Herde Carl G Form 4 August 23, 2011

### FORM 4

#### **OMB APPROVAL**

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Herde Carl G Issuer Symbol S Y BANCORP INC [SYBT]

(Check all applicable)

(First) (Middle) (Last)

(Street)

(State)

08/22/2011

3. Date of Earliest Transaction

X\_ Director 10% Owner Other (specify Officer (give title

8210 LIMEHOUSE LANE

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

below)

Person

5. Amount of

Filed(Month/Day/Year)

(Month/Day/Year)

08/22/2011

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

LOUISVILLE, KY 40220

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

19.33

1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) (Instr. 3) Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) (A) or

(Zip)

Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported Transaction(s)

(Instr. 4)

(Instr. 3 and 4)

Code V Amount (D) Price

51.733 A

5,405.813 I

Trust-Directors' **Deferred Comp** Plan

(9-02)

7. Nature of Indirect

Beneficial

Ownership

(Instr. 4)

Common

Common

7,587.2019 D

Stock

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: Herde Carl G - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

8. Pr Deri Secu (Inst

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr. 3		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and A Underlying S (Instr. 3 and	Securities	\$ I \$ \$ (
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Common Stock	\$ 20.9048						04/27/2006	04/27/2015	Common Stock	1,050	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
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Director 10% Owner Officer Other

Herde Carl G 8210 LIMEHOUSE LANE X LOUISVILLE, KY 40220

### **Signatures**

//Carl G. Herde 08/23/2011

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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