

RADY JANE E
Form 4
February 27, 2009

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RADY JANE E

2. Issuer Name and Ticker or Trading Symbol
ABBOTT MEDICAL OPTICS INC
[EYE]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)

____ Director
____ Officer (give title below) Other (specify below)
former Exec VP

C/O ABBOTT MEDICAL OPTICS INC., 1700 E. ST. ANDREW PLACE

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(Street)

SANTA ANA, CA 92705

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	02/25/2009		U		66 ⁽¹⁾	D	\$ 22	0	I	shares previously held by Trust
Common Stock	02/26/2009		D		5,760 ⁽²⁾	D	\$ 22	0	D	
Common Stock	02/25/2009		A		364 ⁽³⁾	A	\$ 0	364	D	
Common	02/25/2009		F		167 ⁽⁴⁾	D	\$	197	D	

Edgar Filing: RADY JANE E - Form 4

Stock					22.01			
Common Stock	02/26/2009	D	197 ⁽²⁾	D	\$ 22	0		D
Common Stock	02/25/2009	A	<u>1,399</u> ⁽⁵⁾	A	\$ 0	1,399		D
Common Stock	02/25/2009	F	641 ⁽⁴⁾	D	\$ 22.01	758		D
Common Stock	02/26/2009	D	758 ⁽⁵⁾	D	\$ 22	0		D
Common Stock	02/25/2009	A	<u>1,560</u> ⁽⁵⁾	A	\$ 0	1,560		D
Common Stock	02/25/2009	F	714 ⁽⁴⁾	D	\$ 22.01	846		D
Common Stock	02/26/2009	D	846 ⁽⁵⁾	D	\$ 22	0		D
Common Stock	02/25/2009	A	473 ⁽⁵⁾	A	\$ 0	473		D
Common Stock	02/25/2009	F	217 ⁽⁴⁾	D	\$ 22.01	256		D
Common Stock	02/26/2009	D	256 ⁽⁵⁾	D	\$ 22	0		D
Common Stock	02/25/2009	A	<u>1,055</u> ⁽⁵⁾	A	\$ 0	1,055		D
Common Stock	02/25/2009	F	483 ⁽⁴⁾	D	\$ 22.01	572		D
Common Stock	02/26/2009	D	572 ⁽⁵⁾	D	\$ 22	0		D
Common Stock	02/25/2009	A	<u>2,240</u> ⁽⁵⁾	A	\$ 0	2,240		D
Common Stock	02/25/2009	F	<u>1,025</u> ⁽⁴⁾	D	\$ 22.01	1,215		D
Common Stock	02/26/2009	D	<u>1,215</u> ⁽⁵⁾	D	\$ 22	0		D
Common Stock	02/25/2009	A	<u>1,088</u> ⁽⁵⁾	A	\$ 0	1,088		D
Common Stock	02/25/2009	F	498 ⁽⁴⁾	D	\$ 22.01	590		D
Common Stock	02/26/2009	D	590 ⁽⁵⁾	D	\$ 22	0		D
Common Stock	02/26/2009	D	<u>1,984</u> ⁽²⁾	D	\$ 22	0		I
								Shares had been held

by 401(k)
Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 33.72	02/26/2009		D ⁽⁶⁾	60,000	05/20/2008 05/20/2014	Common Stock 60,000
Employee Stock Option (Right to Buy)	\$ 38.2	02/26/2009		D ⁽⁷⁾	45,000	02/25/2009 05/26/2019	Common Stock 45,000
Employee Stock Option (Right to Buy)	\$ 45.26	02/26/2009		D ⁽⁸⁾	29,000	02/25/2009 05/24/2016	Common Stock 29,000
Employee Stock Option (Right to Buy)	\$ 42.55	02/26/2009		D ⁽⁹⁾	36,500	02/25/2009 05/21/2017	Common Stock 36,500
Employee Stock Option (Right to Buy)	\$ 22.94	02/26/2009		D ⁽¹⁰⁾	35,000	02/25/2009 05/29/2018	Common Stock 35,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RADY JANE E C/O ABBOTT MEDICAL OPTICS INC. 1700 E. ST. ANDREW PLACE SANTA ANA, CA 92705				former Exec VP

Signatures

Jane E. Rady, By: Aimee S. Weisner, Attorney
in Fact 02/27/2009

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were tendered to Rainforest Acquisition Inc. ("Purchaser") pursuant to Purchaser's offer to purchase all of the outstanding shares of Advanced Medical Optics, Inc. (the "Issuer") at a cash purchase price of \$22.00 per share, without interest, and subject to any required withholding taxes, subject to the terms described in the Offer to Purchase, filed with the SEC on January 27, 2009, as amended (the "Tender Offer"). Such shares were purchased by Purchaser pursuant to the Tender Offer on February 25, 2009.
- (2) These shares were canceled pursuant to the Agreement and Plan of Merger, dated January 11, 2009, by and among Abbott Laboratories, Rainforest Acquisition Inc. ("Purchaser") and the Issuer (the "Merger Agreement"), in exchange for the right to receive cash payment of \$22.00 per share in cash (without interest and subject to any required withholding taxes) at the effective time of the merger.
- (3) Exempt restricted shares of common stock forfeited in connection with termination of employment were reinstated pursuant to change in control agreement.
- (4) Shares withheld in satisfaction of tax obligations attributable to the lapse of restrictions on stock award or in satisfaction of tax withholding attributable to vesting and settlement of restricted stock units.
- (5) The exempt restricted stock units (previously reported on Table II) that had been forfeited in connection with the reporting person's termination of employment were reinstated pursuant to a change in control agreement, vested in full and were settled for shares of Issuer Common Stock in accordance with the terms of the applicable equity plan. At the effective time of the merger, such shares were cancelled pursuant to the Merger Agreement in exchange for the right to receive cash payment of \$22.00 per share in cash (without interest and subject to any required withholding taxes).
- (6) This previously reported exempt stock option, which vested ratably over four years from the date of grant, will be exchanged for a fully vested stock option issued by Abbott Laboratories to purchase 24,617 shares of Abbott Laboratories common stock at an exercise price of \$82.19 per share, determined pursuant to a formula set forth in the Merger Agreement.
- (7) This previously reported exempt stock option, which became 100% vested on December 31, 2008 in connection with the reporting person's termination of employment, will be exchanged for a fully vested stock option issued by Abbott Laboratories to purchase 18,463 shares of Abbott Laboratories common stock at an exercise price of \$93.11 per share, determined pursuant to a formula set forth in the Merger Agreement.
- (8) This previously reported exempt stock option, which became 100% vested on December 31, 2008 in connection with the reporting person's termination of employment, will be exchanged for a fully vested stock option issued by Abbott Laboratories to purchase 11,898 shares of Abbott Laboratories common stock at an exercise price of \$110.32 per share, determined pursuant to a formula set forth in the Merger Agreement.
- (9) This previously reported exempt stock option, which became 100% vested on December 31, 2008 in connection with the reporting person's termination of employment, will be exchanged for a fully vested stock option issued by Abbott Laboratories to purchase 14,975 shares of Abbott Laboratories common stock at an exercise price of \$103.71 per share, determined pursuant to a formula set forth in the Merger Agreement.
- (10) This previously reported exempt stock option, which became 100% vested on December 31, 2008 in connection with the reporting person's termination of employment, will be exchanged for a fully vested stock option issued by Abbott Laboratories to purchase 14,360

Edgar Filing: RADY JANE E - Form 4

shares of Abbott Laboratories common stock at an exercise price of \$55.92 per share, determined pursuant to a formula set forth in the Merger Agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.