

CORNERSTONE PROGRESSIVE RETURN FUND  
Form N-PX  
August 13, 2013

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT  
INVESTMENT COMPANY

Investment Company Act file number 811-22066

Cornerstone Progressive Return Fund

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(Exact name of registrant as specified in charter)

200A Executive Drive Edgewood, NY

11717

(Address of principal executive offices)

(Zip code)

Frank J. Maresca

AST Fund Solutions, LLC, 200A Executive Drive Edgewood, NY 11717

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(Name and address of agent for service)

Registrant's telephone number, including area code: (866) 668-6558

Date of fiscal year end: December 31

Date of reporting period: July 1, 2012 - June 30, 2013

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## ITEM 1. PROXY VOTING RECORD.

Company Name	Ticker	CUSIP	Meeting Date	Proposal Number	Proposal Description	Proponent	Mgmt Recommendation
Abbott Laboratories	ABT	02824100	4/26/2013	1.1	Elect Robert J. Alpern	Management	For
					Elect Roxanne S.		
Abbott Laboratories	ABT	02824100	4/26/2013	1.2	Austin	Management	For
Abbott Laboratories	ABT	02824100	4/26/2013	1.3	Elect Sally E. Blount	Management	For
					Elect W. James		
Abbott Laboratories	ABT	02824100	4/26/2013	1.4	Farrell	Management	For
					Elect Edward M.		
Abbott Laboratories	ABT	02824100	4/26/2013	1.5	Liddy	Management	For
					Elect Nancy		
Abbott Laboratories	ABT	02824100	4/26/2013	1.6	McKinstry	Management	For
					Elect Phebe N.		
Abbott Laboratories	ABT	02824100	4/26/2013	1.7	Novakovic	Management	For
					Elect William A.		
Abbott Laboratories	ABT	02824100	4/26/2013	1.8	Osborn	Management	For
					Elect Samuel C. Scott		
Abbott Laboratories	ABT	02824100	4/26/2013	1.9	III	Management	For
Abbott Laboratories	ABT	02824100	4/26/2013	1.10	Elect Glenn F. Tilton	Management	For
Abbott Laboratories	ABT	02824100	4/26/2013	1.11	Elect Miles D. White	Management	For
					Ratification of		
Abbott Laboratories	ABT	02824100	4/26/2013	2	Auditor	Management	For
					Advisory Vote on		
					Executive		
Abbott Laboratories	ABT	02824100	4/26/2013	3	Compensation	Management	For
					Shareholder Proposal		
					Regarding		
					Genetically Modified		
Abbott Laboratories	ABT	02824100	4/26/2013	4	Organisms	Shareholder	Against
					Shareholder Proposal		
					Regarding Lobbying		
Abbott Laboratories	ABT	02824100	4/26/2013	5	Report	Shareholder	Against
					Shareholder Proposal		
					Regarding		
					Independent Board		
Abbott Laboratories	ABT	02824100	4/26/2013	6	Chairman	Shareholder	Against
					Shareholder Proposal		
					Regarding Retention		
Abbott Laboratories	ABT	02824100	4/26/2013	7	of Shares	Shareholder	Against

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Abbott Laboratories	ABT	02824100	4/26/2013	8	Shareholder Proposal Regarding Excluding Compliance Costs for the Purposes of Executive Compensation	Shareholder	Against
Abbott Laboratories	ABT	02824100	4/26/2013	9	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	Shareholder	Against
Abbvie Inc.	ABBV	00287Y109	5/6/2013	1.1	Elect William Burnside	Management	For
Abbvie Inc.	ABBV	00287Y109	5/6/2013	1.2	Elect Edward Rapp	Management	For
Abbvie Inc.	ABBV	00287Y109	5/6/2013	1.3	Elect Roy Roberts	Management	For
Abbvie Inc.	ABBV	00287Y109	5/6/2013	2	Ratification of Auditor	Management	For
Abbvie Inc.	ABBV	00287Y109	5/6/2013	3	Advisory Vote on Executive Compensation	Management	For
Abbvie Inc.	ABBV	00287Y109	5/6/2013	4	Frequency of Advisory Vote on Executive Compensation	Management	1 Year
Abbvie Inc.	ABBV	00287Y109	5/6/2013	5	2013 Stock Incentive Plan	Management	For
Aberdeen Emerging Markets Smaller Company Opportunities Fund, Inc.	ETF	00301T102	3/14/2013	1	Amendments to Investment Objective/Investment Restriction	Management	For
Aberdeen Emerging Markets Smaller Company Opportunities Fund, Inc.	ETF	00301TA00	3/14/2013	1.1	Elect Enrique R. Arzac	Management	For
	ETF	00301TA00	3/14/2013	1.2		Management	For

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Aberdeen Emerging Markets Smaller Company Opportunities Fund, Inc.					Elect James J. Cattano		
Aberdeen Indonesia Fund Inc.	IF	00305P106	3/14/2013	1.1	Elect James J. Cattano	Management	For
Aberdeen Israel Fund Inc.	ISL	00301L109	3/14/2013	1.1	Elect Lawrence J. Fox	Management	For
Aberdeen Latin America Equity Fund, Inc.	LAQ	00306K106	3/14/2013	1.1	Elect Martin M. Torino	Management	For
Adams Express Co.	ADX	06212104	3/19/2013	1.1	Elect Enrique R. Arzac	Management	For
Adams Express Co.	ADX	06212104	3/19/2013	1.2	Elect Phyllis O. Bonanno	Management	For
Adams Express Co.	ADX	06212104	3/19/2013	1.3	Elect Kenneth J. Dale	Management	For
Adams Express Co.	ADX	06212104	3/19/2013	1.4	Elect Frederic A. Escherich	Management	For
Adams Express Co.	ADX	06212104	3/19/2013	1.5	Elect Roger W. Gale	Management	For
Adams Express Co.	ADX	06212104	3/19/2013	1.6	Elect Kathleen T. McGahran	Management	For
Adams Express Co.	ADX	06212104	3/19/2013	1.7	Elect Craig R. Smith	Management	For
Adams Express Co.	ADX	06212104	3/19/2013	1.8	Elect Mark E. Stoeckle	Management	For
Adams Express Co.	ADX	06212104	3/19/2013	2	Ratification of Auditor	Management	For
Advent Claymore Convertible Securities and Income Fund	AGC	007639107	10/24/2012	1	Elect Tracy Maitland	Management	For

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Advent/Claymore Enhanced Growth & Income Fund	LCM	00765E104	10/24/2012	1	Elect Tracy Maitland	Management	For
Advent/Claymore Enhanced Growth & Income Fund	LCM	00765E104	10/24/2012	2	Elect Ronald Nyberg	Management	For
AllianceBernstein Income Fund Inc.	ACG	01881E101	3/27/2013	1.1	Elect John H. Dobkin	Management	For
AllianceBernstein Income Fund Inc.	ACG	01881E101	3/27/2013	1.2	Elect Michael J. Downey	Management	For
AllianceBernstein Income Fund Inc.	ACG	01881E101	3/27/2013	1.3	Elect Nancy P. Jacklin	Management	For
AllianzGI Global Equity & Convertible Income Fund	NGZ	00119R108	12/19/2012	1.1	Elect Deborah DeCotis	Management	For
AllianzGI Global Equity & Convertible Income Fund	NGZ	00119R108	12/19/2012	1.2	Elect John Maney	Management	For
Allstate Corp.	ALL	020002101	5/21/2013	1	Elect F. Duane Ackerman	Management	For
Allstate Corp.	ALL	020002101	5/21/2013	2	Elect Robert D. Beyer	Management	For
Allstate Corp.	ALL	020002101	5/21/2013	3	Elect Kermit R. Crawford	Management	For
Allstate Corp.	ALL	020002101	5/21/2013	4	Elect Jack M. Greenberg	Management	For
Allstate Corp.	ALL	020002101	5/21/2013	5	Elect Herbert L. Henkel	Management	For
Allstate Corp.	ALL	020002101	5/21/2013	6	Elect Ronald T. LeMay	Management	For
Allstate Corp.	ALL	020002101	5/21/2013	7	Elect Andrea Redmond	Management	For
Allstate Corp.	ALL	020002101	5/21/2013	8	Elect H. John Riley, Jr.	Management	For
Allstate Corp.	ALL	020002101	5/21/2013	9	Elect John W. Rowe	Management	For
Allstate Corp.	ALL	020002101	5/21/2013	10	Elect Judith A. Sprieser	Management	For
Allstate Corp.	ALL	020002101	5/21/2013	11	Elect Mary Alice Taylor	Management	For

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Allstate Corp.	ALL	020002101	5/21/2013	12	Elect Thomas J. Wilson	Management	For
Allstate Corp.	ALL	020002101	5/21/2013	13	Advisory Vote on Executive Compensation	Management	For
Allstate Corp.	ALL	020002101	5/21/2013	14	2013 Equity Incentive Plan	Management	For
Allstate Corp.	ALL	020002101	5/21/2013	15	Ratification of Auditor	Management	For
Allstate Corp.	ALL	020002101	5/21/2013	16	Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against
Allstate Corp.	ALL	020002101	5/21/2013	17	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against
Alpine Global Dynamic Dividend Fund	AGD	020825E106	5/21/2013	1.1	Elect James A. Jacobson	Management	For
Alpine Global Dynamic Dividend Fund	AGD	020825E106	5/21/2013	1.2	Elect H. Guy Leibler	Management	For
Alpine Global Dynamic Dividend Fund	AGD	020825E106	5/21/2013	2	Transaction of Other Business	Management	For
Alpine Total Dynamic Dividend Fund	AOD	021060108	5/21/2013	1.1	Elect James A. Jacobson	Management	For
Alpine Total Dynamic Dividend Fund	AOD	021060108	5/21/2013	1.2	Elect H. Guy Leibler	Management	For
Alpine Total Dynamic Dividend Fund	AOD	021060108	5/21/2013	2	Transaction of Other Business	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	1	Elect David Baltimore	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	2	Elect Frank J. Biondi, Jr.	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	3	Elect Robert A. Bradway	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	4		Management	For

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						Elect François de Carbonnel		
Amgen Inc.	AMGN	031162100	5/22/2013	5		Elect Vance D. Coffman	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	6		Elect Robert A. Eckert	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	7		Elect Rebecca M. Henderson	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	8		Elect Frank C. Herringer	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	9		Elect Tyler Jacks	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	10		Elect Gilbert S. Omenn	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	11		Elect Judith C. Pelham	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	12		Elect Leonard D. Schaeffer	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	13		Elect Ronald D. Sugar	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	14		Ratification of Auditor	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	15		Advisory Vote on Executive Compensation	Management	For
Amgen Inc.	AMGN	031162100	5/22/2013	16		Amendment to the 2009 Equity Incentive Plan	Management	For
Apple Inc.	AAPL	037833100	2/27/2013	1.1		Elect William V. Campbell	Management	For
Apple Inc.	AAPL	037833100	2/27/2013	1.2		Elect Timothy D. Cook	Management	For
Apple Inc.	AAPL	037833100	2/27/2013	1.3		Elect Millard S. Drexler	Management	For
Apple Inc.	AAPL	037833100	2/27/2013	1.4		Elect Albert A. Gore, Jr.	Management	For
Apple Inc.	AAPL	037833100	2/27/2013	1.5		Elect Robert A. Iger	Management	For
Apple Inc.	AAPL	037833100	2/27/2013	1.6		Elect Andrea Jung	Management	For
Apple Inc.	AAPL	037833100	2/27/2013	1.7		Elect Arthur D. Levinson	Management	For
Apple Inc.	AAPL	037833100	2/27/2013	1.8		Elect Ronald D. Sugar	Management	For
Apple Inc.	AAPL	037833100	2/27/2013	2		Amendments to Articles of	Management	For

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Apple Inc.	AAPL	037833100	2/27/2013	3	Incorporation Ratification of Auditor	Management	For
Apple Inc.	AAPL	037833100	2/27/2013	4	Advisory Vote on Executive Compensation	Management	For
Apple Inc.	AAPL	037833100	2/27/2013	5	Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against
Apple Inc.	AAPL	037833100	2/27/2013	6	Shareholder Proposal Regarding Formation of Human Rights Committee	Shareholder	Against
AT&T, Inc.	T	00206R102	4/26/2013	1	Elect Randall L. Stephenson	Management	For
AT&T, Inc.	T	00206R102	4/26/2013	2	Elect Gilbert F. Amelio	Management	For
AT&T, Inc.	T	00206R102	4/26/2013	3	Elect Reuben V. Anderson	Management	For
AT&T, Inc.	T	00206R102	4/26/2013	4	Elect James H. Blanchard	Management	For
AT&T, Inc.	T	00206R102	4/26/2013	5	Elect Jaime Chico Pardo	Management	For
AT&T, Inc.	T	00206R102	4/26/2013	6	Elect Scott T. Ford	Management	For
AT&T, Inc.	T	00206R102	4/26/2013	7	Elect James P. Kelly	Management	For
AT&T, Inc.	T	00206R102	4/26/2013	8	Elect Jon C. Madonna	Management	For
AT&T, Inc.	T	00206R102	4/26/2013	9	Elect Michael B. McCallister	Management	For
AT&T, Inc.	T	00206R102	4/26/2013	10	Elect John B. McCoy	Management	For
AT&T, Inc.	T	00206R102	4/26/2013	11	Elect Joyce M. Roché	Management	For
AT&T, Inc.	T	00206R102	4/26/2013	12	Elect Matthew K. Rose	Management	For
AT&T, Inc.	T	00206R102	4/26/2013	13	Elect Laura D'Andrea Tyson	Management	For
AT&T, Inc.	T	00206R102	4/26/2013	14	Ratification of Auditor	Management	For
AT&T, Inc.	T	00206R102	4/26/2013	15	Advisory Vote on Executive Compensation	Management	For
AT&T, Inc.	T	00206R102	4/26/2013	16	Amendment to the Stock Purchase and Deferral Plan	Management	For



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AT&T, Inc.	T	00206R102	4/26/2013	17	Shareholder Proposal Regarding Political Spending	Shareholder	Against
AT&T, Inc.	T	00206R102	4/26/2013	18	Shareholder Proposal Regarding Lead Batteries	Shareholder	Against
AT&T, Inc.	T	00206R102	4/26/2013	19	Shareholder Proposal Regarding Shareholder Approval of Severance Agreements	Shareholder	Against
AT&T, Inc.	T	00206R102	4/26/2013	20	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.1	Elect Paul Audet	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.2	Elect Michael Castellano	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.3	Elect Richard Cavanagh	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.4	Elect Frank Fabozzi	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.5	Elect Kathleen Feldstein	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.6	Elect James Flynn	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.7	Elect Henry Gabbay	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.8	Elect Jerrold Harris	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.9	Elect R. Glenn Hubbard	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.10	Elect W. Carl Kester	Management	For

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BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	7/27/2012	1.11	Elect Karen Robards	Management	For
BlackRock Diversified Income Strategies Portfolio Inc.	DVF	09255W102	9/13/2012	1	BlackRock Floating Rate Income Strategies Fund II, Inc.	Management	For
BlackRock Enhanced Capital and Income Fund Inc.	CII	09256A109	7/27/2012	1.1	Elect Paul Audet	Management	For
BlackRock Enhanced Capital and Income Fund Inc.	CII	09256A109	7/27/2012	1.2	Elect Michael Castellano	Management	For
BlackRock Enhanced Capital and Income Fund Inc.	CII	09256A109	7/27/2012	1.3	Elect Richard Cavanagh	Management	For
BlackRock Enhanced Capital and Income Fund Inc.	CII	09256A109	7/27/2012	1.4	Elect Frank Fabozzi	Management	For
BlackRock Enhanced Capital and Income Fund Inc.	CII	09256A109	7/27/2012	1.5	Elect Kathleen Feldstein	Management	For
BlackRock Enhanced Capital and Income Fund Inc.	CII	09256A109	7/27/2012	1.6	Elect James Flynn	Management	For
BlackRock Enhanced Capital and Income Fund Inc.	CII	09256A109	7/27/2012	1.7	Elect Henry Gabbay	Management	For
BlackRock Enhanced Capital and Income Fund Inc.	CII	09256A109	7/27/2012	1.8	Elect Jerrold Harris	Management	For
BlackRock Enhanced Capital and Income Fund Inc.	CII	09256A109	7/27/2012	1.9	Elect R. Glenn Hubbard	Management	For
BlackRock Enhanced Capital and Income Fund Inc.	CII	09256A109	7/27/2012	1.10	Elect W. Carl Kester	Management	For
BlackRock Enhanced Capital and Income Fund Inc.	CII	09256A109	7/27/2012	1.11	Elect Karen Robards	Management	For
BlackRock Enhanced Equity Dividend Trust	BDJ	09251A104	7/27/2012	1.1	Elect Frank Fabozzi	Management	For
BlackRock Enhanced	BDJ	09251A104	7/27/2012	1.2	Elect James Flynn	Management	For

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Equity Dividend Trust

BlackRock Enhanced Equity Dividend Trust	BDJ	09251A104	7/27/2012	1.3	Elect Karen Robards	Management	For
BlackRock International Growth and Income Trust	BGY	092524107	7/27/2012	1.1	Elect Frank Fabozzi	Management	For
BlackRock International Growth and Income Trust	BGY	092524107	7/27/2012	1.2	Elect James Flynn	Management	For
BlackRock International Growth and Income Trust	BGY	092524107	7/27/2012	1.3	Elect Karen Robards	Management	For
BlackRock MuniHoldings Quality Fund II Inc.	MUE	09254C107	7/27/2012	1.1	Elect Paul Audet	Management	For
BlackRock MuniHoldings Quality Fund II Inc.	MUE	09254C107	7/27/2012	1.2	Elect Michael Castellano	Management	For
BlackRock MuniHoldings Quality Fund II Inc.	MUE	09254C107	7/27/2012	1.3	Elect Richard Cavanagh	Management	For
BlackRock MuniHoldings Quality Fund II Inc.	MUE	09254C107	7/27/2012	1.4	Elect Kathleen Feldstein	Management	For
BlackRock MuniHoldings Quality Fund II Inc.	MUE	09254C107	7/27/2012	1.5	Elect James Flynn	Management	For
BlackRock MuniHoldings Quality Fund II Inc.	MUE	09254C107	7/27/2012	1.6	Elect Henry Gabbay	Management	For
BlackRock MuniHoldings Quality Fund II Inc.	MUE	09254C107	7/27/2012	1.7	Elect Jerrold Harris	Management	For
BlackRock MuniHoldings Quality Fund II Inc.	MUE	09254C107	7/27/2012	1.8	Elect R. Glenn Hubbard	Management	For
BlackRock MuniHoldings Quality Fund II Inc.	MUE	09254C107	7/27/2012	1.9	Elect Karen Robards	Management	For
BlackRock Resources & Commodities Strategy Trust	BCX	09257A108	7/27/2012	1.1	Elect Frank Fabozzi	Management	For
	BCX	09257A108	7/27/2012	1.2	Elect James Flynn	Management	For

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BlackRock Resources & Commodities Strategy Trust	BCX	09257A108	7/27/2012	1.3	Elect Karen Robards	Management	For
BlackRock Resources & Commodities Strategy Trust	BCX	09257A108	7/27/2012	1.3	Elect Karen Robards	Management	For
Central Europe and Russia Fund Inc.	CEE	153436100	4/19/2013	1	Amendment to Investment Objective	Management	For
Central Europe, Russia and Turkey Fund Inc.	CEE	153436100	6/26/2013	1.1	Elect Wilhelm Bender	Management	For
Central Europe, Russia and Turkey Fund Inc.	CEE	153436100	6/26/2013	1.2	Elect Detlef Bierbaum	Management	For
Central Europe, Russia and Turkey Fund Inc.	CEE	153436100	6/26/2013	1.3	Elect Richard Karl Goeltz	Management	For
Central Europe, Russia and Turkey Fund Inc.	CEE	153436100	6/26/2013	2	Ratification of Auditor	Management	For
Clough Global Allocation Fund	GLV	18913Y103	7/16/2012	1.1	Elect James Canty	Management	For
Clough Global Allocation Fund	GLV	18913Y103	7/16/2012	1.2	Elect Robert Butler	Management	For
Clough Global Allocation Fund	GLV	18913Y103	7/16/2012	1.3	Elect Richard Rantzow	Management	For
Clough Global Equity Fund	GLQ	18914C100	7/16/2012	1.1	Elect Andrew Boynton	Management	For
Clough Global Equity Fund	GLQ	18914C100	7/16/2012	1.2	Elect Adam Crescenzi	Management	For
Clough Global Equity Fund	GLQ	18914C100	7/16/2012	1.3	Elect Jerry Rutledge	Management	For
Clough Global Opportunities Fund.	GLO	18914E106	7/16/2012	1.1	Elect Edmund Burke	Management	For
Clough Global Opportunities Fund.	GLO	18914E106	7/16/2012	1.2	Elect John Mee	Management	For
Cohen & Steers Dividend Majors Fund, Inc.	DVM	19248G106	4/25/2013	1.1	Elect Martin Cohen	Management	For
Cohen & Steers Dividend Majors	DVM	19248G106	4/25/2013	1.2	Elect Richard J. Norman	Management	For

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Fund, Inc.

Cohen & Steers Dividend Majors Fund, Inc.	DVM	19248G106	4/25/2013	1.3	Elect Frank K. Ross	Management	For
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/25/2013	1.1	Elect Martin Cohen	Management	For
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/25/2013	1.2	Elect Richard J. Norman	Management	For
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/25/2013	1.3	Elect Frank K. Ross	Management	For
Comcast Corp.	CMCSA	20030N101	5/15/2013	3	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	Shareholder	Against
Comcast Corp.	CMCSA	20030N101	5/15/2013	4	Shareholder Proposal Regarding Recapitalization	Shareholder	Against
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.1	Elect Kenneth J. Bacon	Management	For
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.2	Elect Sheldon M. Bonovitz	Management	For
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.3	Elect Joseph J. Collins	Management	For
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.4	Elect J. Michael Cook	Management	For
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.5	Elect Gerald L. Hassell	Management	For
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.6	Elect Jeffrey A. Honickman	Management	For
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.7	Elect Eduardo G. Mestre	Management	For
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.8	Elect Brian L. Roberts	Management	For
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.9	Elect Ralph J. Roberts	Management	For
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.10	Elect Johnathan A. Rodgers	Management	For
Comcast Corp.	CMCSA	20030N101	5/15/2013	1.11	Elect Judith Rodin	Management	For
Comcast Corp.	CMCSA	20030N101	5/15/2013	2	Ratification of Auditor	Management	For
ConocoPhillips	COP	20825C104	5/14/2013	1		Management	For

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					Elect Richard L. Armitage		
ConocoPhillips	COP	20825C104	5/14/2013	2	Elect Richard H. Auchinleck	Management	For
ConocoPhillips	COP	20825C104	5/14/2013	3	Elect James E. Copeland, Jr.	Management	For
ConocoPhillips	COP	20825C104	5/14/2013	4	Elect Jody L Freeman	Management	For
ConocoPhillips	COP	20825C104	5/14/2013	5	Elect Gay Huey Evans	Management	For
ConocoPhillips	COP	20825C104	5/14/2013	6	Elect Ryan M. Lance	Management	For
ConocoPhillips	COP	20825C104	5/14/2013	7	Elect Mohd H. Marican	Management	For
ConocoPhillips	COP	20825C104	5/14/2013	8	Elect Robert A. Niblock	Management	For
ConocoPhillips	COP	20825C104	5/14/2013	9	Elect Harald J. Norvik	Management	For
ConocoPhillips	COP	20825C104	5/14/2013	10	Elect William E. Wade, Jr.	Management	For
ConocoPhillips	COP	20825C104	5/14/2013	11	Ratification of Auditor	Management	For
ConocoPhillips	COP	20825C104	5/14/2013	12	Advisory Vote on Executive Compensation	Management	For
ConocoPhillips	COP	20825C104	5/14/2013	13	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against
ConocoPhillips	COP	20825C104	5/14/2013	14	Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions	Shareholder	Against
ConocoPhillips	COP	20825C104	5/14/2013	15	Shareholder Proposal Regarding Adopting Gender Identity and Expression Anti-Bias Policy	Shareholder	Against
Cutwater Select Income Fund	CSI	232229104	6/26/2013	1.1	Elect W. Thacher Brown	Management	For
Cutwater Select Income Fund	CSI	232229104	6/26/2013	1.2	Elect Ellen D. Harvey	Management	For
Cutwater Select Income Fund	CSI	232229104	6/26/2013	1.3	Elect Thomas E. Spock	Management	For

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Cutwater Select Income Fund	CSI	232229104	6/26/2013	1.4	Elect Suzanne P. Welsh	Management	For
CVS Caremark Corp.	CVS	126650100	5/9/2013	1	Elect C. David Brown II	Management	For
CVS Caremark Corp.	CVS	126650100	5/9/2013	2	Elect David W. Dorman	Management	For
CVS Caremark Corp.	CVS	126650100	5/9/2013	3	Elect Anne M. Finucane	Management	For
CVS Caremark Corp.	CVS	126650100	5/9/2013	4	Elect Kristen E. Gibney Williams	Management	For
CVS Caremark Corp.	CVS	126650100	5/9/2013	5	Elect Larry J. Merlo	Management	For
CVS Caremark Corp.	CVS	126650100	5/9/2013	6	Elect Jean-Pierre Millon	Management	For
CVS Caremark Corp.	CVS	126650100	5/9/2013	7	Elect Richard J. Swift	Management	For
CVS Caremark Corp.	CVS	126650100	5/9/2013	8	Elect William C. Weldon	Management	For
CVS Caremark Corp.	CVS	126650100	5/9/2013	9	Elect Tony L. White	Management	For
CVS Caremark Corp.	CVS	126650100	5/9/2013	10	Ratification of Auditor	Management	For
CVS Caremark Corp.	CVS	126650100	5/9/2013	11	Advisory Vote on Executive Compensation	Management	For
CVS Caremark Corp.	CVS	126650100	5/9/2013	12	Amendment to the 2007 Employee Stock Purchase Plan	Management	For
CVS Caremark Corp.	CVS	126650100	5/9/2013	13	Reduction of Voting Thresholds in the Fair Price Provision	Management	For
CVS Caremark Corp.	CVS	126650100	5/9/2013	14	Shareholder Proposal Regarding Report on Political Spending	Shareholder	Against
CVS Caremark Corp.	CVS	126650100	5/9/2013	15	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	Shareholder	Against
CVS Caremark Corp.	CVS	126650100	5/9/2013	16	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against
Delaware Investments National Municipal	VFL	24610T108	8/22/2012	1.1	Elect Thomas Bennett	Management	For

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Income Fund

Delaware Investments

National Municipal

Income Fund	VFL	24610T108	8/22/2012	1.2	Elect Patrick Coyne	Management	For
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Delaware Investments

National Municipal

Income Fund	VFL	24610T108	8/22/2012	1.3	Elect John Fry	Management	For
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Delaware Investments

National Municipal

Income Fund	VFL	24610T108	8/22/2012	1.4	Elect Anthony Knerr	Management	For
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Delaware Investments

National Municipal

Income Fund	VFL	24610T108	8/22/2012	1.5	Elect Lucinda Landreth	Management	For
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Delaware Investments

National Municipal

Income Fund	VFL	24610T108	8/22/2012	1.6	Elect Ann Leven	Management	For
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Delaware Investments

National Municipal

Income Fund	VFL	24610T108	8/22/2012	1.7	Elect Frances Sevilla-Sacasa	Management	For
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DIRECTV	DTV	25490A309	5/2/2013	1	Elect Neil R. Austrian	Management	For
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DIRECTV	DTV	25490A309	5/2/2013	2	Elect Ralph F. Boyd, Jr.	Management	For
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DIRECTV	DTV	25490A309	5/2/2013	3	Elect Abelardo E. Bru	Management	For
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DIRECTV	DTV	25490A309	5/2/2013	4	Elect David B. Dillon	Management	For
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DIRECTV	DTV	25490A309	5/2/2013	5	Elect Samuel A. DiPiazza, Jr.	Management	For
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DIRECTV	DTV	25490A309	5/2/2013	6	Elect Dixon R. Doll	Management	For
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DIRECTV	DTV	25490A309	5/2/2013	7	Elect Charles R. Lee	Management	For
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DIRECTV	DTV	25490A309	5/2/2013	8	Elect Peter A. Lund	Management	For
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DIRECTV	DTV	25490A309	5/2/2013	9	Elect Nancy S. Newcomb	Management	For
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DIRECTV	DTV	25490A309	5/2/2013	10	Elect Lorrie M. Norrington	Management	For
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DIRECTV	DTV	25490A309	5/2/2013	11	Elect Michael D. White	Management	For
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DIRECTV	DTV	25490A309	5/2/2013	12	Ratification of Auditor	Management	For
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DIRECTV	DTV	25490A309	5/2/2013	13	Advisory Vote on Executive Compensation	Management	For
DIRECTV	DTV	25490A309	5/2/2013	14	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	Shareholder	Against
DIRECTV	DTV	25490A309	5/2/2013	15	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against
DIRECTV	DTV	25490A309	5/2/2013	16	Shareholder Proposal Regarding Right to Act by Written Consent	Shareholder	Against
Discover Financial Services	DFS	254709108	4/17/2013	1	Elect Jeffrey S. Aronin	Management	For
Discover Financial Services	DFS	254709108	4/17/2013	2	Elect Mary K. Bush	Management	For
Discover Financial Services	DFS	254709108	4/17/2013	3	Elect Gregory C. Case	Management	For
Discover Financial Services	DFS	254709108	4/17/2013	4	Elect Cynthia A. Glassman	Management	For
Discover Financial Services	DFS	254709108	4/17/2013	5	Elect Richard H. Lenny	Management	For
Discover Financial Services	DFS	254709108	4/17/2013	6	Elect Thomas G. Maheras	Management	For
Discover Financial Services	DFS	254709108	4/17/2013	7	Elect Michael H. Moskow	Management	For
Discover Financial Services	DFS	254709108	4/17/2013	8	Elect David W. Nelms	Management	For
Discover Financial Services	DFS	254709108	4/17/2013	9	Elect E. Follin Smith	Management	For
Discover Financial Services	DFS	254709108	4/17/2013	10	Elect Mark A. Thierer	Management	For
Discover Financial Services	DFS	254709108	4/17/2013	11	Elect Lawrence A. Weinbach	Management	For
Discover Financial Services	DFS	254709108	4/17/2013	12	Advisory Vote on Executive Compensation	Management	For
Discover Financial Services	DFS	254709108	4/17/2013	13	Ratification of Auditor	Management	For
Dividend and Income	DNI	25538A105	9/12/2012	1	Approval of a New	Management	For

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Fund, Inc.					Investment Advisory Agreement		
Dividend and Income Fund, Inc.	DNI	25538A105	9/12/2012	2	Ratification of Auditor	Management	For
DWS Global High Income Fund Inc.	LBF	23338W104	9/28/2012	1.1	Elect John Ballantine	Management	For
DWS Global High Income Fund Inc.	LBF	23338W104	9/28/2012	1.2	Elect Henry Becton, Jr.	Management	For
DWS Global High Income Fund Inc.	LBF	23338W104	9/28/2012	1.3	Elect Dawn-Marie Driscoll	Management	For
DWS Global High Income Fund Inc.	LBF	23338W104	9/28/2012	1.4	Elect Paul Freeman	Management	For
Eaton Vance Enhanced Equity Income Fund	EOI	278274105	7/20/2012	1.1	Elect Scott Eston	Management	For
Eaton Vance Enhanced Equity Income Fund	EOI	278274105	7/20/2012	1.2	Elect William Park	Management	For
Eaton Vance Enhanced Equity Income Fund	EOI	278274105	7/20/2012	1.3	Elect Ronald Pearlman	Management	For
Eaton Vance Enhanced Equity Income Fund	EOI	278274105	7/20/2012	1.4	Elect Harriett Taggart	Management	For
Eaton Vance Enhanced Equity Income Fund II	EOS	278277108	4/19/2013	1.1	Elect Lynn A. Stout	Management	For
Eaton Vance Enhanced Equity Income Fund II	EOS	278277108	4/19/2013	1.2	Elect Harriet T. Taggart	Management	For
Eaton Vance Enhanced Equity Income Fund II	EOS	278277108	4/19/2013	1.3	Elect Ralph F. Verni	Management	For
Eaton Vance Municipal Bond Fund	EIM	27827X101	7/20/2012	1.1	Elect William Park	Management	For

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Eaton Vance Municipal Bond Fund	EIM	27827X101	7/20/2012	1.2	Elect Lynn Stout	Management	For
Eaton Vance Municipal Bond Fund	EIM	27827X101	7/20/2012	1.3	Elect Ralph Verni	Management	For
Eaton Vance Municipal Bond Fund	EIM	27827X101	7/20/2012	1.4	Elect Scott Eston	Management	For
Eaton Vance Municipal Bond Fund	EIM	27827X101	7/20/2012	1.5	Elect Harriett Taggart	Management	For
Eaton Vance Tax Advantage Global Dividends Opportunities Fund	ETO	27828U106	2/15/2013	1.1	Elect Ronald Pearlman	Management	For
Eaton Vance Tax Advantage Global Dividends Opportunities Fund	ETO	27828U106	2/15/2013	1.2	Elect Helen Peters	Management	For
Eaton Vance Tax Advantage Global Dividends Opportunities Fund	ETO	27828U106	2/15/2013	1.3	Elect Ralph Verni	Management	For
Eaton Vance Tax-Managed Diversified Equity Income Fund	ETY	27828N102	8/24/2012	1.1	Elect Scott Eston	Management	For
Eaton Vance Tax-Managed Diversified Equity Income Fund	ETY	27828N102	8/24/2012	1.2	Elect Harriett Taggart	Management	For
Eaton Vance Tax-Managed Diversified Equity Income Fund	ETY	27828N102	8/24/2012	1.3	Elect Helen Peters	Management	For
Eaton Vance Tax-Managed Diversified Equity Income Fund	ETY	27828N102	8/24/2012	1.4	Elect Lynn Stout	Management	For
Eaton Vance Tax-Managed Diversified Equity Income Fund	ETY	27828N102	8/24/2012	1.5	Elect Ralph Verni	Management	For
Eaton Vance Tax-Managed Global	ETW	27829C105	4/19/2013	1.1	Elect William H. Park	Management	For

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Buy-Write Opportunities Fund								
Eaton Vance Tax-Managed Global Buy-Write Opportunities Fund	ETW	27829C105	4/19/2013	1.2	Elect Ronald A. Pearlman	Management	For	
Eaton Vance Tax-Managed Global Buy-Write Opportunities Fund	ETW	27829C105	4/19/2013	1.3	Elect Harriet T. Taggart	Management	For	
Eaton Vance Tax-Managed Global Diversified Equity Income Fund	EXG	27829F108	8/24/2012	1.1	Elect Scott Eston	Management	For	
Eaton Vance Tax-Managed Global Diversified Equity Income Fund	EXG	27829F108	8/24/2012	1.2	Elect Ronald Pearlman	Management	For	
Eaton Vance Tax-Managed Global Diversified Equity Income Fund	EXG	27829F108	8/24/2012	1.3	Elect Helen Peters	Management	For	
Eaton Vance Tax-Managed Global Diversified Equity Income Fund	EXG	27829F108	8/24/2012	1.4	Elect Lynn Stout	Management	For	
Eaton Vance Tax-Managed Global Diversified Equity Income Fund	EXG	27829F108	8/24/2012	1.5	Elect Ralph Verni	Management	For	
Federated Enhanced Treasury Income Fund	FTT	314162108	9/14/2012	1.1	Elect J. Christopher Donahue	Management	For	
Federated Enhanced Treasury Income Fund	FTT	314162108	9/14/2012	1.2	Elect Peter Madden	Management	For	
Federated Enhanced Treasury Income Fund	FTT	314162108	9/14/2012	1.3	Elect Charles Mansfield, Jr.	Management	For	
Federated Enhanced Treasury Income Fund	FTT	314162108	9/14/2012	2	Amendment to Declaration of Trust Regarding Director Age	Management	For	
First Trust High Income Long/Short	FSD	33738E109	4/17/2013	1.1	Elect James A. Bowen	Management	For	

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Fund

First Trust High Income Long/Short Fund	FSD	33738E109	4/17/2013	1.2	Elect Niel B. Nielson	Management	For
First Trust Mortgage Income Fund	FMY	33734E103	4/17/2013	1.1	Elect James A. Bowen	Management	For
First Trust Mortgage Income Fund	FMY	33734E103	4/17/2013	1.2	Elect Niel B. Nielson	Management	For
First Trust/Aberdeen Emerging Opportunity Fund	FEO	33731L100	4/17/2013	1.1	Elect James A. Bowen	Management	For
First Trust/Aberdeen Emerging Opportunity Fund	FEO	33731L100	4/17/2013	1.2	Elect Niel B. Nielson	Management	For
Franklin Universal Trust	FT	355145103	3/22/2013	1.1	Elect Harris J. Ashton	Management	For
Franklin Universal Trust	FT	355145103	3/22/2013	1.2	Elect Sam Ginn	Management	For
Franklin Universal Trust	FT	355145103	3/22/2013	1.3	Elect Edith E. Holiday	Management	For
Franklin Universal Trust	FT	355145103	3/22/2013	1.4	Elect Charles B. Johnson	Management	For
Franklin Universal Trust	FT	355145103	3/22/2013	1.5	Elect Rupert H. Johnson, Jr.	Management	For
Franklin Universal Trust	FT	355145103	3/22/2013	1.6	Elect J. Michael Luttig	Management	For
Franklin Universal Trust	FT	355145103	3/22/2013	1.7	Elect Frank A. Olson	Management	For
Franklin Universal Trust	FT	355145103	3/22/2013	1.8	Elect Larry D. Thompson	Management	For
Franklin Universal Trust	FT	355145103	3/22/2013	1.9	Elect John B. Wilson	Management	For
	GCV	36240B109	5/13/2013	1.1	Elect Mario J. Gabelli	Management	For

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Gabelli Convertible  
and Income Securities  
Fund Inc.

GDL Fund	GDL	361570104	5/13/2013	1.1	Elect Edward T. Tokar	Management	For
GDL Fund	GDL	361570104	5/13/2013	1.2	Elect Salvatore J. Zizza	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.1	Elect Rodney B. Berens	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.2	Elect Lewis B. Cullman	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.3	Elect Spencer Davidson	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.4	Elect Gerald M. Edelman	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.5	Elect John D. Gordan, III	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.6	Elect Betsy F. Gotbaum	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.7	Elect Sidney R. Knafel	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.8	Elect Daniel M. Neidich	Management	For

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General American Investors Co., Inc.	GAM	368802104	4/10/2013	1.9	Elect Jeffrey W. Priest	Management	For
General American Investors Co., Inc.	GAM	368802104	4/10/2013	2	Ratification of Auditor	Management	For
Global Income & Currency Fund Inc.	GCF	378968101	11/16/2012	1	Reorganization	Management	For
Greater China Fund, Inc.	GCH	39167B102	11/1/2012	1	Approval of a New Investment Management Agreement	Management	For
Greater China Fund, Inc.	GCH	39167B102	6/14/2013	1.1	Elect Gregory A. Hazlett	Management	For
Greater China Fund, Inc.	GCH	39167B102	6/14/2013	1.2	Elect Moritz A. Sell	Management	For
Greater China Fund, Inc.	GCH	39167B102	6/14/2013	1.3	Elect John Bult	Management	For
Guggenheim Equal Weight Enhanced Equity Income Fund	GOF	40167M106	4/3/2013	1	Elect Donald C. Cacciapaglia	Management	For
Guggenheim Equal Weight Enhanced Equity Income Fund	GOF	40167M106	4/3/2013	2	Elect Robert B. Karn III	Management	For
Guggenheim Equal Weight Enhanced Equity Income Fund	GOF	40167M106	4/3/2013	3	Elect Ronald E. Toupin, Jr.	Management	For

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Helios High Yield Fund	HHY	42328Q109	11/15/2012	1.1	Elect Stuart McFarland	Management	For
India Fund Inc.	IFN	454089103	5/17/2013	1.1	Elect J. Marc Hardy	Management	For
India Fund Inc.	IFN	454089103	5/17/2013	1.2	Elect Hugh Young	Management	For
ING Global Advantage and Premium Opportunity Fund	IGA	44982N109	7/5/2012	1.1	Elect Colleen Baldwin	Management	For
ING Global Advantage and Premium Opportunity Fund	IGA	44982N109	7/5/2012	1.2	Elect John Boyer	Management	For
ING Global Advantage and Premium Opportunity Fund	IGA	44982N109	7/5/2012	1.3	Elect Patricia Chadwick	Management	For
ING Global Advantage and Premium Opportunity Fund	IGA	44982N109	12/20/2012	1	Approval of a New Sub-Advisory Agreement	Management	For
ING Global Advantage and Premium Opportunity Fund	IGA	44982N109	6/6/2013	1	Approval of a New Investment Advisory Agreement	Management	For
ING Global Advantage and Premium Opportunity Fund	IGA	44982N109	6/6/2013	2	Approval of a New Sub-Advisory Agreement	Management	For
ING Global Advantage and Premium Opportunity Fund	IGA	44982N109	6/6/2013	3	Approval of a New Sub-Advisory Agreement	Management	For



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Fund

ING Global Advantage and Premium Opportunity Fund	IGA	44982N109	6/6/2013	4.1	Elect John V. Boyer	Management	For
ING Global Advantage and Premium Opportunity Fund	IGA	44982N109	6/6/2013	4.2	Elect Patricia W. Chadwick	Management	For
ING Global Advantage and Premium Opportunity Fund	IGA	44982N109	6/6/2013	4.3	Elect Albert E. DePrince, Jr.	Management	For
ING Global Advantage and Premium Opportunity Fund	IGA	44982N109	6/6/2013	4.4	Elect Martin J. Gavin	Management	For
ING Global Advantage and Premium Opportunity Fund	IGA	44982N109	6/6/2013	4.5	Elect Sheryl K. Pressler	Management	For
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	5/6/2013	1	Approval of a New Investment Advisory Agreement	Management	For
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	5/6/2013	2	Approval of a New Sub-Advisory Agreement	Management	For
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	5/6/2013	3.1	Elect John V. Boyer	Management	For
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	5/6/2013	3.2	Elect Patricia W. Chadwick	Management	For

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Premium Opportunity Fund								
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	5/6/2013	3.3	Elect Albert E. DePrince, Jr.	Management	For	
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	5/6/2013	3.4	Elect Martin J. Gavin	Management	For	
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	5/6/2013	3.5	Elect Sheryl K. Pressler	Management	For	
ING Global Equity Dividend and Premium Opportunity Fund	IGD	45684E107	5/6/2013	4	Approval of a New Sub-Advisory Agreement	Management	For	
ING Risk Managed Natural Resources Fund	IRR	449810100	7/5/2012	1.1	Elect Colleen Baldwin	Management	For	
ING Risk Managed Natural Resources Fund	IRR	449810100	7/5/2012	1.2	Elect John Boyer	Management	For	
ING Risk Managed Natural Resources Fund	IRR	449810100	7/5/2012	1.3	Elect Patricia Chadwick	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	1	Elect Alain J. P. Belda	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	2	Elect William R. Brody	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	3	Elect Kenneth I. Chenault	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	4	Elect Michael L. Eskew	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	5	Elect David N. Farr	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	6	Elect Shirley A. Jackson	Management	For	
International Business Machines Corp.	IBM	459200101	4/30/2013	7	Elect Andrew N. Liveris	Management	For	

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International Business Machines Corp.	IBM	459200101	4/30/2013	8	Elect W. James McNeerney, Jr.	Management	For
International Business Machines Corp.	IBM	459200101	4/30/2013	9	Elect James W. Owens	Management	For
International Business Machines Corp.	IBM	459200101	4/30/2013	10	Elect Virginia M. Rometty	Management	For
International Business Machines Corp.	IBM	459200101	4/30/2013	11	Elect Joan E. Spero	Management	For
International Business Machines Corp.	IBM	459200101	4/30/2013	12	Elect Sidney Taurel	Management	For
International Business Machines Corp.	IBM	459200101	4/30/2013	13	Elect Lorenzo H Zambrano	Management	For
International Business Machines Corp.	IBM	459200101	4/30/2013	14	Ratification of Auditor	Management	For
International Business Machines Corp.	IBM	459200101	4/30/2013	15	Advisory Vote on Executive Compensation	Management	For
International Business Machines Corp.	IBM	459200101	4/30/2013	16	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against
International Business Machines Corp.	IBM	459200101	4/30/2013	17	Shareholder Proposal Regarding Right to Act by Written Consent	Shareholder	Against
International Business Machines Corp.	IBM	459200101	4/30/2013	18	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against
International Business Machines Corp.	IBM	459200101	4/30/2013	19	Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against
Invesco Quality Municipal Income Trust	IQI	61745P874	6/24/2013	1.1	Elect Michael F. Klein	Management	For
Invesco Quality Municipal Income Trust	IQI	61745P874	6/24/2013	1.2	Elect Michael E. Nugent	Management	For
Invesco Quality Municipal Income Trust	IQI	61745P874	6/24/2013	1.3	Elect W. Allen Reed	Management	For
Invesco Value Munciipal Bond Trust	IMC	46130Y101	7/17/2012	1	Redomestication	Management	For

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Invesco Value Municipal Bond Trust	IMC	46130Y101	7/17/2012	2	Reorganization	Management	For
Invesco Value Municipal Bond Trust	IMC	46130Y101	7/17/2012	3.1	Elect James Bunch	Management	For
Invesco Value Municipal Bond Trust	IMC	46130Y101	7/17/2012	3.2	Elect Bruce Crockett	Management	For
Invesco Value Municipal Bond Trust	IMC	46130Y101	7/17/2012	3.3	Elect Rodney Dammeyer	Management	For
Invesco Value Municipal Bond Trust	IMC	46130Y101	7/17/2012	3.4	Elect Jack Fields, Jr.	Management	For
Invesco Value Municipal Bond Trust	IMC	46130Y101	7/17/2012	3.5	Elect Martin Flanagan	Management	For
Invesco Value Municipal Bond Trust	IMC	46130Y101	7/17/2012	3.6	Elect Carl Frischling	Management	For
Japan Smaller Capitalization Fund Inc.	JOF	47109U104	12/4/2012	1.1	Elect Rodney Buck	Management	For
Japan Smaller Capitalization Fund Inc.	JOF	47109U104	12/4/2012	1.2	Elect David Chemidlin	Management	For
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	1	Elect James A. Bell	Management	For
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	2	Elect Crandall C. Bowles	Management	For
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	3	Elect Stephen B. Burke	Management	For
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	4	Elect David M. Cote	Management	For
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	5	Elect James S. Crown	Management	For
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	6	Elect James Dimon	Management	For
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	7	Elect Timothy P. Flynn	Management	For

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JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	8	Elect Ellen V. Futter	Management	For
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	9	Elect Laban P. Jackson, Jr.	Management	For
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	10	Elect Lee R. Raymond	Management	For
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	11	Elect William C. Weldon	Management	For
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	12	Ratification of Auditor	Management	For
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	13	Advisory Vote on Executive Compensation	Management	For
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	14	Allow Shareholders to Act by Written Consent	Management	For
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	15	Key Executive Performance Plan	Management	For
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	16	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	17	Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	18	Shareholder Proposal Regarding Genocide-Free Investing	Shareholder	Against
JPMorgan Chase & Co.	JPM	46625H100	5/21/2013	19	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against
Korea Equity Fund Inc.	KEF	50063B104	8/16/2012	1.1	Elect Rodney Buck	Management	For
Korea Equity Fund Inc.	KEF	50063B104	8/16/2012	1.2	Elect David Chemidlin	Management	For
Korea Fund Inc.	KF	500634209	10/31/2012	1	Elect Richard Silver	Management	For

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Korea Fund Inc.	KF	500634209	10/31/2012	2	Elect Marran Ogilvie	Management	For
Korea Fund Inc.	KF	500634209	10/31/2012	3	Elect Christopher Brader	Management	For
Korea Fund Inc.	KF	500634209	10/31/2012	4	Elect Joseph Grause	Management	For
Latin American Discovery Fund Inc.	LDF	51828C106	6/24/2013	1.1	Elect Frank L. Bowman	Management	For
Latin American Discovery Fund Inc.	LDF	51828C106	6/24/2013	1.2	Elect James F. Higgins	Management	For
Latin American Discovery Fund Inc.	LDF	51828C106	6/24/2013	1.3	Elect Manuel H. Johnson	Management	For
Lazard Global Total Return and Income Fund Inc.	LGI	52106W103	4/26/2013	1.1	Elect Kenneth S. Davidson	Management	For
Lazard Global Total Return and Income Fund Inc.	LGI	52106W103	4/26/2013	1.2	Elect Nancy A. Eckl	Management	For
Lazard Global Total Return and Income Fund Inc.	LGI	52106W103	4/26/2013	1.3	Elect Lester Z. Lieberman	Management	For
Liberty All-Star Equity Fund	USA	530158104	12/20/2012	1	Approval of New Portfolio Management Agreement	Management	For
Liberty All-Star Equity Fund	USA	530158104	12/20/2012	2	Approval of New Portfolio Management Agreement	Management	For
	USA	530158104	12/20/2012	3		Management	For

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					Approval of a Manager of Managers Arrangement			
Liberty All-Star Equity Fund								
Liberty All-Star Growth Fund Inc.	ASG	529900102	7/30/2012	1.1	Elect Thomas Brock	Management	For	
Liberty All-Star Growth Fund Inc.	ASG	529900102	7/30/2012	1.2	Elect John Neuhauser	Management	For	
Liberty All-Star Growth Fund Inc.	ASG	529900102	7/30/2012	1.3	Elect Edmund J. Burke	Management	For	
Liberty All-Star Growth Fund Inc.	ASG	529900102	7/30/2012	2	Shareholder Proposal Regarding Self-Tender Offer	Shareholder	Against	
Macquarie/First Trust Global Infrastructure/Utilities Dividend & Income Fund	MFD	55607W100	4/17/2013	1.1	Elect James A. Bowen	Management	For	
Macquarie/First Trust Global Infrastructure/Utilities Dividend & Income Fund	MFD	55607W100	4/17/2013	1.2	Elect Niel B. Nielson	Management	For	
Macy`s Inc.	M	55616P104	5/17/2013	1	Elect Stephen F. Bollenbach	Management	For	
Macy`s Inc.	M	55616P104	5/17/2013	2	Elect Deirdre P. Connelly	Management	For	
Macy`s Inc.	M	55616P104	5/17/2013	3	Elect Meyer Feldberg	Management	For	
Macy`s Inc.	M	55616P104	5/17/2013	4	Elect Sara Levinson	Management	For	
Macy`s Inc.	M	55616P104	5/17/2013	5	Elect Terry J. Lundgren	Management	For	
Macy`s Inc.	M	55616P104	5/17/2013	6	Elect Joseph Neubauer	Management	For	
Macy`s Inc.	M	55616P104	5/17/2013	7	Elect Joyce M. Roché	Management	For	
Macy`s Inc.	M	55616P104	5/17/2013	8	Elect Paul C. Varga	Management	For	
Macy`s Inc.	M	55616P104	5/17/2013	9	Elect Craig E. Weatherup	Management	For	
Macy`s Inc.	M	55616P104	5/17/2013	10	Elect Marna C. Whittington	Management	For	

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Macy`s Inc.	M	55616P104	5/17/2013	11	Ratification of Auditor	Management	For
Macy`s Inc.	M	55616P104	5/17/2013	12	Advisory Vote on Executive Compensation	Management	For
Madison Strategic Sector Premium Fund	MSP	558268108	7/27/2012	1.1	Elect Philip Blake	Management	For
Madison Covered Call & Equity Strategy Fund	MCN	556582104	12/21/2012	1.1	Elect Ronald Nyberg	Management	For
Madison Covered Call & Equity Strategy Fund	MCN	556582104	12/21/2012	1.2	Elect James Imhoff, Jr.	Management	For
Madison Covered Call & Equity Strategy Fund	MCN	556582104	12/21/2012	2	Approval of a New Investment Advisory Agreement	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	1	Elect Zachary W. Carter	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	2	Elect Óscar Fanjul Martín	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	3	Elect Daniel S. Glaser	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	4	Elect H. Edward Hanway	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	5	Elect Ian Lang	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	6	Elect Elaine La Roche	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	7	Elect Steven A. Mills	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	8	Elect Bruce P. Nolop	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	9	Elect Marc D. Oken	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	10	Elect Morton O. Schapiro	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	11	Elect Adele S. Simmons	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	12	Elect Lloyd M. Yates	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	13	Elect R. David Yost	Management	For



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Marsh & McLennan  
Companies, Inc.

Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	14	Advisory Vote on Executive Compensation	Management	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/16/2013	15	Ratification of Auditor	Management	For
MetLife Inc.	MET	59156R108	4/23/2013	1	Elect R. Glenn Hubbard	Management	For
MetLife Inc.	MET	59156R108	4/23/2013	2	Elect Steven A. Kandarian	Management	For
MetLife Inc.	MET	59156R108	4/23/2013	3	Elect John M. Keane	Management	For
MetLife Inc.	MET	59156R108	4/23/2013	4	Elect Alfred F. Kelly, Jr.	Management	For
MetLife Inc.	MET	59156R108	4/23/2013	5	Elect James M. Kilts	Management	For
MetLife Inc.	MET	59156R108	4/23/2013	6	Elect Catherine R. Kinney	Management	For
MetLife Inc.	MET	59156R108	4/23/2013	7	Elect Hugh B. Price	Management	For
MetLife Inc.	MET	59156R108	4/23/2013	8	Elect Kenton J. Sicchitano	Management	For
MetLife Inc.	MET	59156R108	4/23/2013	9	Ratification of Auditor	Management	For
MetLife Inc.	MET	59156R108	4/23/2013	10	Advisory Vote on Executive Compensation	Management	For
Morgan Stanley Asia-Pacific Fund Inc.	APF	61744U106	7/24/2012	1.1	Elect Michael Bozic	Management	For
Morgan Stanley Asia-Pacific Fund Inc.	APF	61744U106	7/24/2012	1.2	Elect Michael Klein	Management	For
Morgan Stanley Asia-Pacific Fund Inc.	APF	61744U106	7/24/2012	1.3	Elect W. Allen Reed	Management	For
Morgan Stanley Asia-Pacific Fund Inc.	APF	61744U106	6/24/2013	1.1	Elect Frank L. Bowman	Management	For
Morgan Stanley Asia-Pacific Fund Inc.	APF	61744U106	6/24/2013	1.2	Elect James F. Higgins	Management	For
Morgan Stanley Asia-Pacific Fund Inc.	APF	61744U106	6/24/2013	1.3	Elect Manuel H. Johnson	Management	For
	CAF	617468103	7/24/2012	1.1	Elect Michael Bozic	Management	For

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Morgan Stanley China  
A Share Fund Inc.

Morgan Stanley China A Share Fund Inc.	CAF	617468103	7/24/2012	1.2	Elect Michael Klein	Management	For
Morgan Stanley China A Share Fund Inc.	CAF	617468103	7/24/2012	1.3	Elect W. Allen Reed	Management	For
Morgan Stanley Emerging Markets Debt Fund Inc.	MSD	61744H105	7/24/2012	1.1	Elect Michael Bozic	Management	For
Morgan Stanley Emerging Markets Debt Fund Inc.	MSD	61744H105	7/24/2012	1.2	Elect Michael Klein	Management	For
Morgan Stanley Emerging Markets Debt Fund Inc.	MSD	61744H105	7/24/2012	1.3	Elect W. Allen Reed	Management	For
Morgan Stanley Emerging Markets Domestic Debt Fund Inc.	EDD	617477104	6/24/2013	1.1	Elect Frank L. Bowman	Management	For
Morgan Stanley Emerging Markets Domestic Debt Fund Inc.	EDD	617477104	6/24/2013	1.2	Elect James F. Higgins	Management	For
Morgan Stanley Emerging Markets Domestic Debt Fund Inc.	EDD	617477104	6/24/2013	1.3	Elect Manuel H. Johnson	Management	For
Morgan Stanley Frontier Emerging Markets Fund, Inc.	FFD	61757P101	8/22/2012	1	Reorganization	Management	For
Morgan Stanley India Investment Fund Inc.	IIF	61745C105	7/24/2012	1.1	Elect Joseph Kearns	Management	For
Morgan Stanley India Investment Fund Inc.	IIF	61745C105	7/24/2012	1.2	Elect M.J. Marcel Descroizilles	Management	For
Morgan Stanley India Investment Fund Inc.	IIF	61745C105	6/24/2013	1.1	Elect Arthur Lev	Management	For
Morgan Stanley India Investment Fund Inc.	IIF	61745C105	6/24/2013	1.2	Elect Fergus Reid	Management	For
Neuberger Berman	NRO	64190A103	7/25/2012	1.1	Elect Faith Colish	Management	For

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Real Estate Securities Income Fund Inc.								
Neuberger Berman Real Estate Securities Income Fund Inc.	NRO	64190A103	7/25/2012	1.2	Elect Robert Conti	Management	For	
Neuberger Berman Real Estate Securities Income Fund Inc.	NRO	64190A103	7/25/2012	1.3	Elect Michael Knetter	Management	For	
Neuberger Berman Real Estate Securities Income Fund Inc.	NRO	64190A103	7/25/2012	1.4	Elect Peter Trapp	Management	For	
New Germany Fund Inc.	GF	644465106	6/26/2013	1.1	Elect Detlef Bierbaum	Management	For	
New Germany Fund Inc.	GF	644465106	6/26/2013	1.2	Elect Christian H. Strenger	Management	For	
New Germany Fund Inc.	GF	644465106	6/26/2013	1.3	Elect Robert H. Wadsworth	Management	For	
New Germany Fund Inc.	GF	644465106	6/26/2013	1.4	Elect Wilhelm Bender	Management	For	
New Germany Fund Inc.	GF	644465106	6/26/2013	2	Ratification of Auditor	Management	For	
NextEra Energy Inc.	NEE	65339F101	5/23/2013	1	Elect Sherry S. Barrat	Management	For	
NextEra Energy Inc.	NEE	65339F101	5/23/2013	2	Elect Robert M. Beall II	Management	For	
NextEra Energy Inc.	NEE	65339F101	5/23/2013	3	Elect James L. Camaren	Management	For	
NextEra Energy Inc.	NEE	65339F101	5/23/2013	4	Elect Kenneth B. Dunn	Management	For	
NextEra Energy Inc.	NEE	65339F101	5/23/2013	5	Elect Lewis Hay, III	Management	For	
NextEra Energy Inc.	NEE	65339F101	5/23/2013	6	Elect Toni Jennings	Management	For	
NextEra Energy Inc.	NEE	65339F101	5/23/2013	7	Elect James L. Robo	Management	For	
NextEra Energy Inc.	NEE	65339F101	5/23/2013	8	Elect Rudy E. Schupp	Management	For	
NextEra Energy Inc.	NEE	65339F101	5/23/2013	9	Elect John L. Skolds	Management	For	
NextEra Energy Inc.	NEE	65339F101	5/23/2013	10	Elect William H. Swanson	Management	For	
NextEra Energy Inc.	NEE	65339F101	5/23/2013	11	Elect Michael H. Thaman	Management	For	

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NextEra Energy Inc.	NEE	65339F101	5/23/2013	12	Elect Hansel E. Tookes II	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	13	Ratification of Auditor	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	14	Approval of the Material Terms under the 2013 Executive Annual Incentive Plan	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	15	Advisory Vote on Executive Compensation	Management	For
NextEra Energy Inc.	NEE	65339F101	5/23/2013	16	Shareholder Regarding Nuclear Fuel Storage and Safety	Shareholder	Against
Nuveen Build America Bond Fund	NBB	67074C103	7/31/2012	1.1	Elect Robert Bremner	Management	For
Nuveen Build America Bond Fund	NBB	67074C103	7/31/2012	1.2	Elect Jack Evans	Management	For
Nuveen Build America Bond Fund	NBB	67074C103	7/31/2012	1.3	Elect William Schneider	Management	For
Nuveen Build America Bond Opportunity Fund	NBD	67074Q102	7/31/2012	1.1	Elect Robert Bremner	Management	For
Nuveen Build America Bond Opportunity Fund	NBD	67074Q102	7/31/2012	1.2	Elect Jack Evans	Management	For
Nuveen Build America Bond Opportunity Fund	NBD	67074Q102	7/31/2012	1.3	Elect William Schneider	Management	For
Nuveen Diversified Currency Opportunities Fund	JGT	67090N109	4/3/2013	1.1	Elect William Hunter	Management	For
Nuveen Diversified Currency Opportunities Fund	JGT	67090N109	4/3/2013	1.2	Elect Judith Stockdale	Management	For
Nuveen Diversified Currency Opportunities Fund	JGT	67090N109	4/3/2013	1.3	Elect Carole Stone	Management	For

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Nuveen Diversified Currency Opportunities Fund	JGT	67090N109	4/3/2013	1.4	Elect Virginia Stringer	Management	For
Nuveen Global Income Opportunities Fund	JGG	67073C104	4/3/2013	1.1	Elect William Hunter	Management	For
Nuveen Global Income Opportunities Fund	JGG	67073C104	4/3/2013	1.2	Elect Judith Stockdale	Management	For
Nuveen Global Income Opportunities Fund	JGG	67073C104	4/3/2013	1.3	Elect Carole Stone	Management	For
Nuveen Global Income Opportunities Fund	JGG	67073C104	4/3/2013	1.4	Elect Virginia Stringer	Management	For
Nuveen Global Value Opportunities Fund	JGV	6706EH103	4/3/2013	1.1	Elect William Hunter	Management	For
Nuveen Global Value Opportunities Fund	JGV	6706EH103	4/3/2013	1.2	Elect Judith Stockdale	Management	For
Nuveen Global Value Opportunities Fund	JGV	6706EH103	4/3/2013	1.3	Elect Carole Stone	Management	For
Nuveen Global Value Opportunities Fund	JGV	6706EH103	4/3/2013	1.4	Elect Virginia Stringer	Management	For
Nuveen Municipal Market Opportunity Fund	NMO	67062W103	7/31/2012	1.1	Elect John Amboian	Management	For
Nuveen Municipal Market Opportunity Fund	NMO	67062W103	7/31/2012	1.2	Elect Robert Bremner	Management	For
Nuveen Municipal Market Opportunity Fund	NMO	67062W103	7/31/2012	1.3	Elect Jack Evans	Management	For

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Nuveen Municipal Market Opportunity Fund	NMO	67062W103	7/31/2012	1.4	Elect David Kundert	Management	For
Nuveen Municipal Market Opportunity Fund	NMO	67062W103	7/31/2012	1.5	Elect Judith Stockdale	Management	For
Nuveen Municipal Market Opportunity Fund	NMO	67062W103	7/31/2012	1.6	Elect Carole Stone	Management	For
Nuveen Municipal Market Opportunity Fund	NMO	67062W103	7/31/2012	1.7	Elect Virginia Stringer	Management	For
Nuveen Municipal Market Opportunity Fund	NMO	67062W103	7/31/2012	1.8	Elect Terence Toth	Management	For
Nuveen Preferred Income Opportunities Fund	JPC	67073B106	4/3/2013	1.1	Elect William Hunter	Management	For
Nuveen Preferred Income Opportunities Fund	JPC	67073B106	4/3/2013	1.2	Elect Judith Stockdale	Management	For
Nuveen Preferred Income Opportunities Fund	JPC	67073B106	4/3/2013	1.3	Elect Carole Stone	Management	For
Nuveen Preferred Income Opportunities Fund	JPC	67073B106	4/3/2013	1.4	Elect Virginia Stringer	Management	For
Nuveen Premier Insured Municipal Income Fund	NIF	670987106	7/31/2012	1.1	Elect John Amboian	Management	For
Nuveen Premier Insured Municipal Income Fund	NIF	670987106	7/31/2012	1.2	Elect Robert Bremner	Management	For
Nuveen Premier Insured Municipal Income Fund	NIF	670987106	7/31/2012	1.3	Elect Jack Evans	Management	For
Nuveen Premier Insured Municipal	NIF	670987106	7/31/2012	1.4	Elect David Kundert	Management	For

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Income Fund

Nuveen Premier Insured Municipal Income Fund	NIF	670987106	7/31/2012	1.5	Elect Judith Stockdale	Management	For
Nuveen Premier Insured Municipal Income Fund	NIF	670987106	7/31/2012	1.6	Elect Carole Stone	Management	For
Nuveen Premier Insured Municipal Income Fund	NIF	670987106	7/31/2012	1.7	Elect Virginia Stringer	Management	For
Nuveen Premier Insured Municipal Income Fund	NIF	670987106	7/31/2012	1.8	Elect Terence Toth	Management	For
Nuveen Premier Insured Municipal Income Fund	NIF	670987106	12/14/2012	1	Reorganization	Management	For
Nuveen Quality Preferred Income Fund	JPS	67072C105	4/3/2013	1.1	Elect William Hunter	Management	For
Nuveen Quality Preferred Income Fund	JPS	67072C105	4/3/2013	1.2	Elect Judith Stockdale	Management	For
Nuveen Quality Preferred Income Fund	JPS	67072C105	4/3/2013	1.3	Elect Carole Stone	Management	For
Nuveen Quality Preferred Income Fund	JPS	67072C105	4/3/2013	1.4	Elect Virginia Stringer	Management	For
Nuveen Quality Preferred Income Fund III	JHP	67072W101	4/3/2013	1.2	Elect Judith Stockdale	Management	For

Nuveen Quality Preferred Income Fund III	JHP	67072W101	4/3/2013	1.3	Elect Carole Stone	Management	For
Nuveen Quality Preferred Income Fund III	JHP	67072W101	4/3/2013	1.4	Elect Virginia Stringer	Management	For
Nuveen Quality Preferred Income Fund III	JHP	67072W101	4/3/2013	1.1	Elect William Hunter	Management	For
Oracle Corp.	ORCL	68389X105	11/7/2012	1.1	Elect Jeffrey Berg	Management	For
Oracle Corp.	ORCL	68389X105	11/7/2012	1.2	Elect H. Raymond Bingham	Management	For
Oracle Corp.	ORCL	68389X105	11/7/2012	1.3	Elect Michael Boskin	Management	For
Oracle Corp.	ORCL	68389X105	11/7/2012	1.4	Elect Safra Catz	Management	For
Oracle Corp.	ORCL	68389X105	11/7/2012	1.5	Elect Bruce Chizen	Management	For
Oracle Corp.	ORCL	68389X105	11/7/2012	1.6	Elect George Conrades	Management	For
Oracle Corp.	ORCL	68389X105	11/7/2012	1.7	Elect Lawrence Ellison	Management	For
Oracle Corp.	ORCL	68389X105	11/7/2012	1.8	Elect Hector Garcia-Molina	Management	For
Oracle Corp.	ORCL	68389X105	11/7/2012	1.9	Elect Jeffrey Henley	Management	For
Oracle Corp.	ORCL	68389X105	11/7/2012	1.10	Elect Mark Hurd	Management	For
Oracle Corp.	ORCL	68389X105	11/7/2012	1.11	Elect Donald Lucas	Management	For
Oracle Corp.	ORCL	68389X105	11/7/2012	1.12	Elect Naomi Seligman	Management	For
Oracle Corp.	ORCL	68389X105	11/7/2012	2	Advisory Vote on Executive Compensation	Management	For
Oracle Corp.	ORCL	68389X105	11/7/2012	3	Amendment to the 1993 Directors' Stock Plan	Management	For
Oracle Corp.	ORCL	68389X105	11/7/2012	4	Ratification of Auditor	Management	For
Oracle Corp.	ORCL	68389X105	11/7/2012	5		Shareholder	Against



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						Shareholder Proposal Regarding the Use of Multiple Metrics for Executive Performance Measures		
Oracle Corp.	ORCL	68389X105	11/7/2012	6		Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against
Oracle Corp.	ORCL	68389X105	11/7/2012	7		Shareholder Proposal Regarding Retention of Shares Until Retirement	Shareholder	Against
Oracle Corp.	ORCL	68389X105	11/7/2012	8		Shareholder Proposal Regarding Compensation in the Event of a Change in Control	Shareholder	Against
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	1.1		Elect Enrique R. Arzac	Management	For
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	1.2		Elect Phyllis O. Bonanno	Management	For
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	1.3		Elect Kenneth J. Dale	Management	For
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	1.4		Elect Frederic A. Escherich	Management	For
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	1.5		Elect Roger W. Gale	Management	For
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	1.6		Elect Kathleen T. McGahran	Management	For
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	1.7		Elect Craig R. Smith	Management	For
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	1.8		Elect Mark E. Stoeckle	Management	For
Petroleum & Resources Corp.	PEO	716549100	3/19/2013	2		Ratification of Auditor	Management	For

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Phillips 66	PSX	718546104	5/8/2013	1	Elect Greg C. Garland	Management	For
Phillips 66	PSX	718546104	5/8/2013	2	Elect John E. Lowe	Management	For
Phillips 66	PSX	718546104	5/8/2013	3	Ratification of Auditor	Management	For
Phillips 66	PSX	718546104	5/8/2013	4	2013 Omnibus Stock and Performance Incentive Plan	Management	For
Phillips 66	PSX	718546104	5/8/2013	5	Advisory Vote on Executive Compensation	Management	For
Phillips 66	PSX	718546104	5/8/2013	6	Frequency of Advisory Vote on Executive Compensation	Management	1 Year
AllianzGI Equity & Convertible Income Fund	NIE	00119P102	7/19/2012	1.1	Elect James Jacobson	Management	For
AllianzGI Equity & Convertible Income Fund	NIE	00119P102	7/19/2012	1.2	Elect John C. Maney	Management	For
Putnam High Income Securities Fund	PCF	746779107	1/31/2013	1	Change in Board Size	Management	For
Putnam High Income Securities Fund	PCF	746779107	1/31/2013	2.1	Elect Liaquat Ahamed	Management	For
Putnam High Income Securities Fund	PCF	746779107	1/31/2013	2.2	Elect Ravi Akhoury	Management	For
Putnam High Income Securities Fund	PCF	746779107	1/31/2013	2.3	Elect Jameson Baxter	Management	For
Putnam High Income Securities Fund	PCF	746779107	1/31/2013	2.4	Elect Barbara Baumann	Management	For
Putnam High Income Securities Fund	PCF	746779107	1/31/2013	2.5	Elect Charles Curtis	Management	For
Putnam High Income Securities Fund	PCF	746779107	1/31/2013	2.6	Elect Robert Darretta	Management	For

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Putnam High Income Securities Fund	PCF	746779107	1/31/2013	2.7	Elect Katinka Domotorffy	Management	For
Putnam High Income Securities Fund	PCF	746779107	1/31/2013	2.8	Elect John Hill	Management	For
Putnam High Income Securities Fund	PCF	746779107	1/31/2013	2.9	Elect Paul Joskow	Management	For
Putnam High Income Securities Fund	PCF	746779107	1/31/2013	2.1	Elect Elizabeth Kennan	Management	For
Putnam High Income Securities Fund	PCF	746779107	1/31/2013	2.11	Elect Kenneth Leibler	Management	For
Putnam High Income Securities Fund	PCF	746779107	1/31/2013	2.12	Elect Robert Patterson	Management	For
Putnam High Income Securities Fund	PCF	746779107	1/31/2013	2.13	Elect George Putnam, III	Management	For
Putnam High Income Securities Fund	PCF	746779107	1/31/2013	2.14	Elect Robert Reynolds	Management	For
Putnam High Income Securities Fund	PCF	746779107	1/31/2013	2.15	Elect W. Thomas Stephens	Management	For
Putnam Master Intermediate Income Trust	PIM	746909100	1/31/2013	1	Change in Board Size	Management	For
Putnam Master Intermediate Income Trust	PIM	746909100	1/31/2013	2.1	Elect Liaquat Ahamed	Management	For
Putnam Master Intermediate Income Trust	PIM	746909100	1/31/2013	2.2	Elect Ravi Akhoury	Management	For
Putnam Master Intermediate Income Trust	PIM	746909100	1/31/2013	2.3	Elect Jameson Baxter	Management	For
Putnam Master Intermediate Income Trust	PIM	746909100	1/31/2013	2.4	Elect Barbara Baumann	Management	For
Putnam Master Intermediate Income Trust	PIM	746909100	1/31/2013	2.5	Elect Charles Curtis	Management	For

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Intermediate Income Trust								
Putnam Master Intermediate Income Trust	PIM	746909100	1/31/2013	2.6	Elect Robert Darretta	Management	For	
Putnam Master Intermediate Income Trust	PIM	746909100	1/31/2013	2.7	Elect Katinka Domotorffy	Management	For	
Putnam Master Intermediate Income Trust	PIM	746909100	1/31/2013	2.8	Elect John Hill	Management	For	
Putnam Master Intermediate Income Trust	PIM	746909100	1/31/2013	2.9	Elect Paul Joskow	Management	For	
Putnam Master Intermediate Income Trust	PIM	746909100	1/31/2013	2.10	Elect Elizabeth Kennan	Management	For	
Putnam Master Intermediate Income Trust	PIM	746909100	1/31/2013	2.11	Elect Kenneth Leibler	Management	For	
Putnam Master Intermediate Income Trust	PIM	746909100	1/31/2013	2.12	Elect Robert Patterson	Management	For	
Putnam Master Intermediate Income Trust	PIM	746909100	1/31/2013	2.13	Elect George Putnam, III	Management	For	
Putnam Master Intermediate Income Trust	PIM	746909100	1/31/2013	2.14	Elect Robert Reynolds	Management	For	
Putnam Master Intermediate Income Trust	PIM	746909100	1/31/2013	2.15	Elect W. Thomas Stephens	Management	For	
Putnam Municipal Opportunities Trust	PMO	746922103	4/25/2013	1	Board Size	Management	For	
Putnam Municipal Opportunities Trust	PMO	746922103	4/25/2013	2.1	Elect Liaquat Ahamed	Management	For	
Putnam Municipal Opportunities Trust	PMO	746922103	4/25/2013	2.2	Elect Ravi Akhoury	Management	For	
Putnam Municipal Opportunities Trust	PMO	746922103	4/25/2013	2.3	Elect Barbara M. Baumann	Management	For	

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Putnam Municipal Opportunities Trust	PMO	746922103	4/25/2013	2.4	Elect Jameson A. Baxter	Management	For
Putnam Municipal Opportunities Trust	PMO	746922103	4/25/2013	2.5	Elect Charles B. Curtis	Management	For
Putnam Municipal Opportunities Trust	PMO	746922103	4/25/2013	2.6	Elect Robert J. Darretta	Management	For
Putnam Municipal Opportunities Trust	PMO	746922103	4/25/2013	2.7	Elect Katinka Domotorffy	Management	For
Putnam Municipal Opportunities Trust	PMO	746922103	4/25/2013	2.8	Elect Paul L. Joskow	Management	For
Putnam Municipal Opportunities Trust	PMO	746922103	4/25/2013	2.9	Elect Elizabeth T. Kennan	Management	For
Putnam Municipal Opportunities Trust	PMO	746922103	4/25/2013	2.1	Elect Kenneth R. Leibler	Management	For
Putnam Municipal Opportunities Trust	PMO	746922103	4/25/2013	2.11	Elect George Putnam, III	Management	For
Putnam Municipal Opportunities Trust	PMO	746922103	4/25/2013	2.12	Elect Robert L. Reynolds	Management	For
Putnam Municipal Opportunities Trust	PMO	746922103	4/25/2013	2.13	Elect W. Thomas Stephens	Management	For
Reaves Utility Income Fund	UTG	756158101	5/3/2013	1.1	Elect Jeremy W. Deems	Management	For
Reaves Utility Income Fund	UTG	756158101	5/3/2013	1.2	Elect Jeremy O. May	Management	For
Royce Focus Trust Inc.	FUND	78080N108	9/20/2012	1.1	Elect Charles Royce	Management	For
Royce Focus Trust Inc.	FUND	78080N108	9/20/2012	1.2	Elect G. Peter O'Brien	Management	For
Singapore Fund Inc.	SGF	82929L109	6/10/2013	1	Elect David G. Harmert	Management	For

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Singapore Fund Inc.	SGF	82929L109	6/10/2013	2	Elect Rahn K. Portert	Management	For
Swiss Helvetia Fund Inc.	SWZ	870875101	6/19/2013	1.1	Elect Jean-Marc Boillat	Management	For
Swiss Helvetia Fund Inc.	SWZ	870875101	6/19/2013	1.2	Elect R. Clark Hooper	Management	For
Swiss Helvetia Fund Inc.	SWZ	870875101	6/19/2013	1.3	Elect Alexandre de Takacsy	Management	For
Swiss Helvetia Fund Inc.	SWZ	870875101	6/19/2013	2	Ratification of Auditor	Management	For
Taiwan Fund Inc.	TWN	874036106	4/23/2013	1.1	Elect Michael F. Holland	Management	For
Taiwan Fund Inc.	TWN	874036106	4/23/2013	1.2	Elect Joe O. Rogers	Management	For
Taiwan Fund Inc.	TWN	874036106	4/23/2013	1.3	Elect Bing Shen	Management	For
Taiwan Fund Inc.	TWN	874036106	4/23/2013	1.4	Elect M. Christopher Canavan, Jr.	Management	For
Taiwan Fund Inc.	TWN	874036106	4/23/2013	1.5	Elect Anthony Kai Yiu Lo	Management	For
Taiwan Fund Inc.	TWN	874036106	4/23/2013	1.6	Elect William C. Kirby	Management	For
Templeton Emerging Markets Fund	EMF	880191101	3/1/2013	1.1	Elect Frank A. Olson	Management	For
Templeton Emerging Markets Fund	EMF	880191101	3/1/2013	1.2	Elect Constantine D. Tseretopoulos	Management	For
Templeton Emerging Markets Fund	EMF	880191101	3/1/2013	1.3	Elect Charles B. Johnson	Management	For

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Templeton Emerging Markets Fund	EMF	880191101	3/1/2013	1.4	Elect Gregory E. Johnson	Management	For
Templeton Emerging Markets Fund	EMF	880191101	3/1/2013	2	Ratification of Auditor	Management	For
Templeton Emerging Markets Fund	EMF	880191101	3/1/2013	3	Shareholder Proposal Regarding Genocide-Free Investing	Shareholder	Against
Templeton Russia and East European Fund Inc.	TRF	88022F105	8/24/2012	1.1	Elect Ann Bates	Management	For
Templeton Russia and East European Fund Inc.	TRF	88022F105	8/24/2012	1.2	Elect Frank Crothers	Management	For
Templeton Russia and East European Fund Inc.	TRF	88022F105	8/24/2012	1.3	Elect Gregory Johnson	Management	For
Templeton Russia and East European Fund Inc.	TRF	88022F105	8/24/2012	1.4	Elect David Niemiec	Management	For
Templeton Russia and East European Fund Inc.	TRF	88022F105	8/24/2012	2	Ratification of Auditor	Management	For
Thai Fund Inc.	TTF	882904105	6/24/2013	1.1	Elect Frank L. Bowman	Management	For
Thai Fund Inc.	TTF	882904105	6/24/2013	1.2	Elect James F. Higgins	Management	For
Thai Fund Inc.	TTF	882904105	6/24/2013	1.3	Elect Manuel H. Johnson	Management	For
Thai Fund Inc.	TTF	882904105	6/24/2013	2	Termination of Contractual Investment Plan	Management	For
Thai Fund Inc.	TTF	882904105	6/24/2013	3	Shareholder Proposal Regarding Termination of Management Agreements	Shareholder	Against
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	1	Elect Carole Black	Management	For
	TWC	88732J207	5/16/2013	2	Elect Glenn A. Britt	Management	For

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Time Warner Cable Inc.								
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	3	Elect Thomas H. Castro	Management		For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	4	Elect David C. Chang	Management		For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	5	Elect James E. Copeland, Jr.	Management		For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	6	Elect Peter R. Haje	Management		For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	7	Elect Donna A. James	Management		For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	8	Elect Don Logan	Management		For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	9	Elect N.J. Nicholas, Jr.	Management		For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	10	Elect Wayne H. Pace	Management		For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	11	Elect Edward D. Shirley	Management		For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	12	Elect John E. Sununu	Management		For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	13	Ratification of Auditor	Management		For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	14	Advisory Vote on Executive Compensation	Management		For
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	15	Shareholder Proposal Regarding Political Spending Report	Shareholder		Against
Time Warner Cable Inc.	TWC	88732J207	5/16/2013	16	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	Shareholder		Against
Tri-Continental Corp.	TY	895436103	4/17/2013	1.1	Elect Leroy C. Richie	Management		For
Tri-Continental Corp.	TY	895436103	4/17/2013	1.2	Elect William F. Truscott	Management		For
Tri-Continental Corp.	TY	895436103	4/17/2013	2	Ratification of Auditor	Management		For



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Turkish Investment Fund Inc.	TKF	900145103	6/24/2013	1.1	Elect Frank L. Bowman	Management	For
Turkish Investment Fund Inc.	TKF	900145103	6/24/2013	1.2	Elect James F. Higgins	Management	For
Turkish Investment Fund Inc.	TKF	900145103	6/24/2013	1.3	Elect Manuel H. Johnson	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	1	Elect Andrew H. Card, Jr.	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	2	Elect Erroll B. Davis, Jr.	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	3	Elect Thomas J. Donohue	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	4	Elect Archie W. Dunham	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	5	Elect Judith Richards Hope	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	6	Elect John J. Koraleski	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	7	Elect Charles C. Krulak	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	8	Elect Michael R. McCarthy	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	9	Elect Michael W. McConnell	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	10	Elect Thomas F. McLarty III	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	11	Elect Steven R. Rogel	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	12	Elect Jose H. Villarreal	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	13	Elect James R. Young	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	14	Ratification of Auditor	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	15	Advisory Vote on Executive Compensation	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	16	2013 Stock Incentive Plan	Management	For
Union Pacific Corp.	UNP	907818108	5/16/2013	17	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against

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Verizon Communications Inc.	VZ	92343V104	5/2/2013	1	Elect Richard L. Carrión	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	2	Elect Melanie L. Healey	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	3	Elect M. Frances Keeth	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	4	Elect Robert W. Lane	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	5	Elect Lowell C. McAdam	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	6	Elect Sandra O. Moose	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	7	Elect Joseph Neubauer	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	8	Elect Donald T. Nicolaisen	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	9	Elect Clarence Otis, Jr.	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	10	Elect Hugh B. Price	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	11	Elect Rodney E. Slater	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	12	Elect Kathryn A. Tesija	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	13	Elect Gregory D. Wasson	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	14	Ratification of Auditor	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	15	Advisory Vote on Executive Compensation	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	16	Amendment to the 2009 Long-Term Incentive Plan	Management	For
Verizon Communications Inc.	VZ	92343V104	5/2/2013	17	Shareholder Proposal Regarding Network Neutrality	Shareholder	Against
Verizon Communications Inc.	VZ	92343V104	5/2/2013	18	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against
Verizon Communications Inc.	VZ	92343V104	5/2/2013	19	Shareholder Proposal Regarding Proxy Access	Shareholder	Against
	VZ	92343V104	5/2/2013	20		Shareholder	Against

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Verizon Communications Inc.					Shareholder Proposal Regarding Shareholder Approval of Severance Agreements		
Verizon Communications Inc.	VZ	92343V104	5/2/2013	21	Shareholder Proposal Regarding Right to Call a Special Meeting	Shareholder	Against
Verizon Communications Inc.	VZ	92343V104	5/2/2013	22	Shareholder Proposal Regarding Right to Act by Written Consent	Shareholder	Against
Virtus Total Return Fund	DCA	92829A103	5/13/2013	1.1	Elect Thomas F. Mann	Management	Withhold
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	1	Elect Aida M. Alvarez	Management	For
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	2	Elect James I. Cash, Jr.	Management	For
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	3	Elect Roger C. Corbett	Management	For
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	4	Elect Douglas N. Daft	Management	For
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	5	Elect Michael T. Duke	Management	For
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	6	Elect Timothy P. Flynn	Management	For
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	7	Elect Marissa A. Mayer	Management	For
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	8	Elect Gregory B. Penner	Management	For
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	9	Elect Steven S. Reinemund	Management	For
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	10	Elect H. Lee Scott, Jr.	Management	For
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	11	Elect Jim C. Walton	Management	For
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	12	Elect S. Robson Walton	Management	For
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	13	Elect Christopher J. Williams	Management	For
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	14	Elect Linda S. Wolf	Management	For
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	15	Ratification of Auditor	Management	For
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	16	Advisory Vote on Executive	Management	For

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					Compensation		
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	17	Approval of the Management Incentive Plan	Management	For
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	18	Shareholder Proposal Regarding Right to Call Special Meeting	Shareholder	Against
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	19	Shareholder Proposal Regarding Retention of Shares	Shareholder	Against
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	20	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against
Wal-Mart Stores, Inc.	WMT	931142103	6/7/2013	21	Shareholder Proposal Regarding Recoupment Report	Shareholder	Against
Walt Disney Co.	DIS	254687106	3/6/2013	1	Elect Susan E. Arnold	Management	For
Walt Disney Co.	DIS	254687106	3/6/2013	2	Elect John S. Chen	Management	For
Walt Disney Co.	DIS	254687106	3/6/2013	3	Elect Judith L. Estrin	Management	For
Walt Disney Co.	DIS	254687106	3/6/2013	4	Elect Robert A. Iger	Management	For
Walt Disney Co.	DIS	254687106	3/6/2013	5	Elect Fred H. Langhammer	Management	For
Walt Disney Co.	DIS	254687106	3/6/2013	6	Elect Aylwin B. Lewis	Management	For
Walt Disney Co.	DIS	254687106	3/6/2013	7	Elect Monica C. Lozano	Management	For
Walt Disney Co.	DIS	254687106	3/6/2013	8	Elect Robert W. Matschullat	Management	For
Walt Disney Co.	DIS	254687106	3/6/2013	9	Elect Sheryl Sandberg	Management	For
Walt Disney Co.	DIS	254687106	3/6/2013	10	Elect Orin C. Smith	Management	For
Walt Disney Co.	DIS	254687106	3/6/2013	11	Ratification of Auditor	Management	For
Walt Disney Co.	DIS	254687106	3/6/2013	12	Amendment to the 2002 Executive Performance Plan	Management	For
Walt Disney Co.	DIS	254687106	3/6/2013	13	Advisory Vote on Executive Compensation	Management	For
Walt Disney Co.	DIS	254687106	3/6/2013	14	Shareholder Proposal Regarding Proxy Access	Shareholder	Against
Walt Disney Co.	DIS	254687106	3/6/2013	15	Shareholder Proposal	Shareholder	Against

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					Regarding Separation of Chairman and CEO		
Wells Fargo & Co.	WFC	949746101	4/23/2013	1	Elect John D. Baker II	Management	For
Wells Fargo & Co.	WFC	949746101	4/23/2013	2	Elect Elaine L. Chao	Management	For
Wells Fargo & Co.	WFC	949746101	4/23/2013	3	Elect John S. Chen	Management	For
Wells Fargo & Co.	WFC	949746101	4/23/2013	4	Elect Lloyd H. Dean	Management	For
Wells Fargo & Co.	WFC	949746101	4/23/2013	5	Elect Susan E. Engel	Management	For
Wells Fargo & Co.	WFC	949746101	4/23/2013	6	Elect Enrique Hernandez, Jr.	Management	For
Wells Fargo & Co.	WFC	949746101	4/23/2013	7	Elect Donald M. James	Management	For
Wells Fargo & Co.	WFC	949746101	4/23/2013	8	Elect Cynthia H. Milligan	Management	For
Wells Fargo & Co.	WFC	949746101	4/23/2013	9	Elect Federico F. Peña	Management	For
Wells Fargo & Co.	WFC	949746101	4/23/2013	10	Elect Howard V. Richardson	Management	For
Wells Fargo & Co.	WFC	949746101	4/23/2013	11	Elect Judith M. Runstad	Management	For
Wells Fargo & Co.	WFC	949746101	4/23/2013	12	Elect Stephen W. Sanger	Management	For
Wells Fargo & Co.	WFC	949746101	4/23/2013	13	Elect John G. Stumpf	Management	For
Wells Fargo & Co.	WFC	949746101	4/23/2013	14	Elect Susan G. Swenson	Management	For
Wells Fargo & Co.	WFC	949746101	4/23/2013	15	Advisory Vote on Executive Compensation	Management	For
Wells Fargo & Co.	WFC	949746101	4/23/2013	16	Approve the Company's Amended and Restated Long-Term Incentive Compensation Plan	Management	For
Wells Fargo & Co.	WFC	949746101	4/23/2013	17	Ratification of Auditor	Management	For
Wells Fargo & Co.	WFC	949746101	4/23/2013	18	Shareholder Proposal Regarding Independent Board Chairman	Shareholder	Against
Wells Fargo & Co.	WFC	949746101	4/23/2013	19	Shareholder Proposal Regarding Lobbying Report	Shareholder	Against

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Wells Fargo & Co.	WFC	949746101	4/23/2013	20	Shareholder Proposal Regarding Discriminatory Mortgage Servicing and Foreclosure Practices	Shareholder	Against
Western Asset Income Fund	PAI	95766T100	5/22/2013	1.1	Elect Robert Abeles	Management	For
Western Asset Income Fund	PAI	95766T100	5/22/2013	1.2	Elect Ronald J. Arnault	Management	For
Western Asset Income Fund	PAI	95766T100	5/22/2013	1.3	Elect Anita L. DeFrantz	Management	For
Western Asset Income Fund	PAI	95766T100	5/22/2013	1.4	Elect Avedick B. Poladian	Management	For
Western Asset Income Fund	PAI	95766T100	5/22/2013	1.5	Elect William E.B. Siart	Management	For
Western Asset Income Fund	PAI	95766T100	5/22/2013	1.6	Elect Jaynie Miller Studenmund	Management	For
Western Asset Income Fund	PAI	95766T100	5/22/2013	1.7	Elect Kenneth D. Fuller	Management	For
Western Asset Income Fund	PAI	95766T100	5/22/2013	1.8	Elect Ronald L. Olson	Management	For
Western Asset/Claymore Inflation-Linked Opportunities & Income Fund	WIW	95766R104	4/30/2013	1.1	Elect Kenneth D. Fuller	Management	For
Western Asset/Claymore Inflation-Linked Opportunities & Income Fund	WIW	95766R104	4/30/2013	1.2	Elect Ronald E. Toupin, Jr.	Management	For
Western Asset/Claymore Inflation-Linked Securities & Income Fund	WIA	95766Q106	4/30/2013	1.1	Elect Michael Larson	Management	For
	ZTR	989837208	5/14/2013	1.1		Management	For

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Zweig Total Return  
Fund Inc.

Elect George R.  
Aylward

Zweig Total Return  
Fund Inc.

ZTR 989837208 5/14/2013 1.2

Elect William H.  
Wright II

Management

For

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SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Cornerstone Progressive Return Fund

By (Signature and Title)

/s/ Ralph W. Bradshaw  
Ralph W. Bradshaw, President and  
Chairman  
(Principal Executive Officer)

Date August 13, 2013