

Mittal Kunal  
Form 4  
March 13, 2018

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Mittal Kunal

(Last) (First) (Middle)

4051 BROAD ST, SUITE 220

(Street)

SAN LUIS OBISPO, CA 93401

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
MINDBODY, Inc. [MB]

3. Date of Earliest Transaction (Month/Day/Year)  
03/12/2018

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)

Chief Technology Officer

6. Individual or Joint/Group Filing (Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | 5. Amount or Price | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|------------|--------------------|---|--|-----------------------------------|
| Class A Common Stock            | 03/12/2018                           |  | S <sup>(1)</sup>               | 1,210   | D          | \$ 40              | 52,494 <sup>(2)</sup>   | D  |                                   |
| Class A Common Stock            | 03/12/2018                           |  | M                              | 3,734   | A          | \$ 13.91           | 56,228 <sup>(2)</sup>   | D  |                                   |
| Class A Common Stock            | 03/12/2018                           |  | M                              | 1,266   | A          | \$ 16.98           | 57,494 <sup>(2)</sup>   | D  |                                   |
| Class A Common                  | 03/12/2018                           |  | S <sup>(1)</sup>               | 5,000   | D          | \$ 40              | 52,494 <sup>(2)</sup>   | D  |                                   |

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|-------------------------------|
| Employee Stock Options (Right to Buy)      | \$ 13.91   | 03/12/2018                           |  | M                              | 3,734  | <sup>(3)</sup> 03/21/2026                                | Class A Common Stock  | 3,734                         |
| Employee Stock Options (Right to Buy)      | \$ 16.98   | 03/12/2018                           |  | M                              | 1,266  | <sup>(4)</sup> 08/22/2026                                | Class A Common Stock  | 1,266                         |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                          |
|---|---------------|-----------|--------------------------|
|   | Director      | 10% Owner | Officer                  |
| Mittal Kunal<br>4051 BROAD ST, SUITE 220<br>SAN LUIS OBISPO, CA 93401 |               |           | Chief Technology Officer |

## Signatures

/s/ Courtney Mathes,  
Attorney-in-Fact

03/13/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- (2) Includes 44,690 RSUs, where each RSU represents a contingent right to receive one share of the Issuer's Class A Common Stock upon settlement.

One-fourth (1/4th) of the shares subject to the option vested on March 21, 2017, and one forty-eighth (1/48th) of the total shares subject to

- (3) the option vests monthly thereafter, subject to the Reporting Person continuing to be a Service Provider through each applicable vesting date.

One-fourth (1/4th) of the shares subject to the option vested on August 22, 2017, and one forty-eighth (1/48th) of the total shares subject

- (4) to the option vests monthly thereafter, subject to the Reporting Person continuing to be a Service Provider through each applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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