Edgar Filing: Roberts Carey S. - Form 4

Form 4	y S.										
November 20											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									APPROVAL 3235-0287		
Check this if no longe subject to Section 16	s box er STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF 5. SECURITIES								Expires: Estimated	Expires: January 3 200 Estimated average burden hours per	
Form 4 orresponseForm 5obligationsobligationsmay continue.See Instruction1(b).									0.5		
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> Roberts Carey S.			2. Issuer Name and Ticker or Trading Symbol ASSURANT INC [AIZ]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N	Aiddle)	3. Date of Earliest Transaction				(Chec	ck all applicable)			
C/O ASSURANT, INC., 28 LIBERTY STREET, 41ST FL.			(Month/Day/Year) 11/16/2017					Director 10% Owner X Officer (give titleX Other (specify below) below) EVP, Chief Legal Officer / Corporate Secretary			
				. If Amendment, Date Original iled(Month/Day/Year)				6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person			
NEW YORK	K, NY 10005							Form filed by M Person	More than One R	eporting	
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	any		on Date, if	Code	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned	5. Ownership Form: Direct D) or Indirect (I) Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V		(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	11/16/2017	1/16/2017 A		А	1,763 (1)	А	\$0	1,763	D		
Common Stock	11/16/2017			А	7,500 (1)	А	\$0	9,263 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Roberts Carey S. - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Roberts Carey S. C/O ASSURANT, INC. 28 LIBERTY STREET, 41ST FL. NEW YORK, NY 10005			EVP, Chief Legal Officer	Corporate Secretary				

Signatures

Lisa Richter, Attorney-in-Fact 11/20/2017 Date

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, *see* Instruction 4(b)(v). *
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are represented by restricted stock units.
- (2) Includes restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.