

BIO RAD LABORATORIES INC

Form 4

January 28, 2014

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
SCHWARTZ ALICE N

(Last) (First) (Middle)

C/O BIO-RAD LABORATORIES,
INC., 1000 ALFRED NOBEL
DRIVE

(Street)

HERCULES, CA 94547

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
BIO RAD LABORATORIES INC
[BIO, BIO.B]

3. Date of Earliest Transaction
(Month/Day/Year)
11/22/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☒ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Bio-Rad A Common Stock					1,873,651 ⁽¹⁾	I	By Alice N. Schwartz Revocable Trust
Bio-Rad A Common Stock					90 ⁽¹⁾	I	By David Schwartz Exemption Trust
Bio-Rad A Common					240 ⁽¹⁾	I	By David Schwartz

Edgar Filing: BIO RAD LABORATORIES INC - Form 4

Stock									Exempt Marital Trust
Bio-Rad A Common Stock					898,931 ⁽¹⁾	I			By David Schwartz Non-Exempt Marital Trust
Bio-Rad A Common Stock					34,311	I			By Charitable Remainder Unitrust
Bio-Rad B Common Stock	11/22/2013		G V 630 D \$ 0		441,320 ⁽²⁾	I			By Alice N. Schwartz Revocable Trust
Bio-Rad B Common Stock					57,000 ⁽²⁾	I			By David Schwartz Non-Exempt Marital Trust
Bio-Rad B Common Stock					4,060,054	I			By Blue Raven Partners, L.P. ⁽³⁾
Bio-Rad B Common Stock					41,176	I			By DANSA Partners Limited ⁽⁴⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SCHWARTZ ALICE N C/O BIO-RAD LABORATORIES, INC. 1000 ALFRED NOBEL DRIVE HERCULES, CA 94547	X	X		

Signatures

Alice N.
Schwartz

01/22/2014

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On October 1, 2013, 2,772,912 Class A shares were transferred to the following trusts: 90 shares to the David Schwartz Exemption Trust; 240 shares to the David Schwartz Exempt Marital Trust, 898,931 shares to the David Schwartz Non-Exempt Marital Trust and 1,873,651 shares to the Alice N. Schwartz Revocable Trust. These shares were previously held by the David and Alice Schwartz Trust, of which Alice N. Schwartz was the sole trustee after the death of her husband, David Schwartz.

(2) On October 1, 2013, 498,950 Class B shares were transferred to the following trusts: 57,000 shares to the David Schwartz Non-Exempt Marital Trust, and 441,950 shares to the Alice N. Schwartz Revocable Trust. These shares were previously held by the David and Alice Schwartz Trust, of which Alice N. Schwartz was the sole trustee after the death of her husband, David Schwartz.

(3) The shares are held by a limited partnership of which the reporting person is a limited and general partner.

(4) The shares are held by a limited partnership of which the reporting person is a general partner.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.