KIM JOHN T Form 4

February 20, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

KIM JOHN T

(First) (Middle)

2045 EAST INNOVATION

**CIRCLE** 

(Street)

(State)

**TEMPE, AZ 85284** 

2. Issuer Name and Ticker or Trading

Symbol

AMKOR TECHNOLOGY, INC.

[AMKR] 3. Date of Earliest Transaction

(Month/Day/Year)

02/15/2019

3.

Filed(Month/Day/Year)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

below)

Issuer

\_X\_\_ Director

Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

(Check all applicable)

Exhibit 99.1

below)

X 10% Owner \_X\_ Other (specify

6. Ownership 7. Nature of

Indirect

Beneficial

Ownership

(Instr. 4)

Form: Direct

(D) or

Indirect (I)

(Instr. 4)

5. Amount of

Securities

Beneficially

(City)

Common

Common

Stock

Stock

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

(Zip)

TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

4. Securities

Following Reported Transaction(s)

Owned

(Instr. 3 and 4)

Code V Amount (D) Price 26,814,245 (1)

(A)

(2)

48,593,506 (1) I

By Self as Trustee

SEC 1474

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Employee Stock Option (Right-to-Buy)	\$ 9.48	02/15/2019		A	175,000	02/15/2020(3)	02/15/2029	Amkor Technolog Inc., Commo

Stock

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
KIM JOHN T						
2045 EAST INNOVATION CIRCLE	X	X		Exhibit 99.1		
TEMPE, AZ 85284						

## **Signatures**

Jerry C. Allison, Attorney-in-fact for John T. Kim 02/20/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person disclaims beneficial ownership of these securities, except to the extent of the reporting person's pecuniary interest therein, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities, except to the extent of the reporting person's pecuniary interest therein, for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- (2) Includes 19,484,809 shares held by Sujochil, LP, in which the reporting person is a general partner, and 6,189,831 shares of Sujoda, LP, in which the reporting person is member of the general partner.
- (3) This option grant vests as follows: 25% of the shares vest on the first anniversary of the grant date and 1/16th of the option vests each quarter thereafter, such that 100% of the option will be vested on the fourth anniversary of the grant date.

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