Marcus & Millichap, Inc. Form 4 November 08, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

3235-0287 Number: January 31,

**OMB APPROVAL** 

Expires: 2005 Estimated average

10% Owner

Other (specify

0.5

burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address	of Reporting	Person
Louie Martin F		

(First)

(Street)

(State)

(Middle)

(Zip)

Symbol

2. Issuer Name and Ticker or Trading

Marcus & Millichap, Inc. [MMI]

3. Date of Earliest Transaction

(Month/Day/Year) 11/05/2016

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Chief Financial Officer

C/O MARCUS & MILLICHAP. INC., 23975 PARK SORRENTO, SUITE 400

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

X\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

### CALABASAS, CA 91302

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(mstr. 5 tild 1)		
Common Stock	11/05/2016		M	51,919	A	<u>(1)</u>	105,842	D	
Common Stock	11/05/2016		F	23,812 (2)	D	\$ 23.99	82,030	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: Marcus & Millichap, Inc. - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ctionDerivative Securities B) Acquired (A) or Disposed of (D) (Instr. 3, 4,		tionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		tionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		tionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		actiorDerivative Securities . 8) Acquired (A) or Disposed of (D) (Instr. 3, 4,		TransactionDerivative Code Securities (Instr. 8) Acquired or Dispos (D) (Instr. 3, 4		6. Date Exerc Expiration Do (Month/Day/	Pate	7. Title and A Underlying S (Instr. 3 and 4	Securities	8. Pr Deri Secu (Inst
			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares															
Deferred Stock Units	<u>(1)</u>	11/05/2016	M		51,919	(3)	(3)	Common Stock	51,919	g														

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Louie Martin E. C/O MARCUS & MILLICHAP, INC. 23975 PARK SORRENTO, SUITE 400 CALABASAS, CA 91302

Chief Financial Officer

# **Signatures**

/s/ Robert H. Kennis, as attorney-in-fact for Martin E. Louie

11/08/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each deferred stock unit represents a contingent right to receive one share of the Issuer common stock.
- (2) Shares withheld by the Issuer in payment of the withholding tax liability incurred upon the above-reported settlement of DSUs. The amount of shares withheld is based on the closing sales prices on the date of settlement.
- (3) The deferred stock units vest immediately. The deferred stock units will be settled in the Issuer stock at a rate of 20% per year beginning one year from the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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