XCEL ENERGY INC

Form 4

November 03, 2006

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

OMB

Check this box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287 Number: January 31, Expires:

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

2005 Estimated average burden hours per response... 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction 1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* MADDEN TERESA S

2. Issuer Name and Ticker or Trading

Issuer

Symbol

(Middle)

(Zip)

XCEL ENERGY INC [XEL]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(First) (Last)

(Street)

(State)

3. Date of Earliest Transaction

Director 10% Owner

414 NICOLLET MALL

(Month/Day/Year) 11/01/2006

X\_ Officer (give title Other (specify

VP and Controller

below)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

6. Individual or Joint/Group Filing(Check

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

MINNEAPOLIS, MN 55401

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial Indirect (I) (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4)

Reported Transaction(s)

(A) (Instr. 3 and 4) Amount (D) Price

Common 01/30/2006 Stock

126 Α \$0 8,066.33

Common

(City)

Stock

09/29/2006 V 171 \$0 8,319.38 (1) D A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Derivative Expiration Date courities (Month/Day/Year) equired (Month/Day/Year) esposed of (Month/Day/Year) esposed of (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri- Deriv Secur (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	\$ 0	11/01/2006	A	14.43	(2)	(2)	Common Stock	14.43	\$ 22

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MADDEN TERESA S 414 NICOLLET MALL MINNEAPOLIS, MN 55401

VP and Controller

## **Signatures**

Carol J. Peterson, Attorney-in-fact for Teresa S.

Madden 11/03/2006

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 82.05 shares of stock acquired pursuant to reinvestment of dividends.
- (2) Shares of phantom stock are payable in cash following termination of reporting person's employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. nbsp;6. Exhibits

(a) The following exhibits are filed herewith:

	Incorporated by Reference						
Exhibit Number	Exhibit Title	Form	File No.	Exhibit No.	_	Provided Herewith	
10.01	Executive Transition and Release Agreement, dated September 24, 2010 between the Registrant and Kevin S. Palatnik					X	

Reporting Owners 2

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10.02	Offer Letter, executed September 24, 2010 between the	X
	Registrant and Geoff Ribar	
31.01	Certification of the Registrant s Chief Executive Officer,	X
	Lip-Bu Tan, pursuant to Rule 13a-14 of the Securities	
	Exchange Act of 1934.	
31.02	Certification of the Registrant s Chief Financial Officer,	X
	Kevin S. Palatnik, pursuant to Rule 13a-14 of the Securities	
	Exchange Act of 1934.	
32.01	Certification of the Registrant s Chief Executive Officer,	X
	Lip-Bu Tan, pursuant to 18 U.S.C. Section 1350, as	
	adopted pursuant to Section 906 of the Sarbanes-Oxley Act	
	of 2002.	
32.02	Certification of the Registrant s Chief Financial Officer,	X
	Kevin S. Palatnik, pursuant to 18 U.S.C. Section 1350, as	
	adopted pursuant to Section 906 of the Sarbanes-Oxley Act	
	of 2002.	
101.INS	XBRL Instance Document.	X
101.SCH	XBRL Taxonomy Extension Schema Document.	X
101.CAL	XBRL Taxonomy Extension Calculation Linkbase	X
	Document.	
101.LAB	XBRL Taxonomy Extension Label Linkbase Document.	X
101.PRE	XBRL Taxonomy Extension Presentation Linkbase	X
	Document.	
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#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

CADENCE DESIGN SYSTEMS, INC.

(Registrant)

DATE: October 29, 2010 By: /s/ Lip-Bu Tan

Lip-Bu Tan

President, Chief Executive Officer

and Director

DATE: October 29, 2010 By: /s/ Kevin S. Palatnik

Kevin S. Palatnik

Senior Vice President and Chief

Financial Officer

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### **EXHIBIT INDEX**

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101.PRE	XBRL Taxonomy Extension Presentation Linkbase					X
	Document.					

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