## Edgar Filing: Neuhauser James C - Form 4

Neuhauser Ja	umes C												
Form 4													
October 04, 2	2018												
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								-	OMB APPROVAL				
	<b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION</b> Washington, D.C. 20549							OMB Number:	3235-0287				
Check this box										Expires:	January 31,		
if no longer subject to STATEMENT OF CHAN				GES IN BENEFICIAL OWNE					NERSHIP OF	Estimated a	2005 average		
5	Section 16.				JRI	TIES					burden hours per		
Form 4 or								response	•				
Form 5 obligatior	• •	*						•	ge Act of 1934,				
may conti	Nection			•		U			f 1935 or Sectio	n			
<i>See</i> Instru 1(b).	iction	30(h	) of the Inv	vestme	nt (	Company	y Act	of 19	40				
(Print or Type R	Responses)												
Neuhauser James C Symbol				er Name <b>and</b> Ticker or Trading ORP [PHH]				g	5. Relationship of Reporting Person(s) to Issuer				
(Last)					Earliest Transaction				(Check all applicable)				
				nth/Day/Year)					X Director	10%	6 Owner		
			10/04/20	-					Officer (give title Other (specify				
LEADENHA	ALL ROAD								below)	below)			
	(Street)		4. If Ame	ndment.	Date	e Original			6. Individual or J	oint/Group Fili	ng(Check		
· · · · · · · · · · · · · · · · · · ·				d(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
													MOUNT LA
(City)	(State)	(Zip)	Table	e I - Nor	1-De	erivative S	lecuri	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned		
1.Title of		Date 2A. De					5. Amount of	6. Ownership 7. N					
Security (Instr. 3)	(Month/Day/	on Date, if TransactionAcquired (A) or Code Disposed of (D)						Securities Beneficially		Indirect Beneficial			
(mout o)		/Day/Year)			(Instr. 3, 4 and 5) (A)			Owned Following Reported	Indirect (I) (Instr. 4)	Ownership (Instr. 4)			
							or		Transaction(s) (Instr. 3 and 4)				
C				Code	V	Amount	(D)	Price	(				
Common Stock	10/04/2018			D <u>(1)</u>		7,942	D	<u>(1)</u>	0	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting of the read of the	Director	10% Owner	Officer	Other			
Neuhauser James C C/O PHH CORPORATION 3000 LEADENHALL ROAD MOUNT LAUREL, NJ 08054	Х						
Signatures							
/s/ Christine L. Vigliotti, Attorney-in-Fact	10/04/2018						
<b>**</b> Signature of Reporting Person		Da	ate				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On October 4, 2018, Ocwen Financial Corporation, a Florida corporation ("Ocwen"), acquired PHH Corporation (the "Company") pursuant to that certain Agreement and Plan of Merger, dated February 27, 2018 (the "Merger Agreement"), by and among the Company, Ocwen and POMS Corp., a wholly-owned subsidiary of Ocwen. Pursuant to the terms of the Merger Agreement, all of the shares of the

(1) Company's outstanding common stock were acquired by Ocwen in a merger of Merger Sub with and into the Company, with the Company surviving the merger and becoming a wholly-owned subsidiary of Ocwen. The acquisition is more fully described in the Company's definitive proxy statement filed with the Securities and Exchange Commission on April 27, 2018. Pursuant to the terms of the Merger Agreement, each share of the Company's common stock was converted into the right to receive \$11.00 per share in cash.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.