

JOHNSON CONTROLS INC

Form 4

May 04, 2005

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Edwards Jeffrey S

(Last) (First) (Middle)

49200 HALYARD DRIVE, P.O.  
BOX 8010

(Street)

PLYMOUTH, MI 48170

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction  
(Month/Day/Year)

05/02/2005

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

Vice President

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 05/02/2005                              |                                                             | A                                    | 1.812                                                                   | A \$<br>55.1782                                                                                                    | 14,141.821                                                              | D                                                                 |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                         | 5,173.04 <sup>(1)</sup>                                                                                            | I                                                                       | By 401(k)<br>Plan Trust                                           |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
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number.**

SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)      | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |
|----------------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|
|                                                          |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                                             | Date Exercisable<br>Expiration Date                            | Title<br>Amount or<br>Number of<br>Shares                           |
| Phantom<br>Stock<br>Units -<br>Excess<br>Benefit<br>Plan | (2)                                                                   |                                         |                                                             |                                         |                                                                                                                       | (3) (3)                                                        | Common<br>Stock 144.29                                              |
| Phantom<br>Stock<br>Units -<br>EICP                      | (2)                                                                   |                                         |                                                             |                                         |                                                                                                                       | (4) (4)                                                        | Common<br>Stock 6,267.65                                            |
| Phantom<br>Stock<br>Units -<br>Restricted<br>Stock Plan  | (2)                                                                   |                                         |                                                             |                                         |                                                                                                                       | (5) (5)                                                        | Common<br>Stock 301.704                                             |
| Stock<br>Option                                          | \$ 28.4219                                                            |                                         |                                                             |                                         |                                                                                                                       | 11/15/2002 11/15/2010                                          | Common<br>Stock 11,550                                              |
| Stock<br>Option                                          | \$ 40.115                                                             |                                         |                                                             |                                         |                                                                                                                       | 11/14/2003 11/14/2011                                          | Common<br>Stock 17,600                                              |
| Stock<br>Option                                          | \$ 40.2975                                                            |                                         |                                                             |                                         |                                                                                                                       | 11/20/2004(6) 11/20/2012                                       | Common<br>Stock 20,000                                              |
| Stock<br>Option                                          | \$ 52.55                                                              |                                         |                                                             |                                         |                                                                                                                       | 11/19/2005(6) 11/19/2013                                       | Common<br>Stock 20,000                                              |
| Stock<br>Option                                          | \$ 61.69                                                              |                                         |                                                             |                                         |                                                                                                                       | 11/17/2006(6) 11/17/2014                                       | Common<br>Stock 30,000                                              |

## Reporting Owners

| Reporting Owner Name / Address | Relationships |           |         |       |
|--------------------------------|---------------|-----------|---------|-------|
|                                | Director      | 10% Owner | Officer | Other |

Edwards Jeffrey S  
49200 HALYARD DRIVE  
P.O. BOX 8010  
PLYMOUTH, MI 48170

Vice President

## Signatures

Arlene D. Gumm, Attorney-in-Fact for Jeffrey S.  
Edwards

05/04/2005

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of underlying securities is based on the stock fund balance on May 2, 2005. The actual number of shares issuable upon the
- (1) distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a May 2, 2005 stock fund price of \$55.33 per share.
  - (2) The phantom stock units convert to the common stock's cash value on a one-for-one basis.
  - (3) The phantom stock units were accrued under the Johnson Controls Equalization 401(k) Benefit Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service.
  - (4) The phantom stock units were accrued under the Johnson Controls Executive Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service.
  - (5) The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.
  - (6) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.