	VSAT				Meeting		08-Sep-2016	
ISIN		US92552V1008				Agenda		934463643 -	Management
Record	l Date	15-Jul-2016				Holding Date	g Recon	15-Jul-2016	
City /	Country	J I	United States			Vote De Date	eadline	07-Sep-2016	
SEDO	L(s)					Quick C	Code		
Item	Proposa	al		Propo by	osed v	ote		gainst gement	
1.	DIREC	TOR		Mana	gemen	t			
	1	RICHARD BALDRIDGI	E			For	Fo	or	
	2	B. ALLEN LAY				For	Fo	or	
	3	DR. JEFFREY NASH				For	Fo	or	
2.	PRICE AS VIA REGIS	ICATION OF APPOINT WATERHOUSECOOPE ASAT'S INDEPENDENT FERED PUBLIC ACCO FOR FISCAL YEAR 201	RS LLP UNTING		gemen	t For	Fo	or	
3.		ORY VOTE ON EXECU ENSATION	JTIVE	Mana	gemen	t For	Fo	)r	
Accour Numbe		Account Name	Internal Account	ustodiai t	n Ballo Share		Unavaila Shares	able Vote Date	Date Confirmed
997AL	A5	GLV BNP PLEDGE	S 997AL	TATE TREET ANK S RUST O	149		34,500	26-Jul-2016	09-Sep-2016
997AL	.47	GLQ BNP PLEDGE	S S 997AI4 &	TATE TREET ANK RUST	142		63,600	26-Jul-2016	09-Sep-2016

997AL	,49	GLO BNP PLEDG	E 997AI	STATE STREET BANK & TRUST CO	135		145,600	26-Jul-2016	09-Sep-2016
HILTC	ON WORI	LDWIDE HOLDING	S INC.						
Securit	-	43300A104				Meeting	• •	Special	
	Symbol	HLT				Meeting	Date	04-Oct-2016	
ISIN		US43300A1043				Agenda		934479216 -	Management
Record	l Date	26-Aug-2016				Holding Date		26-Aug-2016	<u>,</u>
City /	Country	1	/ United States			Vote De Date	adline	03-Oct-2016	
SEDO	L(s)					Quick C	ode		
Item	Proposa	ıl		Propo by	sed Vo	ote	For/A Mana	gainst gement	
1.	AMEN CERTII TO EFF	PROVE AND ADOP DMENT TO THE CO FICATE OF INCORI FECT A REVERSE S ECREASE AUTHO ES.	OMPANY'S PORATION STOCK SPLI	IT <sup>Manaş</sup>	gement	t For	Fo	r	
2.		PROVE AN ADJOU PECIAL MEETING.	RNMENT O	F Manag	gement	t For	Fo	r	
Accour Numbe		Account Name	Interna Accou	Custodiai	Ballo Share	t es	Unavaila Shares	ble Vote Date	Date Confirmed
997GL	.V	GLV	997GI	STATE STREET BANK & TRUST CO	20,00	0	0	09-Sep-2016	05-Oct-2016
997GL	Q	GLQ	997GI	STATE STREET BANK & TRUST CO	30,00	0	0	09-Sep-2016	05-Oct-2016
997GL	.0	GLO	-	SOFATE STREET BANK & TRUST	2,400		0	09-Sep-2016	05-Oct-2016

			C	0					
997AL49	)	GLO BNP PLEDGE	ST 997AL4 &	RUST	100		159,900	09-Sep-2016	05-Oct-2016
997AL60	)	GLV MS PLEDGE	ST 997AL6 &	RUST	19,80	)	0	09-Sep-2016	05-Oct-2016
997AL61		GLQ MS PLEDGE	ST 997AL6	RUST	34,30	)	0	09-Sep-2016	05-Oct-2016
SINOPHA	ARM G	ROUP CO. LTD.							
Security		Y8008N107				Meeting	; Туре	ExtraOrdina Meeting	ry General
Ticker Sy	mbol					Meeting	Date	18-Oct-2016	Ď
ISIN		CNE100000FN7				Agenda		707423264	- Management
Record D	Date	15-Sep-2016				Holding Date	Recon	15-Sep-2016	6
City / C	Country	SHANGH AI / C	hina			Vote De Date	adline	04-Oct-2016	5
SEDOL(s	s)	B3ZVDV0 - B4M8B73 B5NVZ21 - BP3RXT2	-			Quick C	Code		
				Propos	sed		For/A	gainst	
Item I	Proposa	1		by	sed Vo	te		gement	
CMMT E I I N	AMENI DUE TC 2. ALL ` PREVIC DISREC NEED T	E NOTE THAT THIS IS DMENT TO MEETING I D ADDITION OF- RESO VOTES RECEIVED ON DUS MEETING WILL B GARDED-AND YOU WI TO REINSTRUCT ON TH NG NOTICE. THANK Y	D 675300 LUTION THE E LL HIS	) Non-V	<sup>7</sup> oting				
A I	AND PF BY CLI	THAT THE COMPANY ROXY FORM ARE AVA CKING ON THE-URL L ww.hkexnews.hk/listedco	ILABLE INKS:-	Non-V vs/sehk/	C				

930/ltn20160930476.pdf,http://www.hkexnews.hk/listedco/listconews/sehk/2016/0 930/ltn20160930421.pdf,http://www.hkexnews.hk/listedco/listconews/sehk/2016/0 901/ltn201609011181.pdf-ANDhttp://www.hkexnews.hk/listedco/listconews/sehk/2016/0 901/ltn201609011318.pdf PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF CMMT "ABSTAIN" WILL BE TREATED-THE Non-Voting SAME AS A "TAKE NO ACTION" VOTE TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE: "THAT (I) THE ADOPTION OF THE SCHEME (AS DEFINED IN THE CIRCULAR DATED **1 SEPTEMBER 2016 DESPATCHED TO** THE SHAREHOLDERS OF THE COMPANY) BY THE COMPANY AND THE DELEGATION OF AUTHORIZATION TO ANY DIRECTOR OF THE COMPANY OR AUTHORIZED REPRESENTATIVE OF THE BOARD TO EXECUTE ANY DOCUMENTS AND INSTRUMENTS AS MAY BE NECESSARY OR INCIDENTAL TO THE ADOPTION OF 1 Management For For THE SCHEME AND TO DO ALL SUCH ACTS AND THINGS AS THEY CONSIDER NECESSARY OR EXPEDIENT OR DESIRABLE IN CONNECTION WITH THE IMPLEMENTATION OF THE SCHEME; AND (II) THE BOARD BE AND IS HEREBY AUTHORIZED TO IMPLEMENT THE SCHEME AS WELL AS TO APPROVE GRANTS OF **RESTRICTED SHARES UNDER THE** SCHEME FROM TIME TO TIME (INCLUDING BUT NOT LIMITED TO THE INITIAL GRANT OF THE SCHEME)" 2 TO CONSIDER AND, IF THOUGHT Management For For FIT, TO APPROVE THE APPOINTMENT OF MR. MA PING AS A NON- EXECUTIVE DIRECTOR OF THE COMPANY. TO AUTHORISE THE BOARD OF DIRECTORS OF THE COMPANY TO DETERMINE HIS

REMUNERATION, AND TO AUTHORISE ANY EXECUTIVE DIRECTOR OF THE COMPANY TO EXECUTE A SERVICE CONTRACT OR SUCH OTHER DOCUMENTS OR SUPPLEMENTAL AGREEMENTS OR DEEDS ON BEHALF OF THE COMPANY

Account Number	Account Name	( 'jistodian	Ballot Shares	Unavaila Shares	ble Vote Date	Date Confirmed
GLV	GLV	STATE STREET 14 BANK	42,400	0	01-Oct-2016	12-Oct-2016
GLQ	GLQ	STATE STREET 23 BANK	236,800	0	01-Oct-2016	12-Oct-2016
GLO	GLO	STATE STREET 58 BANK	83,600	0	01-Oct-2016	12-Oct-2016

#### BYD COMPANY LTD, SHENZHEN

Security	Y1023R104	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	01-Nov-2016
ISIN	CNE100000296	Agenda	707516110 - Management
Record Date	30-Sep-2016	Holding Recon Date	30-Sep-2016
City / Country	SHENZH EN / China	Vote Deadline Date	04-Oct-2016
SEDOL(s)	6536651 - B01XKF2 - B0WVS95 - BD8GJR0	Quick Code	

Item	Proposal	Proposed by Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 681715 DUE TO ADDITION OF- RESOLUTION 3. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-IF VOTE DEADLINE EXTENSIONS ARE GRANTED. THEREFORE PLEASE REINSTRUCT ON THIS- MEETING NOTICE ON THE NEW JOB. IF HOWEVER VOTE DEADLINE EXTENSIONS ARE	Non-Voting	

	g.			
	NOT-GRANTED IN THE MA THIS MEETING WILL BE INACTIVATED AND YOUR VOTE-INTENTIONS ON THE ORIGINAL MEETING WILL APPLICABLE. PLEASE ENSU VOTING-IS SUBMITTED PR CUTOFF ON THE ORIGINAL MEETING, AND AS SOON A POSSIBLE-ON THIS NEW AN MEETING. THANK YOU.	E BE URE IOR TO S		
CMMT	PLEASE NOTE THAT THE C NOTICE AND PROXY FORM AVAILABLE BY CLICKING- URL LINKS:- http://www.hkexnews.hk/listed 0913/LTN20160913500.pdf,- http://www.hkexnews.hk/listed 1019/LTN20161019505.pdf,- http://www.hkexnews.hk/listed 0913/LTN20160913545.pdf,- http://www.hkexnews.hk/listed 1019/LTN20161019509.pdf	A ARE -ON THE co/listconews/SEHK/2016/ Non-Voting co/listconews/SEHK/2016/ ND- co/listconews/SEHK/2016/		
1	TO CONSIDER AND APPRO INTERIM PROFIT DISTRIBU PLAN OF THE COMPANY FO SIX MONTHS ENDED 30 JUN	UTION Management For OR THE	For	
2	TO CONSIDER AND APPRO PROPOSED CHANGE OF TH COMPANY'S BUSINESS SCC AMENDMENT TO THE ART ASSOCIATION OF THE COM ARTICLE 11	IE OPE AND TICLES OF Management For	For	
3	TO CONSIDER AND APPRO PROPOSED FURTHER CHAN THE COMPANY'S BUSINESS AND AMENDMENT TO THE ARTICLES OF ASSOCIATIO COMPANY: ARTICLE 11	NGE OF S SCOPE Management For	For	
Account Number	Account Name	Internal Ballot Custodian Shares	Unavailable Vote Date Shares	Date Confirmed
GLQ	GLQ	STATE STREET 150,500 BANK	0 20-Oct-2016	27-Oct-2016
CI O		STATE		

BANK

STREET 380,000 0 20-Oct-2016

GLO

GLO

27-Oct-2016

LIBER	TY INTE	RACTIVE CORPOR	ATION					
Securit	у	53071M880			Meeting	Туре	Special	
Ticker	Symbol	LVNTA			Meeting	Date	01-Nov-2016	
ISIN		US53071M8800			Agenda		934488152 -	Management
Record	Date	28-Sep-2016	28-Sep-2016		Holding Date	Recon	28-Sep-2016	
City /	Country	, .	United States			adline	31-Oct-2016	
SEDOI	SEDOL(s)				Quick Co	ode		
Item	Proposa	1		Proposed V by	ote	For/Aga Manage		
1.	REDEM INTERA PORTIO SHARE COMM OUTST EXPED WOULI INTERA OWNEI INTERI LIMITS FOR FU	POSAL TO APPROV (PTION BY LIBERT ACTIVE CORPORA ON OF THE OUTST S OF LIBERTY VEN ON STOCK FOR AL ANDING SHARES ( IA HOLDINGS, INC D HOLD LIBERTY ACTIVE CORPORA RSHIP AND VOTING ESTS IN (DUE TO S, SEE PROXY STAT JLL PROPOSAL).	Y FION OF A ANDING TURES L OF THE OF LIBERTY ., WHICH FION'S G SPACE EMENT	Managemen	t For	For		
2.	ADJOU MEETII INTERA PERMI PROXII APPRO VOTES THE SP THE OT	POSAL TO AUTHOR RNMENT OF THE S NG BY LIBERTY ACTIVE CORPORA' T FURTHER SOLICIES, IF NECESSARY PRIATE, IF SUFFIC ARE NOT REPRES PECIAL MEETING T THER PROPOSAL T NTED AT THE SPEC NG.	SPECIAL FION TO ITATION OF OR IENT ENTED AT O APPROVE O BE	Managemen	t For	For		
Accour Numbe	4	Account Name	SI	ΓΑΤΕ	ot es	Unavailab Shares	le Vote Date	Date Confirmed
997GL	V	GLV	997GL	FREET ANK 1,572 RUST O	2	0 (	05-Oct-2016	02-Nov-2016

997GL	Q	GLQ	S 997GI	TATE TREET ANK P RUST O	4,200	0	05-Oct-2016	02-Nov-2016
997AL	47	GLQ BNP PLEDGE	S 997AI	TATE TREET ANK RUST O	15,003	44,000	05-Oct-2016	02-Nov-2016
997AL4	49	GLO BNP PLEDGE	S 997AI	RUST	3,871	109,900	05-Oct-2016	02-Nov-2016
997AL	60	GLV MS PLEDGE	S 997AL	RUST	24,000	0	05-Oct-2016	02-Nov-2016
THE W	<b>ILLIAM</b>	S COMPANIES, INC.	C	0				
Securit		969457100			Mee	eting Type	Annual	
	Symbol	WMB				eting Date	23-Nov-2010	5
ISIN		US9694571004			Age	nda	934492579 -	Management
Record	Date	07-Oct-2016			Holding Recon Date		07-Oct-2016	
City /	Country	/ United States			Vote Deadline Date		22-Nov-2016	
SEDOI	L(s)				Quie	ck Code		
Item	Proposa	1		Propo by	sed Vote		gainst gement	
1A.	ELECT ARMST	ION OF DIRECTOR: A TRONG	LAN S.	Mana	gement For	Fc	or	
1B.	ELECTION OF DIRECTOR: STEPHEN W. BERGSTROM			Mana	gement For	Fo	or	
1C.	ELECTION OF DIRECTOR: STEPHEN I. CHAZEN		Manag	gement For	Fo	or		
1D.		ION OF DIRECTOR: LEEN B. COOPER		Mana	gement For	Fo	or	
1E.	ELECT RAGAU	ION OF DIRECTOR: P JSS	ETER A.	Mana	gement For	Fc	or	

1F.	ELECT SHEFF	ION OF DIRECTOI IELD	R: SCO	OTT D.	Manag	gemen	t For	Fo	pr	
1G.	ELECT D. SMI	ION OF DIRECTOI TH	R: MU	RRAY	Manag	gemen	t For	Fo	or	
1H.	ELECT H. SPEI	ION OF DIRECTOI NCE	R: WI	LLIAM	Manag	gemen	t For	For		
1I.	ELECT STONE	ION OF DIRECTOR Y	R: JAN	NICE D.	Manag	Management For		Fo	or	
2.		CATION OF ERNS AUDITORS FOR 2		OUNG	Management For		Fo	or		
3.	APPROVAL, BY NONBINDING ADVISORY VOTE, OF THE COMPANY'S EXECUTIVE COMPENSATION.			ł	Management For			For		
Accoun Numbe		Account Name		Internal Accoun	ustodian	Ballo Share	t es	Unavaila Shares	ible Vote Date	Date Confirmed
997AL4	47	GLQ BNP PLEDC	ĴΕ	S S 997AI2 & T	TATE TREET ANK T RUST	100		63,100	20-Oct-2016	20-Oct-2016
997AL4	49	GLO BNP PLEDO	ĴΕ	S 997AI & T	TATE TREET ANK T RUST	100		159,700	20-Oct-2016	20-Oct-2016
997AL0	60	GLV MS PLEDGI	E	S 997AL & T	TATE TREET ANK 50 RUST CO	39,10	0	0	20-Oct-2016	20-Oct-2016
AMSU	RG COR	Р.								
Security	•	03232P405					Meeting	• •	Special	
Ticker	Symbol	AMSG					Meeting		28-Nov-2016	
ISIN		US03232P4054					Agenda		934494826 -	Management
Record	Date	07-Oct-2016					Holding Date		07-Oct-2016	
City /	Country	7	/	nited ates			Vote De Date	eadline	25-Nov-2016	<u>,</u>
SEDOL	L(S)						Quick C	Code		

Item	Proposal	Proposed Vote by	For/Against Management				
1.	TO CONSIDER AND VOTE ON PROPOSAL TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF JUNE 2016 (THE "MERGER AGREEM BY AND AMONG ENVISION HEALTHCARE HOLDINGS, IN AMSURG CORP. AND NEW AMETHYST CORP., AND THE TRANSACTIONS CONTEMPLA BY THE MERGER AGREEMEN	E 15, IENT"), Management For C.,	For				
2.	TO CONSIDER AND VOTE ON NON-BINDING, ADVISORY PROPOSAL TO APPROVE THE COMPENSATION THAT MAY BECOME PAYABLE TO AMSU NAMED EXECUTIVE OFFICER CONNECTION WITH THE CONSUMMATION OF THE ME	IRG'S Management For RS IN	lanagement For For				
3.	TO CONSIDER A VOTE ON A PROPOSAL TO APPROVE ANY MOTION TO ADJOURN THE A SPECIAL MEETING IF NECESS OR APPROPRIATE, TO SOLICI ADDITIONAL PROXIES.	MSURG SARY Management For	For	For			
Accoun Number	Account Name	Internal Ballot Custodian Shares	Unavailable Vote Date Shares	Date Confirmed			
997GL0	O GLO	STATE STREET 997GLO 6,400 TRUST CO	0 26-Oct-2016	29-Nov-2016			
997AL4	45 GLV BNP PLEDGE	STATE STREET 997AI <sup>BANK</sup> 7RUST CO	6,200 26-Oct-2016	29-Nov-2016			
997AL4	47 GLQ BNP PLEDGE	STATE STREET 997AL <sup>477</sup> 2,700 TRUST CO	13,600 26-Oct-2016	29-Nov-2016			

997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	100	34,500	26-Oct-2016	29-Nov-2016
CHR. HANSE	N HOLDING A/S					
Security	K1830B107			Meeting Type	Annual Gene	eral Meeting
Ticker Symbol				Meeting Date	29-Nov-2016	5
ISIN	DK0060227585			Agenda	707583793 -	Management
Record Date	22-Nov-2016			Holding Recon Date	22-Nov-2016	5
City / Count	ry HORSHO LM / I	Denmark		Vote Deadline Date	18-Nov-2016	5
SEDOL(s)	B3LL574 - B3SMD32 B573M11 - B63NJ00 - BHZLC88			Quick Code		
Item Propo	sal	Propo by	osed Vo	ote For/A Mana	.gainst gement	
ItemProposalItemIN THE MAJORITY OF MEETINGS THE VOTES ARE CAST WITH THE REGISTRAR WHO WILL-FOLLOW CLIENT INSTRUCTIONS. IN A SMALL PERCENTAGE OF MEETINGS THERE IS NO-REGISTRAR AND CLIENTS VOTES MAY BE CAST BY THE CHAIRMAN OF THE BOARD OR A-BOARD MEMBER AS PROXY. CLIENTS CAN ONLY EXPECT THEM TO ACCEPT PRO-MANAGEMENT-VOTES. THE ONLY WAY TO GUARANTEE THAT ABSTAIN AND/OR AGAINST VOTES ARE-REPRESENTED AT THE MEETING IS TO SEND YOUR OWN REPRESENTATIVE OR ATTEND THE-MEETING IN PERSON. THE SUB CUSTODIAN BANKS OFFER REPRESENTATION SERVICES FOR- AN ADDED FEE IF REQUESTED. THANK YOU			Voting			
AND AUTI OWN	SE BE ADVISED THAT PARTIAL VOTING IS N IORISED FOR A-BENEF ER IN THE DANISH MA SE CONTACT YOUR GI	OT ICIAL RKET.	Voting			

	CUSTODIAN-FOR FURTHER INFORMATION.		
CMMT	IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF- ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING- INSTRUCTIONS IN THIS MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE	Non-Voting	
CMMT	PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'ABSTAIN'-ONLY FOR RESOLUTIONS 6.A.A, 6.B.A TO 6.B.F AND 7.A. THANK YOU	Non-Voting	
1	REPORT ON THE COMPANY'S ACTIVITIES	Non-Voting	
2	APPROVAL OF THE 2015/16 ANNUAL REPORT	Management For	For
3	RESOLUTION ON THE APPROPRIATION OF PROFIT OR COVERING OF LOSS: DKK 5.23 PER SHARE	Management For	For
4	DECISION ON REMUNERATION OF MEMBERS OF THE BOARD OF DIRECTORS	Management For	For
5.A	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT COMPUTERSHARE A/S AS NEW COMPANY REGISTRAR	Management For	For
5.B	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT LEGAL NAME CHANGE OF NASDAQ OMX COPENHAGEN A/S	Management For	For
6.A.A	RE-ELECTION OF CHAIRMAN OF THE BOARD OF DIRECTOR: OLE ANDERSEN	Management For	For
6.B.A	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: FREDERIC STEVENIN	Management For	For

6.B.B	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: MARK WILSON	Management For	For
6.B.C	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: DOMINIQUE REINICHE	Management For	For
6.B.D	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: TIINA MATTILA-SANDHOLM	Management For	For
6.B.E	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: KRISTIAN VILLUMSEN	Management For	For
6.B.F	ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: LUIS CANTARELL ROCAMORA	Management For	For
7.A	RE-ELECTION OF PRICEWATERHOUSECOOPERS STATSAUTORISERET REVISIONSPARTNERSELSKAB	Management For	For
8	AUTHORIZATION OF THE CHAIRMAN OF THE ANNUAL GENERAL MEETING	Management For	For
CMMT	07 NOV 2016: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF DIVIDEND-AMOUNT. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN-UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	Non-Voting	

Account Number	Account Name	Custodian	Ballot Shares	Unavaila Shares	ible Vote Date	Date Confirmed
GLV	GLV	STATE STREET 1 BANK	19,244	0	05-Nov-2016	22-Nov-2016
GLQ	GLQ	STATE STREET 3 BANK	31,409	0	05-Nov-2016	22-Nov-2016
GLO	GLO	STATE STREET 7 BANK	78,002	0	05-Nov-2016	22-Nov-2016
MICROSOFT	CORPORATION					
Security	594918104		Meeting	g Type	Annual	
Ticker Symbol	MSFT		Meeting	, Date	30-Nov-2016	

ISIN		US5949181045			Agenda		934491224 - Management
Record	Date	30-Sep-2016			Holding Ro Date	econ	30-Sep-2016
City /	Country		/ United States		Vote Dead Date	line	29-Nov-2016
SEDOL	L(S)				Quick Cod	e	
Item	Proposa	l		Proposed V by	ote	For/Aga Manage	
1A.	ELECT H. GAT	ON OF DIRECTOF ES III	R: WILLIAM	Managemen	it For	For	
1 <b>B</b> .	ELECT	ON OF DIRECTOR	R: TERI L.	Managemen	it For	For	
1C.	ELECT MORFI	ON OF DIRECTOF	R: G. MASON	Managemen	it For	For	
1D.	ELECT NADEL	ON OF DIRECTOR LA	R: SATYA	Managemen	it For	For	
1E.	ELECT H. NOS	ON OF DIRECTOF KI	R: CHARLES	Managemen	it For	For	
1F.	ELECT PANKE	ON OF DIRECTOR	R: HELMUT	Managemen	it For	For	
1G.	ELECT E. PETE	ON OF DIRECTOF	R: SANDRA	Managemen	it For	For	
1H.	ELECT W. SCH	ON OF DIRECTOR	R: CHARLES	Managemen	it For	For	
1I.	ELECT STANT	ON OF DIRECTOR	R: JOHN W.	Managemen	it For	For	
1 <b>J</b> .	ELECT THOME	ON OF DIRECTOP SON	R: JOHN W.	Managemen	it For	For	
1 <b>K</b> .		ON OF DIRECTOR	R:	Managemen	it For	For	
2.	NAMEI	ORY VOTE TO AP DEXECUTIVE OFF INSATION		Managemen	it For	For	
3.	TOUCH	CATION OF DELO E LLP AS OUR IN OR FOR FISCAL Y	DEPENDENT	Managemen	it For	For	
4.	OUR A	VAL OF AMENDM MENDED AND RE LES OF INCORPOF	STATED	Managemen	ıt For	For	
5.		VAL OF FRENCH THE 2001 STOCK		Managemen	it For	For	
6.		HOLDER PROPOS STING CERTAIN I		Shareholder	Against	For	

## ACCESS BYLAW AMENDMENTS

Account Number	I	Account Name	Internal Cu Account	ıstodiar	Ballo Share		Unavaila Shares	ible Vote Date	Date Confirmed
997AL45	5	GLV BNP PLEDGE	ST 997AL	RUST	100		39,600	20-Oct-2016	01-Dec-2016
997AL47	7	GLQ BNP PLEDGE	ST ST 997AI4 &	TATE TREET ANK 7 RUST	100		48,500	20-Oct-2016	01-Dec-2016
997AL49	)	GLO BNP PLEDGE	ST 997AI&	RUST	100		121,300	20-Oct-2016	01-Dec-2016
997AL60	)	GLV MS PLEDGE	ST 997AL	RUST	20,40	00	0	20-Oct-2016	01-Dec-2016
DIAMO	NDBAC	CK ENERGY, INC.							
Security		25278X109				Meeting	g Type	Special	
Ticker Sy	ymbol	FANG			Meeting Date		g Date	07-Dec-2016	
ISIN		US25278X1090			Agenda		934506392 - Management		
Record D	Date	11-Nov-2016				Holding Date	g Recon	11-Nov-2016	
City /	Country	7 I	Inited tates			Vote De Date	eadline	06-Dec-2016	
SEDOL(	s)					Quick C	Code		
Item	Proposa	1		Propo by	sed V	ote		gainst gement	
-	AMENI ENERG RESTA INCOR	SAL TO APPROVE AN DMENT TO DIAMOND Y, INC.'S AMENDED A TED CERTIFICATE OF PORATION TO INCREA NUMBER OF AUTHO	BACK AND ASE THE	Mana	gemen	t For	Fc	pr	

# SHARES OF COMMON STOCK FROM 100,000,000 TO 200,000.000

Account Number		Account Name	Internal Cus Account	stodian	Ballo Share		Unavaila Shares	ble Vote Date	Date Confirmed
997AL4	7	GLQ BNP PLEDGE	STI 997AL <mark>BA</mark> 997AL <del>&amp;</del> 7	UST	2,500		11,300	19-Nov-2016	08-Dec-2016
997AL4	9	GLO BNP PLEDGE	STI 997AL <del>B</del> A 997AL <del>&amp;</del> 9	UST	6,100		28,800	19-Nov-2016	08-Dec-2016
		MENT CORP							
Security		00123Q104				Meeting	• •	Special	
Ticker S	ymbol	AGNC				Meeting	Date	09-Dec-2016	
ISIN						Agenda	D	934498127 - 1	Management
Record I	Date	24-Oct-2016				Holding Date		24-Oct-2016	
City /	Country	,	nited ates			Vote De Date	adline	08-Dec-2016	
SEDOL(	(s)					Quick C	ode		
Item	Proposa	1		Propos by	ed Vo	ote	For/A Manag	gainst gement	
1.	INVEST	VAL OF THE AGNC IMENT CORP. 2016 EQ ICENTIVE COMPENSA		Manag	ement	For	Fo	r	
Account Number		Account Name	Internal Cus Account	stodian	Ballo Share		Unavaila Shares	ble Vote Date	Date Confirmed
997AL4:	5	GLV BNP PLEDGE	STI 997AL&5	UST	165,3	00	0	04-Nov-2016	12-Dec-2016
997AL4	7	GLQ BNP PLEDGE		ATE REET NK	269,6	00	0	04-Nov-2016	12-Dec-2016

997AL	49	GLO BNP PLEDGE	C S' S' 997AL & T	RUST O TATE TREET	679,599	0 0	04-N	ov-2016	12-Dec-2016
ARES	CAPITAI	CORPORATION							
Securit	У	04010L103			Ν	leeting Typ	pe Spe	ecial	
Ticker	Symbol	ARCC			Ν	leeting Dat	te 15-	Dec-2016	
ISIN		US04010L1035			A	genda	934	1494864 - N	Management
Record	Date	17-Oct-2016				lolding Red Pate	con 17-	Oct-2016	
City /	Country		United States			ote Deadli Pate	ne 14-	Dec-2016	
SEDOI	L(s)				Ç	uick Code			
Item	Proposa	1		Propo by	osed Vote		For/Against Managemen	t	
1.	SHARE COMM PURSU AND PI AGREE FROM MAY 2 AGREE ARES 0 CAPITA SUB, IN MANAA HILL A IN ITS PARTN CAPITA LLC, A TO SPA	PROVE THE ISSUAN S OF ARES CAPITA ON STOCK TO BE IS ANT TO THE AGRE LAN OF MERGER, A MENT MAY BE AM TIME TO TIME, DA 3, 2016 (THE "MERC MENT"), BY AND A CAPITAL, AMERICA AL, LTD., ORION AC IC., IVY HILL ASSE GEMENT, L.P. ("IHA SSET MANAGEMEN CAPACITY AS GEN ER OF IHAM, AMEN AL ASSET MANAGE ND SOLELY FOR TH ACE LIMITS, SEE PR MENT FOR FULL PI	L SSUED EMENT S SUCH ENDED FED AS OF ER MONG N CQUISITION T M"), IVY NT GP, LLC, ERAL RICAN EMENT, HE(DUE OXY	J Mana	gement F	or	For		
2.	TO API SHARE COMM PURSU AGREE WITH N	PROVE THE ISSUAN S OF ARES CAPITA ON STOCK TO BE IS ANT TO THE MERC MENT IN ACCORD VASDAQ LISTING R REMENTS.	ICE OF THE L SSUED JER ANCE		gement F	or	For		

	TO APPROVE THE ADJOURNMENT			
	OF THE ARES CAPITAL SPECIAL			
	MEETING, IF NECESSARY OR			
	APPROPRIATE, TO SOLICIT			
3.	ADDITIONAL PROXIES IF THERE	Management For	For	
	ARE NOT SUFFICIENT VOTES AT			
	THE TIME OF THE ARES CAPITAL			
	SPECIAL MEETING TO APPROVE			
	PROPOSAL 1 OR PROPOSAL 2.			

Account Number	Account Name	Internal Custodia: Account	n Ballot Shares	Unavai Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	57,900	0	26-Oct-2016	16-Dec-2016
997AL45	GLV BNP PLEDGE	STATE STREET 997AL 45 TRUST CO	291,600	0	26-Oct-2016	16-Dec-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 47 & TRUST CO	335,300	0	26-Oct-2016	16-Dec-2016
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	964,300	0	26-Oct-2016	16-Dec-2016
997AL53	GLO MS PLEDGE	STATE STREET 997AL 3 TRUST CO	80,000	0	26-Oct-2016	16-Dec-2016
AKORN, INC.						
Security	009728106			ig Type	Special	-
Ticker Symbol				ng Date	16-Dec-2016	
ISIN	US0097281069		Agend	a	934303223 -	Management

Record	Date	28-Oct-2016				Holding Date	g Recon	28-Oct-2016	
City /	Country	/	United States			Vote D Date	eadline	15-Dec-2016	
SEDOI	L(s)					Quick (	Code		
Item	Proposa	1		Propos by	sed Vo	ote		.gainst gement	
1.	AKORI	DSAL TO APPROVE T N, INC. 2016 EMPLOY IASE PLAN.		X Manag	gemen	t For	Fc	or	
2.	AMEN OF THI	DSAL TO APPROVE T DMENT AND RESTA E AKORN, INC. 2014 N PLAN.	TEMENT	Manag	gemen	t For	Fc	or	
Accoun Numbe		Account Name	Internal Account	ustodiar	Ballo Share		Unavaila Shares	uble Vote Date	Date Confirmed
997GL	V	GLV	S 997GI	RUST	20,50	0	0	18-Nov-2016	19-Dec-2016
997GL	Q	GLQ	S 997GL&	RUST	7,200	)	0	18-Nov-2016	19-Dec-2016
997GL	0	GLO	S 997GL&	RUST	34,30	0	0	18-Nov-2016	19-Dec-2016
997AL4	47	GLQ BNP PLEDGE	S 997AIA	RUST	5,800	)	35,000	18-Nov-2016	19-Dec-2016
997AL4	49	GLO BNP PLEDGE	B. &	TREET ANK	900		71,800	18-Nov-2016	19-Dec-2016

CO

### SINOPHARM GROUP CO. LTD.

Security	7	Y8008N107		Meeting T	уре	ExtraOrdinary General Meeting
Ticker S	Symbol			Meeting D	Date	30-Dec-2016
ISIN		CNE100000FN7		Agenda		707595990 - Management
Record	Date	29-Nov-2016		Holding R Date	lecon	29-Nov-2016
City /	Country	SHANGH AI / China		Vote Deac Date	lline	16-Dec-2016
SEDOL	.(s)	B3ZVDV0 - B4M8B73 - B5NVZ21 - BP3RXT2		Quick Coo	le	
Item	Proposa	1	Proposed V by	<sup>v</sup> ote	For/Ag Manage	
CMMT	NOTICI AVAIL URL LI http://ww 1114/LT http://ww	E NOTE THAT THE COMPANY E AND PROXY FORM ARE ABLE BY CLICKING-ON THE NKS:- ww.hkexnews.hk/listedco/listconev IN20161114274.pdf-AND- ww.hkexnews.hk/listedco/listconev IN20161114240.pdf				

PLEASE NOTE IN THE HONG KONG

CMMT MARKET THAT A VOTE OF 'ABSTAIN' WILL BE TREATED-THE SAME AS A 'TAKE NO ACTION' VOTE

TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE (I) THE ISSUE OF THE ACCOUNTS RECEIVABLE ASSET-BACKED SECURITIES IN THE PRC OF NO MORE THAN RMB8 BILLION IN SCALE (THE "ASSET-BACKED SECURITIES"); AND (II) THE

DEAL WITH ALL SPECIFIC MATTERS PURSUANT TO THE PROPOSAL IN RESPECT OF THE ISSUE OF THE ASSET-BACKED SECURITIES AS SET OUT IN THE NOTICE OF EGM OF THE COMPANY DATED 14 NOVEMBER

1

2016

AUTHORISATION TO THE BOARD OF DIRECTORS OF THE COMPANY OR Management For THE PERSON(S) AUTHORISED BY IT

For

Accour Numbe		Account Name	Internal Accoun	ustodian	llot ares	Unavaila Shares	able Vote Date	Date Confirmed
GLV		GLV	S	TATE TREET 142 BANK	2,400	0	15-Nov-2016	22-Dec-2016
GLQ		GLQ	S	TATE TREET 236 BANK	5,800	0	15-Nov-2016	22-Dec-2016
GLO		GLO	S	TATE TREET 583 BANK	3,600	0	15-Nov-2016	22-Dec-2016
D.R. H	ORTON	, INC.						
Securit		23331A109			Meetin	g Type	Annual	
Ticker	Symbol	DHI			Meetin	g Date	19-Jan-2017	
ISIN	2	US23331A1097			Agenda	•	934514147 -	Management
Record	Date	28-Nov-2016			-	g Recon	28-Nov-2016	
City /	Countr	v /	United tates		Vote D Date	eadline	18-Jan-2017	
SEDOI	L(s)				Quick (	Code		
Item	Propos	al		Proposed by	Vote		gainst gement	
1A.	ELEC R. HO	ΓΙΟΝ OF DIRECTOR: DO RTON	ONALD	Managem	ent For	Fo	or	
1 <b>B</b> .	ELEC K. ALI	TION OF DIRECTOR: BA	ARBARA	Managem	ent For	Fo	or	
1C.	ELEC ANDE	TION OF DIRECTOR: BI RSON	RAD S.	Managem	ent For	Fo	or	
1D.		ΓΙΟΝ OF DIRECTOR: Μ CHANAN	ICHAEL	Managem	ent For	Fo	or	
1E.		TION OF DIRECTOR: M WATT	ICHAEL	Managem	ent For	Fo	)r	
2.	RESO	OVAL OF THE ADVISO LUTION ON EXECUTIV PENSATION.		Managem	ent For	Fo	or	
3.	PRICE AS OU	FY THE APPOINTMENT EWATERHOUSECOOPE JR INDEPENDENT REG IC ACCOUNTING FIRM	RS LLP ISTERED	Managem	ent For	Fo	)r	
Accour Numbe		Account Name	Internal Accoun		llot ares	Unavaila Shares	able Vote Date	Date Confirmed

Number	Account Name	Account	ian Shares	Shares	Vote Date	Confirmed
997AL45	GLV BNP PLEDGE	997AL45	14,626	61,500	13-Dec-2016	20-Jan-2017

		Edgar Filing: (	Clough Globa	al Divid	end & Ir	ncome F	Fund - Fo	orm N-PX	
			S E & T C S	TATE TREET SANK Z RUST ZO TATE TREET					
997AL	47	GLQ BNP PLEDG	E 997AL S T	ANK 7 RUST 20	23,621		104,400	13-Dec-2016	20-Jan-2017
997AL	49	GLO BNP PLEDG	S	TATE TREET ANK	59,001		256,300	13-Dec-2016	20-Jan-2017
				TRUST CO					
997AL	61	GLQ MS PLEDGE	S S B	TATE TREET	10,800		0	13-Dec-2016	20-Jan-2017
				RUST CO					
GOLU	B CAPITA	AL BDC, INC.							
Securit	у	38173M102			1	Meeting	Туре	Annual	
Ticker	Symbol	GBDC			I	Meeting	Date	07-Feb-2017	7
ISIN		US38173M1027			1	Agenda		934516557 -	Management
Record	Date	12-Dec-2016			-	Holding Date	Recon	12-Dec-2016	5
City /	Country		/ United States			Vote Dea Date	adline	06-Feb-2017	7
SEDOI	L(s)				(	Quick Co	ode		
Item	Proposa	1		Propo by	osed Vot	e	For/A Manag	gainst gement	
1.1		ION OF CLASS I D B. GOLUB	IRECTOR:	Mana	gement I	For	Fo	r	
1.2		ION OF CLASS I D R. ROSENBERG	IRECTOR:	Mana	gement I	For	Fo	r	
2.	ERNST THE CC REGIST FIRM F	TIFY THE SELECT & YOUNG LLP TO OMPANY'S INDEPI TERED PUBLIC AC OR THE FISCAL Y G SEPTEMBER 30,	O SERVE AS ENDENT COUNTING EAR	Mana	gement I	For	Fo	r	

Accour Numbe		Account Name	Internal Account	ustodiar	Ballo Share		Unavaila Shares	ble Vote Date	Date Confirmed
997GL	Q	GLQ	ST 997GI <mark>B</mark> &	RUST	24,00	0	0	22-Dec-2016	08-Feb-2017
997AL4	45	GLV BNP PLEDGE	ST 997AI&	RUST	100		128,300	22-Dec-2016	08-Feb-2017
997AL4	47	GLQ BNP PLEDGE	57 997AI <mark>B</mark> &	RUST	150,8	609	0	22-Dec-2016	08-Feb-2017
997AL	49	GLO BNP PLEDGE	ST ST 997AL4 &	TATE TREET ANK 9 RUST	157		454,300	22-Dec-2016	08-Feb-2017
APPLE	E INC.		0						
Securit	у	037833100				Meeting	g Type	Annual	
Ticker	Symbol	AAPL				Meeting	g Date	28-Feb-2017	
ISIN		US0378331005				Agenda		934520556 -	Management
Record	Date	30-Dec-2016				Holding Date	g Recon	30-Dec-2016	
City /	Country	V /	nited tates			Vote De Date	eadline	27-Feb-2017	
SEDOI	L(s)					Quick C	Code		
Item	Proposa	al		Propos by	sed Vo	ote	For/A Manag	gainst gement	
1A.	ELECT BELL	TION OF DIRECTOR: JA	MES	Manag	gemen	t For	Fo	or	
1B.	ELECT	TION OF DIRECTOR: TH	м соок	Manag	gemen	t For	Fo	r	
1C.	ELECT	TION OF DIRECTOR: AL	GORE	Manag	gemen	t For	Fo	r	
1D.	ELECT	TION OF DIRECTOR: BC	)B IGER	Manag	gemen	t For	Fo	r	
1E.				Manag	gemen	t For	Fo	r	

	ELECTION OF DIRECTOR: ANI JUNG	DREA					
1F.	ELECTION OF DIRECTOR: ART LEVINSON	Г	Manag	gement For		For	
1G.	ELECTION OF DIRECTOR: RON SUGAR	N	Manag	gement For		For	
1H.	ELECTION OF DIRECTOR: SUE WAGNER	[7]	Manag	gement For		For	
2.	RATIFICATION OF THE APPOINTMENT OF ERNST & Y LLP AS APPLE'S INDEPENDEN REGISTERED PUBLIC ACCOUN FIRM FOR 2017	Т	Manag	gement For		For	
3.	ADVISORY VOTE TO APPROV EXECUTIVE COMPENSATION	Έ	Manag	gement For		For	
4.	ADVISORY VOTE ON THE FREQUENCY OF SHAREHOLD VOTES ON EXECUTIVE COMPENSATION	ER	Manag	gement 3 Years	6	Against	
5.	A SHAREHOLDER PROPOSAL ENTITLED "CHARITABLE GIV RECIPIENTS, INTENTS AND BENEFITS"		Shareh	nolder Against	t	For	
6.	A SHAREHOLDER PROPOSAL REGARDING DIVERSITY AMC OUR SENIOR MANAGEMENT BOARD OF DIRECTORS		Shareh	nolder Against	t	For	
7.	A SHAREHOLDER PROPOSAL ENTITLED "SHAREHOLDER PI ACCESS AMENDMENTS"	ROXY	Shareh	nolder Against	t	For	
8.	A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVE COMPENSATION REFORM"		Shareh	nolder Against	t	For	
9.	A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVES TO F SIGNIFICANT STOCK"		Shareh	nolder Against	t	For	
Accoun Number	r Account Name	Internal Cu Account	ıstodiar	Ballot Shares	Unav Share	ailable Vote Date s	Date Confirmed
			TATE TREET				
997GL	V GLV	997GLV	ANK	2,500	0	07-Jan-2017	01-Mar-2017

TRUST CO

997GLQ	GLQ	STAT STRE 997GLQ TRUS CO	ET 31,200	0	07-Jan-2017	01-Mar-2017
997GLO	GLO	STAT STRE 997GLO TRUS CO	ET 39,600	0	07-Jan-2017	01-Mar-2017
997AL45	GLV BNP PLEDGE	STAT STRE 997AL 45 & TRUS CO	ET 100	27,500	07-Jan-2017	01-Mar-2017
997AL47	GLQ BNP PLEDGE	STAT STRE 997AL47 & TRUS CO	ET 100	32,400	07-Jan-2017	01-Mar-2017
997AL49	GLO BNP PLEDGE	STAT STRE 997AL & TRUS CO	ET 100	81,900	07-Jan-2017	01-Mar-2017
TRANSDIGM	GROUP INCORPORAT					
Security	893641100		Mee	eting Type	Annual	
Ticker Symbol	TDG		Mee	eting Date	01-Mar-2017	7
ISIN	US8936411003		Age	nda	934523451 -	Management
Record Date	03-Jan-2017		Hole Date	ding Recon e	03-Jan-2017	
City / Countr	ry /	United States	Vote Date	e Deadline e	28-Feb-2017	7
SEDOL(s)			Quie	ck Code		
Item Propos	sal	Pro by	posed Vote		against Igement	
1. DIREC	CTOR	Ma	nagement			
1	WILLIAM DRIES		For	Fo	or	
2	MERVIN DUNN		For	Fo	or	
3	MICHAEL GRAFF		For	Fo	or	

	4	SEAN HENNESSY				For	Fe	or	
	5	W. NICHOLAS HOWLE	ΞY			For	Fe	Dr	
	6	RAYMOND LAUBENT	HAL			For	Fo	Dr	
	7	DOUGLAS PEACOCK				For	Fo	Dr	
	8	ROBERT SMALL				For	Fo	or	
	9	JOHN STAER				For	Fo	or	
2.	VOTE THE C	PPROVE (IN AN ADVISO ) COMPENSATION PAIL COMPANY'S NAMED UTIVE OFFICERS		Manag	gement	For	Fo	or	
3.	CONE REGA TO TH	ETERMINE HOW OFTEN DUCT THE ADVISORY V RDING COMPENSATIC HE COMPANY'S NAMEI UTIVE OFFICERS	/OTE N PAID	Manag	gement	3 Years			
4.	ERNS COMI ACCC	ATIFY THE SELECTION T & YOUNG LLP AS TH PANY'S INDEPENDENT PUNTANTS FOR THE FI ENDING SEPTEMBER	E SCAL	Manag	gement	For	Fo	Dr	
Accoun Number		Account Name	Internal Account	ustodiar	Ballot Share		Unavaila Shares	able Vote Date	Date Confirmed
997AL4	47	GLQ BNP PLEDGE	S <sup>7</sup> 997AI&	RUST	20,810	0	0	21-Jan-2017	02-Mar-2017
997AL4	49	GLO BNP PLEDGE	S´ S´ 997AIA & T	TATE TREET	33,850	0	0	21-Jan-2017	02-Mar-2017
HOLOG	GIC, IN	С.							
Security	У	436440101				Meeting	g Type	Annual	
Ticker S	Symbol	HOLX				Meeting	g Date	08-Mar-2017	7
ISIN		US4364401012				Agenda		934522423 -	Management
Record	Date	09-Jan-2017				Holding Date	Recon	09-Jan-2017	
City /	Count	V /	United states			Vote De Date	eadline	07-Mar-2017	7
SEDOL	L(S)					Quick C	Code		

Item	Proposal			Proposed Vote by			For/Against Management		
1.	DIRECTOR			Mana	gement				
	1	1 CHRISTOPHER J. COUGHLIN			For		For		
	2	2 SALLY W. CRAWFORD		For		Fo	r		
	3	3 SCOTT T. GARRETT		For		Fc	r		
	4	4 LAWRENCE M. LEVY		For		Fc	r		
	5	5 STEPHEN P. MACMILLAN			For	Fc	For		
	6	6 CHRISTIANA STAMOULIS			For	Fc	For		
	7	7 ELAINE S. ULLIAN			For	Fc	For		
	8	AMY M. WENDELL			For	Fc	r		
2.	RES	A NON-BINDING ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION.		Mana	gement For	Fo	For		
3.	VOT EXE	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF EXECUTIVE COMPENSATION VOTES.		Mana	Management 3 Years		gainst		
4.	AME	AMENDMENT TO HOLOGIC'S FIFTH AMENDED AND RESTATED BYLAWS.		Management For		Fo	or		
5.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL 2017.			Mana	Management For For				
Accour Numbe		Account Name	Internal Account		Ballot <sup>n</sup> Shares	Unavaila Shares	ible Vote Date	Date Confirmed	
997GL	Q	GLQ	ST 997GL	RUST	23,600	0	25-Jan-2017	09-Mar-2017	
997AL		GLQ BNP PLEDGE	S 997AI & TI C	RUST O	100	28,500	25-Jan-2017	09-Mar-2017	
997AL	49	GLO BNP PLEDGE		ЮАТЕ ГREET ANK	100	131,200	25-Jan-2017	09-Mar-2017	

& TRUST CO

			U	0				
GW PH	IARMAC	EUTICALS PLC						
Security		36197T103	Meeting Type		Annual			
Ticker Symbol		GWPH		Meeting Date		14-Mar-2017		
ISIN		US36197T1034			Agenda		934530660 - Management	
Record Date		07-Feb-2017		Holding Recon Date		07-Feb-2017		
City /	Country	,	/ United States		Vote Dead Date	dline	06-Mar-2017	
SEDOL(s)				Quick Code				
Item	Proposa	1		Proposed V by	ote	For/Aga Manage		
01	THE DI REPOR ACCOU 30 SEP THAT	CEIVE, CONSIDER RECTORS' AND A TS AND STATEMI JNTS FOR THE YE FEMBER 2016 ANI FHE DIRECTORS I IMEND PAYMENT END	UDITORS' ENT OF AR ENDED D NOTE DO NOT	Managemen	t For	For		
02	TO APPROVE THE DIRECTORS' REMUNERATION REPORT			Management For For				
03	TO RE-ELECT GEOFFREY GUY AS A DIRECTOR		Management For For					
O4	TO RE- DIREC	ELECT THOMAS I FOR	LYNCH AS A	Managemen	t For	For		
05	TO RE- DIREC	ELECT CABOT BR FOR	ROWN AS A	Managemen	t For	For		
06	TO API AUDIT	POINT DELOITTE I OR	LLP AS	Managemen	t For	For		
O7	DETER	THORISE THE DIR MINE THE AUDIT NERATION		Managemen	t For	For		
<b>S</b> 8	THE CO	PROVE THE ADOP OMPANY'S LONG- TIVE PLAN		Managemen	t For	For		
S9	ALLOT	THORISE THE DIR EQUITY SECURI N 551 OF THE 200	ΓIES, UNDER	Managemen	t For	For		
S10	ALLOT	THORISE THE DIR EQUITY SECURI N 570 OF THE 200	ΓIES, UNDER	Managemen	t For	For		

#### SECTION 561(1) OF THE 2006 ACT DID NOT APPLY TO SUCH ALLOTMENT

Account Number	4	Account Name	Internal Account	ustodiar	Ballo Share		Unavail Shares	able Vote Date	Date Confirmed
997GLQ	5	GLQ	S 997GI &	RUST	1,600	)	0	15-Feb-2017	08-Mar-2017
997GLC	D	GLO	S 997GL&	RUST	3,100	)	0	15-Feb-2017	08-Mar-2017
997AL4	17	GLQ BNP PLEDGE	S 997AIA	RUST	4,300	)	0	15-Feb-2017	08-Mar-2017
997AL4	19	GLO BNP PLEDGE	S 997AL	RUST	8,300	)	0	15-Feb-2017	08-Mar-2017
SAMSU	JNG ELE	ECTRONICS CO LTD,	SUWON						
Security	1	Y74718100				Meeting	g Type	Annual Gen	eral Meeting
Ticker S	Symbol					Meeting	g Date	24-Mar-201	7
ISIN		KR7005930003				Agenda		707790499	- Management
Record	Date	31-Dec-2016				Holding Date	g Recon	31-Dec-201	6
City /	Country	SEOUL / 1	Korea, Republic Of			Vote De Date	eadline	14-Mar-201	7
SEDOL	<i>L</i> (S)	6771720 - B19VC15 - B74V052				Quick C	Code		
Item	Proposa	1		Propos by	sed Vo	ote		Against agement	
1		VAL OF FINANCIAL MENTS		Manag	gemen	t For	F	or	
2				Manag	gemen	t For	F	or	

# APPROVAL OF REMUNERATION FOR DIRECTOR

Accoun Numbe		Account Name	Inter Acco	Custodian	Ballo Share	ot es	Unavaila Shares	able Vote Date	Date Confirmed
GLV		GLV		STATE STREET BANK	756		0	25-Feb-2017	16-Mar-2017
GLQ		GLQ		STATE STREET BANK	1,197	7	0	25-Feb-2017	16-Mar-2017
GLO		GLO		STATE STREET BANK	3,046	)	0	25-Feb-2017	16-Mar-2017
LINE C	CORPO	RATION							
Security	у	53567X101				Meeting	g Type	Annual	
Ticker	Symbol	LN				Meeting	, Date	30-Mar-2017	7
ISIN		US53567X1019				Agenda		934536268 -	Management
Record	Date	29-Dec-2016				Holding Date	Recon	29-Dec-2016	5
City /	Count	ry	/ Japan			Vote De Date	eadline	23-Mar-2017	7
SEDOL	L(S)					Quick C	Code		
Item	Propo	sal		Propos by	sed V	ote		sgainst gement	
1.		IAL AMENDMENTS CLES OF INCORPOR		Manag	gemen	t For	A	gainst	
2.	DIRE	CTORS		Manag	gemen	t			
	1	TAKESHI IDEZAWA	A			For	Fo	Dr	
	2	JUN MASUDA				For	Fo	Dr	
	3	JOONGHO SHIN				For	Fo	or	
	4	IN JOON HWANG				For	Fo	or	
	5	HAE-JIN LEE				For	Fo	or	
	6	TADASHI KUNIHIR	0			For	Fo	or	
	7	KOJI KOTAKA				For	Fo	Dr	
	8	REHITO HATOYAM	ÍA			For	Fo	Dr	
3.	CORF	TION OF ONE (1) SU ORATE AUDITOR: N ANABE		E Manag	gemen	t For	A	gainst	
4.				Manag	gemen	t For	A	gainst	

DETERMINATION OF AMOUNTS AND DETAILS OF STOCK OPTIONS (WARRANTS) TO BE PAID AS REMUNERATION, ETC.TO DIRECTORS

Account Number	Account Name	Internal Custodian Account	n Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO		0	04-Mar-2017	27-Mar-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	39,100	0	04-Mar-2017	27-Mar-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	10,800	9,700	04-Mar-2017	27-Mar-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL & TRUST CO	9,800	28,300	04-Mar-2017	27-Mar-2017
BROADCOM	LIMITED					
Security	Y09827109		Meetir	ng Type	Annual	
Ticker Symbol	AVGO		Meetir	ng Date	05-Apr-2017	
ISIN	SG9999014823		Agend	a	934531977 -	Management
Record Date	08-Feb-2017		Holdin Date	g Recon	08-Feb-2017	
City / Count	rry / N	Ialaysia	Vote D Date	Deadline	03-Apr-2017	
SEDOL(s)			Quick	Code		
Item Propo	sal	Propo by	vole	Mana	Against agement	
1A.		Mana	gement For	Fe	or	

	ELECTION OF DIRECTOR: MR. HOCK E. TAN		
1 <b>B</b> .	ELECTION OF DIRECTOR: MR. JAMES V. DILLER	Management For	For
1C.	ELECTION OF DIRECTOR: MR. LEWIS C. EGGEBRECHT	Management For	For
1D.	ELECTION OF DIRECTOR: MR. KENNETH Y. HAO	Management For	For
1E.	ELECTION OF DIRECTOR: MR. EDDY W. HARTENSTEIN	Management For	For
1F.	ELECTION OF DIRECTOR: MR. CHECK KIAN LOW	Management For	For
1G.	ELECTION OF DIRECTOR: MR. DONALD MACLEOD	Management For	For
1H.	ELECTION OF DIRECTOR: MR. PETER J. MARKS	Management For	For
1I.	ELECTION OF DIRECTOR: DR. HENRY SAMUELI	Management For	For
2.	TO APPROVE THE RE-APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS BROADCOM'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM AND INDEPENDENT SINGAPORE AUDITOR FOR THE FISCAL YEAR ENDING OCTOBER 29, 2017 AND TO AUTHORIZE THE AUDIT COMMITTEE TO FIX ITS REMUNERATION, AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL GENERAL MEETING.	Management For	For
3.	TO APPROVE THE GENERAL AUTHORIZATION FOR THE DIRECTORS OF BROADCOM TO ALLOT AND ISSUE SHARES IN OUR CAPITAL, AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL GENERAL MEETING.	Management For	For
4.	TO APPROVE THE COMPENSATION OF BROADCOM'S NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN "COMPENSATION DISCUSSION AND ANALYSIS" AND	Management For	For

IN THE COMPENSATION TABLES

	AND ACCOMPANYING NARRATIVE DISCLOSURE UNDER "EXECUTIVE COMPENSATION" IN BROADCOM'S PROXY STATEMENT RELATING TO ITS 2017 ANNUAL GENERAL MEETING.			
5.	TO RECOMMEND THAT A NON-BINDING, ADVISORY VOTE TO APPROVE THE COMPENSATION OF THE BROADCOM'S NAMED EXECUTIVE OFFICERS BE PUT TO SHAREHOLDERS FOR THEIR CONSIDERATION EVERY: ONE; TWO; OR THREE YEARS, AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL GENERAL MEETING.	Management 3 Years	Against	

Account Number	Account Name		allot Unavaila nares Shares	able Vote Date	Date Confirmed
997GLO	GLO	STATE STREET 997GLO 5,5 TRUST CO	500 0	22-Feb-2017	05-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 14, TRUST CO	l,705 6,400	22-Feb-2017	05-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 33, TRUST CO	3,570 17,400	22-Feb-2017	05-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 30, TRUST CO	0,570 43,700	22-Feb-2017	05-Apr-2017
LENNAR CO	RPORATION				
Security	526057104		Meeting Type	Annual	

Ticker S	Symbol	LEN			Meeting	Date	18-Apr-2017	
ISIN		US5260571048			Agenda		934533678 - N	Management
Record	Date	21-Feb-2017			Holding l Date	Recon	21-Feb-2017	
City /	Countr	V /	Inited tates		Vote Dea Date	dline	17-Apr-2017	
SEDOL	<i>L</i> (s)				Quick Co	ode		
Item	Propos	al		Proposed Ve	ote	For/Aga Manager		
1.	DIREC	TOR		Managemen	t			
	1	IRVING BOLOTIN			For	For		
	2	STEVEN L. GERARD			For	For		
	3	THERON I. "TIG" GILLI	[AM		For	For		
	4	SHERRILL W. HUDSON	V		For	For		
	5	SIDNEY LAPIDUS			For	For		
	6	TERI P. MCCLURE			For	For		
	7	STUART MILLER			For	For		
	8	ARMANDO OLIVERA			For	For		
	9	DONNA SHALALA			For	For		
	10	JEFFREY SONNENFELI	D		For	For		
2.	APPOI TOUCI INDEP ACCO	ICATION OF THE NTMENT OF DELOITTI HE LLP AS LENNAR'S 'ENDENT REGISTERED UNTING FIRM FOR THI ENDING NOVEMBER 3	PUBLIC E FISCAL	Managemen	t For	For		
3.	BASIS	OVAL, ON AN ADVISOF , OF THE COMPENSATI AR'S NAMED EXECUTI ERS.	ION OF	Managemen	t For	For		
4.	BASIS STOCE COMP	OVAL, ON AN ADVISOF , OF THE FREQUENCY KHOLDER VOTE ON TH ENSATION OF LENNAF D EXECUTIVE OFFICE	OF THE IE R'S	Managemen	t 3 Years	Agai	nst	
5.	PROPO COMM	OVAL OF A STOCKHOL DSAL REGARDING OUF ION STOCK VOTING CTURE.		Shareholder	Against	For		
Accoun <sup>*</sup> Number		Account Name	Internal Cu Account	ustodian Ballo Share	t es	Unavailable Shares	ote Date	Date Confirmed

997GLV	GLV	STATE STREET 997GL & TRUST CO	5,500	0	08-Mar-2017	19-Apr-2017
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	8,700	0	08-Mar-2017	19-Apr-2017
997GLO	GLO	STATE STREET 997GL 997GL TRUST CO	23,500	0	08-Mar-2017	19-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 TRUST CO	8,700	30,900	08-Mar-2017	19-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	11,700	60,500	08-Mar-2017	19-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL& 997AL O TRUST CO	33,800	131,500	08-Mar-2017	19-Apr-2017
CITIGROUP IN	С.					
Security	172967424		Meeting		Annual	
Ticker Symbol	С		Meeting	-	25-Apr-2017	
ISIN	US1729674242		Agenda		934541904 -	Management
Record Date	27-Feb-2017		Holding	g Recon	27-Feb-2017	
City / Country	/	United States	Vote D Date	eadline	24-Apr-2017	
SEDOL(s) Quick Code						
Item Proposa	1		Vote			

		Proposed by	For/Against Management
1A.	ELECTION OF DIRECTOR: MICHAEL L. CORBAT	Management For	For
1 <b>B</b> .	ELECTION OF DIRECTOR: ELLEN M. COSTELLO	Management For	For
1C.	ELECTION OF DIRECTOR: DUNCAN P. HENNES	Management For	For
1D.	ELECTION OF DIRECTOR: PETER B. HENRY	Management For	For
1E.	ELECTION OF DIRECTOR: FRANZ B. HUMER	Management For	For
1F.	ELECTION OF DIRECTOR: RENEE J. JAMES	Management For	For
1G.	ELECTION OF DIRECTOR: EUGENE M. MCQUADE	Management For	For
1H.	ELECTION OF DIRECTOR: MICHAEL E. O'NEILL	Management For	For
1I.	ELECTION OF DIRECTOR: GARY M. REINER	Management For	For
1J.	ELECTION OF DIRECTOR: ANTHONY M. SANTOMERO	Management For	For
1K.	ELECTION OF DIRECTOR: DIANA L. TAYLOR	Management For	For
1L.	ELECTION OF DIRECTOR: WILLIAM S. THOMPSON, JR.	Management For	For
1M.	ELECTION OF DIRECTOR: JAMES S. TURLEY	Management For	For
1N.	ELECTION OF DIRECTOR: DEBORAH C. WRIGHT	Management For	For
10.	ELECTION OF DIRECTOR: ERNESTO ZEDILLO PONCE DE LEON	Management For	For
2.	PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS CITI'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management For	For
3.	ADVISORY VOTE TO APPROVE CITI'S 2016 EXECUTIVE COMPENSATION.	Management For	For
4.	ADVISORY VOTE TO APPROVE THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management 3 Years	Against
5.		Shareholder Against	For

	0 0 0			
	STOCKHOLDER PROPOSAL REQUESTING A REPORT ON T COMPANY'S POLICIES AND C TO REDUCE THE GENDER PA	GOALS		
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOA APPOINT A STOCKHOLDER V COMMITTEE TO ADDRESS WHETHER THE DIVESTITURE ALL NON-CORE BANKING BU SEGMENTS WOULD ENHANC SHAREHOLDER VALUE.	ALUE E OF JSINESS	t For	
7.	STOCKHOLDER PROPOSAL REQUESTING A REPORT ON LOBBYING AND GRASSROOT LOBBYING CONTRIBUTIONS		t For	
8.	STOCKHOLDER PROPOSAL REQUESTING AN AMENDMEN THE GENERAL CLAWBACK P TO PROVIDE THAT A SUBSTA PORTION OF ANNUAL TOTAL COMPENSATION OF EXECUT OFFICERS SHALL BE DEFERR AND FORFEITED, IN PART OR WHOLE, AT THE DISCRETION THE BOARD, TO HELP SATISH MONETARY PENALTY ASSOC WITH A VIOLATION OF LAW.	POLICY ANTIAL IVE Shareholder Against RED Shareholder Against RED N OF FY ANY CIATED	t For	
9.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOA ADOPT A POLICY PROHIBITIE VESTING OF EQUITY-BASED AWARDS FOR SENIOR EXECU DUE TO A VOLUNTARY RESIGNATION TO ENTER GOVERNMENT SERVICE.	NG THE	t For	
Account Number	Account Name	Internal Ballot Custodian Shares	Unavailable Vote Date Shares	Date Confirmed
997GL(	Q GLQ	STATE STREET 997GLQ 31,200 TRUST CO	0 17-Mar-2017	26-Apr-2017
997GL0	O GLO	997GISOPATE 10,000 STREET BANK	0 17-Mar-2017	26-Apr-2017

BANK

			C	RUST O					
997AL	45	GLV BNP PLEDGE	S' 997AI & T	TATE TREET ANK 52 RUST 0	20,13	0	57,800	17-Mar-2017	26-Apr-2017
997AL	47	GLQ BNP PLEDGE	S' 997AI <mark>&amp;</mark> T	TATE TREET ANK 7 RUST O	119		100,400	17-Mar-2017	26-Apr-2017
997AL	49	GLO BNP PLEDGE	S' 997AI & T	TATE TREET ANK 2 RUST O	527		238,900	17-Mar-2017	26-Apr-2017
997AL	53	GLO MS PLEDGE	S 997AI& & T	TATE TREET ANK 23 RUST	70,10	00	0	17-Mar-2017	26-Apr-2017
BANK	OF AME	RICA CORPORATION		.0					
Securit	У	060505104				Meeting	g Type	Annual	
Ticker	Symbol	BAC				Meeting	g Date	26-Apr-2017	
ISIN		US0605051046				Agenda		934543453 -	Management
Record	Date	02-Mar-2017				Holding Date	g Recon	02-Mar-2017	,
City /	Country	/	United States			Vote Do Date	eadline	25-Apr-2017	
SEDOI	L(s)					Quick C	Code		
Item	Proposa	1		Propo by	sed Vo	ote	For/A Manag	gainst gement	
1A.	ELECT	ION OF DIRECTOR: S EN	HARON	Manag	gemen	t For	Fo	r	
1B.	ELECT BIES	ION OF DIRECTOR: S	USAN S.	Manag	gemen	t For	Fo	r	
1C.		ION OF DIRECTOR: J IDER, JR.	ACK O.	Manag	gemen	t For	Fo	r	
1D.				Manag	gemen	t For	Fo	r	

ELECTION OF DIRECTOR: FRANK P. BRAMBLE, SR.

	210101223, 510		
1E.	ELECTION OF DIRECTOR: PIERRE J.P. DE WECK	Management For	For
1F.	ELECTION OF DIRECTOR: ARNOLD W. DONALD	Management For	For
1G.	ELECTION OF DIRECTOR: LINDA P. HUDSON	Management For	For
1H.	ELECTION OF DIRECTOR: MONICA C. LOZANO	Management For	For
1I.	ELECTION OF DIRECTOR: THOMAS J. MAY	Management For	For
1 <b>J</b> .	ELECTION OF DIRECTOR: BRIAN T. MOYNIHAN	Management For	For
1K.	ELECTION OF DIRECTOR: LIONEL L. NOWELL, III	Management For	For
1L.	ELECTION OF DIRECTOR: MICHAEL D. WHITE	Management For	For
1 <b>M</b> .	ELECTION OF DIRECTOR: THOMAS D. WOODS	Management For	For
1N.	ELECTION OF DIRECTOR: R. DAVID YOST	Management For	For
2.	APPROVING OUR EXECUTIVE COMPENSATION (AN ADVISORY, NON-BINDING "SAY ON PAY" RESOLUTION)	Management For	For
3.	A VOTE ON THE FREQUENCY OF FUTURE ADVISORY "SAY ON PAY" RESOLUTIONS (AN ADVISORY, NON-BINDING "SAY ON FREQUENCY" RESOLUTION)	Management 3 Years	Against
4.	RATIFYING THE APPOINTMENT OF OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management For	For
5.	STOCKHOLDER PROPOSAL - CLAWBACK AMENDMENT	Shareholder Against	For
6.	STOCKHOLDER PROPOSAL - DIVESTITURE & DIVISION STUDY SESSIONS	Shareholder Against	For
7.	STOCKHOLDER PROPOSAL - INDEPENDENT BOARD CHAIRMAN	Shareholder Against	For
8.	STOCKHOLDER PROPOSAL - REPORT CONCERNING GENDER PAY EQUITY	Shareholder Against	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavaila Shares	ble Vote Date	Date Confirmed
997GLV	GLV	STATE STREET BANK	24,500	0	16-Mar-2017	27-Apr-2017
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	38,400	0	16-Mar-2017	27-Apr-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	131,800	0	16-Mar-2017	27-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 TRUST CO	191	148,400	16-Mar-2017	27-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK	105	261,400	16-Mar-2017	27-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 TRUST CO	110	580,000	16-Mar-2017	27-Apr-2017
EOG RESOUR	RCES, INC.					
Security	26875P101		Meetin	g Type	Annual	
Ticker Symbol			Meetin	-	27-Apr-2017	
ISIN	US26875P1012		Agenda		934538476 -	Management
Record Date	27-Feb-2017		Holding Date	g Recon	27-Feb-2017	
City / Count	ry /				26-Apr-2017	

		nited ates		Vote Deadline Date	
SEDOL	L(S)			Quick Code	e
Item	Proposal		Proposed Vo	ote	For/Against Management
1A.	ELECTION OF DIRECTOR: JAI CLARK	NET F.	Managemen	t For	For
1B.	ELECTION OF DIRECTOR: CH R. CRISP	ARLES	Managemen	t For	For
1C.	ELECTION OF DIRECTOR: RO DANIELS	BERT P.	Managemen	t For	For
1D.	ELECTION OF DIRECTOR: JAI DAY	MES C.	Managemen	t For	For
1E.	ELECTION OF DIRECTOR: DC F. TEXTOR	NALD	Managemen	t For	For
1F.	ELECTION OF DIRECTOR: WI R. THOMAS	LLIAM	Managemen	t For	For
1G.	ELECTION OF DIRECTOR: FR WISNER	ANK G.	Managemen	t For	For
2.	TO RATIFY THE APPOINTME THE AUDIT COMMITTEE OF 7 BOARD OF DIRECTORS OF DELOITTE & TOUCHE LLP, INDEPENDENT REGISTERED ACCOUNTING FIRM, AS AUD FOR THE COMPANY FOR THE ENDING DECEMBER 31, 2017.	THE PUBLIC ITORS E YEAR	Managemen	t For	For
3.	TO APPROVE AN AMENDMENT THE COMPANY'S RESTATED CERTIFICATE OF INCORPORATO INCREASE THE NUMBER AUTHORIZED SHARES OF CO STOCK FROM 640 MILLION T BILLION.	ATION OF OMMON	Managemen	t For	For
4.	TO APPROVE, BY NON-BINDI VOTE, THE COMPENSATION COMPANY'S NAMED EXECUT OFFICERS.	OF THE	Managemen	t For	For
5.	TO RECOMMEND, BY NON-B VOTE, THE FREQUENCY OF HOLDING ADVISORY VOTES COMPENSATION OF THE COMPANY'S NAMED EXECUT OFFICERS.	ON THE	Managemen	t 3 Years	Against

Account Number	Account Name	Internal Custodiar Account	n Ballo <sup>n</sup> Share	t Unavail es Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GLV TRUST CO	5,700		18-Mar-2017	28-Apr-2017
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	7,100	) 0	18-Mar-2017	28-Apr-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	17,80	0 0	18-Mar-2017	28-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 TRUST CO	600	23,500	18-Mar-2017	28-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	1,900	29,900	18-Mar-2017	28-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL & TRUST CO	500	79,500	18-Mar-2017	28-Apr-2017
PFIZER INC.						
Security	717081103			Meeting Type	Annual	
Ticker Symbol ISIN	PFE US7170811035			Meeting Date Agenda	27-Apr-2017 034540708	
Record Date	28-Feb-2017			Agenda Holding Recon Date	28-Feb-2017	Management
City / Countr	V /	United tates		Vote Deadline Date	26-Apr-2017	

SEDOL	.(s)	Quick Code			
Item	Proposal	Proposed by Vote	For/Against Management		
1A.	ELECTION OF DIRECTOR: DENNIS A. AUSIELLO	Management For	For		
1B.	ELECTION OF DIRECTOR: RONALD E. BLAYLOCK	Management For	For		
1C.	ELECTION OF DIRECTOR: W. DON CORNWELL	Management For	For		
1D.	ELECTION OF DIRECTOR: JOSEPH J. ECHEVARRIA	Management For	For		
1E.	ELECTION OF DIRECTOR: FRANCES D. FERGUSSON	Management For	For		
1F.	ELECTION OF DIRECTOR: HELEN H. HOBBS	Management For	For		
1G.	ELECTION OF DIRECTOR: JAMES M. KILTS	Management For	For		
1H.	ELECTION OF DIRECTOR: SHANTANU NARAYEN	Management For	For		
1I.	ELECTION OF DIRECTOR: SUZANNE NORA JOHNSON	Management For	For		
1J.	ELECTION OF DIRECTOR: IAN C. READ	Management For	For		
1K.	ELECTION OF DIRECTOR: STEPHEN W. SANGER	Management For	For		
1L.	ELECTION OF DIRECTOR: JAMES C. SMITH	Management For	For		
2.	RATIFY THE SELECTION OF KPMG LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management For	For		
3.	ADVISORY APPROVAL OF EXECUTIVE COMPENSATION	Management For	For		
4.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION	Management 3 Years	Against		
5.	SHAREHOLDER PROPOSAL REGARDING THE HOLY LAND PRINCIPLES	Shareholder Against	For		
6.	SHAREHOLDER PROPOSAL REGARDING SPECIAL SHAREOWNER MEETINGS	Shareholder Against	For		
7.		Shareholder Against	For		

#### SHAREHOLDER PROPOSAL REGARDING INDEPENDENT CHAIR POLICY

Account Number	Account Name	Internal Custodiar Account	Ballot Shares	Unavaila Shares	ible Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GL V TRUST CO	17,800	) 0	17-Mar-2017	28-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 TRUST CO	100	43,500	17-Mar-2017	28-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 & TRUST CO	100	81,500	17-Mar-2017	28-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 TRUST CO	100	157,100	17-Mar-2017	28-Apr-2017
997AL60	GLV MS PLEDGE	STATE STREET 997AL60 TRUST CO	12,000	) 0	17-Mar-2017	28-Apr-2017
AKORN, INC.						
Security	009728106			Meeting Type	Annual	
Ticker Symbol				Meeting Date	27-Apr-2017	
ISIN Record Date	US0097281069 13-Mar-2017		]	Agenda Holding Recon Date	934360308 - 13-Mar-2017	Management
City / Count	-rv /	United tates	•	Vote Deadline Date	26-Apr-2017	
SEDOL(s)			(	Quick Code		

Item	Propo	osal	Proposed by	Vote	For/Against Management	
1.	DIRE	CTOR	Managem	Management		
	1	JOHN KAPOOR, PHD		For	For	
	2	KENNETH ABRAMOWITZ		For	For	
	3	ADRIENNE GRAVES, PHD		For	For	
	4	RONALD JOHNSON		For	For	
	5	STEVEN MEYER		For	For	
	6	TERRY ALLISON RAPPUHN		For	For	
	7	BRIAN TAMBI		For	For	
	8	ALAN WEINSTEIN		For	For	
2.	APPO THE REGI FIRM	POSAL TO RATIFY THE DINTMENT OF BDO USA, LLP AS COMPANY'S INDEPENDENT ISTERED PUBLIC ACCOUNTING I FOR THE YEAR ENDING EMBER 31, 2017.	Managem	nent For	For	
3.	OMN	POSAL TO APPROVE THE 2017 IBUS INCENTIVE PENSATION PLAN.	Managem	ient For	For	
4.	A NC THE NON THE	POSAL TO APPROVE, THROUGH DN- BINDING ADVISORY VOTE, FREQUENCY OF FUTURE -BINDING ADVISORY VOTES ON COMPANY'S EXECUTIVE PENSATION PROGRAMS.	Managen	nent 3 Years	Against	
5.	A NC THE COM DESC	POSAL TO APPROVE, THROUGH DN- BINDING ADVISORY VOTE, COMPANY'S EXECUTIVE PENSATION PROGRAM AS CRIBED IN THE COMPANY'S 2017 XY STATEMENT.	Managem	nent For	For	

Account Number	Account Name	Internal Custodian Ballot Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLQ 39,000 TRUST CO	0 28-Mar-2017	28-Apr-2017
997GLO	GLO	997GI <b>SO</b> TATE 96,700 STREET BANK	0 28-Mar-2017	28-Apr-2017

				& TRUST CO				
997AL4	47	GLQ BNP PLEDC	3E 997 <i>4</i>	STATE STREET AL47 & TRUST CO	38,600	0	28-Mar-2017	28-Apr-2017
997AL4	49	GLO BNP PLEDO	3E 997 <i>1</i>	STATE STREET AL49 TRUST CO	54,500	0	28-Mar-2017	28-Apr-2017
997AL:	53	GLO MS PLEDGI	E 997 <i>1</i>	STATE STREET ALS TRUST CO	20,700	0	28-Mar-2017	28-Apr-2017
BRISTOL-MYERS SQUIBB COMPANY								
Security	У	110122108			Mee	ting Type	Annual	
Ticker	Symbol	BMY			Mee	ting Date	02-May-201	7
ISIN		US1101221083			Age	nda	934547538 -	Management
Record	Date	14-Mar-2017			Holding Recon Date		14-Mar-2017	7
City /	Country		/ United States		Vote Deadline Date		01-May-2017	
SEDOL	L(S)				Quio	ck Code		
Item	Proposa	1		Propo by	sed Vote		gainst gement	
1A.	ELECT ARDUI	ION OF DIRECTOI NI	R: P. J.	Manag	gement For	Fc	or	
1B.	ELECT BERTO	ION OF DIRECTOI LINI	R: R. J.	Manag	gement For	Fc	or	
1C.	ELECTION OF DIRECTOR: G. CAFORIO, M.D.		Manag	gement For	Fc	or		
1D.	ELECT EMME	ION OF DIRECTOI NS	R: M. W.	Manag	gement For	Fc	or	
1E.		ION OF DIRECTOI HER, M.D.	R: L. H.	Manag	gement For	Fc	or	
1F.	ELECT GROBS	ION OF DIRECTOI TEIN	R: M.	Manag	gement For	Fo	or	

1G.	ELECTION OF DIRECTOR: A. J.	. LACY	Manag	gement For	Fo	or	
1H.	ELECTION OF DIRECTOR: D. C PALIWAL		Manag	gement For	Fo	or	
1I.	ELECTION OF DIRECTOR: T. R SAMUELS		Manag	gement For	Fo	or	
1J.	ELECTION OF DIRECTOR: G. L STORCH	2.	Manag	gement For	Fo	or	
1K.	ELECTION OF DIRECTOR: V. L PH.D.	SATO,	Manag	gement For	Fo	or	
2.	ADVISORY VOTE TO APPROV COMPENSATION OF OUR NAM EXECUTIVE OFFICERS.		Manag	gement For	Fo	or	
3.	ADVISORY VOTE ON THE FREQUENCY OF THE ADVISO VOTE TO APPROVE THE COMPENSATION OF OUR NAM EXECUTIVE OFFICERS.		Manag	gement 3 Years	A	gainst	
4.	RE-APPROVAL OF THE MATER TERMS OF THE PERFORMANCE-BASED AWAR UNDER THE COMPANY'S 2012 STOCK AWARD AND INCENTI PLAN (AS AMENDED).	RDS	Manag	gement For	Fo	or	
5.	APPROVAL OF AN AMENDME THE COMPANY'S 2012 STOCK AWARD AND INCENTIVE PLA		Manag	gement For	Fo	or	
6.	RATIFICATION OF THE APPOINTMENT OF INDEPEND REGISTERED PUBLIC ACCOUN FIRM.		Manaş	gement For	Fo	or	
7.	SHAREHOLDER PROPOSAL TO LOWER THE SHARE OWNERSI THRESHOLD TO CALL SPECIA SHAREHOLDER MEETINGS.	HIP	Sharel	nolder Against	Fo	Dr	
Accoun Numbe	Account Name		ustodiar	Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GL	V GLV	51 997GI <mark>&amp;</mark>	TREET ANK RUST	9,200	0	24-Mar-2017	03-May-2017
997GL	Q GLQ	997GI <b>SQ</b>		24,200	0	24-Mar-2017	03-May-2017

		BANK & TRUST CO				
997GLO	GLO	STATE STREET 997GLO TRUST CO	49,700	0	24-Mar-2017	03-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 TRUST CO	187	23,100	24-Mar-2017	03-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 & TRUST CO	46,616	40,000	24-Mar-2017	03-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 TRUST CO	39,400	0	24-Mar-2017	03-May-2017
997AL53	GLO MS PLEDGE	STATE STREET 997AL&3 TRUST CO	29,000	0	24-Mar-2017	03-May-2017
997AL60	GLV MS PLEDGE	STATE STREET 997AL60 TRUST CO	900	0	24-Mar-2017	03-May-2017
MTGE INVEST	MENT CORP.					
Security	55378A105		Meet	ing Type	Annual	
Ticker Symbol	MTGE		Meet	ing Date	02-May-2017	7
ISIN	US55378A1051		Agen	da	934555472 -	Management
Record Date	08-Mar-2017		Hold: Date	ing Recon	08-Mar-2017	,

City /	Country	/ United States	Vote Date	Deadline	01-May-2017	7		
SEDOL	L(S)		Quick Code					
Item	Proposal		Proposed by Vote	For/Ag Manage				
1A.	ELECTION OF DII KAIN	RECTOR: GARY D.	Management For	For				
1 <b>B</b> .	ELECTION OF DI W. ABRAHAMS	RECTOR: STEVEN	Management For	For				
1C.	ELECTION OF DI CORONADO	RECTOR: JULIA L.	Management For	For				
1D.	ELECTION OF DI M. COUCH	RECTOR: ROBERT	Management For	For				
1E.	ELECTION OF DI E.DOBBS	RECTOR: RANDY	Management For	For				
2.	ADVISORY VOTE COMPENSATION EXECUTIVE OFFI		Management For	For				
3.	VOTES ON THE C	E TO SELECT THE FUTURE ADVISORY COMPENSATION OF ECUTIVE OFFICERS.	Management 3 Yea	urs Aga	uinst			
4.	RATIFICATION O ERNST & YOUNG INDEPENDENT P ACCOUNTANT FO ENDING DECEME	UBLIC OR THE YEAR	Management For	For				
Accoun Number	Account Nat	ne Internal Account	ustodian Ballot Shares	Unavailab Shares	le Vote Date	Date Confirmed		
997GL	V GLV	ST ST 997GI& &	FATE FREET A <sup>NK</sup> 25,100 RUST	0 2	24-Mar-2017	03-May-2017		
997GL0	Q GLQ	ST 997GI &	RUST	0 2	24-Mar-2017	03-May-2017		
997GL0	O GLO	997GI <b>S</b> 0 S7	FATE 69,061 FREET	0	24-Mar-2017	03-May-2017		

BANK

			C ST	RUST O FATE					
997AL4	45	GLV BNP PLEDGE	997AL4	RUST	11,40	00	27,000	24-Mar-2017	7 03-May-2017
997AL4	47	GLQ BNP PLEDGE	ST 997AL4	RUST	3,700	)	57,700	24-Mar-2017	7 03-May-2017
CABL	E ONE, IN	NC.	C.	0					
Security	у	12685J105				Meeting	g Type	Annual	
Ticker	Symbol	CABO				Meeting	g Date	02-May-20	017
ISIN		US12685J1051				Agenda		934571084	4 - Management
Record	Date	10-Mar-2017				Holding Date	g Recon	10-Mar-20	17
City /	Country	/	United States			Vote De Date	eadline	01-May-20	)17
SEDOL(s)					Quick C	Code			
Item	Proposa	1		Propo by	sed Vo	ote		Against agement	
1A.	ELECT SPOON	ION OF DIRECTOR:	ALAN G.	Manag	gemen	t For	F	or	
1 <b>B</b> .	ELECT R. WEI	ION OF DIRECTOR: IZ	WALLACE	Manag	gemen	t For	F	or	
2.	PRICEN AS THE PUBLIC COMPA	TIFY THE APPOINT WATERHOUSECOOI E INDEPENDENT RE C ACCOUNTING FIR ANY FOR THE FISCA G DECEMBER 31, 20	PERS LLP GISTERED M OF THE AL YEAR	Manaş	gemen	t For	F	or	
3.	OF OUI OFFICE	PROVE THE COMPE R NAMED EXECUTI ERS FOR 2016 ON AN ORY BASIS	VE	Manaş	gemen	t For	F	or	
4.	FUTUR EXECU	ECT THE FREQUEN E ADVISORY VOTE TIVE COMPENSAT ORY BASIS	S ON	Manag	gemen	t 3 Years	А	gainst	
5.				Manag	gemen	t For	Fe	or	

TO APPROVE THE AMENDED AND RESTATED CABLE ONE, INC. 2015 OMNIBUS INCENTIVE COMPENSATION PLAN

Accour Numbe		Account Name	Internal Account	ustodiai	Ballo Share	et es	Unavail Shares	able Vote Date	Date Confirmed
997GL	Q	GLQ	ST 997GI	TATE TREET ANK P RUST O	1,700	)	0	04-Apr-2017	03-May-2017
997GL	0	GLO	ST 997GLC &	RUST	4,508	3	0	04-Apr-2017	03-May-2017
997AL	60	GLV MS PLEDGE	ST 997AL6	RUST	1,100	)	0	04-Apr-2017	03-May-2017
PULTE	EGROUP	, INC.							
Securit	у	745867101				Meeting	Туре	Annual	
Ticker	Symbol	PHM				Meeting	Date	03-May-20	17
ISIN		US7458671010				Agenda		934549758	- Management
Record	Date	10-Mar-2017				Holding Date	Recon	10-Mar-20	17
City /	Countr	V /	nited tates			Vote De Date	adline	02-May-20	17
SEDOI	L(s)					Quick C	ode		
Item	Propos	al		Propo by	sed V	ote		Against agement	
1A.	ELECT ANDE	TION OF DIRECTOR: BF RSON	RIAN P.	Manag	gemen	t For	F	or	
1B.	ELECT BLAIR	TION OF DIRECTOR: BE	RYCE	Manag	gemen	t For	F	or	
1C.		TION OF DIRECTOR: RI EILING	CHARD	Manag	gemen	t For	F	or	
1D.	ELECT FOLLI	TION OF DIRECTOR: TH ARD	IOMAS J.	Manag	gemen	t For	F	or	

1E.	ELECTION OF DIRECTOR: JOS GOTBAUM	SHUA	Manag	gement For	F	or	
1F.	ELECTION OF DIRECTOR: CH W. GRISE	ERYL	Manag	gement For	F	or	
1G.	ELECTION OF DIRECTOR: AN HAWAUX	DRE J.	Manag	gement For	F	or	
1H.	ELECTION OF DIRECTOR: RY MARSHALL	AN R.	Manag	gement For	F	or	
1I.	ELECTION OF DIRECTOR: PA' O'LEARY	TRICK J.	Manag	gement For	F	or	
1J.	ELECTION OF DIRECTOR: JOI PESHKIN	HN R.	Manag	gement For	F	or	
1K.	ELECTION OF DIRECTOR: SC POWERS	OTT F.	Manag	gement For	F	or	
1L.	ELECTION OF DIRECTOR: WI J. PULTE	LLIAM	Manag	gement For	F	or	
2.	THE RATIFICATION OF THE APPOINTMENT OF ERNST & Y LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOU FIRM.		Manag	gement For	F	or	
3.	AN ADVISORY VOTE TO APP EXECUTIVE COMPENSATION		Manag	gement For	F	or	
4.	AN ADVISORY VOTE TO APP THE FREQUENCY OF THE AD VOTE REGARDING EXECUTIV COMPENSATION.	VISORY	Manag	gement 3 Years	A	against	
Accoun Number	Account Name	Internal Cu Account	ustodia	Ballot Shares	Unavai Shares	able Vote Date	Date Confirmed
997GLV	V GLV	ST 997GL	FATE FREET ANK RUST O	16,700	0	29-Mar-2017	04-May-2017
997GL(	Q GLQ	ST	TATE TREET ANK	31,500	0	29-Mar-2017	04-May-2017

TRUST CO

STREET BANK

997GISOTATE 80,000 0

997GLO

GLO

04-May-2017

29-Mar-2017

			C	TRUST CO					
997AL4	5	GLV BNP PLEDGE	S 997AL T	STATE STREET SANK 45 TRUST CO	100		50,200	29-Mar-2017	04-May-2017
997AL4	7	GLQ BNP PLEDGE	S 997AL <mark>&amp;</mark> T	STATE STREET SANK 47 SRUST CO	100		120,300	29-Mar-2017	04-May-2017
997AL4	9	GLO BNP PLEDGE	S 997AL X T	STATE STREET SANK 49 SRUST CO	100		253,500	29-Mar-2017	04-May-2017
997AL6	0	GLV MS PLEDGE	S 997AL T	TATE TREET SANK 20 TRUST CO	15,00	00	0	29-Mar-2017	04-May-2017
MEDEC	OUITIES	S RLTY TR INC	C	.0					
Security		58409L306				Meeting	g Type	Annual	
Ticker S	ymbol	MRT				Meeting	, Date	03-May-2017	7
ISIN		US58409L3069				Agenda		934553238 -	Management
Record I	Date	22-Feb-2017				Holding Date	Recon	22-Feb-2017	
City /	Country		United tates			Vote De Date	eadline	02-May-2017	7
SEDOL	(s)					Quick C	Code		
Item	Proposa	al		Propos by	sed Vo	ote	For/A Manag	gainst gement	
1.	DIREC	TOR		Manag	gemen	t			
	1	RANDALL L. CHURCH	ΈY			For	Fo	r	
		JOHN N. FOY				For	Fo	r	
		STEVEN I. GERINGER				For	Fo		
		STEPHEN L. GUILLAR	D			For	Fo		
	5	WILLIAM C. HARLAN				For	Fo	r	

6	ELLIOTT MANDELBAU	UM	For	Fo	or	
7	JOHN W. MCROBERTS		For	Fo	or	
8	STUART C. MCWHORT	TER	For	Fo	or	
9	JAMES B. PIERI		For	Fo	or	
2. KPM ACC	ATIFY THE APPOINTME G LLP AS THE COMPAN EPENDENT REGISTERED OUNTING FIRM FOR TH R ENDING DECEMBER 3	Y'S PUBLIC Man E FISCAL	agement For	Fo	Dr	
3. AME	APPROVE THE COMPANY ENDED AND RESTATED ITY INCENTIVE PLAN.		agement For	Fo	or	
Account Number	Account Name	Internal Custodi Account	an Ballot Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREE 997GL W TRUST CO	T 51,200	0	23-Mar-2017	04-May-2017
997GLO	GLO	STATE STREE 997GL 997GL TRUST CO	T 150,000	0	23-Mar-2017	04-May-2017
997AL45	GLV BNP PLEDGE	STATE STREE 997AL 5 TRUST CO	T 100	69,900	23-Mar-2017	04-May-2017
997AL49	GLO BNP PLEDGE	STATE STREE 997AI 49 TRUST CO	T 200,000	0	23-Mar-2017	04-May-2017
997AL53	GLO MS PLEDGE	STATE STREE 997AL 33 TRUST CO	T 138,933	0	23-Mar-2017	04-May-2017

DIALOG SEMICONDUCTOR PLC, LONDON

Security	G5821P111		Meeting Type	Annual General Meeting		
Ticker Symbol			Meeting Date	04-May-2017		
ISIN	GB0059822006		Agenda	707978675 - Management		
Record Date Holding Recon Date 02-May-2017						
City / Country	LONDON /	United Kingdom	Vote Deadline Date	18-Apr-2017		
SEDOL(s)	5982200 - 5982590 - B28ZZL6 - B2QFBF BHZLDS5		Quick Code			

Item	Proposal	Proposed Vote	For/Against Management
1	ACCEPT FINANCIAL STATEMENTS AND STATUTORY REPORTS	Management For	For
2	APPROVE REMUNERATION REPORT	Management For	For
3	REAPPOINT DELOITTE LLP AS AUDITORS	Management For	For
4	AUTHORISE BOARD TO FIX REMUNERATION OF AUDITORS	Management For	For
5	RE-ELECT EAMONN O'HARE AS DIRECTOR	Management For	For
6	RE-ELECT CHRISTOPHER BURKE AS DIRECTOR	Management For	For
7	RE-ELECT AIDAN HUGHES AS DIRECTOR	Management For	For
8	ELECT MARY CHAN AS DIRECTOR	Management For	For
9	ELECT NICHOLAS JEFFERY AS DIRECTOR	Management For	For
10	AUTHORISE ISSUE OF EQUITY WITH PRE-EMPTIVE RIGHTS	Management For	For
11	AUTHORISE ISSUE OF EQUITY WITH PRE-EMPTIVE RIGHTS IN CONNECTION WITH A RIGHTS ISSUE	Management For	For
12	AUTHORISE ISSUE OF EQUITY WITHOUT PRE- EMPTIVE RIGHTS	Management For	For
13	AUTHORISE ISSUE OF EQUITY WITHOUT PRE- EMPTIVE RIGHTS IN CONNECTION WITH AN ACQUISITION OR OTHER CAPITAL INVESTMENT	Management For	For
14	AUTHORISE THE COMPANY TO ENTER INTO A CONTINGENT	Management For	For

		ARD SHARE PURC RACT WITH BARC		K				
15	ENTE FORW CONT	ORISE THE COMP. R INTO A CONTIN /ARD SHARE PURC RACT WITH GOLE RNATIONAL	GENT CHASE	Managemer IS	nt For	Fo	or	
16	ENTE FORW	ORISE THE COMP. R INTO A CONTINO /ARD SHARE PURC 'RACT WITH HSBC	GENT CHASE	Managemer	nt For	Fo	or	
17	ENTE FORW CONT	ORISE THE COMP. R INTO A CONTIN ARD SHARE PURC RACT WITH MERF RNATIONAL	GENT CHASE	Managemer I	nt For	Fo	or	
18	CALL	ORISE THE COMP. GENERAL MEETII WEEKS' NOTICE	-	Managemer	nt For	Fo	Dr	
Accour Numbe		Account Name	Intern Accou	Custodian	ot es	Unavaila Shares	able Vote Date	Date Confirmed
GLQ		GLQ		STATE STREET 62,3 BANK	18	0	14-Apr-2017	21-Apr-2017
GLO		GLO		STATE STREET 154,9 BANK	934	0	14-Apr-2017	21-Apr-2017
STARV	WOOD I	PROPERTY TRUST	INC					
Securit	y	85571B105			Meeting	g Type	Annual	
Ticker	Symbol	STWD			Meeting	g Date	04-May-201	7
ISIN		US85571B1052			Agenda	L	934571844 -	Management
Record	l Date	17-Mar-2017			Holding Date	g Recon	17-Mar-2017	7
City /	Count	ry	/ United States		Vote D Date	eadline	03-May-201	7
SEDOI	L(s)				Quick (	Code		
Item	Propos	sal		Proposed V by	ote		sgainst gement	
1.	DIRE	CTOR		Managemer	nt			
	1	RICHARD D. BRO	NSON		For	Fo	Dr	
	2	JEFFREY G. DISH	NER		For	Fo	Dr	

	3 CAMILLE J. DOUGLAS	For	For	
	4 SOLOMON J. KUMIN	For	For	
	5 BARRY S. STERNLICHT	For	For	
	6 STRAUSS ZELNICK	For	For	
2. C	TO APPROVE, ON AN ADVISORY BASIS, THE COMPANY'S EXECUTIVE COMPENSATION AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	Management For	For	
3. #	TO APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY OF THE ADVISORY VOTE ON THE COMPANY'S EXECUTIVE COMPENSATION.	Management 3 Years	Against	
4. S	TO APPROVE THE STARWOOD PROPERTY TRUST, INC. 2017 MANAGER EQUITY PLAN, WHICH SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. MANAGER EQUITY PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	Management For	For	
F F 5. F S N F I	TO APPROVE THE STARWOOD PROPERTY TRUST, INC. 2017 EQUITY PLAN, WHICH SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. EQUITY PLAN, AS AMENDED, AND THE STARWOOD PROPERTY TRUST, INC. NON- EXECUTIVE DIRECTOR STOCK PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	Management For	For	
6. C F	TO RATIFY THE AUDIT COMMITTEE'S APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE CALENDAR YEAR ENDING DECEMBER 31, 2017.	Management For	For	
Account	A convert Name Internal	Ballot	Unavailable	Date

Account Number	Account Name	Internal Custodia Account	n Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLV	GLV	997GI <b>SV</b> ATE STREET BANK	,	0	04-Apr-2017	05-May-2017

		& TRUST CO				
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	79,500	0	04-Apr-2017	05-May-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	90,586	0	04-Apr-2017	05-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL & TRUST CO	100	100,600	04-Apr-2017	05-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 47 TRUST CO	100	205,800	04-Apr-2017	05-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	100	499,900	04-Apr-2017	05-May-2017
997AL53	GLO MS PLEDGE	STATE STREET 997AL S3 TRUST CO	70,000	0	04-Apr-2017	05-May-2017
997AL60	GLV MS PLEDGE	STATE STREET 997AL60 TRUST CO	45,000	0	04-Apr-2017	05-May-2017
997AL61	GLQ MS PLEDGE	997AI <b>S6I</b> ATE STREET BANK	15,000	0	04-Apr-2017	05-May-2017

& TRUST CO

BOST	ON SCIEI	NTIFIC CORPORAT	TION				
Securit	у	101137107			Meeting 7	ype	Annual
Ticker	Symbol	BSX			Meeting I	Date	09-May-2017
ISIN		US1011371077			Agenda		934558543 - Management
Record	Date	15-Mar-2017			Holding R Date	Recon	15-Mar-2017
City /	Country	7	/ United States		Vote Dead Date	dline	08-May-2017
SEDOL(s)					Quick Co	de	
Item	Proposa	ıl		Proposed V by	ote	For/Aga Manage	
1A.	ELECT CONN(	ION OF DIRECTOR ORS	: NELDA J.	Managemen	t For	For	
1 <b>B</b> .		ION OF DIRECTOR KENDORFF	CHARLES	Managemen	t For	For	
1C.	ELECT FUJIM	ION OF DIRECTOR ORI	: YOSHIAKI	Managemen	t For	For	
1D.	ELECT JAMES	ION OF DIRECTOR	: DONNA A.	Managemen	t For	For	
1E.	ELECT J. LUD	ION OF DIRECTOR WIG	: EDWARD	Managemen	t For	For	
1F.		ION OF DIRECTOR CMILLAN	: STEPHEN	Managemen	t For	For	
1 <b>G</b> .	ELECT F. MAH	ION OF DIRECTOR IONEY	: MICHAEL	Managemen	t For	For	
1H.	ELECT ROUX	ION OF DIRECTOR	: DAVID J.	Managemen	it For	For	
1I.	ELECT SUNUN	ION OF DIRECTOR NU	: JOHN E.	Managemen	t For	For	
1 <b>J</b> .	ELECT ZANE	ION OF DIRECTOR	ELLEN M.	Managemen	t For	For	
2.	ADVIS EXECU	PROVE, ON A NON ORY BASIS, NAME JTIVE OFFICER ENSATION.		Managemen	ıt For	For	
3.	ADVIS OF FUT OFFICI	PROVE, ON A NON ORY BASIS, THE F TURE NAMED EXE ER COMPENSATIO ORY VOTES.	REQUENCY CUTIVE	Managemen	t 3 Years	Aga	inst

# TO RATIFY THE APPOINTMENT OF<br/>ERNST & YOUNG LLP AS OUR4.INDEPENDENT REGISTERED PUBLIC Management For<br/>ACCOUNTING FIRM FOR THE 2017<br/>FISCAL YEAR.

Accoun Number		Account Name	Internal Accoun	'iistodiar	Ballot Share	t s	Unavaila Shares	uble Vote Date	Date Confirmed
997GL0	Q	GLQ	S S 997GL & T	TATE TREET ANK Q RUST CO	52,70		0	29-Mar-2017	10-May-2017
997GL0	С	GLO	S 997GL & T	TATE TREET ANK C RUST CO	99,90	0	0	29-Mar-2017	10-May-2017
997AL4	47	GLQ BNP PLEDGE	S 997AI & T	TATE TREET ANK TRUST CO	100		74,700	29-Mar-2017	10-May-2017
997AL5	53	GLO MS PLEDGE	S S 997AI <mark>B</mark> X T	TATE TREET	30,00	0	0	29-Mar-2017	10-May-2017
GILEA	D SCIEN	ICES, INC.							
Security	y	375558103				Meeting	g Type	Annual	
Ticker S	Symbol	GILD				Meeting	g Date	10-May-2017	,
ISIN		US3755581036				Agenda		934558810 -	Management
Record	Date	16-Mar-2017				Holding Date	g Recon	16-Mar-2017	
City /	Country	7 <b>/</b>	nited tates			Vote De Date	eadline	09-May-2017	,
SEDOL	L(S)					Quick C	Code		
Item	Proposa	ıl		Propos by	sed Vo	ote		.gainst gement	
1A.		ION OF DIRECTOR: JO N, PH.D.	HN F.	Manag	gement	For	Fo	or	

1 <b>B</b> .	ELECTION OF DIRECTOR: KELLY A. KRAMER	Management For	For
1C.	ELECTION OF DIRECTOR: KEVIN E. LOFTON	Management For	For
1D.	ELECTION OF DIRECTOR: JOHN C. MARTIN, PH.D.	Management For	For
1E.	ELECTION OF DIRECTOR: JOHN F. MILLIGAN, PH.D.	Management For	For
1F.	ELECTION OF DIRECTOR: NICHOLAS G. MOORE	Management For	For
1 <b>G</b> .	ELECTION OF DIRECTOR: RICHARD J. WHITLEY, M.D	Management For	For
1H.	ELECTION OF DIRECTOR: GAYLE E. WILSON	Management For	For
1I.	ELECTION OF DIRECTOR: PER WOLD-OLSEN	Management For	For
2.	RATIFICATION OF THE SELECTION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management For	For
3.	RESTATEMENT OF THE GILEAD SCIENCES, INC. 2004 EQUITY INCENTIVE PLAN.	Management For	For
4.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management For	For
5.	ADVISORY VOTE AS TO THE FREQUENCY OF FUTURE ADVISORY SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION.	Management 3 Years	Against
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD TAKE STEPS TO PERMIT STOCKHOLDER ACTION BY WRITTEN CONSENT.	Shareholder Against	For
7.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD ADOPT A POLICY THAT THE CHAIRMAN OF THE BOARD OF DIRECTORS BE AN INDEPENDENT DIRECTOR.	Shareholder Against	For

Account Number	Account Name	Internal Custodian Ballot Account Shares	Unavailable Vote Date Shares	Date Confirmed
997AL45	GLV BNP PLEDGE	997AIS45ATE 3,600 STREET	6,200 29-Mar-2017	11-May-2017

Edgar Filing: Clough Global Dividend & Income Fund - Form N-PX										
			& T C S	RUST 20 TATE						
997AL	47	GLQ BNP PLEDGE	997AI	TREET	1,300	)	19,900	29-Mar-2	2017	11-May-2017
			C	20 TATE						
997AL	49	GLO BNP PLEDGE	997AL&	TREET	4,000	)	35,200	29-Mar-2	2017	11-May-2017
997AL	61	GLQ MS PLEDGE	S 997AL & T	RUST	3,700	)	0	29-Mar-2	2017	11-May-2017
SERVI	CE CORI	PORATION INTERNA		CO						
Securit		817565104				Meeting	Туре	Annual		
Ticker	Symbol	SCI				Meeting	Date	10-May	y-2017	,
ISIN		US8175651046			Agenda		934564	356 - 1	Management	
Record	Record Date 13-Mar-2017			Holding Recon 13 Date 13		13-Mar	-2017			
City /	Country	/	United States			Vote De Date	adline	09-May	y-2017	
SEDOI	L(s)					Quick C	lode			
Item	Proposa	Proposal			Proposed Vote For/Aga by Manage			-		
1.	DIREC	TOR		Mana	gemen	t				
	1 7	ΓΗΟΜΑS L. RYAN				For	Fo	or		
	2 0	CLIFTON H. MORRIS,	JR.			For	Fo	or		
	3	W. BLAIR WALTRIP				For	Fo	or		
2.	PRICE AS THI REGIST	PROVE THE SELECTI WATERHOUSECOOPI E COMPANY'S INDEP FERED PUBLIC ACCO FOR FISCAL 2017.	ERS LLP ENDENT	Mana	gemen	t For	Fc	DI.		
3.		PROVE, BY ADVISOR D EXECUTIVE OFFIC		Mana	gemen	t For	Fo	or		

	COMP	ENSATION.									
4.	TO APPROVE HOLDING AN ADVISORY VOTE ON NAMED EXECUTIVE OFFICER COMPENSATION EVERY ONE, TWO OR THREE YEARS, AS INDICATED.			Management 3 Years			А	Against			
5.		PROVE THE AMENI TED 2016 EQUITY I			Management For H			F	For		
6.	PROPO	PROVE THE SHARE SAL TO REQUIRE ENDENT BOARD CI			Shareholder Against F			F	For		
7.	PROPO	PROVE THE SHARE ISAL TO ADOPT SIN RITY VOTE.		ER	Shareł	nolder	Against	F	or		
Accour Numbe		Account Name	Ir A	nternal	ustodiar	Ballo Share	t es	Unavail Shares	abl	e Vote Date	Date Confirmed
997AL	45	GLV BNP PLEDGH		ST ST 97AL4 &	FATE FREET ANK S	900		28,200	3	1-Mar-2017	11-May-2017
997AL	47	GLQ BNP PLEDGI	E 99	S 97AI &	ΓΑΤΕ ΓREET ΔΝΚ 7 RUST Ο	5,400	)	35,500	3	91-Mar-2017	11-May-2017
997AL	49	GLO BNP PLEDGI	E 99	S 97AL &	FATE FREET ANK 9 RUST O	1,300	)	70,900	3	91-Mar-2017	11-May-2017
ALEXION PHARMACEUTICALS, INC.											
Securit	У	015351109					Meeting	g Type		Annual	
Ticker	Symbol	ALXN					Meeting	Date		10-May-2017	
ISIN		US0153511094					Agenda			934568710 - 1	Management
Record	Date	14-Mar-2017					Holding Date			14-Mar-2017	
City /	Country	,	, Unite State				Vote De Date	adline		09-May-2017	
SEDOI	L(s)						Quick C	Code			

Item	Proposal	Proposed by Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: FELIX J. BAKER	Management For	For
1B.	ELECTION OF DIRECTOR: DAVID R. BRENNAN	Management For	For
1C.	ELECTION OF DIRECTOR: M. MICHELE BURNS	Management For	For
1D.	ELECTION OF DIRECTOR: CHRISTOPHER J. COUGHLIN	Management For	For
1E.	ELECTION OF DIRECTOR: LUDWIG N. HANTSON	Management For	For
1F.	ELECTION OF DIRECTOR: JOHN T. MOLLEN	Management For	For
1G.	ELECTION OF DIRECTOR: R. DOUGLAS NORBY	Management For	For
1H.	ELECTION OF DIRECTOR: ALVIN S. PARVEN	Management For	For
1I.	ELECTION OF DIRECTOR: ANDREAS RUMMELT	Management For	For
1J.	ELECTION OF DIRECTOR: ANN M. VENEMAN	Management For	For
2.	TO APPROVE ALEXION'S 2017 INCENTIVE PLAN.	Management For	For
3.	RATIFICATION OF APPOINTMENT BY THE BOARD OF DIRECTORS OF PRICEWATERHOUSECOOPERS LLP AS ALEXION'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management For	For
4.	APPROVAL OF A NON-BINDING ADVISORY VOTE OF THE 2016 COMPENSATION PAID TO ALEXION'S NAMED EXECUTIVE OFFICERS.	Management For	For
5.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management 3 Years	Against
6.	TO REQUEST THE BOARD IMPLEMENT CONFIDENTIAL SHAREHOLDER VOTING ON EXECUTIVE PAY MATTERS.	Shareholder Against	For

Accour Numbe		Account Name	Interna Accou	Justodiai	n Ballo <sup>n</sup> Share		Unavaila Shares	able V(	ote Date	Date Confirmed
997GL	Q	GLQ	997GL	STATE STREET BANK & FRUST CO	19,50	)0	0	01	-Apr-2017	11-May-2017
997GL	0	GLO	997GL	STATE STREET BANK & FRUST CO	14,10	00	0	01	-Apr-2017	11-May-2017
997AL4	49	GLO BNP PLEDG	E 997AI	STATE STREET BANK & FRUST CO	100		34,900	01	-Apr-2017	11-May-2017
JPMOF	RGAN CH	HASE & CO.								
Securit	у	46625H100				Meeting	g Type	1	Annual	
Ticker	Symbol	JPM				Meeting	g Date		16-May-2017	,
ISIN		US46625H1005				Agenda		ļ	934561665 -	Management
Record	Date	17-Mar-2017				Holding Date	g Recon		17-Mar-2017	
City /	Country	1	/ United States			Vote De Date	eadline		15-May-2017	,
SEDOI	L(s)					Quick C	Code			
Item	Proposa	al		Propo by	sed V	ote	For/A Mana	-		
1A.	ELECT BAMM	ION OF DIRECTOR	: LINDA B.	Mana	gemen	t For	Fo	or		
1B.	ELECT BELL	ION OF DIRECTOR	: JAMES A.	Mana	gemen	t For	Fo	or		
1C.		TON OF DIRECTOR DALL C. BOWLES	:	Mana	gemen	t For	Fc	or		
1D.	ELECT B. BUR	TON OF DIRECTOR	: STEPHEN	Manag	gemen	t For	Fo	or		
1E.	ELECT COMB	TON OF DIRECTOR S	: TODD A.	Mana	gemen	t For	Fo	or		
1F.	ELECT CROW	TION OF DIRECTOR N	: JAMES S.	Manag	gemen	t For	Fo	or		
1G.				Mana	gemen	t For	Fo	or		

	ELECTION OF DIRECTOR: JAMES DIMON		
1H.	ELECTION OF DIRECTOR: TIMOTHY P. FLYNN	Management For	For
1I.	ELECTION OF DIRECTOR: LABAN P. JACKSON, JR.	Management For	For
1J.	ELECTION OF DIRECTOR: MICHAEL A. NEAL	Management For	For
1K.	ELECTION OF DIRECTOR: LEE R. RAYMOND	Management For	For
1L.	ELECTION OF DIRECTOR: WILLIAM C. WELDON	Management For	For
2.	ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION	Management For	For
3.	RATIFICATION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM	Management For	For
4.	ADVISORY VOTE ON FREQUENCY OF ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION	Management 3 Years	Against
5.	INDEPENDENT BOARD CHAIRMAN	Shareholder Against	For
6.	VESTING FOR GOVERNMENT SERVICE	Shareholder Against	For
7.	CLAWBACK AMENDMENT	Shareholder Against	For
8.	GENDER PAY EQUITY	Shareholder Against	For
9.	HOW VOTES ARE COUNTED	Shareholder Against	For
10.	SPECIAL SHAREOWNER MEETINGS	Shareholder Against	For

Account Number	Account Name	Internal Custodiar Account	Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET 997AL 45 TRUST CO	100	8,000	07-Apr-2017	17-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AI 47 TRUST CO	100	13,100	07-Apr-2017	17-May-2017

997AL4	49	GLO BNP PLEDGE	ST 997AIA	RUST	100		33,000	07-Apr-2017	7 17-May-2017
ALIGN	TECHN	OLOGY, INC.							
Security	У	016255101				Meeting	Туре	Annual	
Ticker	Symbol	ALGN				Meeting	Date	17-May-20	)17
ISIN		US0162551016				Agenda		934559874	4 - Management
Record	Date	22-Mar-2017				Holding Date	Recon	22-Mar-20	17
City /	Country	/	United States			Vote De Date	adline	16-May-20	)17
SEDOI	L(S)					Quick C	ode		
Item	Proposa	1		Propo by	sed Vo	ote	For/A Manag	gainst gement	
1A.	ELECT HOGAN	ION OF DIRECTOR:	JOSEPH M.	Mana	gemen	t For	Fo	r	
1B.	ELECT LACOB	ION OF DIRECTOR:	JOSEPH	Mana	gemen	t For	Fo	r	
1C.		ION OF DIRECTOR: OND LARKIN, JR.	C.	Mana	gemen	t For	Fo	r	
1D.	ELECT MORRO	ION OF DIRECTOR: DW	GEORGE J.	Mana	gemen	t For	Fo	r	
1E.	ELECT M. PRE	ION OF DIRECTOR: SCOTT	THOMAS	Mana	gemen	t For	Fo	r	
1F.	ELECT L. SAIA	ION OF DIRECTOR:	ANDREA	Mana	gemen	t For	Fo	r	
1G.	ELECT SANTO	ION OF DIRECTOR: RA	GREG J.	Mana	gemen	t For	Fo	r	
1H.	ELECT SIEGEL	ION OF DIRECTOR:	SUSAN E.	Mana	gemen	t For	Fo	r	
1I.	ELECT S. THAI	ION OF DIRECTOR: LER	WARREN	Mana	gemen	t For	Fo	r	
2.	APPOIN PRICEV AS ALI INDEPH ACCOU	SAL TO RATIFY TH VTMENT OF VATERHOUSECOOF GN TECHNOLOGY, ENDENT REGISTERI INTANTS FOR THE T ENDING DECEMBER	PERS LLP INC.'S ED PUBLIC FISCAL	Mana	gemen	t For	Fo	r	
3.		ORY VOTE TO APPR D EXECUTIVE OFFIC		Mana	gemen	t For	Fo	r	

### COMPENSATION. ADVISORY VOTE ON THE FREQUENCY OF HOLDING AN ADVISORY VOTE ON EXECUTIVE COMPENSATION. ADVISORY OTE ON EXECUTIVE

Account Number	Account Name	Internal Custodiar Account	Ballot Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLO	GLO	STATE STREET 997GLO TRUST CO	21,600	0	08-Apr-2017	18-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	22,200	0	08-Apr-2017	18-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 TRUST CO	100	49,900	08-Apr-2017	18-May-2017
997AL53	GLO MS PLEDGE	STATE STREET 997AL 3 TRUST CO	10,000	0	08-Apr-2017	18-May-2017
997AL61	GLQ MS PLEDGE	STATE STREET 997AL61 TRUST CO	10,000	0	08-Apr-2017	18-May-2017
ELLIE MAE, I						
Security	28849P100			g Type	Annual	_
Ticker Symbol	ELLI US28849P1003		Meetin	•	17-May-2017	
ISIN			Agenda Holdin	a g Recon		Management
Record Date	22-Mar-2017		Date	5 100001	22-Mar-2017	
City / Count	ry / U	Inited	Vote D	eadline	16-May-2017	7

		St	ates		Date	;			
SEDOL	L(S)				Quic	k Code	Code		
Item	Propos	sal		Propos by	sed Vote		For/Ag Manag	gainst gement	
1.	DIRE	CTOR		Manag	gement				
	1	KAREN BLASING			For		For	r	
	2	JONATHAN CORR			For		For	r	
	3	ROBERT J. LEVIN			For		For	r	
	4	JEB S. SPENCER			For		For	r	
2.	AUDI OF DI THOR INDE ACCO	ATIFY THE SELECTION, T COMMITTEE OF THE I RECTORS, OF GRANT RNTON LLP AS THE PENDENT REGISTERED DUNTING FIRM OF THE PANY FOR ITS FISCAL Y NG DECEMBER 31, 2017.	BOARD PUBLIC EAR	Manaş	gement For		For	r	
3.	BASIS OFFIC FISCA 2016 A	PPROVE, ON AN ADVISO 5, THE NAMED EXECUT CER COMPENSATION FO AL YEAR ENDED DECEM AS DISCLOSED IN THE P EMENT	IVE DR THE 1BER 31,	Manag	gement For		For	r	
4.	PROP PRES RELA DECL	ONSIDER A STOCKHOLI OSAL IF PROPERLY ENTED AT THE MEETIN TED TO THE ASSIFICATION OF THE RECTORS.	G,	Sharel	nolder Agai	inst	For	r	
Accoun Number		Account Name	Internal Cu Account	ustodiar	Ballot Shares	Un Sha	availa ares	ble Vote Date	Date Confirmed
997GL0	Q	GLQ	ST 997GI	FATE FREET ANK P RUST O	19,100	0		07-Apr-2017	18-May-2017
997AL4	49	GLO BNP PLEDGE	ST 997AL4 &	RUST	47,900	0		07-Apr-2017	18-May-2017

SOLAR CAPITAL LTD

Securit	y Symbol	83413U100 SLRC				Meeting Meeting	•••	Annual 17-May-2017	7
ISIN	Symbol	US83413U1007				Agenda		934568518 -	
Record	Date	22-Mar-2017				Holding Date		22-Mar-2017	C
City /	Country	/	United States			Vote De Date	eadline	16-May-2017	7
SEDOI	L(s)					Quick C	Code		
Item	Proposa	1		Propos by	sed Vo	ote		Against Agement	
1.	DIREC	ΓOR		Manag	gemen	t			
	1 I	MR. BRUCE SPOHLE	R			For	Fo	or	
	2 I	MR. STEVEN HOCHB	ERG			For	Fe	or	
2.	AUTHO SHARE A PRIC COMPA ASSET OR MO CASE S OF ITS COMPI CONDI PROXY THERE LIMITA SHARE 25% OF OUTST IMMEL	PROVE A PROPOSAL DRIZE THE COMPAN SOF ITS COMMON E OR PRICES BELOW ANY'S THEN CURREN VALUE PER SHARE RE OFFERINGS, IN F BOARD OF DIRECTO JANCE WITH THE TIONS SET FORTH IN STATEMENT PERT. TO (INCLUDING, WI ATION, THAT THE NO SISSUED DOES NOT THE COMPANY'S T ANDING COMMON DIATELY PRIOR TO F DFFERING).	Y TO SELL STOCK AT V THE NT NET IN ONE EACH PROVAL DRS AND N THE AINING THOUT JMBER OF TEXCEED HEN STOCK	Manag	gemen	t For	Fe	or	
Accour Numbe		Account Name	Internal Account	ustodiar	Ballo Share		Unavaila Shares	able Vote Date	Date Confirmed
997GL	V	GLV	ST 997GI	RUST	30,90	0	0	01-Apr-2017	18-May-2017
997AL4	45	GLV BNP PLEDGE		FREET ANK	100		47,000	01-Apr-2017	18-May-2017

18-May-2017
18-May-2017
·
18-May-2017
17
- Management
17
17
- 17

Accoun Numbe		Account Name	Internal Cust Account	todian	Ballot hares	Unavaila Shares	ible Vote Date	Date Confirmed
997GL	V	GLV	STA STR 997GI& TRU CO	REET NK 50	0,000	0	28-Apr-2017	19-May-2017
997AL4	45	GLV BNP PLEDGE	STA STR 997AL & TRU CO	REET NK 2 <sup>-</sup>	7,000	0	28-Apr-2017	19-May-2017
997AL4	47	GLQ BNP PLEDGE	STA STR 997AL47 TRU CO	REET NK 12	21,000	0	28-Apr-2017	19-May-2017
997AL4	49	GLO BNP PLEDGE	STA STR 997AL <sup>A9<sup>1</sup> &amp; TRU CO</sup>	REET NK 3	10,000	0	28-Apr-2017	19-May-2017
ARES (	CAPITAI	L CORPORATION						
Security	У	04010L103			Meeting	g Type	Annual	
Ticker	Symbol	ARCC			Meeting	g Date	22-May-2017	,
ISIN		US04010L1035			Agenda		934557426 -	Management
Record	Date	09-Mar-2017			Holding Date	g Recon	09-Mar-2017	
City /	Country	1 1	nited tates		Vote De Date	eadline	19-May-2017	,
SEDOL	L(S)				Quick C	Code		
Item	Proposa	1		Proposed by	<sup>d</sup> Vote		gainst gement	
1A.	AROU	ION OF DIRECTOR: MI GHETI (CLASS I DIREC TERM OF 3 YEARS EX ))	TOR	Manager	ment For	Fc	or.	
1B.	TORRE	ION OF DIRECTOR: AN E BATES (CLASS I DIRE TERM OF 3 YEARS EX ))	ECTOR	Manager	ment For	Fo	or	

1C.	ELECTION OF DIRECTOR: STEVE MCKEEVER (CLASS I DIRECTOR FOR A TERM OF 3 YEARS EXPIRING IN 2020)	Management For	For
2.	TO RATIFY THE SELECTION OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.	Management For	For

Account Number	Account Name		llot Unavai ares Shares	lable Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GL& 50,0 TRUST CO	,000 0	25-Mar-2017	23-May-2017
997GLQ	GLQ	STATE STREET 997GLQ 20,0 TRUST CO	,000 0	25-Mar-2017	23-May-2017
997GLO	GLO	STATE STREET 997GLO 8,30 TRUST CO	309 0	25-Mar-2017	23-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 168 TRUST CO	8,300 0	25-Mar-2017	23-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 301 TRUST CO	1,400 0	25-Mar-2017	23-May-2017
997AL49	GLO BNP PLEDGE	997AIS49ATE 100 STREET BANK & TRUST	0 826,99	25-Mar-2017	23-May-2017

997ALØ       GLV MS PLEDGE $\begin{array}{c} STATE \\ STREET \\ TRUST \\ CO \\ TRU$					CO					
997.ALJ       GLQ MS PLEDGE $97A_{PA}$ $10.00$ $0$ $25$ -Mar-2017 $23$ -May-2017         RUST CO         ARC $10.00$ $22$ -May-2017         ISO 4010L103 $10.00$ $22$ -May-2017         ISO 30400L1035 $10.00$ $20$ -May-2017         ISO 30400L1035 $10.00$ $20$ -May-2017         ISO 30400L1035 $10.00$ $20$ -May-2017         ISO 30400L1035 $10.00$ $10.00$ $10.00$ $10.00$ $10.00$ $10.00$ $10.00$ ISO 30400L1035 $10.00$ $10.00$ $10.00$ $10.00$ $10.00$ <td c<="" td=""><td>997AL60</td><td>)</td><td>GLV MS PLEDGE</td><td>997<i>A</i></td><td>STREET BANK Lo0 TRUST</td><td></td><td>0</td><td>25-Mar-2017</td><td>23-May-2017</td></td>	<td>997AL60</td> <td>)</td> <td>GLV MS PLEDGE</td> <td>997<i>A</i></td> <td>STREET BANK Lo0 TRUST</td> <td></td> <td>0</td> <td>25-Mar-2017</td> <td>23-May-2017</td>	997AL60	)	GLV MS PLEDGE	997 <i>A</i>	STREET BANK Lo0 TRUST		0	25-Mar-2017	23-May-2017
Secial problem       Secial problem         ICKE       MACC       ICK	997AL61		GLQ MS PLEDGE	, 997 <i>A</i>	STREET BANK LoI TRUST		0	25-Mar-2017	23-May-2017	
Ticker SymbolARCCMeeting Date $22-May-2017$ ISINUS04010L1035Agenda934560598 - ManagementRecord09-Mar-2017Ballot09-Mar-2017City /Country/United StatesVote Deadline Date19-May-2017SEDOL(s)Proposed Vote Deadline byFor/Against ManagementItemProposalProposed OF DIRECTORS, TO SELL OR OF DIRECTORS, TO SELL OR 	ARES CA	APITAL	CORPORATION							
$\begin{array}{c c c c c c c c } ISIN & US04010L1035 & Agenda & 934560598 & Management \\ Record Date & 09-Mar-2017 & Holding Recon \\ Date & 09-Mar-2017 \\ \hline \\ City & Country & I & Vite & Date \\ SEDOL(s) & United \\ States & Quick Code \\ \hline \\ Item & Proposal & For AuthORIZE THE COMPANY, WITH THE APPROVAL OF ITS BOARD \\ OF DIRECTORS, TO SELL OR \\ OF DIRECTORS$	Security		04010L103			Meet	ing Type	Special		
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Ticker Sy	mbol	ARCC			Meet	ing Date	22-May-2017	7	
City /     Country     /     United States     Vote Deadline Date     19-May-2017       SEDOL(s)     Quick Code     Proposed by     Vote     For/Against Management       TO AUTHORIZE THE COMPANY, WITH THE APPROVAL OF ITS BOARD OF DIRECTORS, TO SELL OR OTHERWISE ISSUE SHARES OF ITS COMMON STOCK AT A PRICE BELOW ITS THEN CURRENT NET     Nanagement For TO THE LIMITATIONS SET FORTH IN THE PROXY STATEMENT FOR THE SPECIAL MEETING OF STOCKHOLDERS (INCLUDING, DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).     Management For For     For       Account Number     Account Name     Internal Account Account STREET BANK     Unavailable Shares     Date Confirmed	ISIN		US04010L1035			Ager	ıda	934560598 -	Management	
City/     Country     / States     Date     19-May-2017       SEDOL(s)     Quick Code     Quick Code       Item     Proposal     Proposed by     Vote     For/Against Management       TO AUTHORIZE THE COMPANY, WITH THE APPROVAL OF ITS BOARD OF DIRECTORS, TO SELL OR OTHERWISE ISSUE SHARES OF ITS COMMON STOCK AT A PRICE BELOW ITS THEN CURRENT NET     Nanagement For STOCK AT A PRICE BELOW ITS THEN CURRENT NET     Management For THE NOT THE UNITATIONS SET FORTH IN THE PROXY STATEMENT FOR THE SPECIAL MEETING OF STOCKHOLDERS (INCLUDING,,DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).     Management For States     For       Account Name     Internal Account Shares     Ballot Shares     Unavailable Shares     Date Confirmed       997GLV     GLV     997GISTATE 50,000     0     28-Mar-2017     23-May-2017	Record Da	ate	09-Mar-2017				ing Recon	09-Mar-2017	7	
ItemProposedSro/Against ManagementItemProposedVoteFor/Against ManagementItemCOUTHORIZE THE COMPANY, WITH THE APPROVAL OF ITS BOARD OF DIRECTORS, TO SELL OR OTHERWISE ISSUE SHARES OF ITS COMMON STOCK AT A PRICE BELOW ITS THEN CURRENT NET TO THE LIMITATIONS SET FORTH IN THE PROXY STATEMENT FOR THE SPECIAL MEETING OF STOCKHOLDERS (INCLUDING, (DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR THE PROYS AL).Management For AsserForAccountAccount NameInternational Ballot Account Street BANKUnavailable SharesDate ConfirmedAccount NameInternational Street STOCK997 GLXSolono STREET BANK028-Mar-2017	City / C	Country		/			Deadline	19-May-201	7	
ItemProposalvoleManagementTO AUTHORIZE THE COMPANY, WITH THE APPROVAL OF ITS BOARD OF DIRECTORS, TO SELL OR OTHERWISE ISSUE SHARES OF ITS 				Blutes						
WITH THE APPROVAL OF ITS BOARD OF DIRECTORS, TO SELL OR OTHERWISE ISSUE SHARES OF ITS COMMON STOCK AT A PRICE BELOW ITS THEN CURRENT NETManagement ForFor1.ASSET VALUE PER SHARE SUBJECT TO THE LIMITATIONS SET FORTH IN THE PROXY STATEMENT FOR THE SPECIAL MEETING OF STOCKHOLDERS (INCLUDING, (DUE TO SPACE LIMITS, SEE PROYS ATATEMENT FOR FULL PROPOSAL).Management For Management ForForAccount NumberAccount NameInternal Account Street BANKBallot SharesUnavailable SharesDate Confirmed997GLVGLV997GISTATE STREET BANK50,000028-Mar-201723-May-2017	SEDOL(s)	5)		States			k Code			
997GLV GLV 997GISTATE 50,000 0 28-Mar-2017 23-May-2017 STREET BANK				States	-	Quic	For/A	-		
997GLV GLV 997GISTATE 50,000 0 28-Mar-2017 23-May-2017 STREET BANK	Item P T V C C C B B 1. T T S S S S S	Proposal FO AUTI WITH TH OF DIRE OTHERV COMMO BELOW ASSET V FO THE FO THE PROCKH STOCKH MUE T PROXY S	HE APPROVAL OF CORS, TO SELL WISE ISSUE SHAR ON STOCK AT A P ITS THEN CURRE ALUE PER SHAR LIMITATIONS SE OXY STATEMENT MEETING OF HOLDERS (INCLU TO SPACE LIMITS STATEMENT FOR	IPANY, F ITS BOA OR ES OF ITS RICE ENT NET E SUBJEC T FOR THE FOR THE DING, 5, SEE	by RD S CT Mana; IN	Quic <sup>sed</sup> Vote	For/A Mana	agement		
	Item P T V C C C C B B B 1. T T S S S S S S S S S S S	Proposal FO AUTI WITH TH OF DIRE OTHERV COMMO BELOW ASSET V FO THE FHE PRO STOCKH (DUE T PROXY S PROPOS	HE APPROVAL OF CORS, TO SELL VISE ISSUE SHAR ON STOCK AT A P ITS THEN CURRE /ALUE PER SHAR LIMITATIONS SE DXY STATEMENT L MEETING OF HOLDERS (INCLU TO SPACE LIMITS STATEMENT FOR AL).	APANY, F ITS BOA OR ES OF ITS RICE ENT NET E SUBJEC T FOR THE DING, SEE FULL Inter	by RD S CT Mana E	Quic sed Vote gement For	For/A Mana F	or		

		TRUST CO				
997GLQ	GLQ	STATE STREET 997GL& TRUST CO	20,000	0	28-Mar-2017	23-May-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	8,309	0	28-Mar-2017	23-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL 45 TRUST CO	168,300	0	28-Mar-2017	23-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	301,400	0	28-Mar-2017	23-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 TRUST CO	100	826,991	28-Mar-2017	23-May-2017
997AL60	GLV MS PLEDGE	STATE STREET 997AL60 TRUST CO	15,000	0	28-Mar-2017	23-May-2017
997AL61	GLQ MS PLEDGE	STATE STREET 997AL61 TRUST CO	110,000	0	28-Mar-2017	23-May-2017
MERCK & CO.,	INC.					
Security Ticker Symbol	58933Y105 MRK		Meeting Meeting		Annual 23-May-2017	,

ISIN		US58933Y1055			Agenda		934581439 - Management
Record	Date	27-Mar-2017			Holding Re Date	con	27-Mar-2017
City /	Country		/ United States		Vote Deadl Date	ine	22-May-2017
SEDOL	L(s)				Quick Code	e	
Item	Proposa	1		Proposed V by	ote	For/Aga Manage	
1A.	ELECT BRUN	ION OF DIRECTOF	R: LESLIE A.	Managemen	nt For	For	
1B.	ELECT R. CEC	ION OF DIRECTOF H	R: THOMAS	Managemen	nt For	For	
1C.	ELECT CRAIG	ION OF DIRECTOF	R: PAMELA J.	Managemen	nt For	For	
1D.	ELECT C. FRA	ION OF DIRECTOF ZIER	R: KENNETH	Managemen	nt For	For	
1E.	ELECT H. GLO	ION OF DIRECTOF CER	R: THOMAS	Managemen	nt For	For	
1F.		ION OF DIRECTOF ELLE B. LAZARUS	R:	Managemen	nt For	For	
1G.		ION OF DIRECTOF /ORTHY	R: JOHN H.	Managemen	nt For	For	
1H.	ELECT REPRE	ION OF DIRECTOF SAS	R: CARLOS E.	Managemen	nt For	For	
1I.	ELECT ROTHM	ION OF DIRECTOF IAN	R: PAUL B.	Managemen	nt For	For	
1 <b>J</b> .	ELECT F. RUSS	ION OF DIRECTOF 50	R: PATRICIA	Managemen	nt For	For	
1K.	ELECT THOMI	ION OF DIRECTOF PSON	R: CRAIG B.	Managemen	nt For	For	
1L.	ELECT P. WEE	ION OF DIRECTOF KS	R: WENDELL	Managemen	nt For	For	
1M.	ELECT WENDI	ION OF DIRECTOF ELL	R: PETER C.	Managemen	nt For	For	
2.	APPRO	INDING ADVISOR VE THE COMPENS AMED EXECUTIV	SATION OF	Managemen	nt For	For	
3.	THE FR TO APF	INDING ADVISOR EQUENCY OF FU PROVE THE COMP R NAMED EXECU ERS.	TURE VOTES ENSATION	Managemen	nt 3 Years	Aga	inst
4.	RATIFI	CATION OF THE		Managemen	nt For	For	

	APPOINTMENT OF THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.		
5.	SHAREHOLDER PROPOSAL REQUESTING AN INDEPENDENT BOARD CHAIRMAN.	Shareholder Against	For
6.	SHAREHOLDER PROPOSAL REQUESTING IMPLEMENTATION OF A SET OF EMPLOYEE PRACTICES IN ISRAEL/PALESTINE.	Shareholder Against	For
7.	SHAREHOLDER PROPOSAL REQUESTING A REPORT ON CONDUCTING BUSINESS IN CONFLICT- AFFECTED AREAS.	Shareholder Against	For
8.	SHAREHOLDER PROPOSAL REQUESTING A REPORT ON BOARD OVERSIGHT OF PRODUCT SAFETY AND QUALITY.	Shareholder Against	For

Account Number	Account Name	Internal Custodian Ballot Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 12,900 TRUST CO	0 11-Apr-2017	24-May-2017
997GLQ	GLQ	STATE STREET 997GLQ 13,900 TRUST CO	0 11-Apr-2017	24-May-2017
997GLO	GLO	STATE STREET 997GLO 34,500 TRUST CO	0 11-Apr-2017	24-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 100 TRUST CO	43,300 11-Apr-2017	24-May-2017
997AL47	GLQ BNP PLEDGE	997AIS#7ATE 38,200 STREET	41,800 11-Apr-2017	24-May-2017

	Edgar Filing: Clough Global Dividend & Income Fund - Form N-PX								
997AL4		GLO BNP PLEDGE	& T C S S 997AL & T C S S	RUST CO TATE TREET ANK WRUST CO TATE TREET	100			11-Apr-2017	24-May-2017
997AL	60	GLV MS PLEDGE	997AL	RUST	10,00	00	0	11-Apr-2017	24-May-2017
				20					
LIBER	TY INTI	ERACTIVE CORPORA	TION						
Security	у	53071M856				Meeting	g Type	Annual	
Ticker	Symbol	LVNTA				Meeting	g Date	24-May-201	7
ISIN		US53071M8560				Agenda		934605859 -	Management
Record	Date	03-Apr-2017				Holding Date	Recon	03-Apr-2017	,
City /	Countr	у /	United States			Vote De Date	eadline	23-May-201	7
SEDOL	L(S)					Quick C	Code		
Item	Propos	al		Propo by	sed V	ote	For/A Manag	gainst gement	
1.	DIREC	CTOR		Manag	Management				
	1	EVAN D. MALONE				For	Fo	r	
	2	DAVID E. RAPLEY				For	Fo	r	
	3	LARRY E. ROMRELL	_			For	Fo	r	
2.	SELEC INDEF	POSAL TO RATIFY T CTION OF KPMG LLP PENDENT AUDITORS L YEAR ENDING DEG 7	AS OUR FOR THE	Manag	gemen	t For	Fo	r	
3.	APPRO THE C	AY-ON-PAY PROPOS DVE, ON AN ADVISO OMPENSATION OF C D EXECUTIVE OFFIC	RY BASIS, DUR	Manag	gemen	t For	Fo	r	
4.	PROPO ADVIS	AY-ON-FREQUENCY DSAL, TO APPROVE, SORY BASIS, THE FR HICH STOCKHOLDEF	ON AN EQUENCY		gemen	t 3 Years	Fo	r	

### PROVIDED AN ADVISORY VOTE ON THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.

Account Number	Account Name	Internal Ballo Custodian Share	ot Unavaila es Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 14,20 TRUST CO	00 0	26-Apr-2017	25-May-2017
997GLQ	GLQ	STATE STREET 997GLQ 20,00 TRUST CO	00 0	26-Apr-2017	25-May-2017
997GLO	GLO	STATE STREET 997GLO 5,937 TRUST CO	7 0	26-Apr-2017	25-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 100 TRUST CO	50,900	26-Apr-2017	25-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 101 TRUST CO	136,300	26-Apr-2017	25-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 384,5 TRUST CO	525 0	26-Apr-2017	25-May-2017
997AL60	GLV MS PLEDGE	997AISSTATE 35,34 STREET BANK & TRUST	3 0	26-Apr-2017	25-May-2017

			C	0					
997AL	61	GLQ MS PLEDGE		ΓΑΤΕ ΓREET ANK	2,520	)	0	26-Apr-2017	25-May-2017
			TI Co	RUST					
ENVIS	SION HEA	ALTHCARE CORPORA		0					
Securit		29414D100				Meeting	g Type	Annual	
Ticker	Symbol	EVHC				Meeting	, Date	25-May-2017	7
ISIN		US29414D1000				Agenda		934582304 -	Management
Record	Date	29-Mar-2017				Holding Date	Recon	29-Mar-2017	,
City /	Country	7 <b>/</b>	United States			Vote De Date	eadline	24-May-2017	7
SEDOI	L(s)					Quick C	Code		
Item	Proposa	ıl		Propo by	sed Vo	ote	For/Ag Manag	gainst gement	
1.	DIREC	TOR		Manag	gemen	t			
	1 0	CAROL J. BURT				For	Fo	r	
	2 0	CHRISTOPHER A. HO	LDEN			For	Fo	r	
		CYNTHIA S. MILLER				For	Fo		
		RONALD A. WILLIAM				For	Fo	r	
2.	ADVIS HEALT	OVAL, ON A NON-BIN ORY BASIS, OF ENVI CHCARE CORPORATIO	SION ON'S	Manag	gemen	t For	Fo	r	
3.	ADVIS FREQU VOTES HEALT	VAL, ON A NON-BIN ORY BASIS, OF THE JENCY OF FUTURE AJ APPROVING ENVISI THCARE CORPORATIO	DVISORY ON ON'S	Manaş	gemen	t 3 Years	Ag	ainst	
4.	APPOIN TOUCH HEALT INDEPI ACCOU	ICATION OF THE NTMENT OF DELOITT HE LLP AS ENVISION CHCARE CORPORATION ENDENT REGISTERED JNTING FIRM FOR TH G DECEMBER 31, 201	ON'S O PUBLIC IE YEAR	Mana	gemen	t For	Fo	r	
Accour	nt	A an our of Name	Internal		Ballo	t	Unavaila	ble Data	Date

Account	Account Name	Internal	Ballot	Unavailable	Date
Number		Account Sha	Shares	Shares Vote Date	Confirmed

997GL	Q	GLQ	ST 997GI&	TATE TREET ANK V RUST O	9,900	)	0	14-Apr-2017	7 26-May-2017
997GL	0	GLO	ST 997GL&	RUST	23,90	00	0	14-Apr-2017	7 26-May-2017
997AL4	47	GLQ BNP PLEDGE	ST 997AI <mark>B</mark> &	FATE FREET ANK T RUST O	100		9,500	14-Apr-2017	7 26-May-2017
997AL4	49	GLO BNP PLEDGE	ST 997AL4 &	TATE TREET ANK RUST O	100		18,500	14-Apr-2017	7 26-May-2017
PENNY	YMAC M	ORTGAGE INVESTM							
Securit		70931T103				Meeting	g Type	Annual	
Ticker	Symbol	PMT				Meeting	g Date	25-May-20	017
ISIN		US70931T1034				Agenda		93458368	5 - Management
Record	Date	31-Mar-2017				Holding Date	g Recon	31-Mar-20	)17
City /	Country	· · · · · · · · · · · · · · · · · · ·	United States			Vote De Date	eadline	24-May-20	017
SEDOI	L(s)					Quick C	Code		
Item	Proposa	1		Propo by	sed V	ote		against gement	
1.	DIREC	ΓOR		Manag	gemen	t			
	1 F	PRESTON DUFAUCHA	ARD			For	Fo	or	
		NANCY MCALLISTER				For	Fo	or	
	3 8	STACEY D. STEWART	1			For	Fo	or	
2.	DELOI INDEPI ACCOU	TIFY THE APPOINTM TTE & TOUCHE LLP A ENDENT REGISTEREI JNTING FIRM FOR TH ENDING DECEMBER	AS OUR D PUBLIC IE FISCAL		gemen	t For	Fo	DE	

3.	VOTE,	PROVE, BY NON-BIND OUR EXECUTIVE ENSATION.	ING	Manag	gemen	t For	Fo	r	
4.	VOTE,	COMMEND, BY NON-E THE FREQUENCY OF JTIVE COMPENSATION	OUR	Manag	gemen	t 3 Years	Ag	gainst	
Accour Numbe	,	Account Name	Internal Accoun	ustodian	Ballo Share	t es	Unavaila Shares	ble Vote Date	Date Confirmed
997AL	45	GLV BNP PLEDGE	S 997AI& T	TATE TREET ANK S RUST O	10,31	4	79,400	15-Apr-2017	26-May-2017
997AL	47	GLQ BNP PLEDGE	S' S' 997AI <mark>A</mark> T	TATE TREET	7,088	l	199,900	15-Apr-2017	26-May-2017
997AL4	49	GLO BNP PLEDGE	S 997AI& T	TATE TREET ANK P RUST O	114		373,900	15-Apr-2017	26-May-2017
CRISP	R THERA	APEUTICS AG							
Securit	У	H17182108				Meeting	g Type	Annual	
Ticker	Symbol	CRSP				Meeting	g Date	31-May-2017	7
ISIN		CH0334081137				Agenda		934597812 -	Management
Record	Date	03-Apr-2017				Holding Date	Recon	03-Apr-2017	
City /	Country	/ S	witzerland	1		Vote De Date	eadline	30-May-2017	7
SEDOI	L(s)					Quick C	Code		
Item	Proposa	1		Propos by	sed Vo	ote	For/A Manaş	gainst gement	
1.	REPOR FINAN STATU	PPROVAL OF THE ANN AT, THE CONSOLIDATI CIAL STATEMENTS A TORY FINANCIAL MENTS OF THE COMP	ED ND THE	Manag	gement	t For	Fo	r	

FOR THE YEAR ENDED DECEMBER

90

	31, 2016.		
2.	THE APPROVAL OF THE APPROPRIATION OF FINANCIAL RESULTS.	Management For	For
3.	THE DISCHARGE OF THE MEMBERS OF THE BOARD OF DIRECTORS AND EXECUTIVE COMMITTEE.	Management For	For
4A.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: N. ANTHONY COLES, M.D., AS MEMBER AND CHAIRMAN.	Management For	For
4B.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: RODGER NOVAK, M.D.	Management For	For
4C.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: BRADLEY BOLZON, PH.D.	Management For	For
4D.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: ALI BEHBAHANI, M.D.	Management For	For
4E.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: KURT VON EMSTER.	Management For	For
4F.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: SIMEON J. GEORGE, M.D.	Management For	For
4G.	RE-ELECTION OF MEMBERS TO THE BOARD OF DIRECTOR: THOMAS WOIWODE, PH.D.	Management For	For
4H.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: PABLO CAGNONI, M.D.	Management For	For
5A.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: THOMAS WOIWODE, PH.D.	Management For	For
5B.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: PABLO CAGNONI, M.D.	Management For	For
5C.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: N. ANTHONY COLES, M.D.	Management For	For
5D.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: SIMEON J. GEORGE, M.D.	Management For	For
6A.		Management For	For

	BINDING VOTE ON TOTAL NON-PERFORMANCE- RELATED COMPENSATION FOR MEMBERS OF THE BOARD OF DIRECTORS FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.		
6B.	BINDING VOTE ON EQUITY FOR MEMBERS OF THE BOARD OF DIRECTORS FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.	Management For	For
6C.	BINDING VOTE ON TOTAL NON-PERFORMANCE- RELATED COMPENSATION FOR MEMBERS OF THE EXECUTIVE COMMITTEE FROM JULY 1, 2017 TO JUNE 30, 2018.	Management For	For
6D.	BINDING VOTE ON TOTAL VARIABLE COMPENSATION FOR MEMBERS OF THE EXECUTIVE COMMITTEE FOR THE CURRENT YEAR ENDING DECEMBER 31, 2017.	Management For	For
6E.	BINDING VOTE ON EQUITY FOR MEMBERS OF THE EXECUTIVE COMMITTEE FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.	Management For	For
7.	THE APPROVAL OF AN INCREASE IN THE CONDITIONAL SHARE CAPITAL FOR EMPLOYEE BENEFIT PLANS.	Management For	For
8.	THE APPROVAL OF THE AMENDED AND RESTATED CRISPR THERAPEUTICS AG 2016 STOCK OPTION AND INCENTIVE PLAN.	Management For	For
9.	THE RE-ELECTION OF THE INDEPENDENT VOTING RIGHTS REPRESENTATIVE.	Management For	For
10.	THE RE-ELECTION OF THE AUDITORS.	Management For	For
11.	THE APPROVAL OF THE CHANGE OF THE REGISTERED OFFICE.	Management For	For