Edgar Filing: Sveen David E. - Form 4

Sveen David Form 4	Ε.								
May 07, 2018	8								
FORM				EVOLA	NCE	COMMERION	т	PPROVAL	
Washington, D.C. 20549						OMB Number:	3235-0287		
Check thi if no long			1.011		Expires:	January 31, 2005			
subject to STATEMENT OF CHANG Section 16. Form 4 or			SECURITI	ES			Estimated burden hou response	average Irs per	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type R	Responses)								
Sveen David E. Symbol			Name and Tick		ng	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Mid	dle) 3. Date of	3. Date of Earliest Transaction			(Chee	heck all applicable)		
3760 ROCKY MOUNTAIN(Month/Da3760 ROCKY MOUNTAIN05/03/20AVENUE05/03/20			-			_X_Director10% Owner Officer (give titleOther (specify below)below)			
			ndment, Date Or th/Day/Year)	iginal		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
						Person			
(City)	(State) (Zi	^{p)} Table	e I - Non-Deriva	ative Secur	ities Ac	quired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)		3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V Am	or nount (D)	Price	(Instr. 3 and 4)			
Common Stock	05/03/2018		A 70.	5 <u>(1)</u> A	\$0	8,473	D		
Common Stock						14,285	Ι	by Bethany Creek (2)	
Common Stock						7,143	I	by Lindberg Capital (3)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of
information contained in this form are not
required to respond unless the formSEC 1474
(9-02)

1

Edgar Filing: Sveen David E. - Form 4

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	Date	7. Title Amoun Underl Securit (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
1 9 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Director	10% Owner	Officer	Other			
Sveen David E. 3760 ROCKY MOUNTAIN AVENUE LOVELAND, CO 80538	Х						
Signatures							
By: Jason A. Napolitano For: David E. Sveen		05/07/2018					
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Vesting is subject to terms from a restricted stock agreement which calls for this grant to vest on the latter of (i) May 3, 2019 and (ii)

- (1) Heska Corporation's 2019 Annual Meeting of Stockholders, subject to Mr. Sveen continuing to serve as a Director and not engaging in competition.
- (2) Represents shares held by Bethany Creek Partners, LP. Dr. Sveen is a general partner of and an investor in Bethany Creek Partners, LP.
- (3) Represents shares held by Lindberg Capital Partners, LP. Dr. Sveen is a general partner of and an investor in Lindberg Capital Partners, LP.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.