

PREFORMED LINE PRODUCTS CO
 Form 4
 January 03, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 RUHLMAN ROBERT G

2. Issuer Name and Ticker or Trading Symbol
 PREFORMED LINE PRODUCTS CO [PLPC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
 1900 EAST NINTH ST.
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)
 12/29/2016

Director 10% Owner
 Officer (give title below) Other (specify below)
 President - CEO

CLEVELAND, OH 44114
 (City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common shares, \$2 par value | 12/29/2016 | | D | (A) or (D) Code V Amount (D) Price 1,511 (1) D \$ 56.38 | 0 (2) | D | |
| Common shares, \$2 par value | 12/29/2016 | | D | 3,489 (1) D \$ 56.38 | 151,911 | I | by revocable trust (3) |
| Common shares, \$2 par value | | | | | 156,648 | I | by rabbi trust for Deferred Compensation Plan |
| | | | | | 11,250 | I | by trust (4) |

| | | | |
|------------------------------|---------|---|-------------------------|
| Common shares, \$2 par value | | | |
| Common shares, \$2 par value | 34,656 | I | by trust ⁽⁵⁾ |
| Common shares, \$2 par value | 300 | I | by spouse |
| Common shares, \$2 par value | 6,274 | I | by 401(k) plan |
| Common shares, \$2 par value | 350 | I | by Roth IRA |
| Common shares, \$2 par value | 827,820 | I | by trust ⁽⁶⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--------------------------------|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |

RUHLMAN ROBERT G
1900 EAST NINTH ST. X X President - CEO
CLEVELAND, OH 44114

Signatures

/s/ Eric R. Graef, by power of attorney 01/03/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting person entered into an agreement with the issuer of these shares on December 15, 2016, to sell the shares back at the then 30 day average price.
- (2) Previous Form 4 reports overstated the reporting person's direct holdings, since the shares held in his IRA were also reported on a separate line.
- (3) Shares are held in a trust of which the Reporting Person is the trustee.
- (4) Shares represent a 50% beneficiary interest in the Barbara P. Ruhlman Irrevocable Trust dated 7/29/08, of which the Reporting Person is also a co-Trust Advisor.
- (5) Shares are held in trust for the benefit of Reporting Person and his children, and of which he serves as co-trustee.
- (6) Shares held by the Barbara P Ruhlman Revocable Trust dated 9/21/16, of which Bernard Karr serves as trustee and Robert G. Ruhlman and Randall M. Ruhlman act as co-trust advisors with respect to the investment and voting of such shares. The reporting person disclaims beneficial ownership of the stock held by the Trust except to the extent of his pecuniary interest therein. This amount was previously reported in aggregate with the 11250 shares held by the Barbara P Ruhlman Irrevocable Trust Dated 7/29/08.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.