

Fogarty Kevin Michael
 Form 4
 September 24, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
 Expires: January 31, 2005
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Fogarty Kevin Michael

2. Issuer Name and Ticker or Trading Symbol
 Kraton Corp [KRA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
 C/O KRATON
 CORPORATION, 15710 JOHN F.
 KENNEDY BLVD., SUITE 300

3. Date of Earliest Transaction
 (Month/Day/Year)
 09/20/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
 President and CEO

(Street)
 HOUSTON, TX 77032

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--------|---|--|-----------------------------------|------------|-------|
| | | | | Code | V | Amount | | | | (A) or (D) | Price |
| Common Stock | 09/20/2018 ⁽¹⁾ | | M | | | 18,856 | A | \$ 14.46 | 273,281 | D | |
| Common Stock | 09/20/2018 ⁽¹⁾ | | S | | | 18,856 | D | \$ 50 | 254,425 | D | |
| Common Stock | 09/21/2018 ⁽¹⁾ | | M | | | 27,748 | A | \$ 14.46 | 282,173 | D | |
| Common Stock | 09/21/2018 ⁽¹⁾ | | S | | | 27,748 | D | \$ 50.0009 ⁽²⁾ | 254,425 | D | |

Edgar Filing: Fogarty Kevin Michael - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Employee Options (Right to Buy) ⁽³⁾ | \$ 14.46 | 09/20/2018 | | M | 18,856 | ⁽³⁾ 01/03/2020 | Common Stock | 18,856 |
| Employee Options (Right to Buy) ⁽³⁾ | \$ 14.46 | 09/21/2018 | | M | 27,748 | ⁽³⁾ 01/03/2020 | Common Stock | 27,748 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Fogarty Kevin Michael C/O KRATON CORPORATION 15710 JOHN F. KENNEDY BLVD., SUITE 300 HOUSTON, TX 77032 | X | | President and CEO | |

Signatures

/s/ James L. Simmons As Attorney-in-Fact for Kevin M. Fogarty 09/24/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 15, 2018.

Edgar Filing: Fogarty Kevin Michael - Form 4

- The price in column 4 is a weighted average. The prices actually received ranged from \$50 to \$50.20. For all transactions reported in this
- (2) Form 4 utilizing a weighted average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.
 - (3) The options became exercisable in equal installments on each of the first five anniversaries of January 3, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.