

LOCK ANDREW J  
Form 4  
July 17, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LOCK ANDREW J

(Last) (First) (Middle)

855 EAST MAIN AVENUE, P.O. BOX 302

(Street)

ZEELAND, MI 49464

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

MILLER HERMAN INC [MLHR]

3. Date of Earliest Transaction (Month/Day/Year)

07/13/2018

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below)  Other (specify below)

President - Herman Miller Intl

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Common Stock                    | 07/13/2018                           |  | M                              |   | 4,355   | A  | \$ 0  |
|                                 |                                      |  |                                |   |   |  | 10,433.3065 (1)                                       |
| Common Stock                    | 07/13/2018                           |  | F                              |   | 2,177.3065  | D  | \$ 38.75  |
| Common Stock                    | 07/13/2018                           |  | A                              |   | 5,966 (2)   | A  | \$ 0  |
| Common Stock                    | 07/13/2018                           |  | F                              |   | 2,805   | D  | \$ 38.75  |
| Common Stock                    | 07/13/2018                           |  | A                              |   | 7,210 (2)   | A  | \$ 0  |
|                                 |                                      |  |                                |   |   |  | 14,222  |
|                                 |                                      |  |                                |   |   |  | 11,417  |
|                                 |                                      |  |                                |   |   |  | 18,627  |

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|              |            |   |       |   |          |        |   |                      |
|--------------|------------|---|-------|---|----------|--------|---|----------------------|
| Common Stock | 07/13/2018 | F | 3,389 | D | \$ 38.75 | 15,238 | D |                      |
| Common Stock |            |   |       |   |          | 4.834  | I | by profit share plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price or Value of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|---|
| Restricted Stock Units                     | (3)  | 07/13/2018                           |  | M                              | 4,355  | (4) (4)  | Common Stock  | 4,355   |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                                |       |
|--|---------------|-----------|--------------------------------|-------|
|  | Director      | 10% Owner | Officer                        | Other |
| LOCK ANDREW J<br>855 EAST MAIN AVENUE<br>P.O. BOX 302<br>ZEELAND, MI 49464 |               |           | President - Herman Miller Intl |       |

## Signatures

By: Angela M. Shamery For: Andrew J. Lock 07/17/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Number of Derivative Securities Beneficially Owned Following Reported Transaction reflected in Table I of this form includes dividend equivalent units reinvested in the corresponding vesting RSUs, which satisfies the exemption of Rule 16b-2.
- (2) Shares issued July 13, 2018 pursuant to Performance Share Units granted on July 13, 2015 under the Company's 2011 LTIP with a three year performance period.
- (3) Each restricted stock unit represents a contingent right to receive one share of MLHR common stock.
- (4) The restricted stock units have a three year cliff vest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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